

*Stanislav (Stas) Babak.*

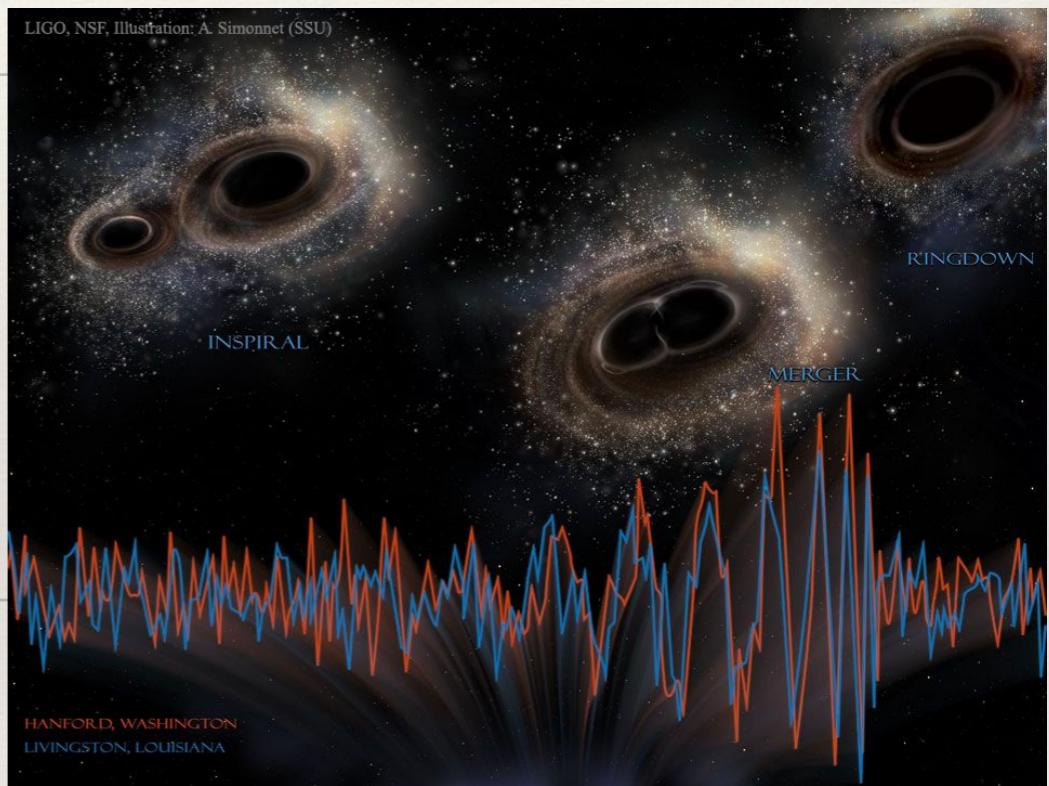
*AstroParticule et Cosmologie, CNRS (Paris)*



# Gravitational wave transient catalogue from O3a

LIGO Scientific Collaboration  
and  
Virgo Collaboration

7th Kagra International  
workshop, 18-20 Dec 2020



# Outline

- Performance of AdLIGO and AdVirgo during O3a
- Search for coalescing binaries in O3
- Properties of detected gravitational wave (GW) signals

## O3a Catalog Paper

[dcc.ligo.org/LIGO-P2000061/public](https://dcc.ligo.org/LIGO-P2000061/public)  
arXiv: [2010.14527](https://arxiv.org/abs/2010.14527)

## GWTC-2 Data Release

[www.gw-openscience.org/GWTC-2/](https://www.gw-openscience.org/GWTC-2/)

## Companion Papers

**Populations:** (*Talk by D. Wysocki*)  
[dcc.ligo.org/LIGO-P2000077/public](https://dcc.ligo.org/LIGO-P2000077/public)  
arXiv: [2010.14533](https://arxiv.org/abs/2010.14533)

## Tests of GR:

[dcc.ligo.org/LIGO-P2000091/public](https://dcc.ligo.org/LIGO-P2000091/public)  
arXiv: [2010.14529](https://arxiv.org/abs/2010.14529)



# GW detector's network

LIGO Hanford  
(Washington, USA)



KAGRA (Japan)  
joining soon!



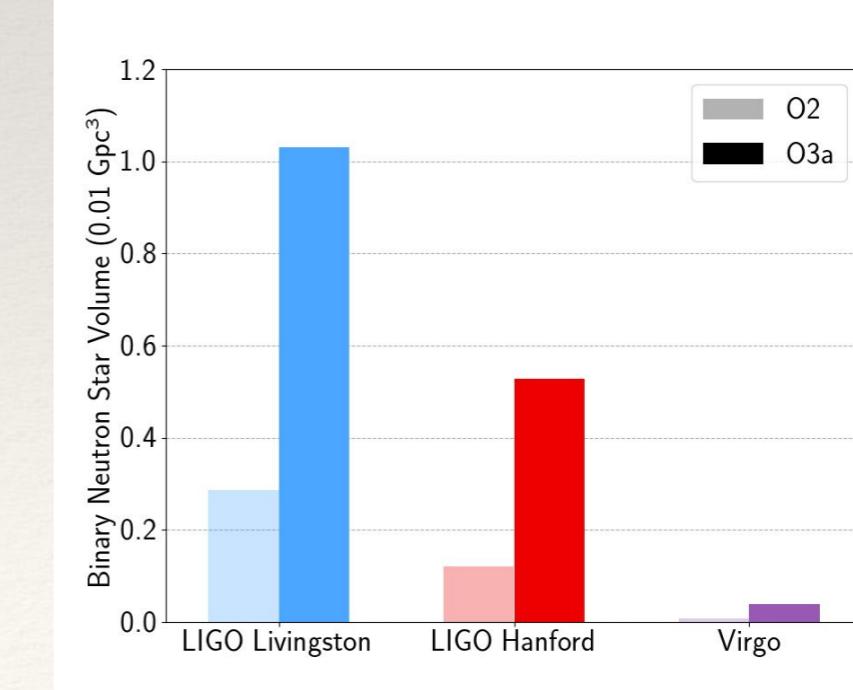
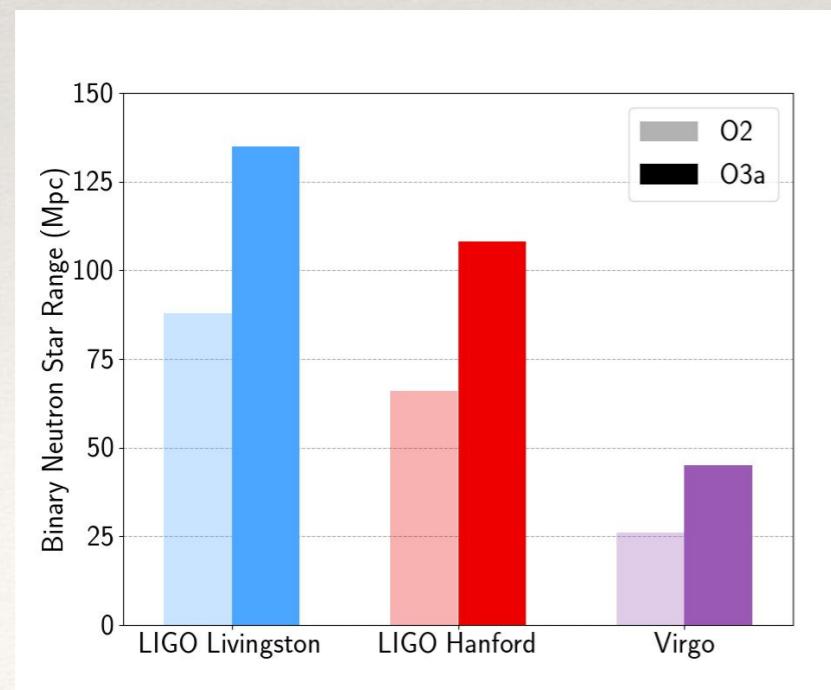
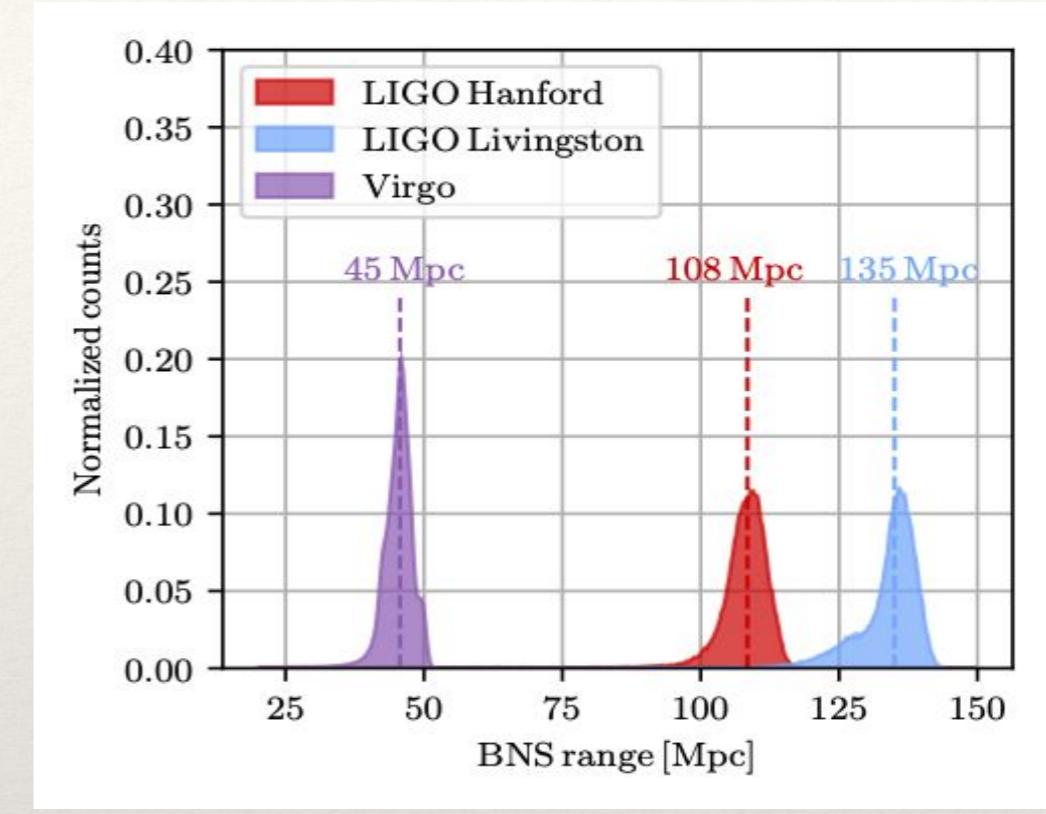
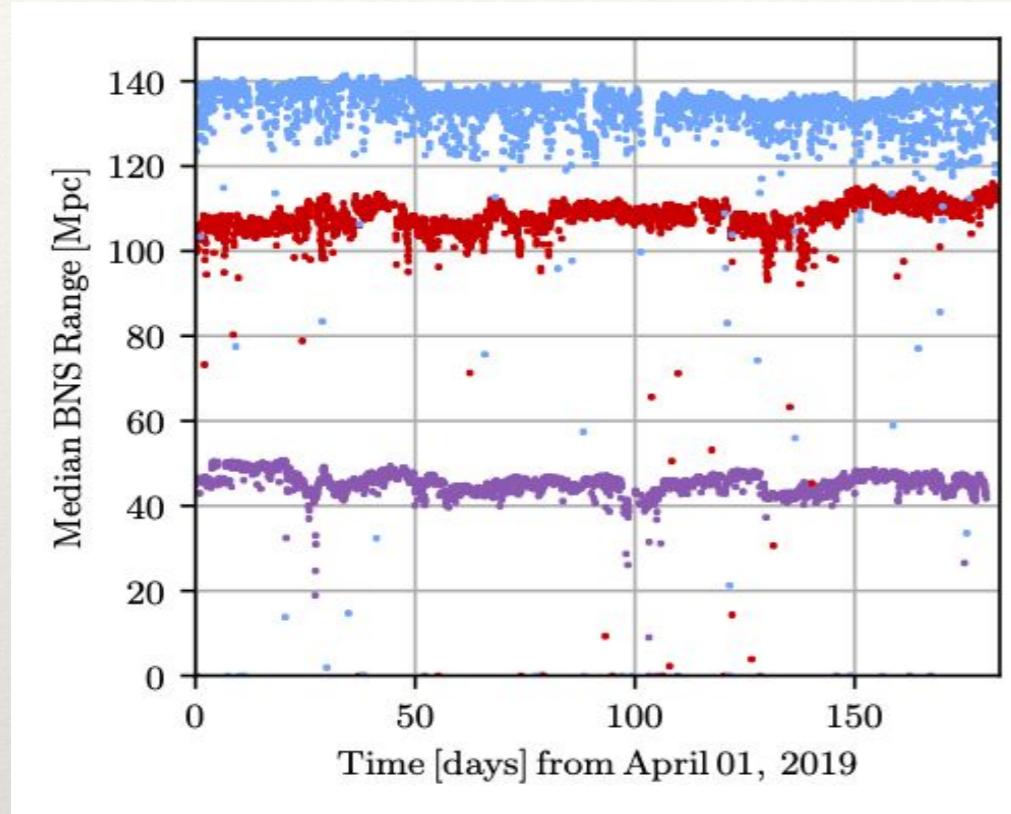
LIGO Livingston  
(Louisiana, USA)



Virgo  
(Pisa, Italy)

# Sensitivity during O3a

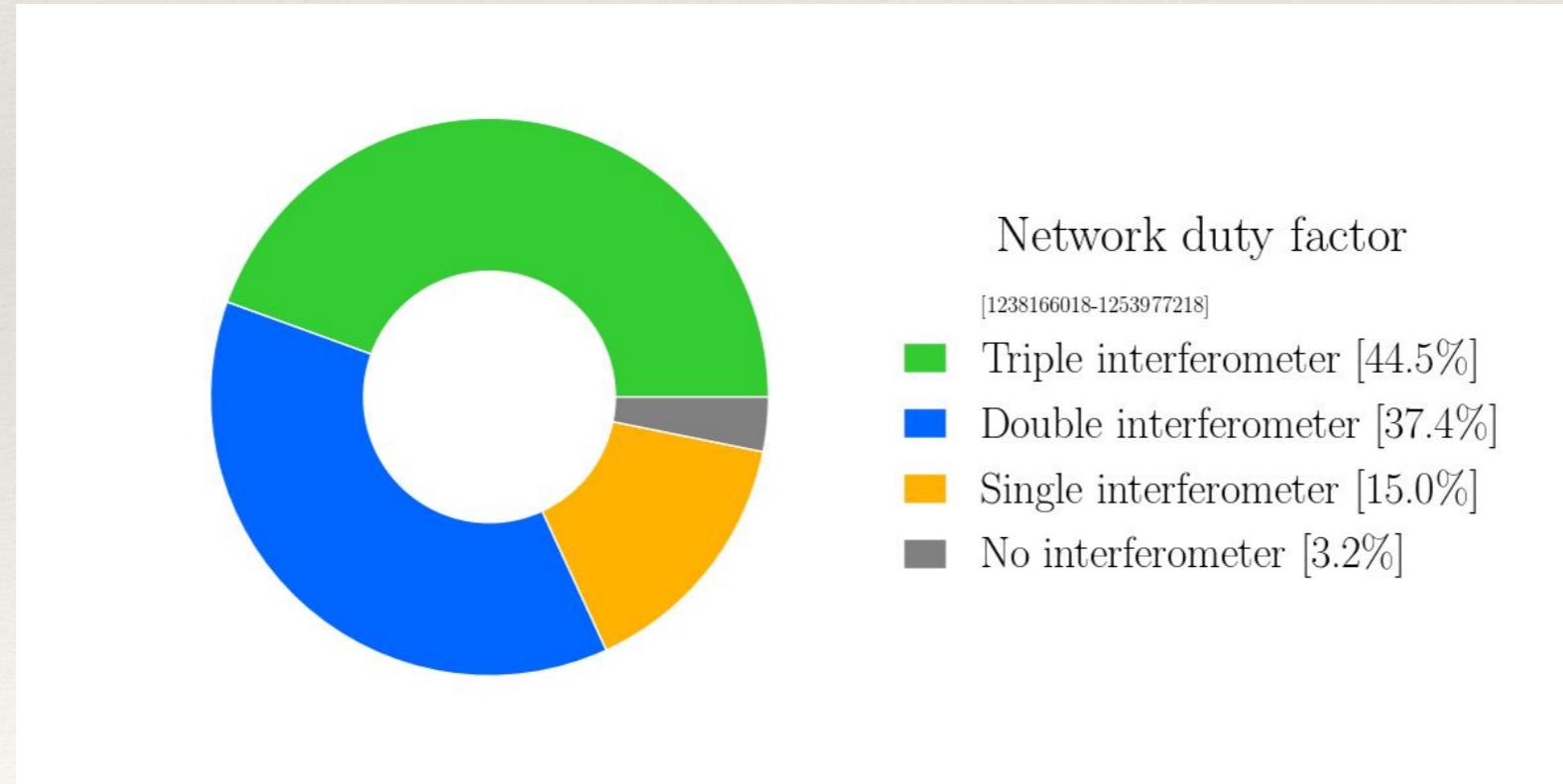
BNS range: Median distance to the merging neutron stars (masses 1.5-1.5) binary which produces GW signal with signal-to-noise ratio (SNR) = 8



# Performance of detectors

*Duty factor:* Percentage of wall-clock time that detector is in observing mode

| <u>O3a</u>  | <u>Duty factor (O3/O2)</u> | <u>Uptime</u> |
|-------------|----------------------------|---------------|
| Hanford:    | 71 / 62%                   | 130 d         |
| Livingston: | 76 / 61%                   | 139 d         |
| Virgo:      | 76 / 80%                   | 140 d         |



# Instrumental artifacts

*Glitches:* Short duration instrumental artifacts that add excess noise to the interferometer strain data

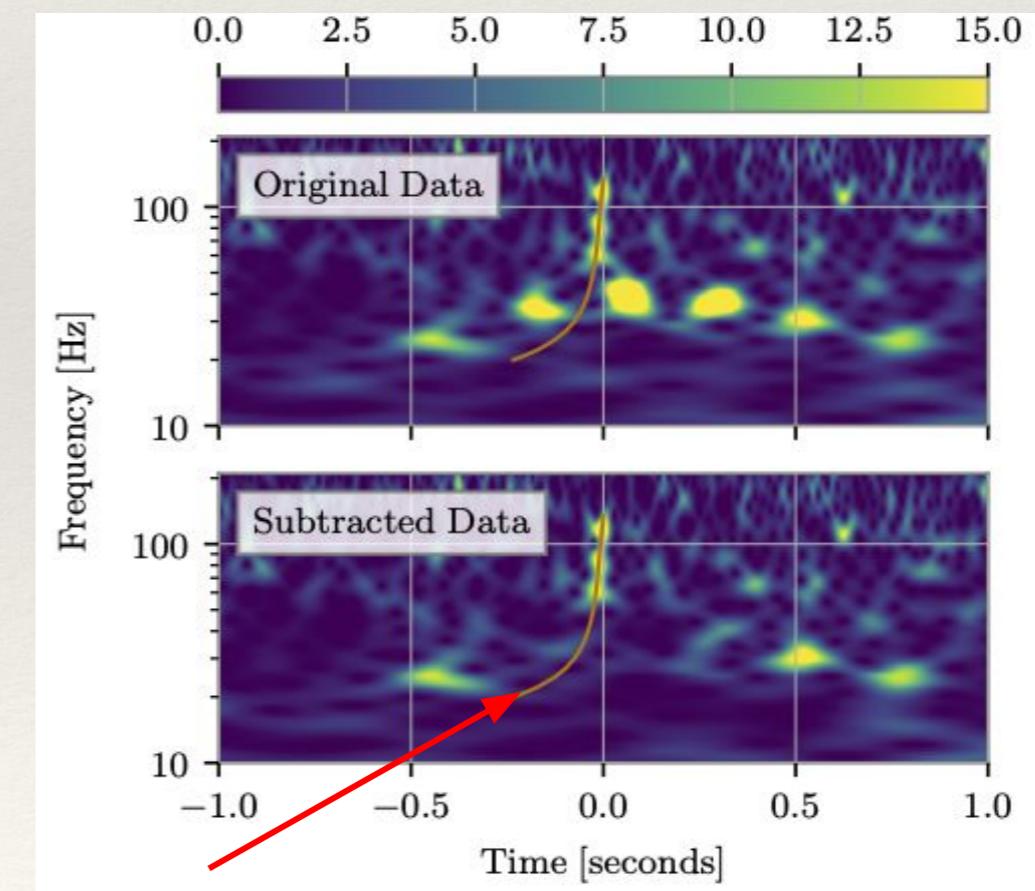
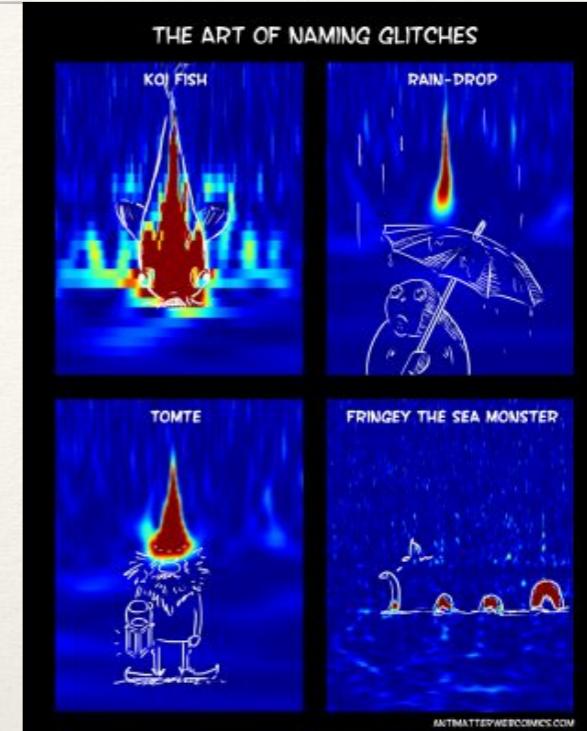
Common sources of glitches include:

- Scattered laser light
- Thunderclaps
- Earthquakes

When possible, we subtract glitches with the *BayesWave* algorithm near GW candidates for parameter estimation:

- Subtraction excess of power using wavelets decomposition
- Simultaneous fit for glitches and signal

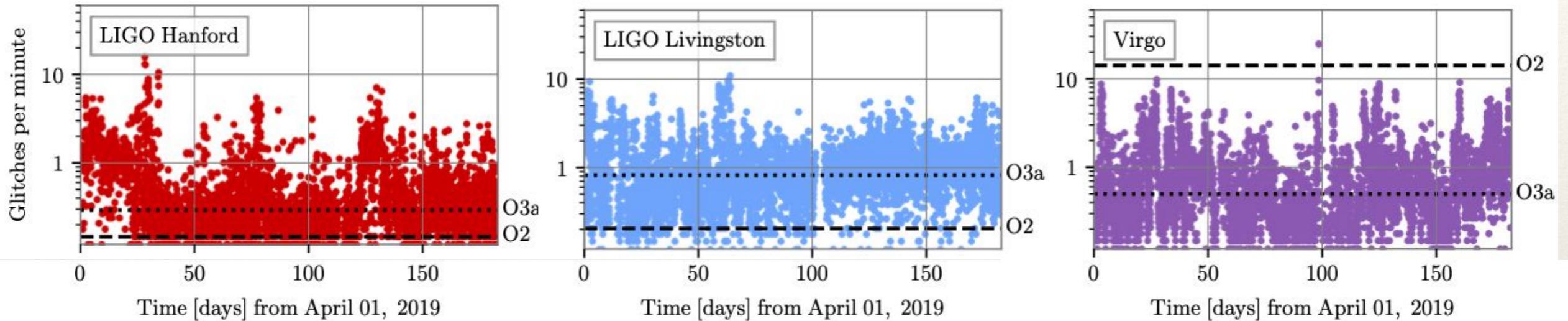
*Blip* glitches: unknown origin



# Glitch rate and calibration

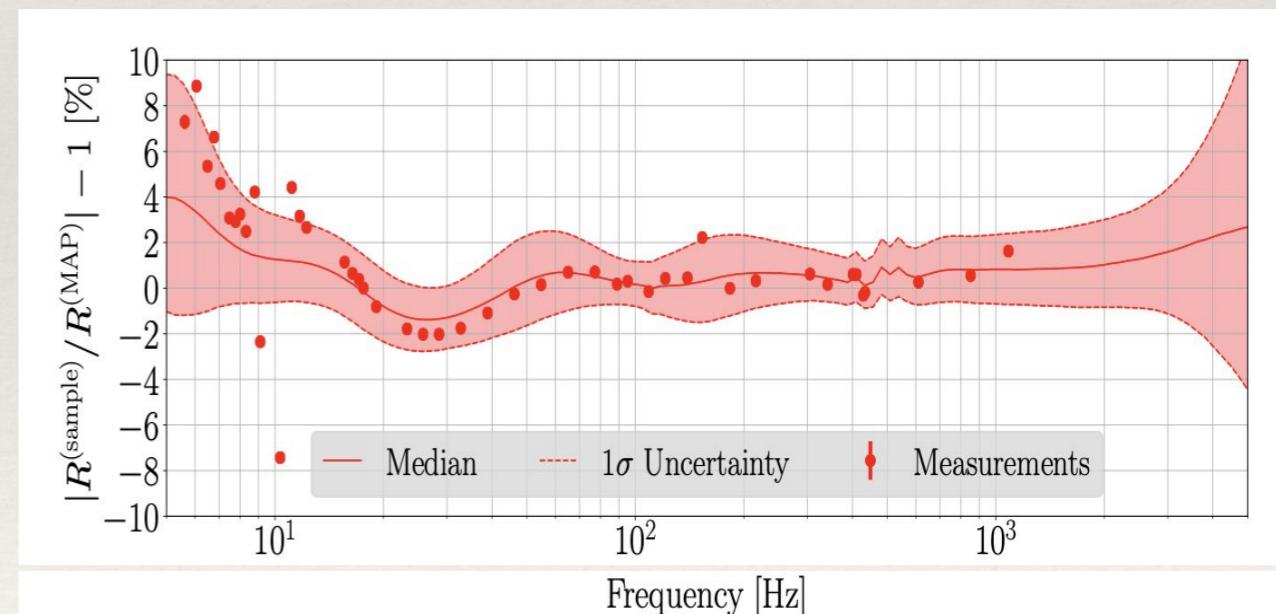
LIGO: increase in the glitch rate, Virgo decrease in glitch rate

Livingston: daily cycle of scattered light - tied to ground motion driven by human activity

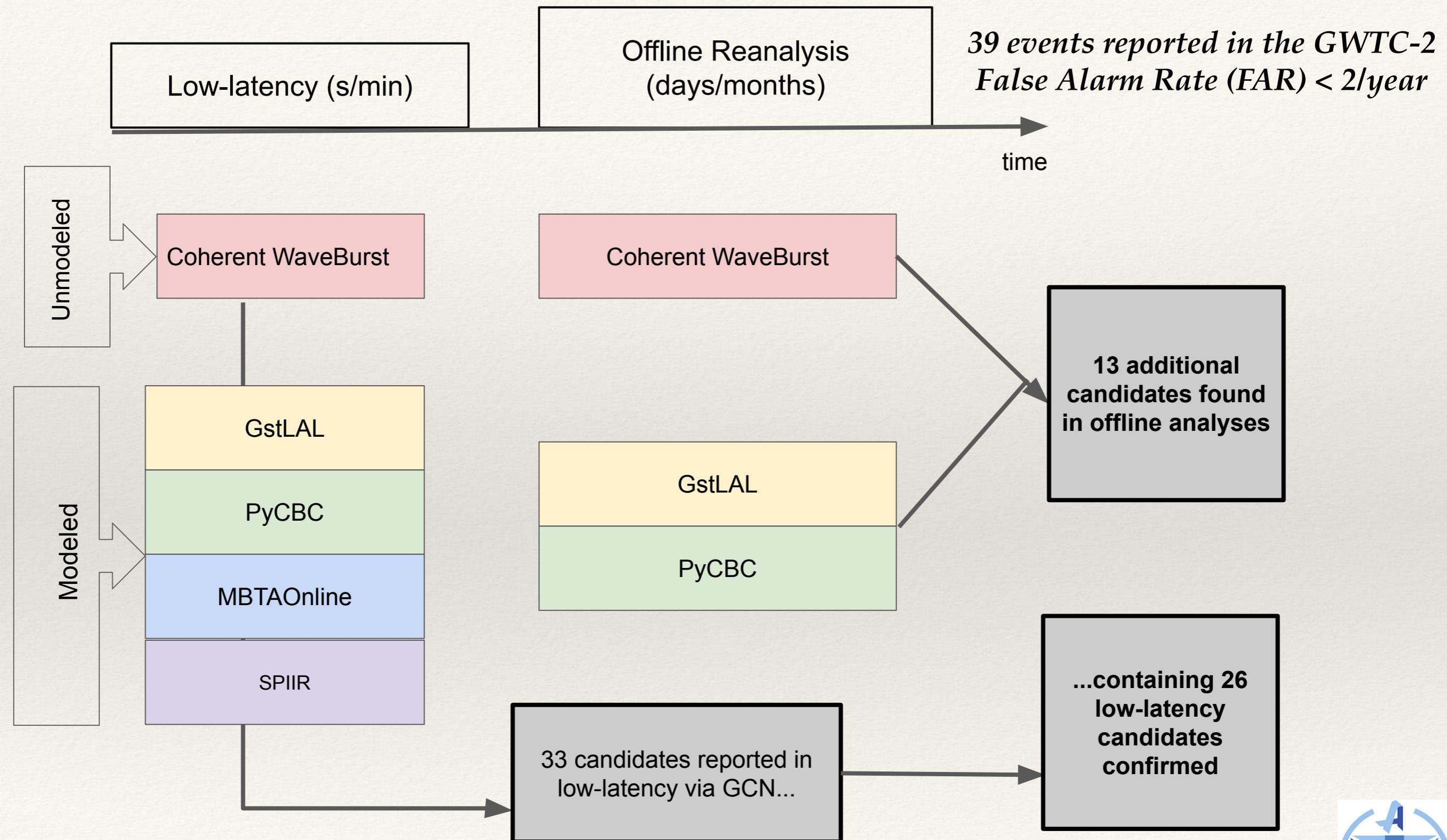


## Calibration uncertainties:

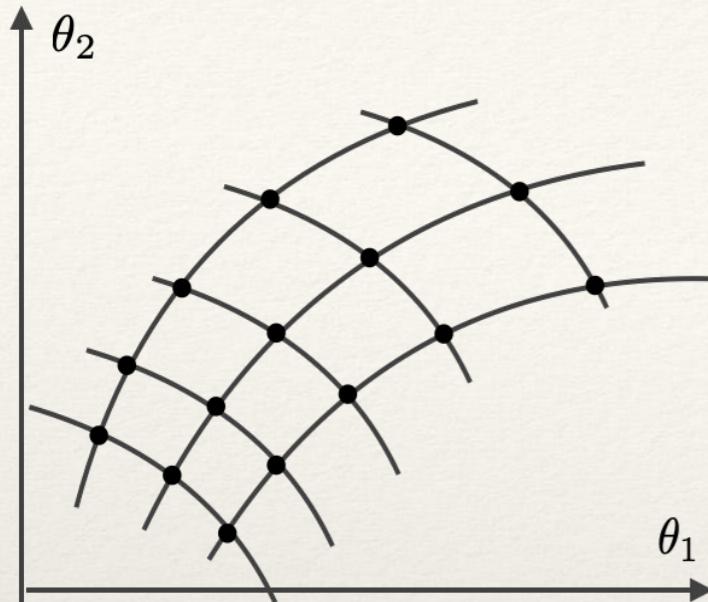
- Transformation of optical readout into GW strain
- A *photon calibrator*, a laser that pushes on the test masses with a known force, is used as an absolute reference
- Modelling systematic uncertainties (spline) and marginalized over in parameter estimation



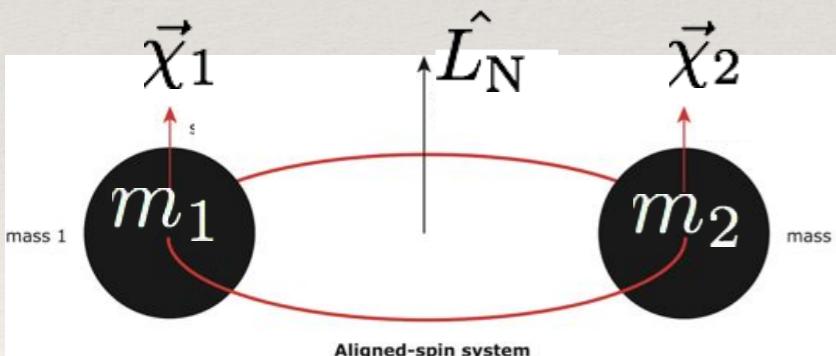
# Detection strategy



# Modelled, grid-based search



Assuming the circular orbits



$$\chi_{\text{eff}} = \frac{(m_1 \vec{\chi}_1 + m_2 \vec{\chi}_2) \cdot \hat{L}_N}{M}$$

- We want to cover the parameter space (N-dim) by grid of points at equal distance from each other.
- Grid: not too coarse, not too fine
- The distance is determined **not** by a coordinate distance but by “proper” distance — correlation between nearby templates: introduce interval and metric

SNR threshold: 4 in a single detector - basis for GW candidate + GW consistency check + data quality

*Template bank for GstLAL*

- $m_1, m_2$ : 1 - 400 solar masses
- $m_{\text{total}}$ : 2 - 758 solar masses
- (Anti-) aligned spin
- ~1.7 million templates

*Template bank for PyCBC*

- $m_1 > 1$  solar mass
- $m_{\text{total}} < 500$  solar masses
- mass ratio < 98
- (Anti-) aligned spin
- ~400,000 templates

# Estimating significance of candidates

Events in GWTC-2 passed the false alarm rate (FAR) threshold of **2 per year**. This means we could have up to  $\sim 3$  false alarms mixed in our event list.

To guide us, we include statistical measures of the false alarm rate and probability of BBH astrophysical origin.

$$\text{FAR} = \frac{N(\Lambda \geq \Lambda^*)}{T}$$

Number  $N$  of background events with ranking statistic  $\Lambda$  higher than  $\Lambda^*$  over experiment time  $T$

$p_{\text{astro-}}$  for events consistent with BBH from Poisson mixture model formalism

Farr, et al. [Physical Review D](#) (2015)

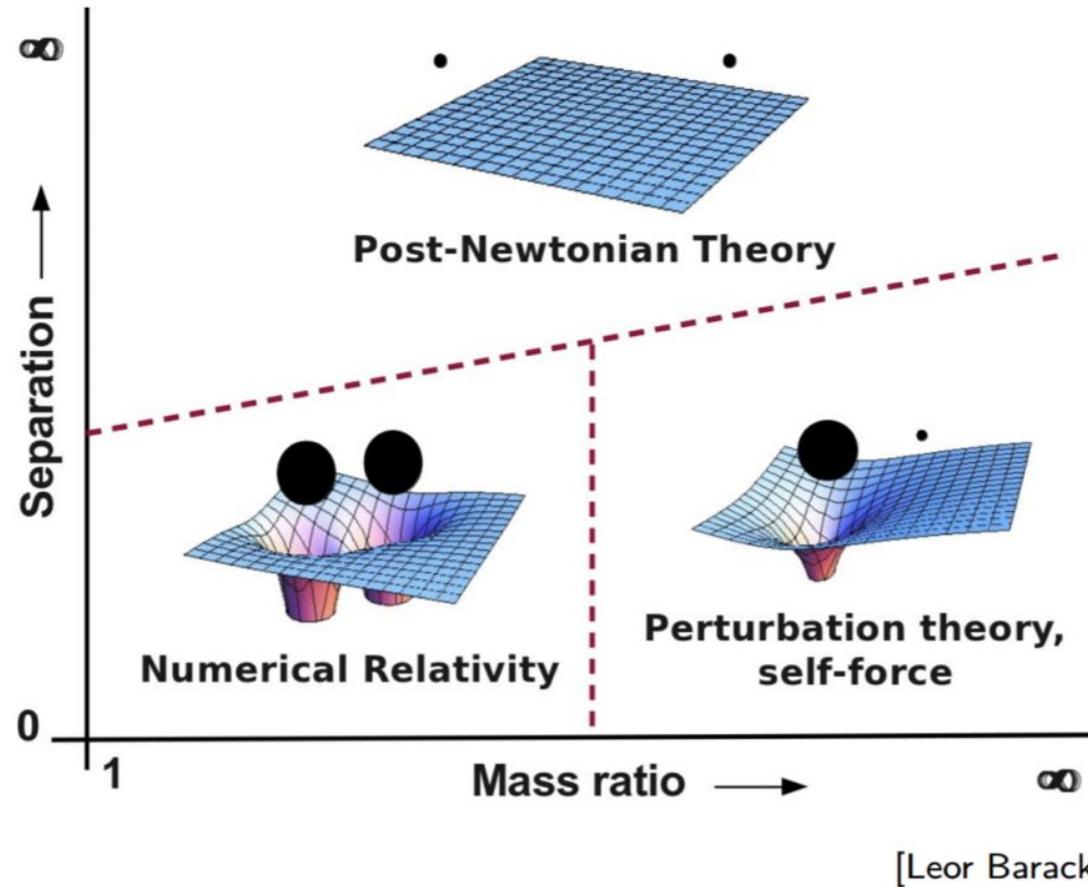
# Summary of GW events

- Candidate events highlighted in red are the most likely to be noise.
- The candidate with the highest FAR is GW190426. It was previously reported in low-latency as a possible neutron star-black hole event.
- Candidates in bold were not previously reported.
- Candidate events highlighted in yellow were found in only one detector. Thus they have larger uncertainties in the FAR.

| Name                   | Inst. | cWB                     |      | GstLAL                      |                       |                         | PyCBC                  |        |                         | PyCBC BBH              |        |      |
|------------------------|-------|-------------------------|------|-----------------------------|-----------------------|-------------------------|------------------------|--------|-------------------------|------------------------|--------|------|
|                        |       | FAR (yr <sup>-1</sup> ) | SNR* | FAR (yr <sup>-1</sup> )     | SNR <sub>pastro</sub> | FAR (yr <sup>-1</sup> ) | SNR*                   | pastro | FAR (yr <sup>-1</sup> ) | SNR*                   | pastro |      |
| GW190408_181802        | HLV   | $< 9.5 \times 10^{-4}$  | 14.8 | $< 1.0 \times 10^{-5}$      | 14.7                  | 1.00                    | $< 2.5 \times 10^{-5}$ | 13.5   | 1.00                    | $< 7.9 \times 10^{-5}$ | 13.6   | 1.00 |
| GW190412               | HLV   | $< 9.5 \times 10^{-4}$  | 19.7 | $< 1.0 \times 10^{-5}$      | 18.9                  | 1.00                    | $< 3.1 \times 10^{-5}$ | 17.9   | 1.00                    | $< 7.9 \times 10^{-5}$ | 17.8   | 1.00 |
| <b>GW190413_052954</b> | HLV   | –                       | –    | –                           | –                     | –                       | –                      | –      | –                       | $7.2 \times 10^{-2}$   | 8.6    | 0.98 |
| <b>GW190413_134308</b> | HLV   | –                       | –    | $3.8 \times 10^{-1}$        | 10.0                  | 0.95                    | –                      | –      | $4.4 \times 10^{-2}$    | 9.0                    | 0.98   |      |
| GW190421_213856        | HL    | $3.0 \times 10^{-1}$    | 9.3  | $7.7 \times 10^{-4}$        | 10.6                  | 1.00                    | $1.9 \times 10^0$      | 10.2   | 0.89                    | $6.6 \times 10^{-3}$   | 10.2   | 1.00 |
| <b>GW190424_180648</b> | L     | –                       | –    | $7.8 \times 10^{-1}\dagger$ | 10.0                  | 0.91                    | –                      | –      | –                       | –                      | –      | –    |
| GW190425               | LV    | –                       | –    | $7.5 \times 10^{-4}\dagger$ | 13.0                  | –                       | –                      | –      | –                       | –                      | –      | –    |
| <b>GW190426_152155</b> | HLV   | –                       | –    | $1.4 \times 10^0$           | 10.1                  | –                       | –                      | –      | –                       | –                      | –      | –    |
| GW190503_185404        | HLV   | $1.8 \times 10^{-3}$    | 11.5 | $< 1.0 \times 10^{-5}$      | 12.1                  | 1.00                    | $3.7 \times 10^{-2}$   | 12.2   | 1.00                    | $< 7.9 \times 10^{-5}$ | 12.2   | 1.00 |
| GW190512_180714        | HLV   | $8.8 \times 10^{-1}$    | 10.7 | $< 1.0 \times 10^{-5}$      | 12.3                  | 1.00                    | $3.8 \times 10^{-5}$   | 12.2   | 1.00                    | $< 5.7 \times 10^{-5}$ | 12.2   | 1.00 |
| GW190513_205428        | HLV   | –                       | –    | $< 1.0 \times 10^{-5}$      | 12.3                  | 1.00                    | $3.7 \times 10^{-4}$   | 11.8   | 1.00                    | $< 5.7 \times 10^{-5}$ | 11.9   | 1.00 |
| <b>GW190514_065416</b> | HL    | –                       | –    | –                           | –                     | –                       | –                      | –      | $5.3 \times 10^{-1}$    | 8.3                    | 0.96   |      |
| GW190517_055101        | HLV   | $6.5 \times 10^{-3}$    | 10.7 | $9.6 \times 10^{-4}$        | 10.6                  | 1.00                    | $1.8 \times 10^{-2}$   | 10.4   | 1.00                    | $< 5.7 \times 10^{-5}$ | 10.2   | 1.00 |
| GW190519_153544        | HLV   | $3.1 \times 10^{-4}$    | 14.0 | $< 1.0 \times 10^{-5}$      | 12.0                  | 1.00                    | $< 1.8 \times 10^{-5}$ | 13.0   | 1.00                    | $< 5.7 \times 10^{-5}$ | 13.0   | 1.00 |
| GW190521               | HLV   | $2.0 \times 10^{-4}$    | 14.4 | $1.2 \times 10^{-3}$        | 14.7                  | 1.00                    | $1.1 \times 10^0$      | 12.6   | 0.93                    | –                      | –      | –    |
| GW190521_074359        | HL    | $< 1.0 \times 10^{-4}$  | 24.7 | $< 1.0 \times 10^{-5}$      | 24.4                  | 1.00                    | $< 1.8 \times 10^{-5}$ | 24.0   | 1.00                    | $< 5.7 \times 10^{-5}$ | 24.0   | 1.00 |
| <b>GW190527_092055</b> | HL    | –                       | –    | $6.2 \times 10^{-2}$        | 8.9                   | 0.99                    | –                      | –      | –                       | –                      | –      | –    |
| GW190602_175927        | HLV   | $1.5 \times 10^{-2}$    | 11.1 | $1.1 \times 10^{-5}$        | 12.1                  | 1.00                    | –                      | –      | $1.5 \times 10^{-2}$    | 11.4                   | 1.00   |      |
| <b>GW190620_030421</b> | LV    | –                       | –    | $2.9 \times 10^{-3}\dagger$ | 10.9                  | 1.00                    | –                      | –      | –                       | –                      | –      | –    |
| GW190630_185205        | LV    | –                       | –    | $< 1.0 \times 10^{-5}$      | 15.6                  | 1.00                    | –                      | –      | –                       | –                      | –      | –    |
| GW190701_203306        | HLV   | $5.5 \times 10^{-1}$    | 10.2 | $1.1 \times 10^{-2}$        | 11.6                  | 1.00                    | –                      | –      | –                       | –                      | –      | –    |
| GW190706_222641        | HLV   | $< 1.0 \times 10^{-3}$  | 12.7 | $< 1.0 \times 10^{-5}$      | 12.3                  | 1.00                    | $6.7 \times 10^{-5}$   | 11.7   | 1.00                    | $< 4.6 \times 10^{-5}$ | 12.3   | 1.00 |
| GW190707_093326        | HL    | –                       | –    | $< 1.0 \times 10^{-5}$      | 13.0                  | 1.00                    | $< 1.0 \times 10^{-5}$ | 12.8   | 1.00                    | $< 4.6 \times 10^{-5}$ | 12.8   | 1.00 |
| <b>GW190708_232457</b> | LV    | –                       | –    | $2.8 \times 10^{-5}\dagger$ | 13.1                  | 1.00                    | –                      | –      | –                       | –                      | –      | –    |
| <b>GW190719_215514</b> | HL    | –                       | –    | –                           | –                     | –                       | –                      | –      | $1.6 \times 10^0$       | 8.0                    | 0.82   |      |
| GW190720_000836        | HLV   | –                       | –    | $< 1.0 \times 10^{-5}$      | 11.7                  | 1.00                    | $< 2.0 \times 10^{-5}$ | 10.6   | 1.00                    | $< 3.7 \times 10^{-5}$ | 10.5   | 1.00 |
| GW190727_060333        | HLV   | $8.8 \times 10^{-2}$    | 11.4 | $< 1.0 \times 10^{-5}$      | 12.3                  | 1.00                    | $3.5 \times 10^{-3}$   | 11.5   | 1.00                    | $< 3.7 \times 10^{-5}$ | 11.8   | 1.00 |
| GW190728_064510        | HLV   | –                       | –    | $< 1.0 \times 10^{-5}$      | 13.6                  | 1.00                    | $< 1.6 \times 10^{-5}$ | 13.4   | 1.00                    | $< 3.7 \times 10^{-5}$ | 13.4   | 1.00 |
| <b>GW190731_140936</b> | HL    | –                       | –    | $2.1 \times 10^{-1}$        | 8.5                   | 0.97                    | –                      | –      | $2.8 \times 10^{-1}$    | 8.2                    | 0.96   |      |
| <b>GW190803_022701</b> | HLV   | –                       | –    | $3.2 \times 10^{-2}$        | 9.0                   | 0.99                    | –                      | –      | $2.7 \times 10^{-2}$    | 8.6                    | 0.99   |      |
| GW190814               | LV    | –                       | –    | $< 1.0 \times 10^{-5}$      | 22.2                  | 1.00                    | –                      | –      | –                       | –                      | –      | –    |
| GW190828_063405        | HLV   | $< 9.6 \times 10^{-4}$  | 16.6 | $< 1.0 \times 10^{-5}$      | 16.0                  | 1.00                    | $< 1.5 \times 10^{-5}$ | 15.3   | 1.00                    | $< 3.3 \times 10^{-5}$ | 15.3   | 1.00 |
| GW190828_065509        | HLV   | –                       | –    | $< 1.0 \times 10^{-5}$      | 11.1                  | 1.00                    | $5.8 \times 10^{-5}$   | 10.8   | 1.00                    | $< 3.3 \times 10^{-5}$ | 10.8   | 1.00 |
| <b>GW190909_114149</b> | HL    | –                       | –    | $1.1 \times 10^0$           | 8.5                   | 0.89                    | –                      | –      | –                       | –                      | –      | –    |
| <b>GW190910_112807</b> | LV    | –                       | –    | $1.9 \times 10^{-5}\dagger$ | 13.4                  | 1.00                    | –                      | –      | –                       | –                      | –      | –    |
| GW190915_235702        | HLV   | $< 1.0 \times 10^{-3}$  | 12.3 | $< 1.0 \times 10^{-5}$      | 13.1                  | 1.00                    | $8.6 \times 10^{-4}$   | 13.0   | 1.00                    | $< 3.3 \times 10^{-5}$ | 12.7   | 1.00 |
| GW190924_021846        | HLV   | –                       | –    | $< 1.0 \times 10^{-5}$      | 13.2                  | 1.00                    | $< 6.3 \times 10^{-5}$ | 12.5   | 1.00                    | $< 3.3 \times 10^{-5}$ | 12.4   | 1.00 |
| <b>GW190929_012149</b> | HLV   | –                       | –    | $2.0 \times 10^{-2}$        | 9.9                   | 1.00                    | –                      | –      | –                       | –                      | –      | –    |
| GW190930_133541        | HL    | –                       | –    | $5.8 \times 10^{-1}$        | 10.0                  | 0.92                    | $3.4 \times 10^{-2}$   | 9.7    | 1.00                    | $3.3 \times 10^{-2}$   | 9.8    | 0.99 |

# Waveform modelling

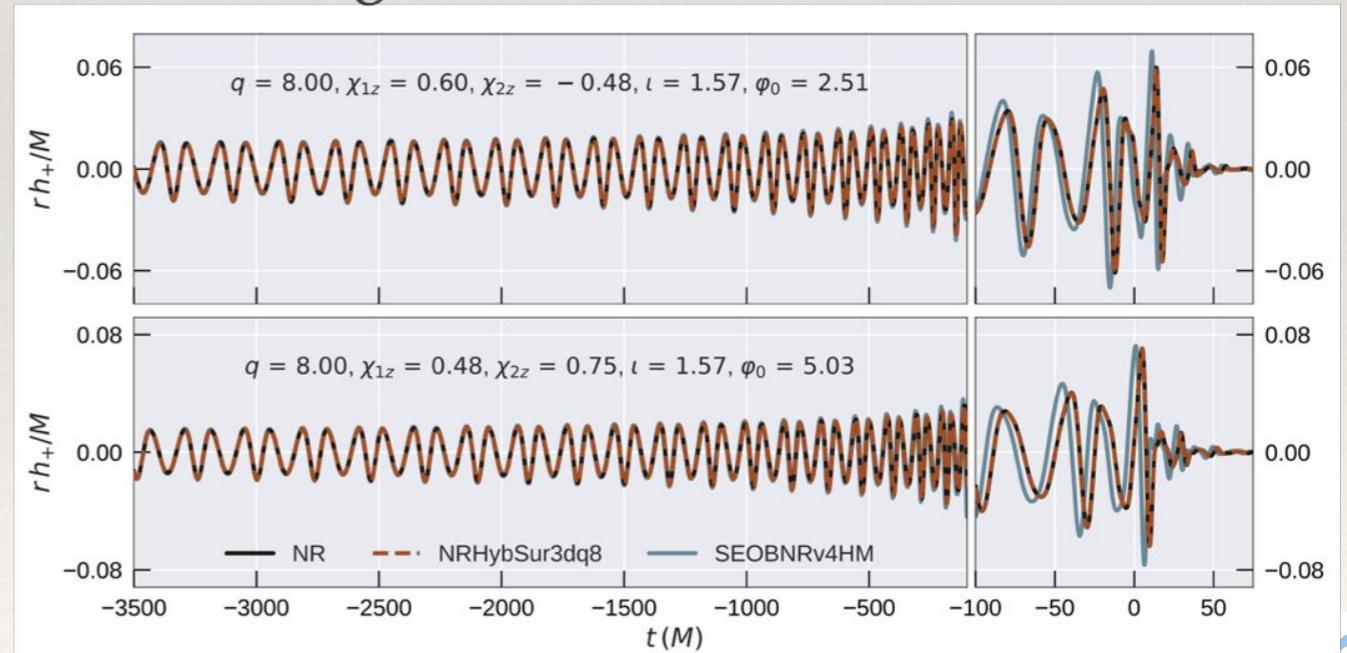
Binary parameter space



[Varma et.al. 2019]

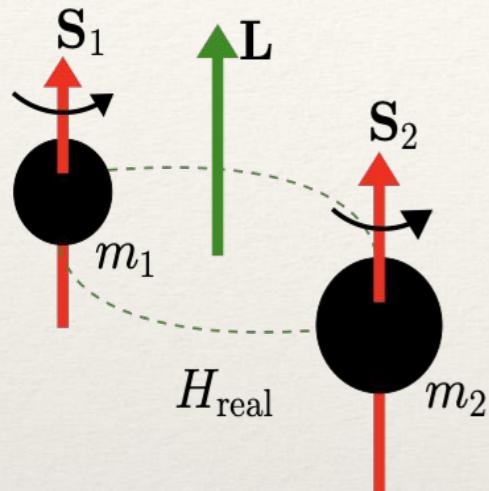
- We have observed only few last cycles (heavy system)
- Merger: requires Numerical Relativity

- NRsurrogate: are built directly by interpolating NR simulations.
- Highly accurate but limited in parameter space and in lenght ( $\sim 20$  orbits)

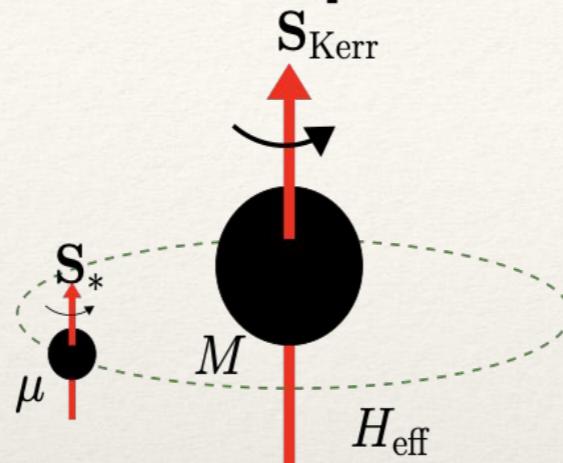


# Waveform modelling

## Real problem



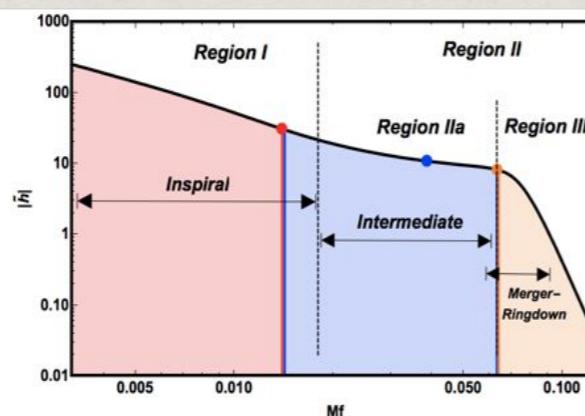
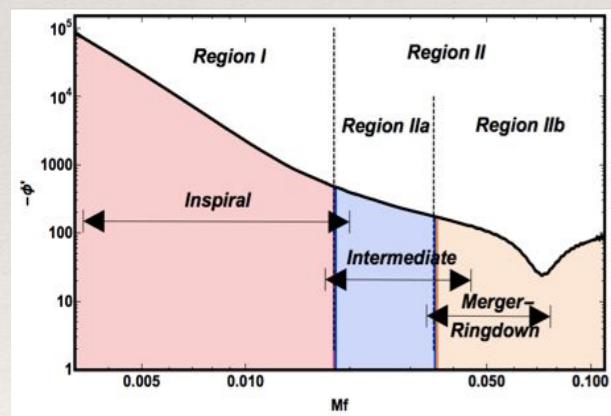
## Effective problem



## EOB

[Buonanno & Damour 2000]

Effective-One-Body approach:  
mapping real two body dynamics onto  
motion of a test mass in the s/t of a deformed BH

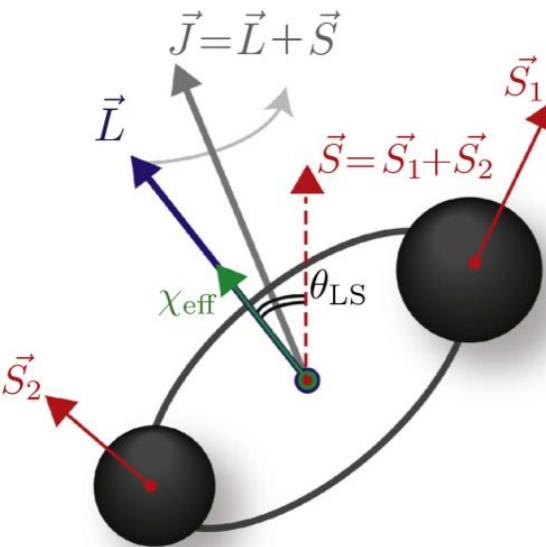


## IMRPhenom

Phenomenological model:  
uses PN at low frequency + analytic fit for merger  
Generated in frequency, very fast

[Khan et al. 2018-2019; García-Quíros et al. 2020, Pratten et al. 2020]

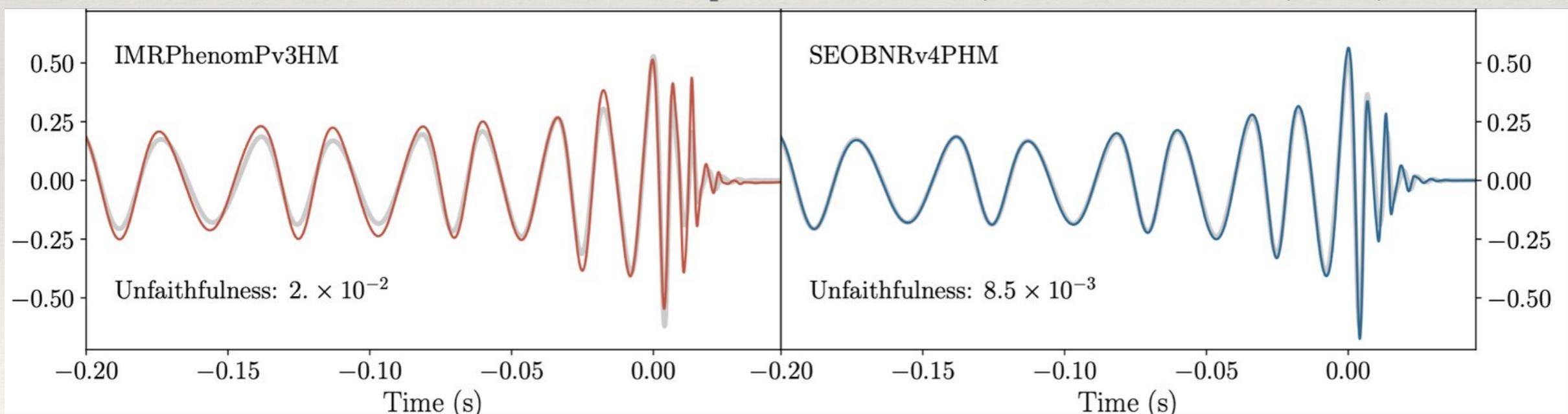
# Precession and higher order modes



- If spins are not aligned wrt orbital angular momentum:
  - orbital precession around total momentum
- Signal = superposition of orbital harmonics,
  - dominant is  $2 \times$  orb. freq.
  - subdominant: higher order modes - beyond quadrupolar approximation

Credit: Carl Rodriguez

[Babak et al. 2016; Cotesta et al. 2018, 2020, Ossokine et al. 2020]



[Khan et al. 2020]

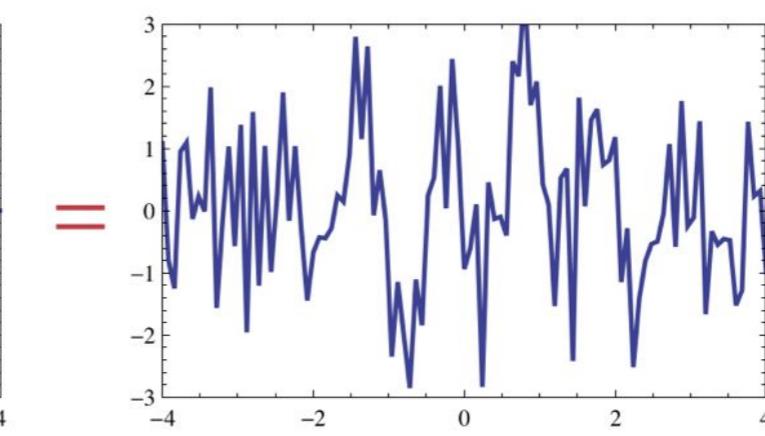
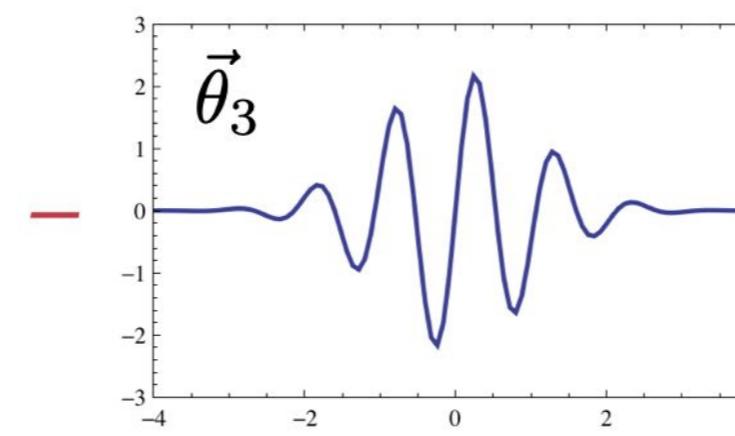
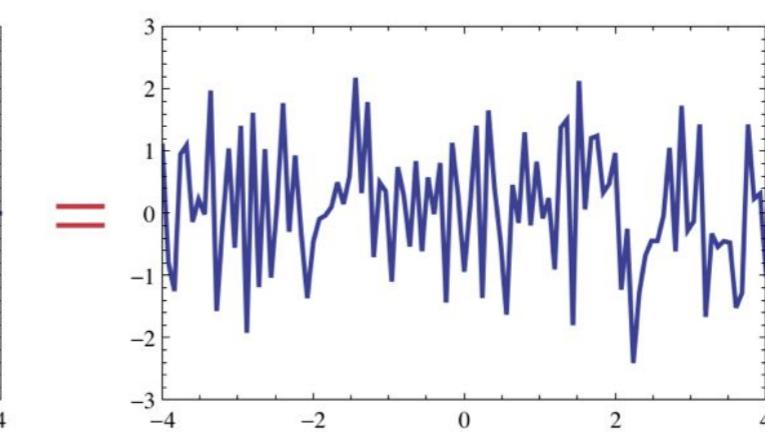
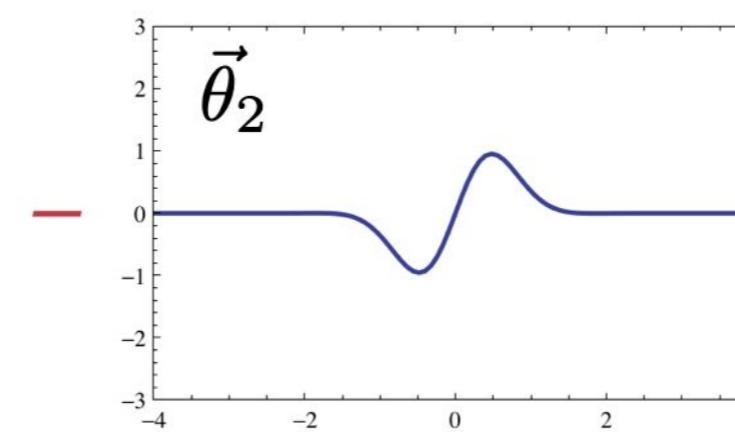
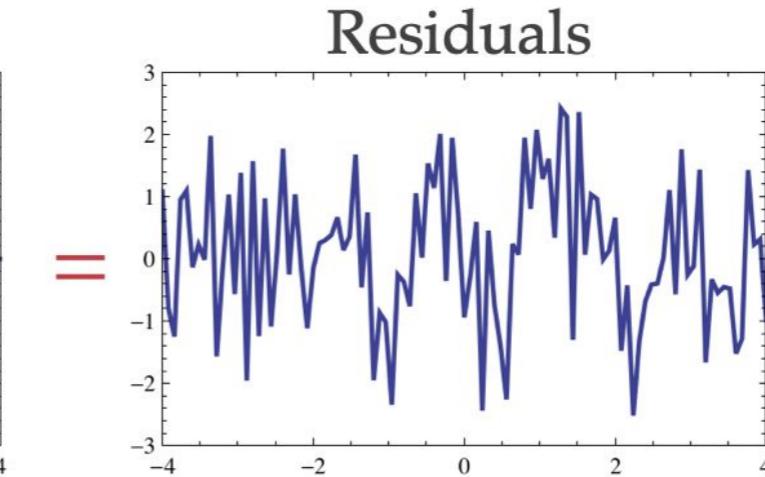
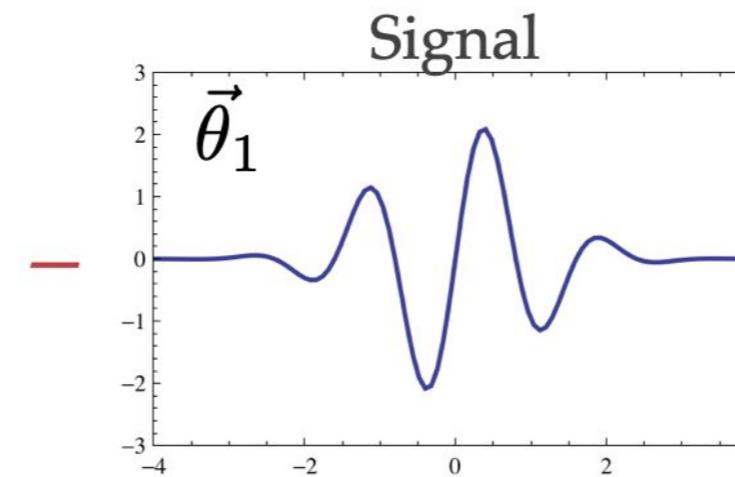
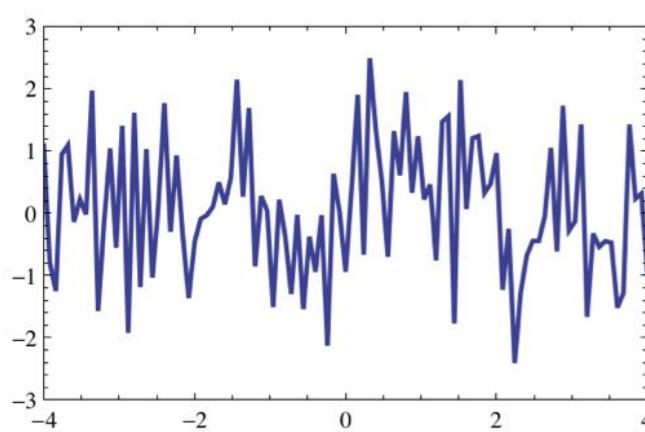
# Waveform inventory

with matter

| Combined key                          | Waveform name               | Precession | Multipoles ( $\ell,  m $ )                     |
|---------------------------------------|-----------------------------|------------|--|
| ZeroSpinIMR*                          | IMRPhenomD                  | ✗          | (2, 2)   |
| AlignedSpinIMR                        | SEOBNRv4_ROM                | ✗          | (2, 2)   |
| AlignedSpinIMRHM                      | IMRPhenomHM                 | ✗          | (2, 2), (2, 1), (3, 3), (3, 2), (4, 4), (4, 3) |
|                                       | SEOBNRv4HM_ROM              | ✗          | (2, 2), (2, 1), (3, 3), (4, 4), (5, 5)         |
| PrecessingSpinIMR                     | SEOBNRv4P                   | ✓          | (2, 2), (2, 1)                                 |
|                                       | IMRPhenomPv2                | ✓          | (2, 2)   |
|                                       | IMRPhenomPv3HM              | ✓          | (2, 2), (2, 1), (3, 3), (3, 2), (4, 4), (4, 3) |
| PrecessingSpinIMRHM                   | NRSur7dq4                   | ✓          | $\ell \leq 4$                                  |
|                                       | SEOBNRv4PHM                 | ✓          | (2, 2), (2, 1), (3, 3), (4, 4), (5, 5)         |
| AlignedSpinTidal <sup>†</sup>         | IMRPhenomD_NRTidal          | ✗          | (2, 2)   |
|                                       | TEOBResumS                  | ✗          | (2, 2)   |
|                                       | SEOBNRv4T_surrogate         | ✗          | (2, 2)   |
| PrecessingSpinIMRTidal <sup>†</sup>   | IMRPhenomP_NRTidal          | ✓          | (2, 2)   |
| AlignedSpinInspiralTidal <sup>†</sup> | TaylorF2                    | ✗          | (2, 2)   |
| AlignedSpinIMRTidal_NSbh              | SEOBNRv4_ROM_NRTidalv2_NSbh | ✗          | (2, 2)   |
|                                       | IMRPhenomNSBH               | ✗          | (2, 2)   |

# Matched filtering and parameter estimation

noise = data - signal

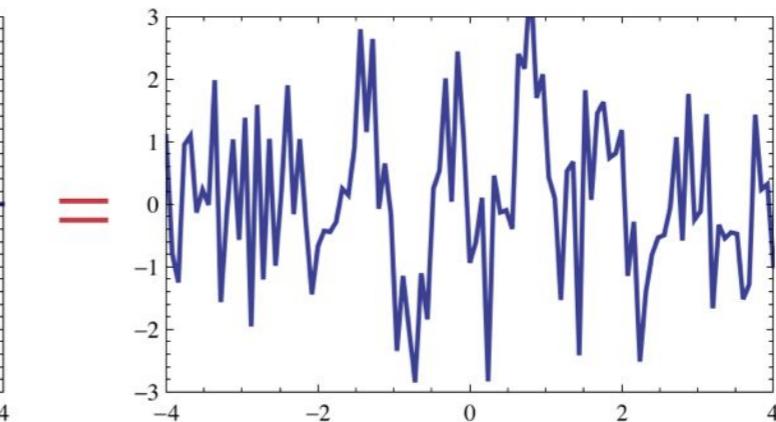
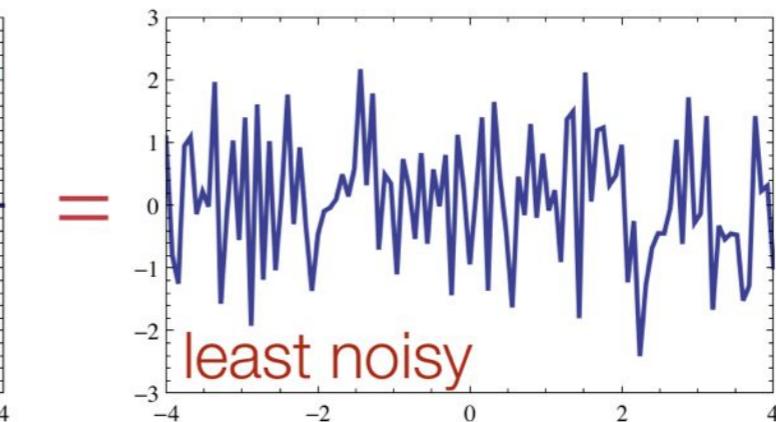
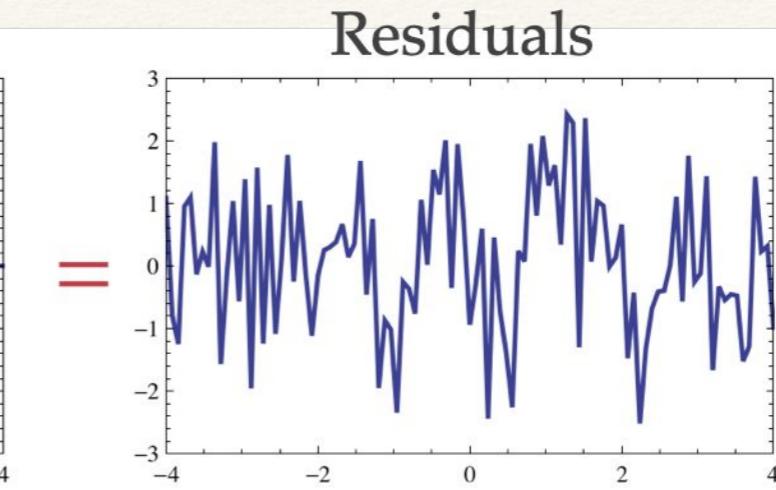
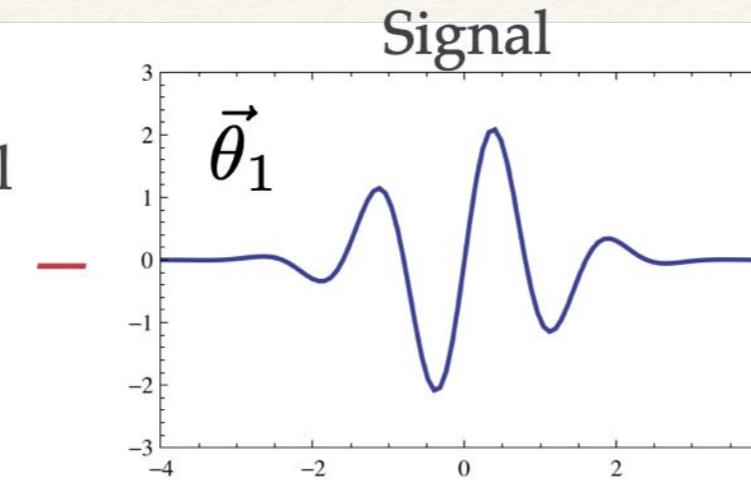
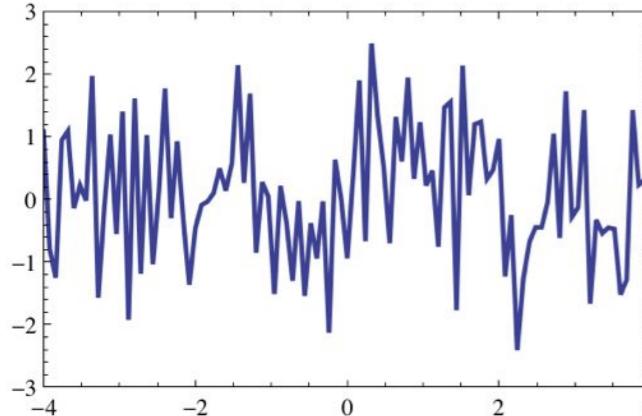


(Credits: M. Vallisneri)

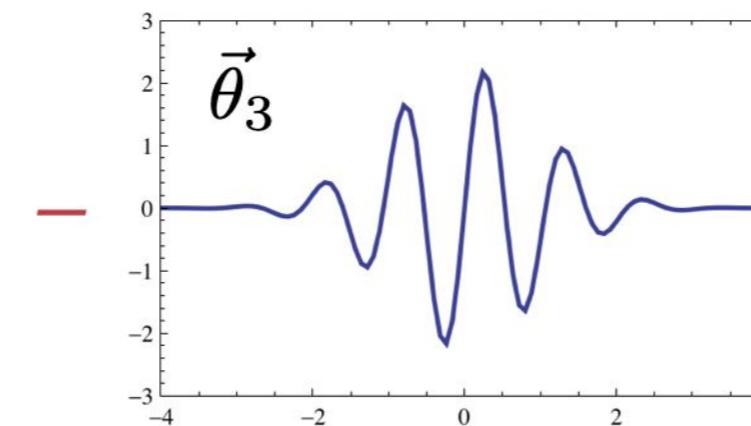
# Matched filtering and parameter estimation

noise = data - signal

Data



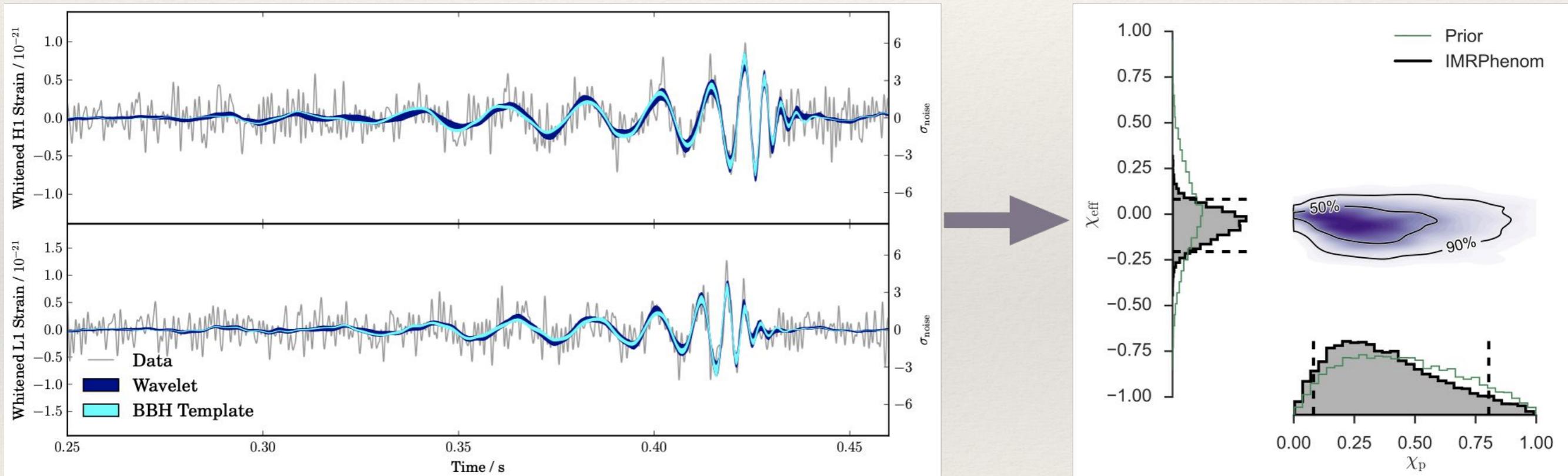
(Credits: M. Vallisneri)



# Bayesian approach

$$p(\theta|d) = \frac{p(d|\theta) p(\theta)}{p(d)}$$

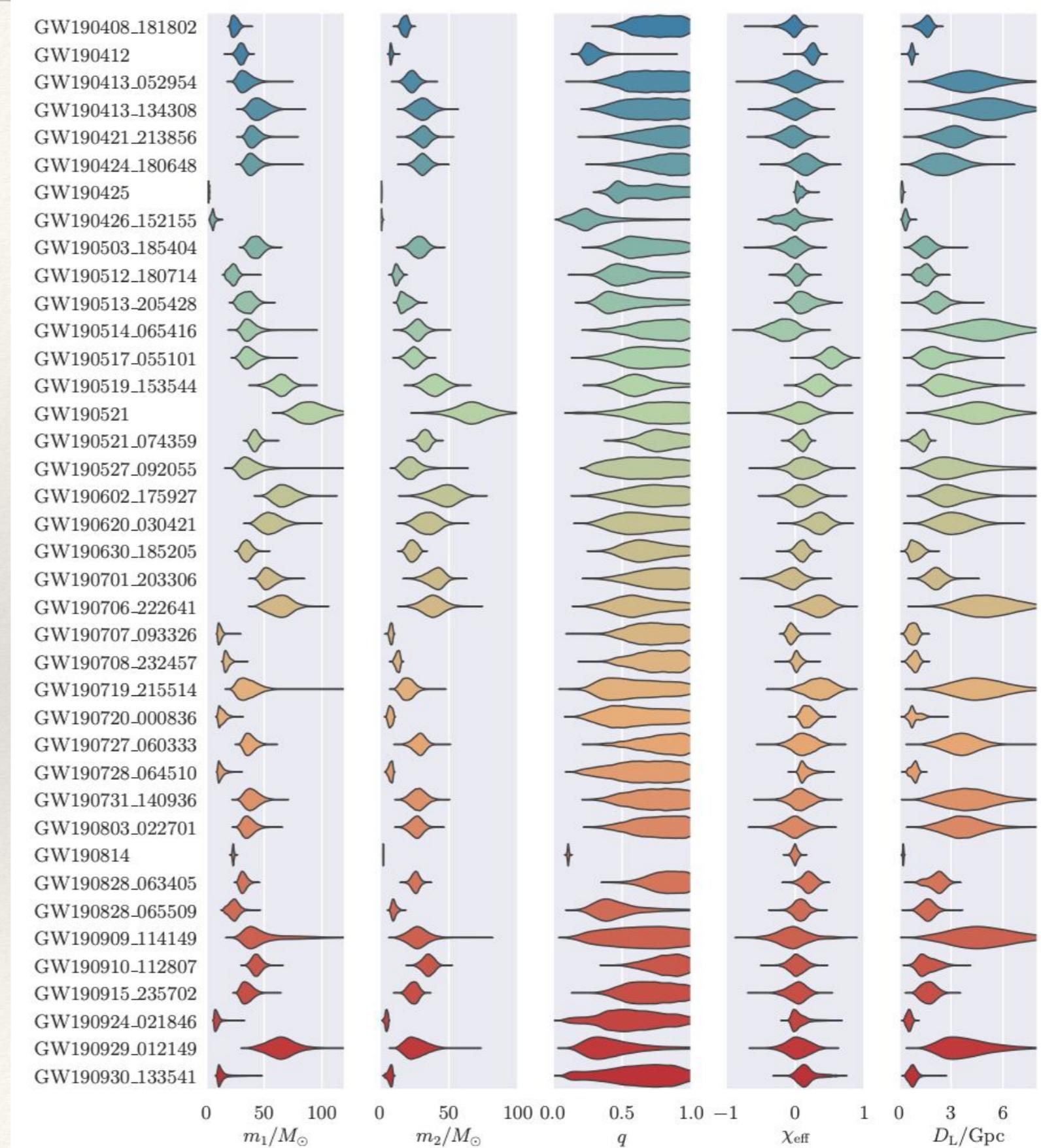
Likelihood      Prior  
Posterior      Evidence



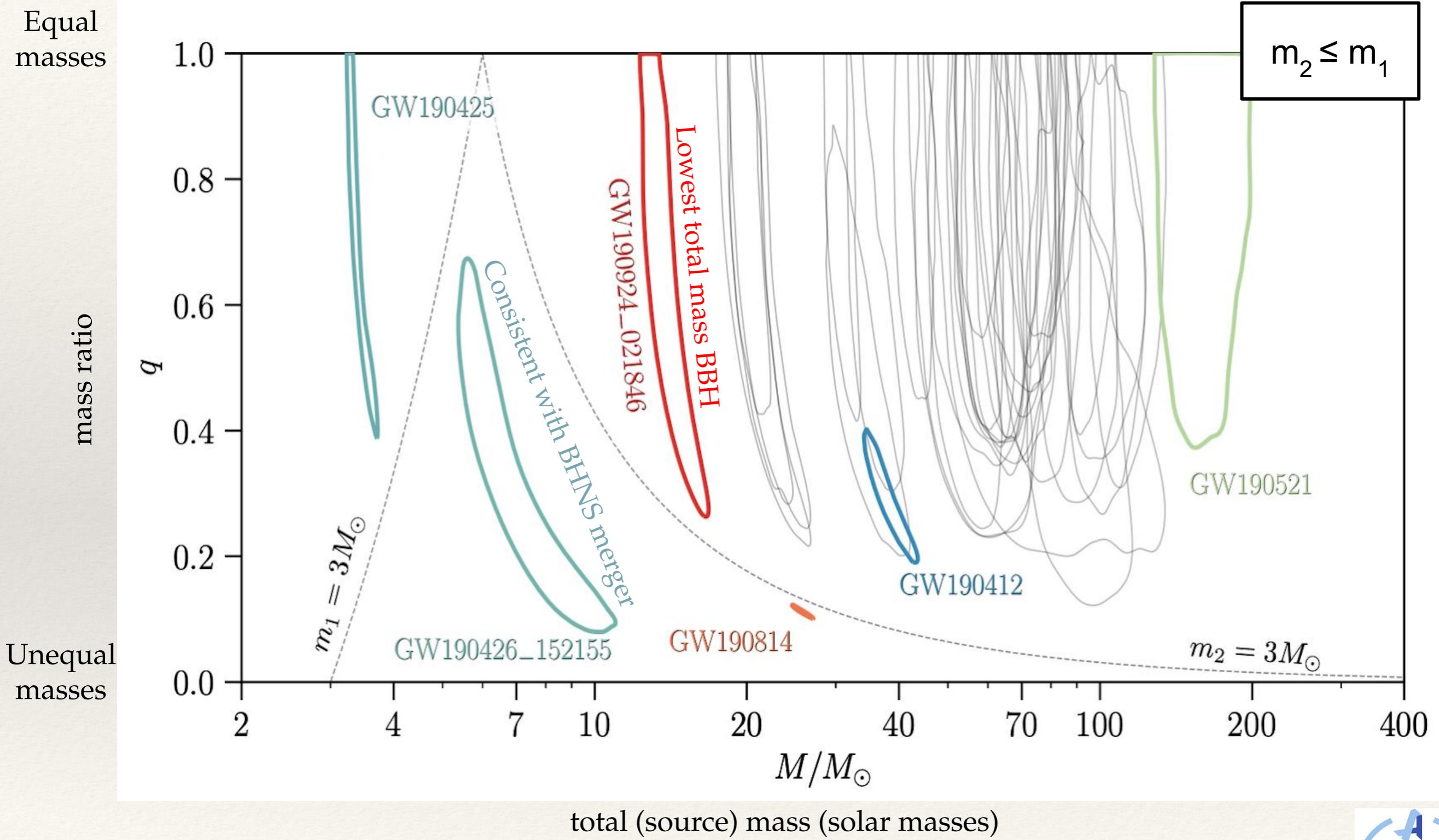
[GW150914, LSC+VIRGO PRL (2016)]

# Source parameters

- *LALInference*, *Bilby*, and *RIFT* samplers used to produce posterior samples.
- Fiducial results are combined posteriors under different waveform models.
- Posterior samples from all runs are now publicly available.

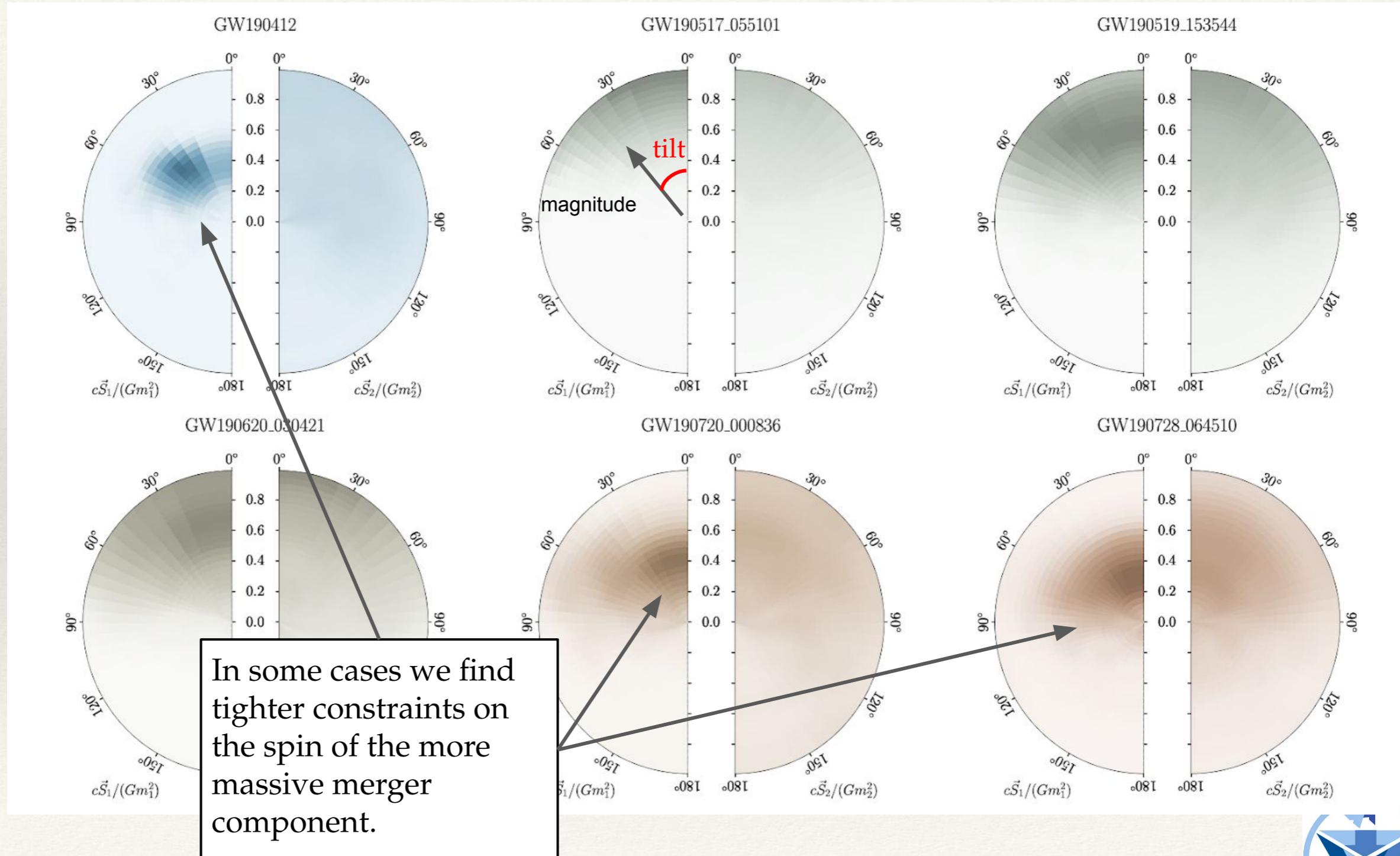


# Source parameters



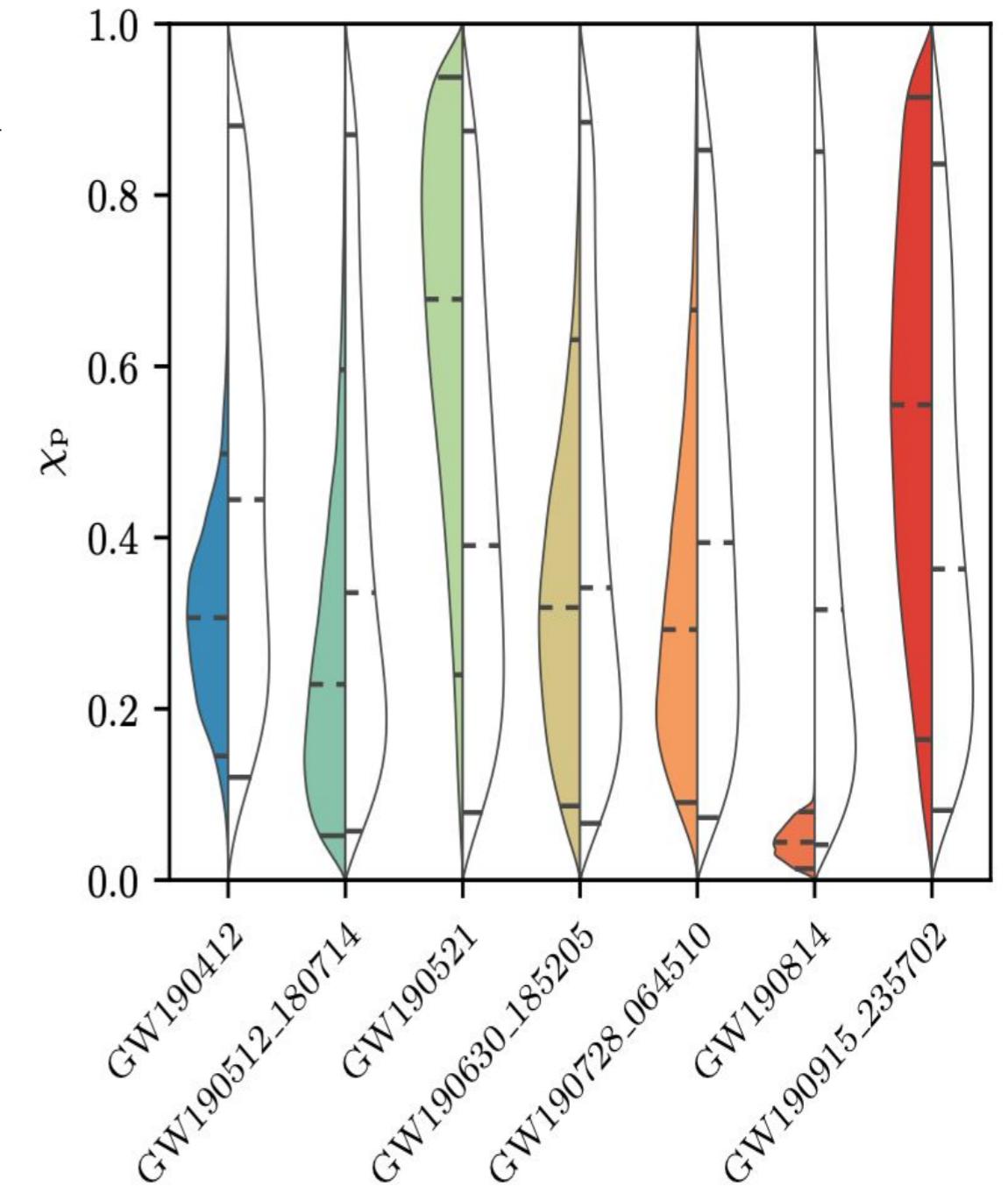
# Spins

Left (right) halves of the circles are shaded in proportion to posterior on spin magnitude and tilt of the more (less) massive component



# Precession?

- A few systems where posterior on effective precession spin parameter  $\chi_p$  (measure of spin in orbital plane) differs from the prior.
- More massive component in source of GW190814 has small spin magnitude, and therefore we infer small effective precession spin parameter.
- Mild evidence for spin precession in sources of GW190412 and GW190521.
- No systems with strong evidence of precession with the models considered in this work.

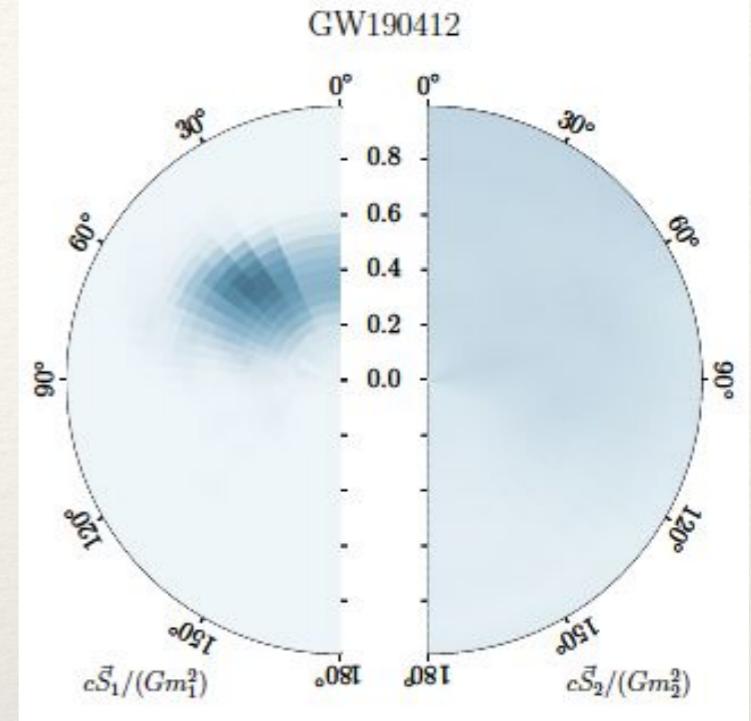


LVC Catalog paper, arXiv: [2010.14527](https://arxiv.org/abs/2010.14527)

# GW190412

[LVC 2020 GW190412](#)

| Parameter <sup>a</sup> | EOBNR PHM            | Phenom PHM           | Combined             |
|------------------------|----------------------|----------------------|----------------------|
| $m_1/M_\odot$          | $31.7^{+3.6}_{-3.5}$ | $28.1^{+4.8}_{-4.3}$ | $30.1^{+4.6}_{-5.3}$ |
| $m_2/M_\odot$          | $8.0^{+0.9}_{-0.7}$  | $8.8^{+1.5}_{-1.1}$  | $8.3^{+1.6}_{-0.9}$  |
| $M/M_\odot$            | $39.7^{+3.0}_{-2.8}$ | $36.9^{+3.7}_{-2.9}$ | $38.4^{+3.8}_{-3.9}$ |

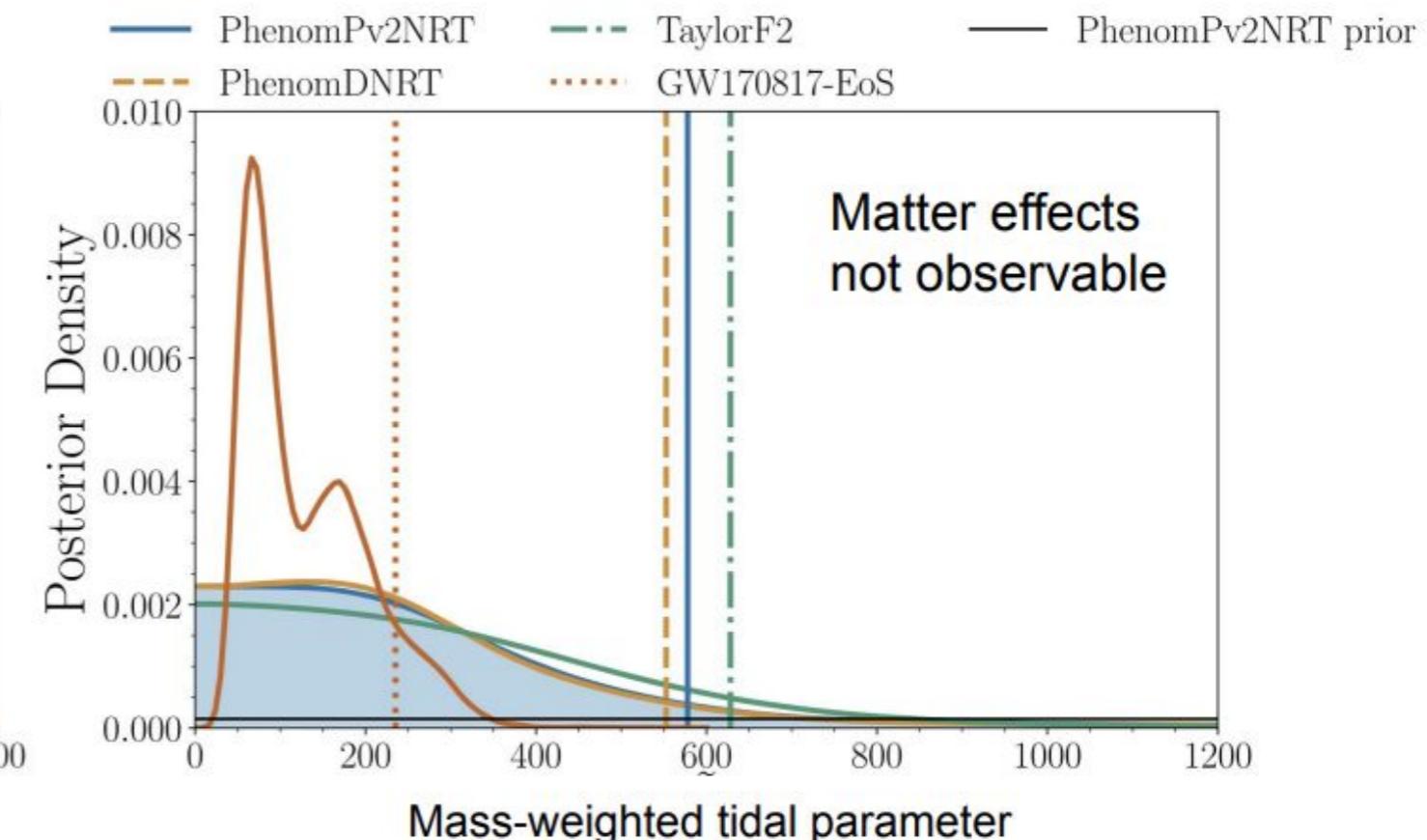
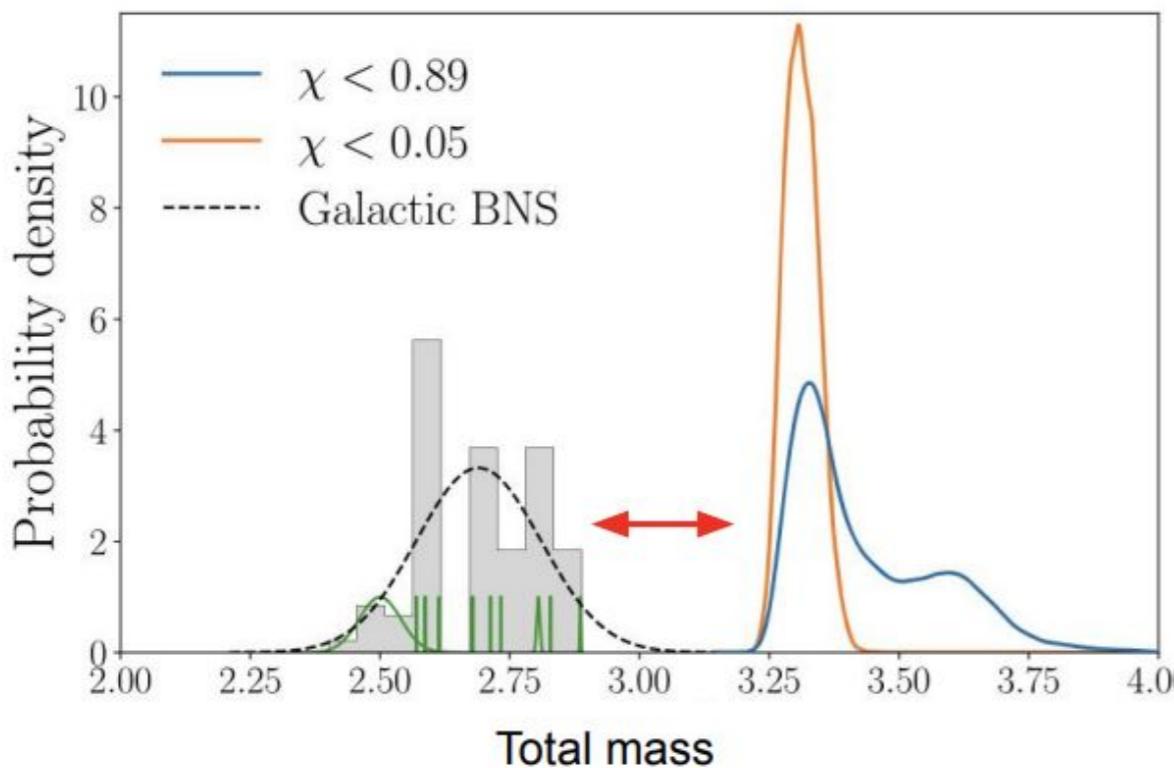


- $\text{Log}_{10}(\text{Bayes factor}) > 3$  in favour of higher order modes (beyond quadrupole)
- Tilt angle  $\sim 45.8$  deg,  $\chi_1 \sim 0.44$
- Good localization  $\sim 21$  deg $^2$ ,  $V_{90\%} \sim 0.037$  Gpc $^3$
- Questions of formation: dense env., triple or quadruple system, evolution in AGN disk

# GW190425

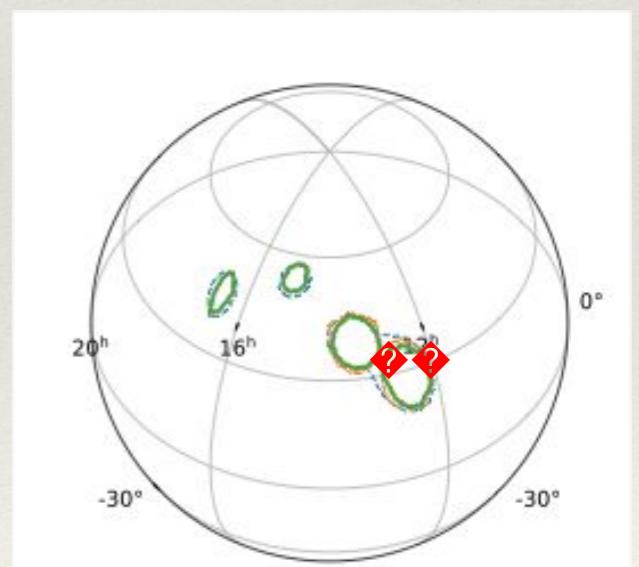
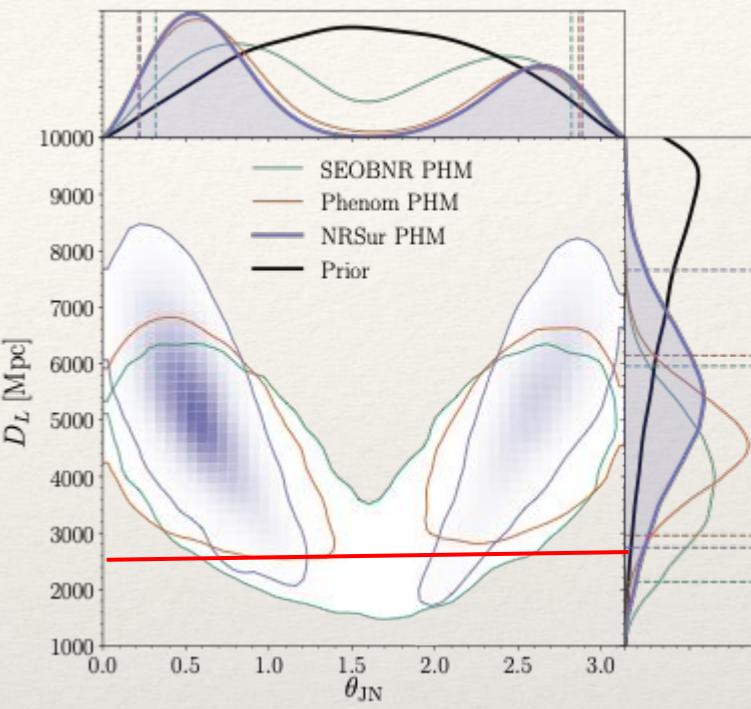
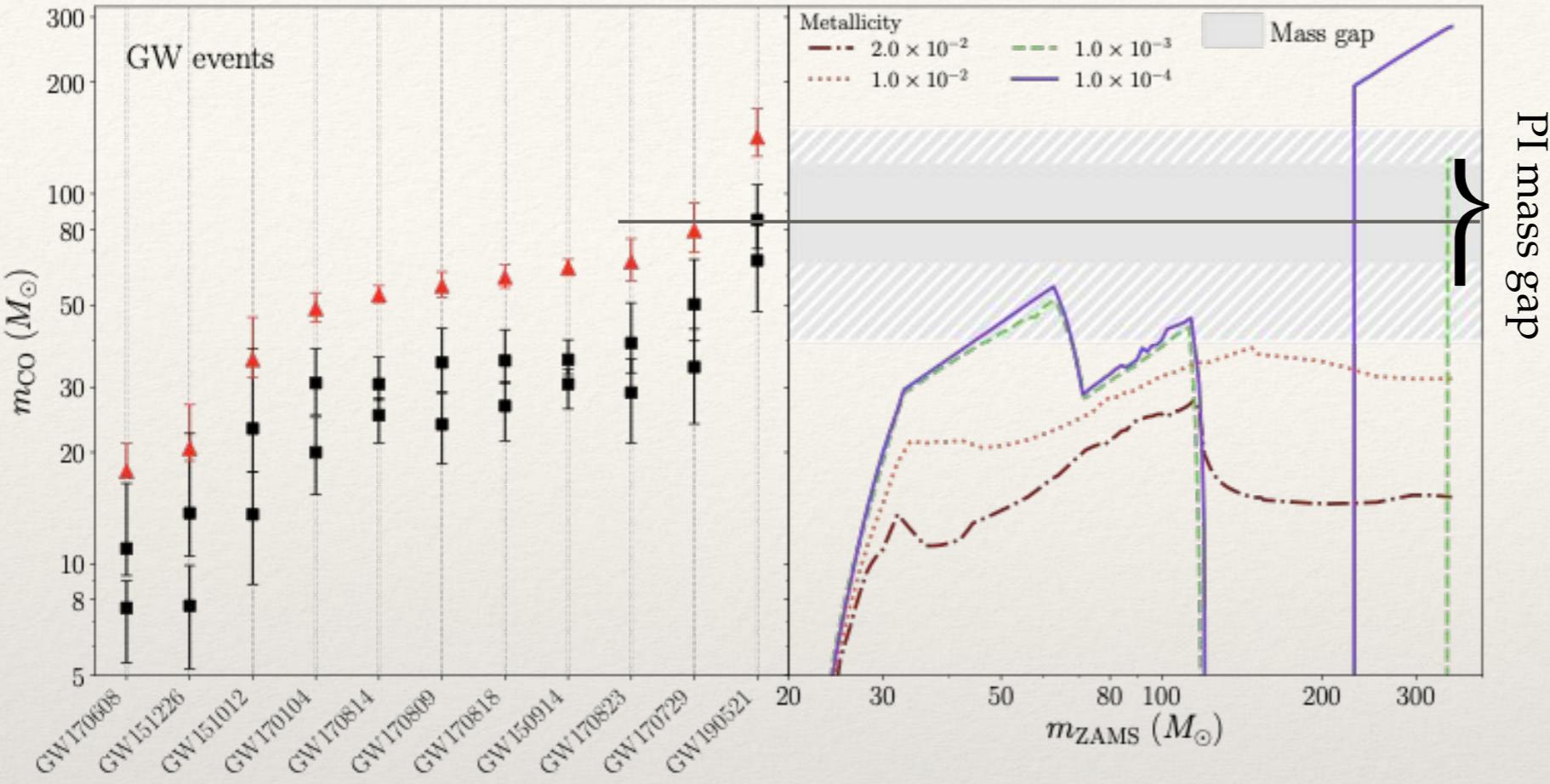
[LVC, 2020 GW190425](#)

Substantially heavier  
than galactic systems



- Potentially BNS merger: no constraint of tidal parameter
- Heavier than typical Galactic BNSs: dynamical formation?
- Bad localization
- Distance 0.16Gpc - 4 times further than GW170817

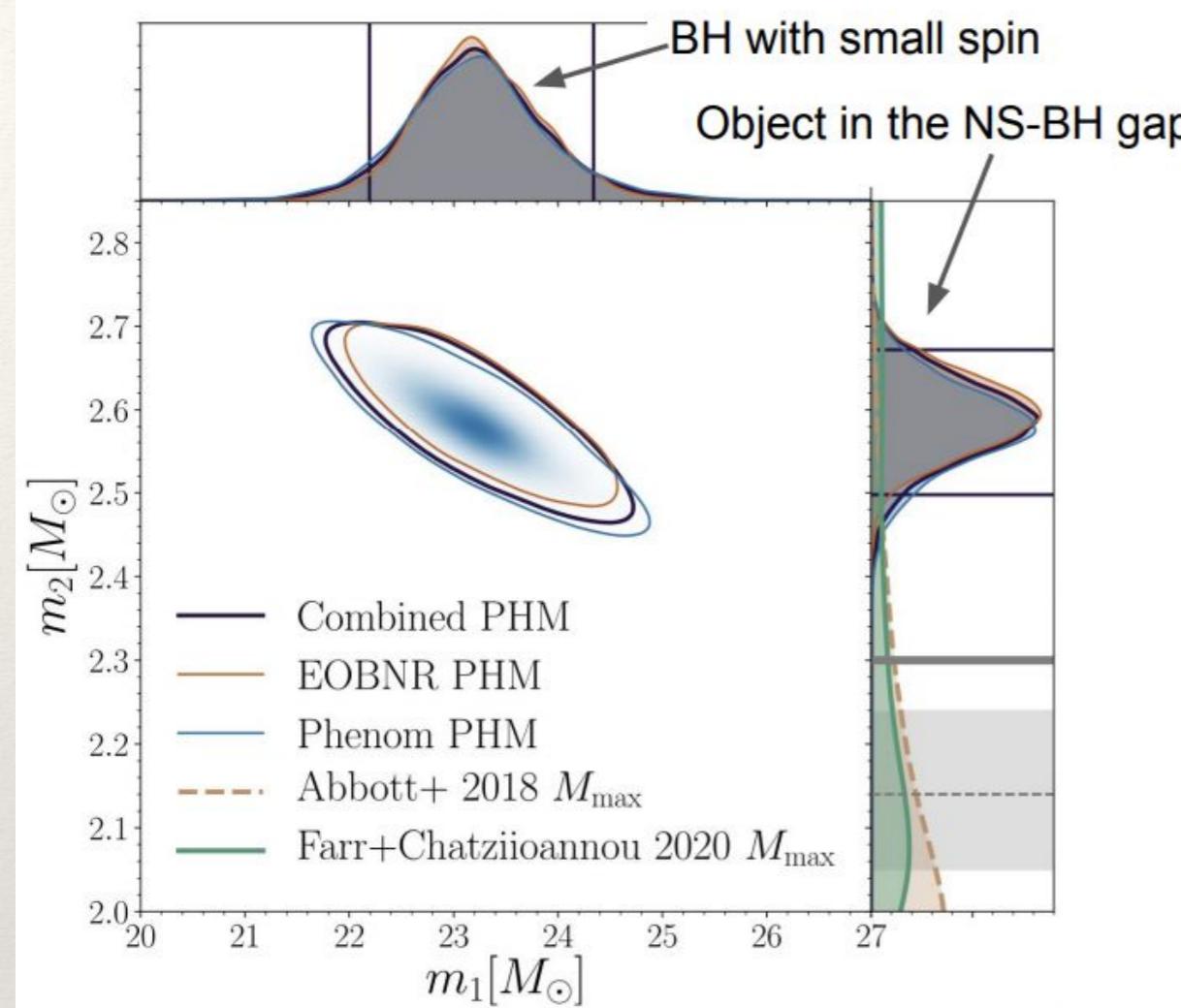
# GW190521



- Pair-Instability mass gap: production of electron-positron pairs in the stellar core softens the equation of state, removing pressure support
  - ignition of oxygen/silicon - explosion-like burning
  - pulsating instability: removing stellar envelope
- Above mass gap: direct collapse to BH
- Zwicky Transient Facility observed an optical flare (ZTF19abanrhr), interpreted as coming from the kicked GW190521 BH merger remnant moving in an AGN disk

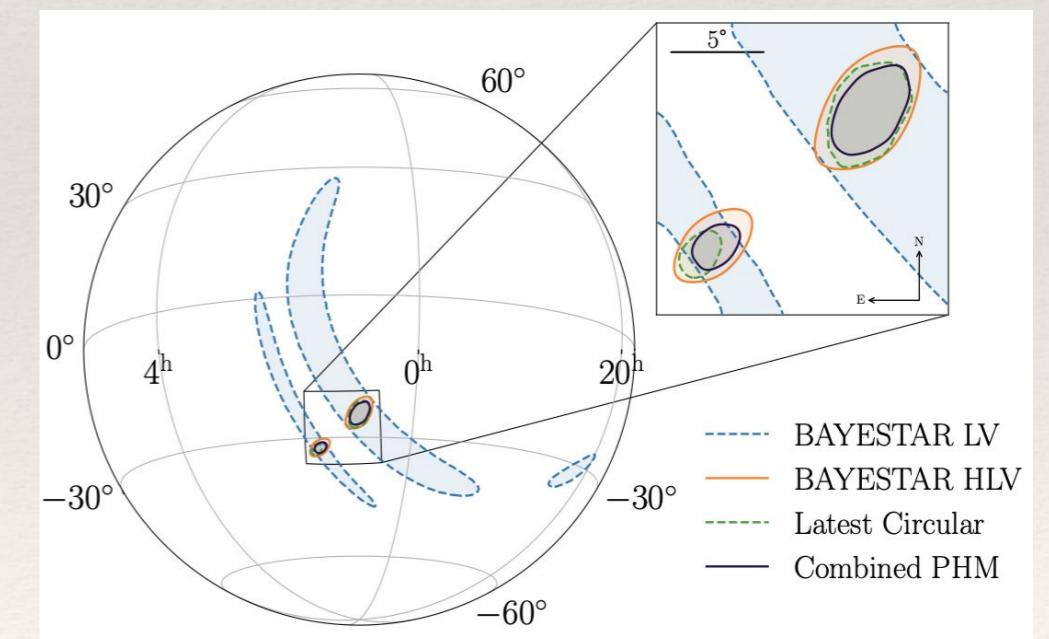
# GW190814

[LVC, 2020, GW190814](#)



- Source parameters:  $m_2 = 2.6 M_{\text{solar}}$ ,  $q=0.11$
- Best localization:  $19 \text{ deg}^2$ ,  $V_{90\%} = 3.2 \times 10^{-5} \text{ Gpc}^3$
- Challenges in formation of such system

- Lightest BH? could be...
- Heaviest NS?
  - rapid rotation, stiff EOS
- Exotic object?



# Summary

- We have reported on 39 new GW events from O3a (1 Apr 2019 - 1 Oct 2019): to be added to 11 events reported from O1-O2
- Three binaries with  $m_2 < 3M_{\text{solar}}$ : might include first BHNS
- Several interesting “special cases” including compact objects in lower and upper mass gap
- Merging BHs in GWTC2 are more massive and further away
- Very mild evidence for precession, but strong evidence for higher order modes in asymmetric binaries