ALICE 3 SILICON TRACKER DESIGN, STATUS AND PROSPECTS

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The 14th International "Hiroshima" Symposium on the Development and Application of Semiconductor Tracking Detectors

Academia Sinica, Taipei (TW); 16 – 21.11.2025



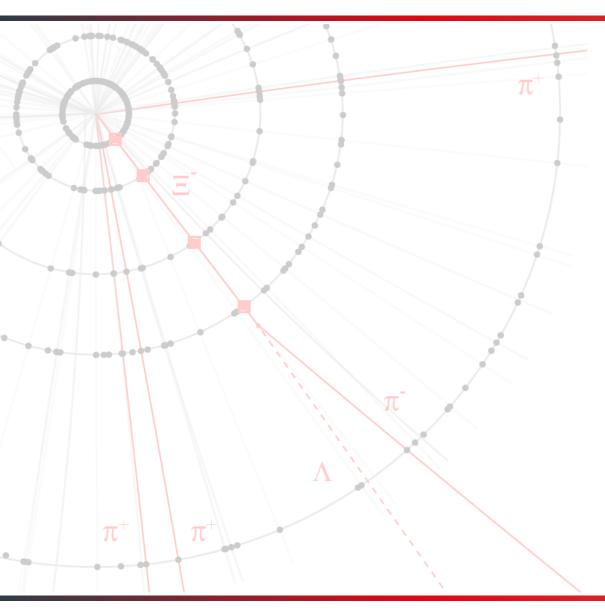






OVERVIEW





Introduction

Run 1 ... 4: Evolution of the ALICE Inner Tracking Systems

■ Run 5: ALICE 3 Silicon Tracker

Overview and Layout

Sensor Development

Requirements and Challenges

Learnings from ITS3 Sensor R&D

CMOS 65 nm Technology Qualification

Sensor R&D and simulations

Module and Stave: Design and Mechanics (incl. cooling)

- Vertex Detector
- Middle Layers and Outer Tracker
- Lightweight Middle Layers Alternatives

Summary and Outlook



INTRODUCTION

EVOLUTION OF THE ALICE SILICON TRACKER (Run 1 ... 4)



Run 1 2009 – 2013 Run 2 2015 – 2018 Run 3 2022 – 2026 Run 4 2030 – 2033

Run 5 2036 – 204

ALICE 1

Pb-Pb: 1 kHz, pp: 200 kHz

ALICE 2

Pb-Pb: 50 kHz, pp: 1000 kHz

ALICE 2.1

Pb-Pb: 50 kHz, pp: 1000 kHz

ALICE 3

b-Pb: 100 kHz, pp: 24 MHz

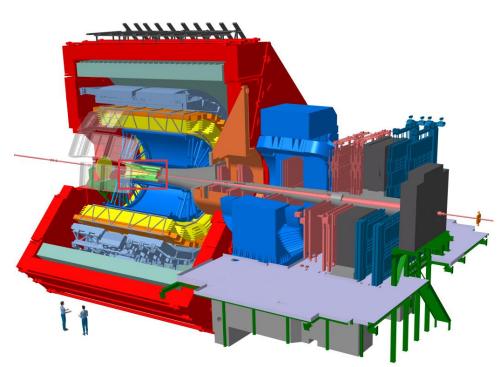


Figure Ref: ALICE-PHO-SKE-2017-001-4

EVOLUTION OF THE ALICE SILICON TRACKER (Run 1 ... 4)



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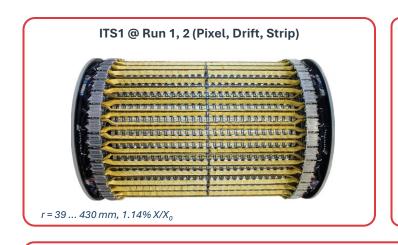
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ALICE 3

-Pb: 100 kHz, pp: 24 MHz

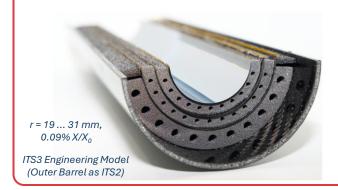
Upgrades aimed to improve the pointing resolution, while operating at 50 kHz Pb-Pb interaction rate

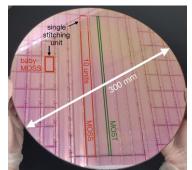












Characterisation of ITS3 Stitched Prototypes (ER1) N. Tiltmann, 17.11 @ 16:10 | Link

Radiation Performance of ITS3
Prototypes (APTS)
I. Sanna @ Poster Session | Link

ALICE 3 SILICON TRACKER (RUN 5)

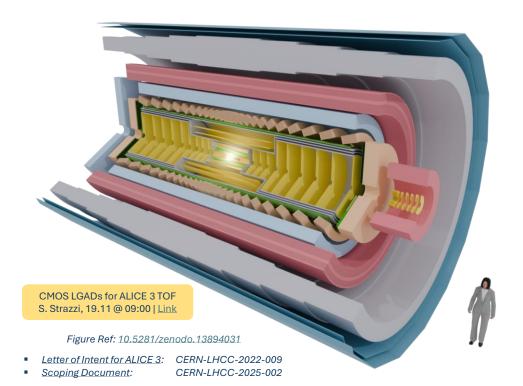


Run 1 Run 2 Run 3 Run 4 Run 5 2009 – 2013 2015 – 2018 2022 – 2026 2030 – 2033 2036 – 2041

ALICE 1 ALICE 2 ALICE 2.1 ALICE 3

Pb-Pb: 1 kHz, pp: 200 kHz Pb-Pb: 50 kHz, pp: 1000 kHz Pb-Pb: 1000 kHz Pb-Pb: 1000 kHz Pb-Pb: 1000 kHz

ALICE 3 is a new, compact, low mass, all-silicon detector designed to exploit HL-LHC as heavy-ion collider



ALICE 3 SILICON TRACKER (RUN 5)



 Run 1
 Run 2
 Run 3
 Run 4
 Run 5

 2009 - 2013
 2015 - 2018
 2022 - 2026
 2030 - 2033
 2036 - 2041

 ALICE 1
 ALICE 2
 ALICE 2.1
 ALICE 3

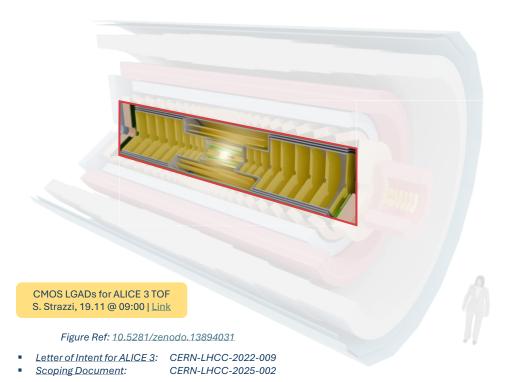
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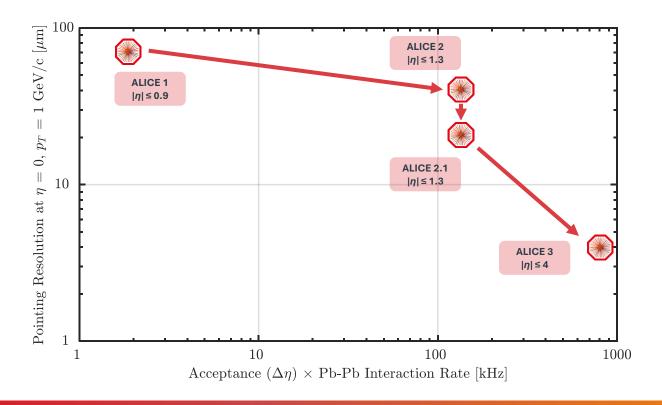
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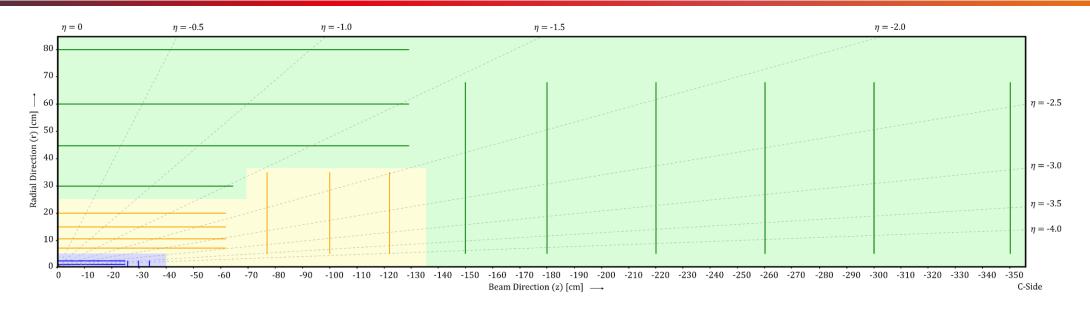


ALICE 3 Silicon Tracker targets unprecedented pointing resolution at even higher Pb-Pb interaction rates (50 \rightarrow 100 kHz) and acceptance ($\Delta \eta = 2.6 \rightarrow 8$)



ALICE 3 SILICON TRACKER: LAYOUT

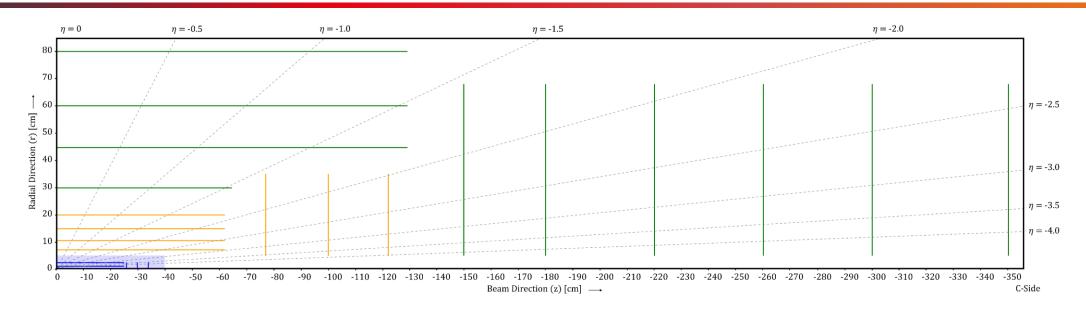


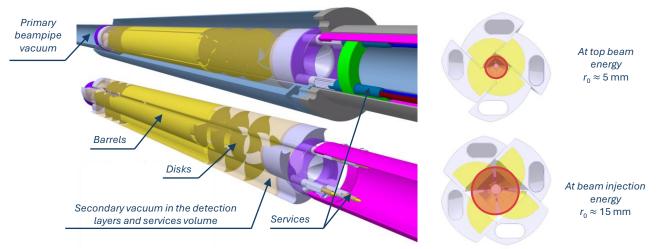


| Tracker Sub-Systems | | No. of Layers | Intrinsic | Barrel | Layers | Di | | |
|---------------------|-----------------|----------------------|--------------------|----------------|---------------|----------------------|---------------------------------------|-----------|
| | | (Barrels + Disks) | Resolution [µm] | Length Δz [cm] | Radius r [cm] | Position z [cm] | R _{in} R _{out} [cm] | Roles |
| Inner | Vertex Detector | 3 + (3 x 2) | 2.5 | 50 | 0.5 2.5 | 26 34 | 0.5 2.5 | Vertexing |
| Tracker | Middle Layers | 4 + (3 x 2) | 10 | 124 | 7 20 | 77 122 | 5 35 | Tracking |
| Outer Tracker | | 4 + (6 x 2) | 10 | 129, 2 x 129 | 30 80 | 150 350 | 5 68 | Tracking |

VERTEX DETECTOR: OVERVIEW







Vertex Detector

Based on wafer-scale, ultra-thin, curved MAPS

Radial distance from beam: 5 mm (inside beam pipe, retractable)

Unprecedented spatial resolution: ≈ 2.5 μm

Stringent material budget: $\approx 0.1\% X/X_0$ per layer

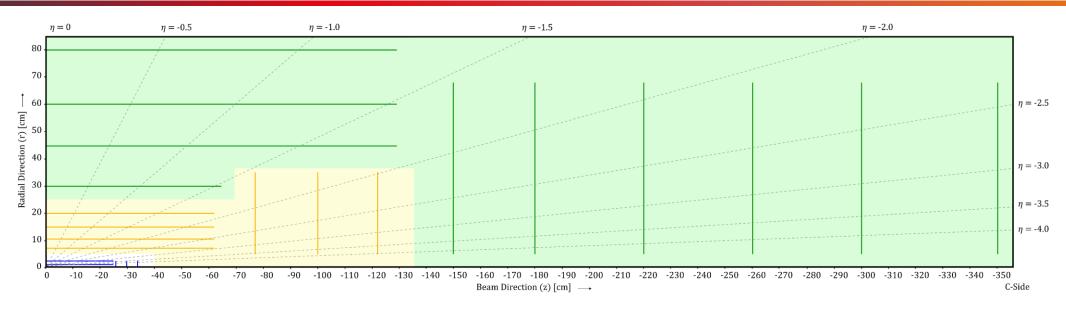
Small pixel pitch: 10 μm

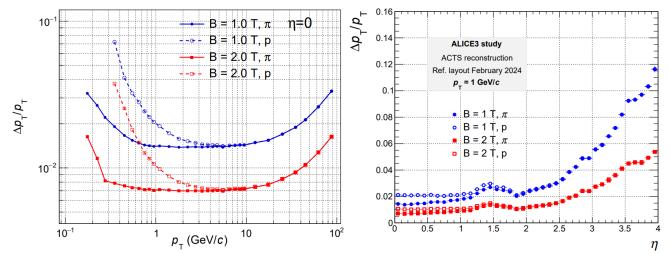
High radiation levels: $3 \times 10^{15} \, 1 \text{MeV} \, n_{\text{eq}} / \text{cm}^2 + 200 \, \text{Mrad}$

• High hit rates: 100 MHz/cm², \approx 35 MHz/cm² (avg.)

MIDDLE LAYERS & OUTER TRACKER: OVERVIEW







Middle Layers and Outer Tracker

Large area and coverage: 60 m^2 , $|\eta| \le 4$

High momentum resolution: 1-2%

Less stringent material budget: $\lesssim 1\% X/X_0$ per layer

Fast time resolution: 100 ns RMS

Minimise sensor power: 30 ... 50 mW/cm²

Industrially scalable production (10k modules)

Minimise off-stave supplies and services



SENSOR DEVELOPMENT

ALICE 3 SILICON TRACKER: SENSOR REQUIREMENTS

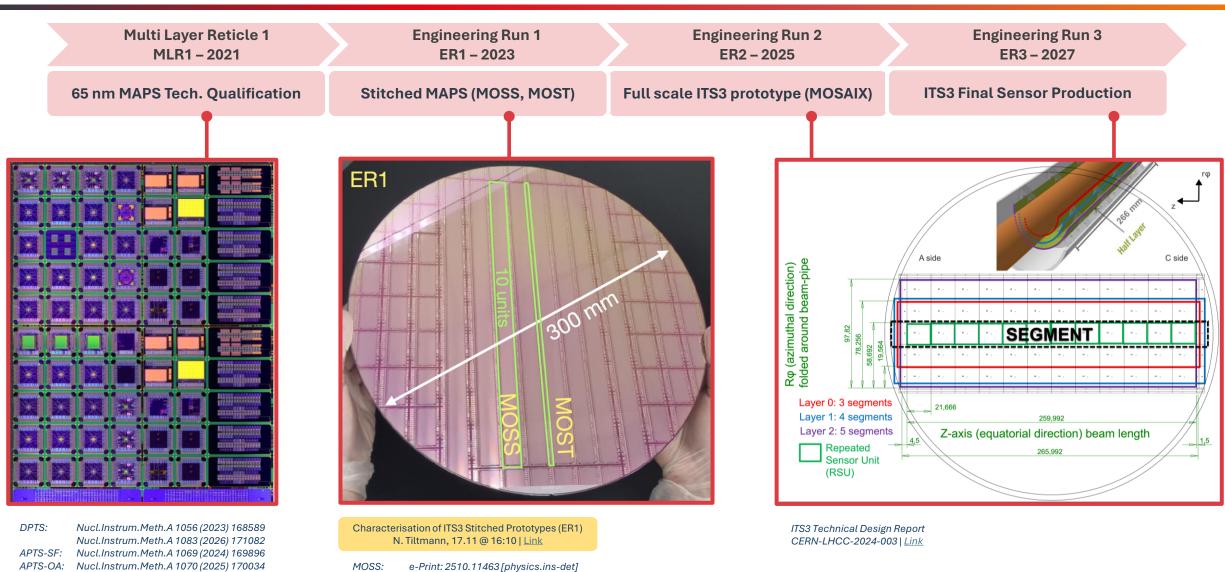


| | ALLOE ITS2 | ALICE 3 Sili | con Tracker |
|--|----------------------|----------------------|----------------------|
| | ALICE ITS3 | Vertex Detector | Tracker (ML/OT) |
| Position resolution (µm) | 5 | 2.5 | 10 |
| Pixel size (µm²) | O(20 x 20) | O(10 x 10) | O(50 x 50) |
| Time resolution (ns RMS) | O(1000) | 100 | 100 |
| In-pixel hit rate (Hz) | 54 | 94 | 42 (barrel) |
| Fake-hit rate (/ pixel / event) | < 10 ⁻⁷ | < 10 ⁻⁷ | < 10 ⁻⁷ |
| Power consumption (mW/cm²) | 35 | 70 | 20 |
| Particle hit density (MHz/cm²) | 8.5 | 94 | 0.6 |
| Non-Ionising Energy Loss (1MeV $n_{\rm eq}$ /cm ²) | 3 × 10 ¹² | 3 × 10 ¹⁵ | 6 × 10 ¹³ |
| Total Ionising Dose (Mrad) | 0.3 | 200 | 3 (barrel) |
| X/X ₀ per layer | 0.09% (average) | 0.1% | 1.0% |

- Some of the parameters span across a wide range: specific optimisiation
- ITS3 sensor development providing preliminary information

ITS3 Sensor Development Roadmap





LEARNINGS FROM ITS3 -> ALICE 3 SENSOR R&D



Multi Layer Reticle 1 MLR1 – 2021

Engineering Run FR1 – 2023

ER2 – 2025

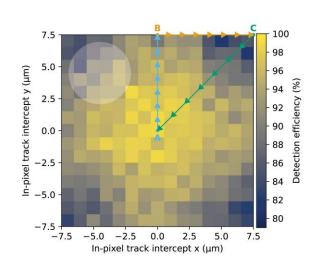
ngineering Run 3 ER3 – 2027

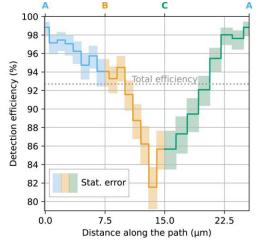
Detection Efficiency:

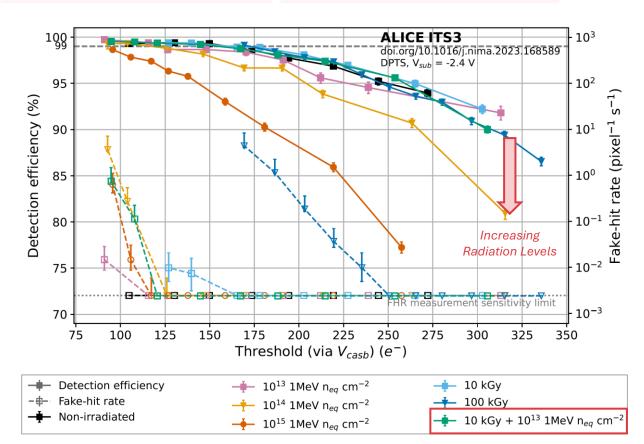
- Fulfils ITS3 requirements at 20°C for 10 kGy + 1 × $10^{13} n_{eq}$ /cm²
- Notable deterioration for higher irradiation at pixel corners

Time Resolution:

• Fast intrinsic charge collection at \approx 70 ps (10 μ m pixel; \sim 3 μ W in-pixel)







Top: Detection efficiency (filled symbols, solid lines) and fake-hit rate (open symbols, dashed lines) as a function of average threshold, measured at various radiation levels with DPTS.

Left: In-pixel detection efficiency for 15 µm pitch DPTS irradiated at 10¹⁵ 1 MeV n/cm².

Figures from: Nucl.Instrum.Meth.A 1056 (2023) 168589.

ITS3 Radiation Level

LEARNINGS FROM ITS3 -> ALICE 3 SENSOR R&D



Multi Layer Reticle 1 MLR1 – 2021

Engineering Run
FR1 – 2023

ngineering Run 2 FR2 – 2025 ngineering Run 3 ER3 – 2027

Detection Efficiency:

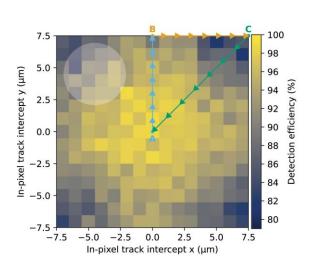
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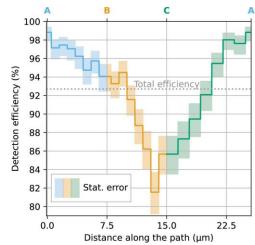
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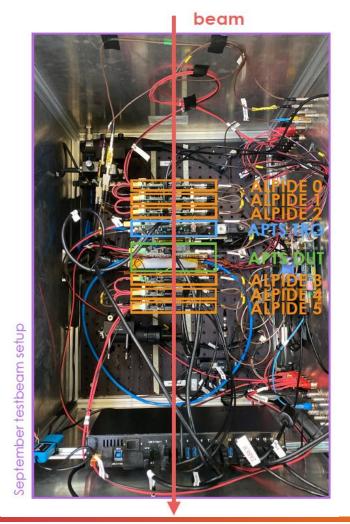
• Fast intrinsic charge collection at \approx 70 ps (10 μ m pixel; \sim 3 μ W in-pixel)

R&D for ALICE 3 Silicon Tracker:

Tests ongoing to recover pixel performance at < 0°C for higher irradiation







Two test-beams at PS (10 GeV mixed protons/pions) to commission the setup with cold box

Learnings From ITS3 → ALICE 3 Sensor R&D



Multi Layer Reticle MLR1 – 2021

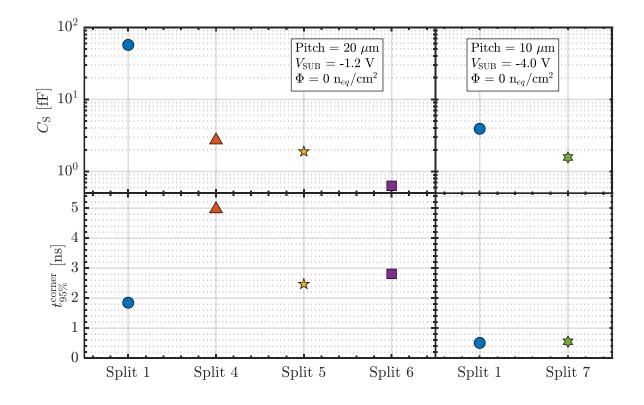
Engineering Run ' ER1 – 2023 Engineering Run 2 ER2 – 2025 Engineering Run 3 ER3 – 2027

Potential Prototypes for ALICE 3 Silicon Tracker in ER2 and beyond

VD: 10 μm analogue pixels and optimized splits

ML, OT: Larger pixels (APTS; 30 ... 50 μm)

SPARC: Asynchronous Priority Arbiter for timing



Top: 3D TCAD Simulations showing the variation of sensor capacitance and and charge collection time (95% of total charge) from pixel corner. Figure adapted from: G. Boghello, JINST 20 (2025) 07, C07053. Left: Charge Sharing in Dual Diode Pixel (16 x 32 μm²). Figure from: J. Hensler (Uni. Heidelberg).

LEARNINGS FROM ITS3 -> ALICE 3 SENSOR R&D



Multi Layer Reticle MLR1 – 2021 ingineering Run ER1 – 2023 Engineering Run 2 ER2 – 2025 Engineering Run 3 ER3 – 2027

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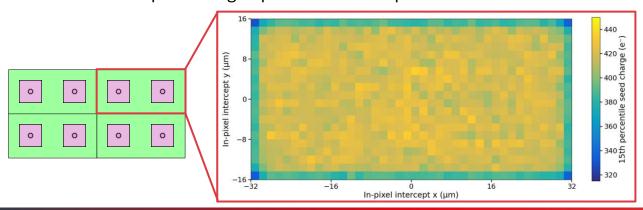
Design and Simulations Ongoing

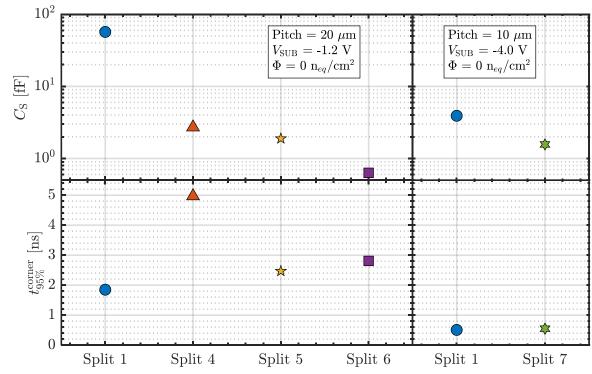
Optimise efficiency and readout speed for a given power budget

Analog front-end: Grouping of neighbouring pixels

Readout architecture: Asynchronous readout

- Modular pixel matrix unit to facilitate construction of large sensors
- Minimise footprint of digtal pixel down to 10 μm





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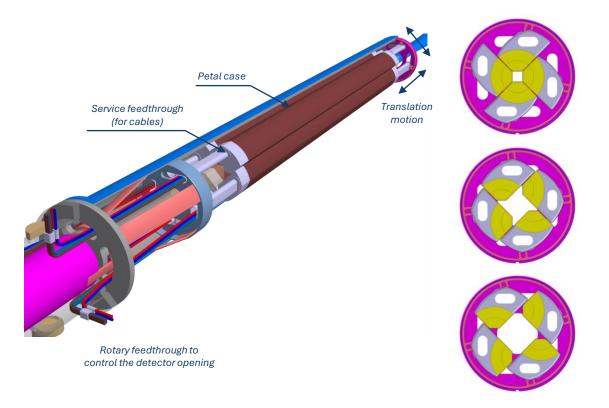
Module And Stave DESIGN, MECHANICS AND THERMAL MANAGEMENT

VERTEX DETECTOR: MECHANICS



Concept:

- Petals in primary beam vacuum; secondary vacuum inside
- Petals in 0.15 mm thick beryllium case with service feedthrough
- Petals opening ($r_0 \approx 15$ mm) and closing ($r_0 \approx 5$ mm) based on their translations driven by rotary motor device and feedthrough



VERTEX DETECTOR: MECHANICS



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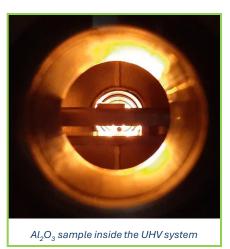
Petal case Service feedthrough motion (for cables) Rotary feedthrough to control the detector opening Full scale petal prototype (0.3 mm aluminium Al 5083)

Prototyping and Testing

- Full scale prototypes produced in aluminium (0.3 mm thick)
- Ongoing tests to characterise materials in $\approx 5 \times 10^{-10}$ mbar double-vacuum setup (observing outgassing and mass loss)
- Upgrades ongoing to test larger-scale prototypes







Vertex Detector: Thermal Management



Concept:

- Cold plate located outside the L2 sensors
- Evaporative CO₂ cooling to maximise volumetric heat transfer
- Carbon foams and foils provide thermal contact between cold plate, detection layers (70 mW/cm²) and petal case
- Beam-induced power (100 mW/cm²) cooled via the petal case

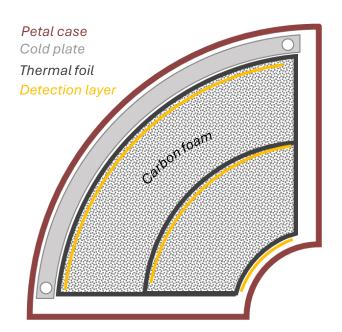


Figure from: C. Gargiulo, Forum on Tracking Detector Mechanics (2024).

Vertex Detector: Thermal Management

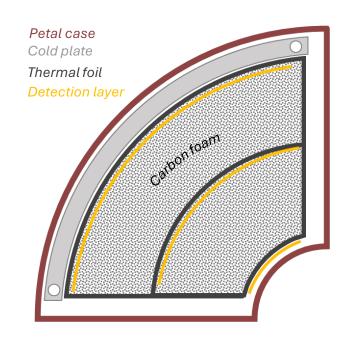


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Computational Fluid Dynamics Simulations:

- Max. sensor temperature < -25°C, with -35°C inlet
- Uniform temperature gradient over a sensor (1-2°C)





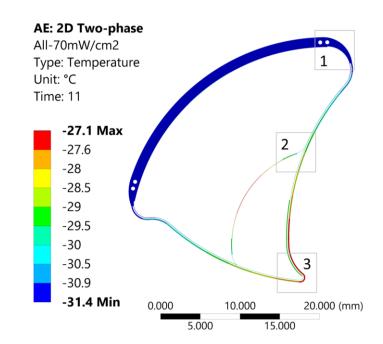


Figure from: Letter of Intent for ALICE 3, CERN-LHCC-2022-009, LHCC-I-038 | Link.

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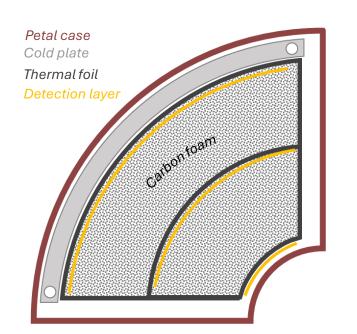


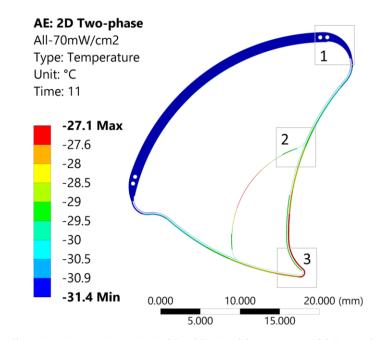
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Computational Fluid Dynamics Simulations:

- Max. sensor temperature < -25°C, with -35°C inlet
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Prototyping and Testing

- Carbon cold plate with Kapton pipes (exp. burst pressure 150 bar)
- 3D printed ceramic plate with engraved cooling channels





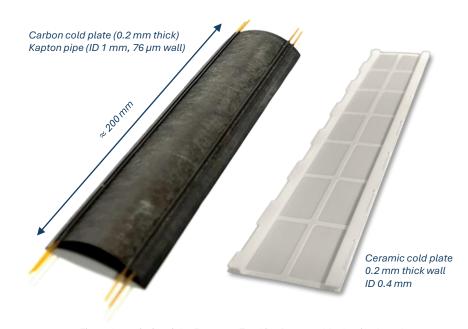


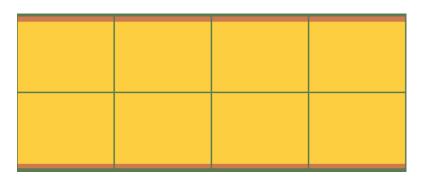
Figure from: C. Gargiulo, Forum on Tracking Detector Mechanics (2024).

MIDDLE LAYERS & OUTER TRACKER: MODULE DESIGN



Concept:

- Module comprising 2×4 sensors ($\approx 13 \times 5$ cm²)
- Facilitate industrial production (60 m²; 10k modules)
- Material minimization with aluminium FPCs and serial powering



Sensor Width = 25 mm Sensor Length = 32 mm Periphery Width = 1.5 mm Module Width = 128.8 mm Module Length = 52.2 mm Inter-Sensor Gap = 0.2 mm Sensor-FPC Gap = 0.1 mm (short-edge) Sensor-FPC Gap = 1 mm (long-edge)

MIDDLE LAYERS & OUTER TRACKER: MODULE DESIGN



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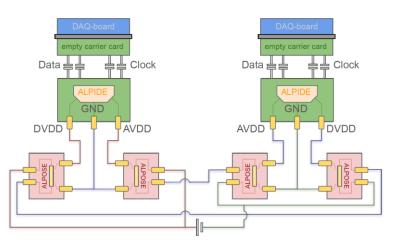
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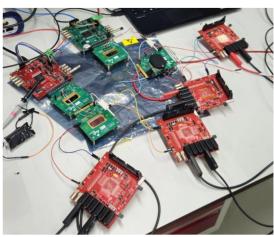
Prototyping and Testing

- Serial powering demonstration of ALPIDEs with ALPOSE
- Module assembly demonstration with dummy components

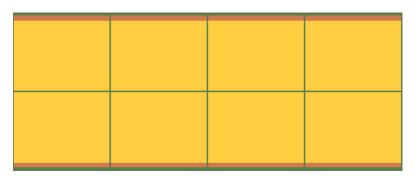
MEMPACK (KR): multi-purpose machine

C-ON tech (KR): customized assembly machine





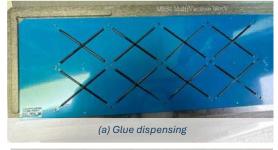
Serial powering qualification schematic (left) and setup (right) with ALPIDE and ALPOSE.



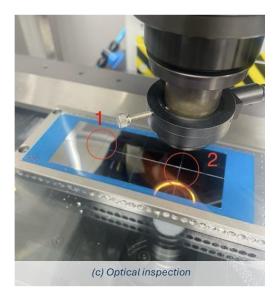
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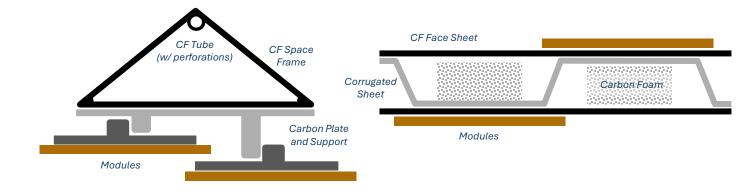
Module assembly procedure with dummy components by using MEMPACK multi-purpose machine die bonder.

MIDDLE LAYERS & OUTER TRACKER: MECHANICS



Concept:

- Large-area, lightweight CF support structures (< $1\% X/X_0$)
- Air cooling elements integrated into support structures
- Barrel Layers: Space-frame (à la ITS2 and CBM-STS)
- Disk Layers: Corrugated structures (à la ePIC-SVT)



MIDDLE LAYERS & OUTER TRACKER: MECHANICS

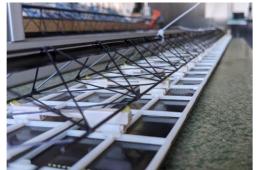


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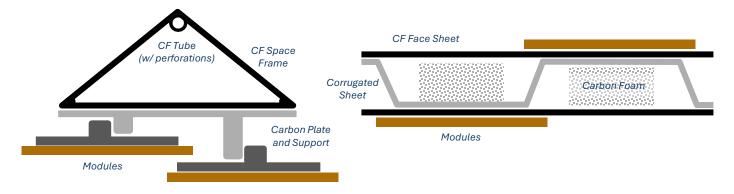
Prototyping and Testing

- Stave assembly procedures under development
- Stave metrology with load
- Detector integration and installation being studied
- CAD drawings finalization (incl. service routing)

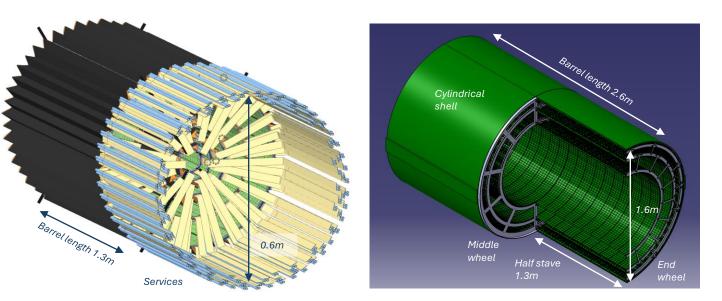




Mechanical prototypes to test the stave assembly procedures for barrel (left) and disks (right).



Cross-sectional illustration of the stave assembly and cooling principle of barrel (left) and disk layers (right).



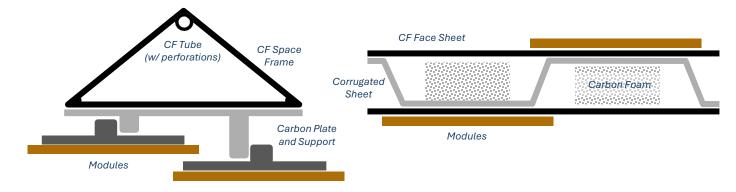
CAD drawings of the middle (left) and the outer tracker barrels (right).

MIDDLE LAYERS & OUTER TRACKER: THERMAL MANAGEMENT



Concept:

- Air cooling elements integrated into support structures
- Barrel Layers: Perforated CF tube integrated into the CF space frame for impinging air jets on module surface
- Disk Layers: CF corrugated structures with internal air channels with carbon foam for turbulence



Cross-sectional illustration of the stave assembly and cooling principle of barrel (left) and disk layers (right).

MIDDLE LAYERS & OUTER TRACKER: THERMAL MANAGEMENT



Concept:

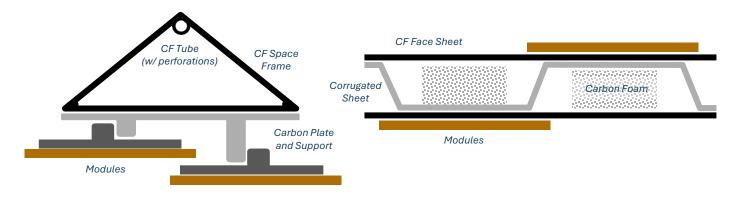
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Prototyping and Testing

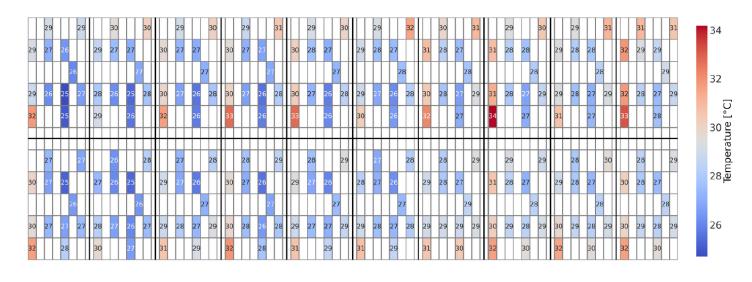
- Tests with realistic thermal prototypes
- Max. sensor temp. < 35°C for nominal power dissipation



Realistic thermal dummies for cooling tests produced for barrels (left; silicon) and disks (right; FPC), with dedicated regions for matrix and peripheral power dissipation.



Cross-sectional illustration of the stave assembly and cooling principle of barrel (left) and disk layers (right).



Measured temperature distribution over a half-stave (1.3 meter long) for nominal operation conditions. $P_{matrix} = 30 \text{ mW/cm}^2$, $\dot{V} = 20 \text{ l/min}$, $T_{inlet} = 16 ^{\circ}\text{C}$

MIDDLE BARREL LAYERS: LIGHTWEIGHT VERSIONS



Feasibility studies towards lightweight middle layers barrels, offering significant improvement in track reconstruction parameters

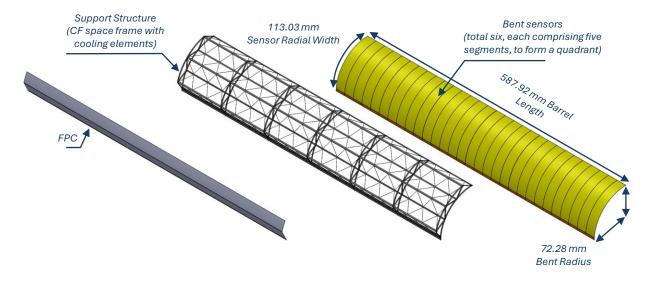
MIDDLE BARREL LAYERS: LIGHTWEIGHT VERSIONS



Feasibility studies towards lightweight middle layers barrels, offering significant improvement in track reconstruction parameters

Bent middle layer version Avg. material budget of < 0.25% X/X₀ per layer

- Sensor Design: MOSAIX-like 5 segments x 5 RSUs; LECs along the z-axis
- Stave and Integration Design: Bent sensors glued onto light CF supports
- Thermal Management: Bi-phase CO₂ (LEC) + impinging air jets (RSU)
- Sensor-level (inter-segment) data aggregation and serial powering



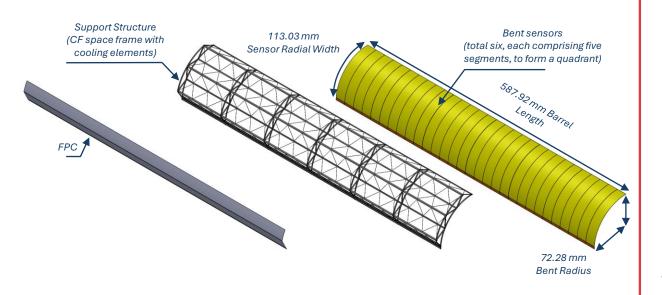
MIDDLE BARREL LAYERS: LIGHTWEIGHT VERSIONS



Feasibility studies towards lightweight middle layers barrels, offering significant improvement in track reconstruction parameters

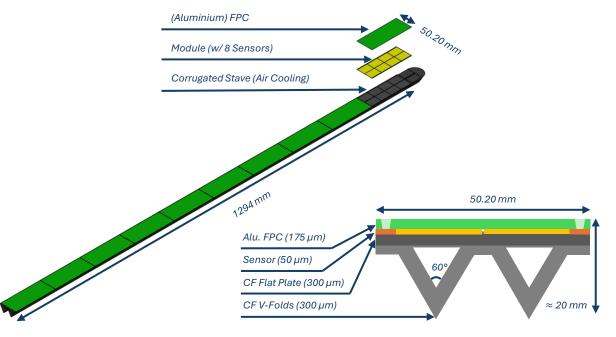
Bent middle layer version Avg. material budget of < 0.25% X/X₀ per layer

- Sensor Design: MOSAIX-like 5 segments x 5 RSUs; LECs along the z-axis
- Stave and Integration Design: Bent sensors glued onto light CF supports
- Thermal Management: Bi-phase CO₂ (LEC) + impinging air jets (RSU)
- Sensor-level (inter-segment) data aggregation and serial powering



Planar middle layer version Avg. material budget of < 0.45% X/X₀ per layer

- Sensor Design: Single-reticle sensor (32 x 25 mm²; 1.5 mm periphery)
- Module Design: Sensors arranged in 4x2 layout with aluminium FPCs
- Thermal Management: Air-cooled CF staves; cooling ≈ 60 mW/cm²
- Readout and Powering: Off-stave lpGBT and VTRx+, and serial powering



SUMMARY AND OUTOOK



ALICE 3 Silicon Tracker, with its unique detector concept, pushes the limits of MAPS technology and large-area integration methods, and has a very aggressive R&D program to ramp up towards TDRs in 2026 for eventual data taking in Run 5!

Targets pointing resolution of 10 μ m at p_T = 200 MeV/c at midrapidity

 $\sqrt{\text{compact:}}$ 11 barrel layers (0.5 ≤ r ≤ 80 cm) and 2 x 12 disks (|z| ≤ 350 cm)

 $\sqrt{\text{large area:}}$ ≈ 60 m² spanning $|\eta| \le 4$

✓ lightweight: $\approx 0.1 \% X/X_0$ per layer for the Vertex Detector (in-vacuum)

 \approx 10 % X/X_0 for all Silicon Tracker layers

- √ housed in 2T solenoidal magnet
- R&D will build upon ALICE ITS3 experience, where wafer-scale MAPS based on the TPSCo 65 nm process have been qualified

- Challenges and opportunities
 - √ improve radiation hardness and rate capabilities
 - √ achieve smaller pixel pitches and spatial resolution
 - ✓ retractable mechanics and operation in beam pipe
 - √ reduce power consumption
 - √ industrial and automatized module assembly methods
- Developments are of broad interest for next-gen upgrades and experiments

| | 2023 | 2024 | 2025 | 20: | 26 | 6 2027 | | 2028 | | 202 | 9 | 2030 | | 2031 | 20 | 032 | 2033 | 20 | 34 | 2035 |
|------------------|--------------------------------------|--|------------|-------|-------------------------|-------------------------------------|-------|---------------|-------------|--------------|-------------|------------|--------|------------|-----------------------|-------------------------------------|-------------|-----------------------------------|--------------------|--------------|
| | Run 3 | | | | L | | | LS | .S3 | | | | Run 4 | | | | LS4 | | | |
| | Q1 Q2 Q3 Q4 | Q1 Q2 Q3 Q4 Q | 1 Q2 Q3 Q4 | Q1 Q2 | Q3 Q4 | Q1 Q2 | Q3 Q4 | Q1 Q2 | Q3 Q4 | 4 Q1 Q2 (| Q3 Q4 | Q1 Q2 | Q3 Q4 | Q1 Q2 Q3 Q | Q4 Q1 Q2 | Q3 Q4 | Q1 Q2 Q3 Q4 | Q1 Q2 | Q3 Q4 Q1 | Q2 Q3 Q4 |
| ALICE 3 | Detector scoping, WGs kick-off | scoping, Selection of technologies, R&D concept prototypes | | | | R&D, TDRs, engineered prototypes | | | | Construction | | | | | | Contingency and re-commissioning | | Installation and Commissioning | | |
| Inner Tracker | Design, R&D Pro | | ototypin | g | T D Prototyping R | | | E D R | Pre | | P R R | Production | | Integ | tegration Contingency | | | surface nissioning | Installation | |
| Outer Tracker | Design, R&D t | | | | Protot | typing | 1 1 1 | re- uction | P R R | | | | Produc | tion | | | Contingency | Inte- gration | Commi- ssioning | Installation |



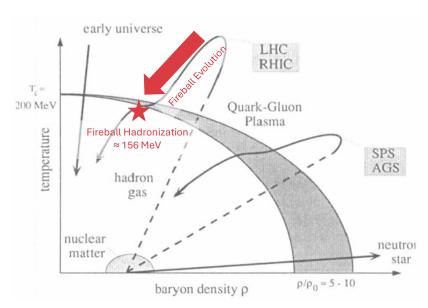
THANK YOU

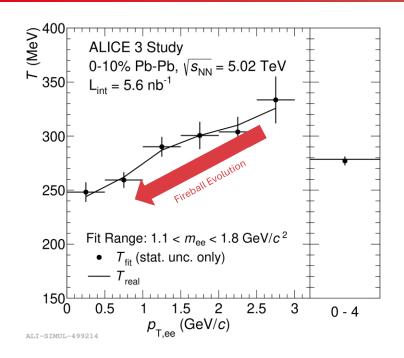


EXTRA SLIDES

MOTIVATION FOR LIGHTWEIGHT SILICON TRACKER



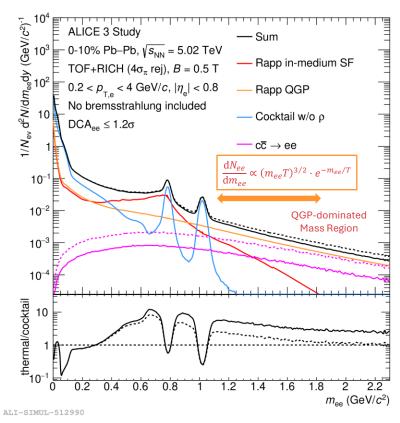


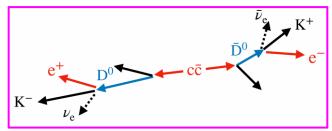


H.R. Schmidt, J. Schukraft, J.Phys.G 19 (1993) 1705-1796 [ALICE], Eur.Phys.J.C 84 (2024) 8, 813 F. Gross et al., Eur.Phys.J.C 83 (2023) 1125

- Current experimental info about fireball temp. at LHC energies (Pb-Pb) is at hadronization ($T_{chem} \approx 156 \text{ MeV}$)
- Source temperature can be extracted from the inverse slope of dilepton excess spectra
- Semi-leptonic heavy-flavour hadronic decays $(c/b \xrightarrow{W^{\pm}} e^{+/-}X)$ dominate the di-electron spectrum (B.R. ~ 10%)
- Unfold spectra through characteristic weak-decay topologies of heavy-flavour hadrons (DCA_{ee} separation between prompt and non-prompt di-electrons; $c\tau \sim 100 \ \mu m$)

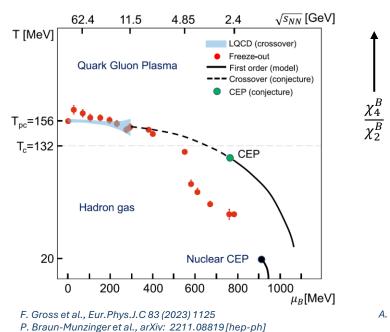
Low-material ALICE 3 tracker is crucial for achieving \approx 10 μ m pointing resolution, enabling identification of non-prompt heavy-flavour decays (background) and di-electron excess yield (temp.) with p_T (evolution time)

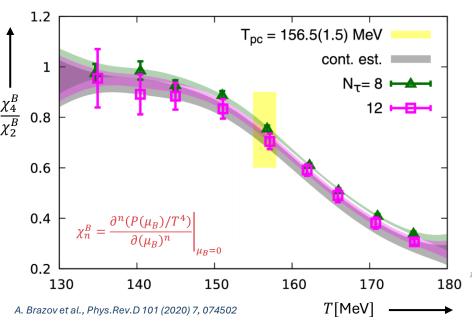


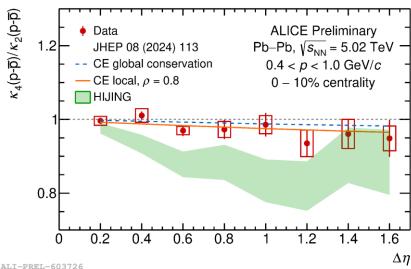


MOTIVATION FOR LARGE-ACCEPTANCE SILICON TRACKER









- At LHC energies ($\mu_{\rm B} \approx 0$), current info about partonic phase transition is only available from IQCD
- Varying correlation length in vicinity to phase transition

Massless Quarks: 2^{nd} -order ($T_c \approx 132 \text{ MeV}$) Finite Quark Masses: Cross-over (T_{nc} ≈ 156 MeV)

Susceptibilities (χ_4^B/χ_2^B) IQCD: Cumulants (κ_4/κ_2) **Experiments:**

Sensitivity to phase transition, i.e., deviation from the Poissonian baseline, is highly dependent on detector acceptance (for ALICE 1-2 setup, $|\eta| \le 0.8$, or $\Delta \eta \le 1.6$)

Large acceptance ($\Delta \eta$) of ALICE 3 tracker would enable mapping the higher-order fluctuations of conserved charges (related to susceptibilities in IQCD), thereby characterizing the nature of hadron-to-parton phase transition at the LHC

