

# Dynamical interpretation of the Standard-Model flavor structure

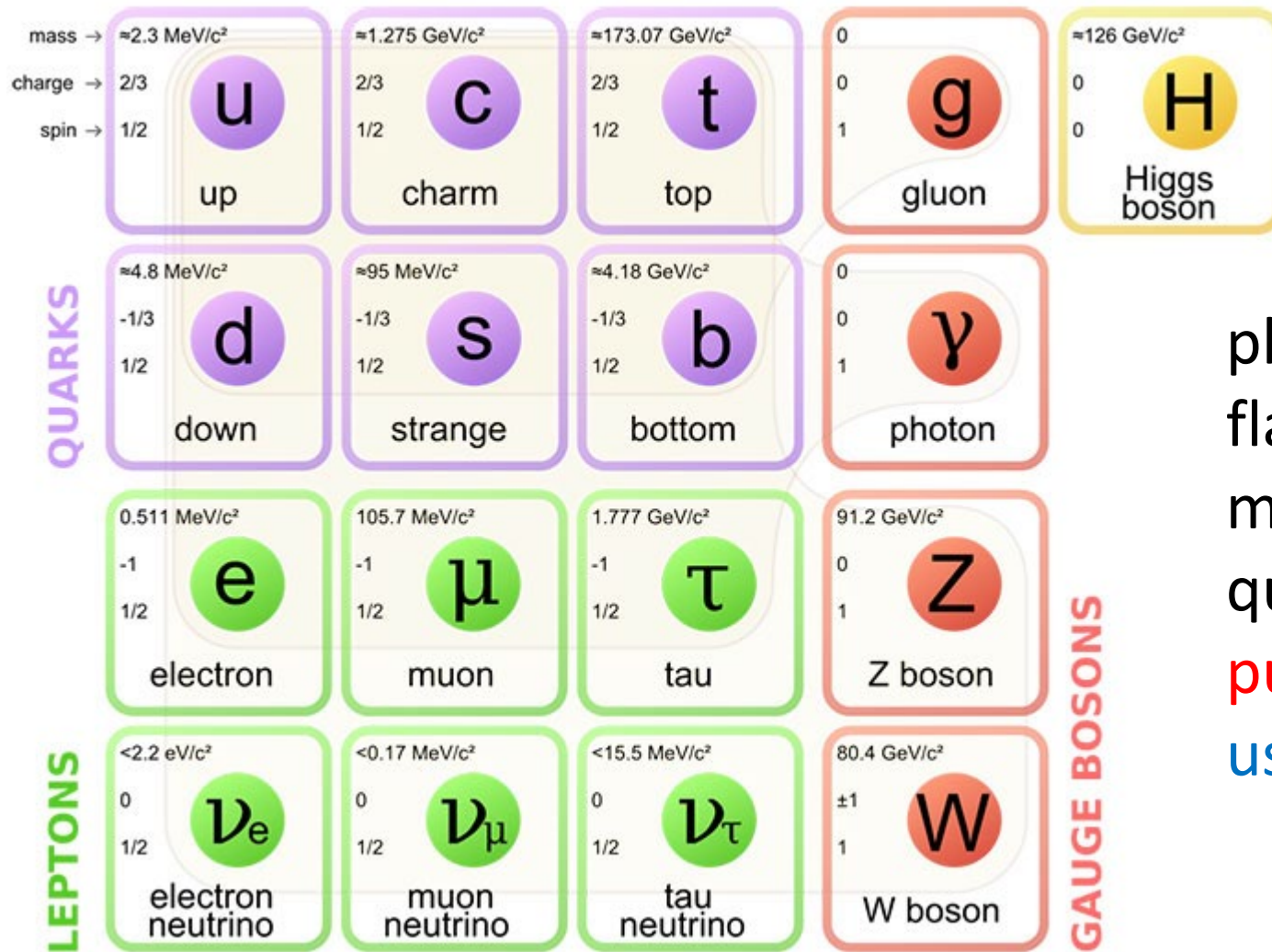
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2306.03463, 2404.16626, 2407.07813

# Standard Model



physicists are curious about  
flavor structure:  
mass hierarchy (order  $10^{11}$ ),  
quark, lepton mixing patterns,...  
**puzzles for decades**  
**usually explained by new physics**

# Speculation

- Physical observables, being analytical, must respect dispersion relations
- Dispersion relation connects various dynamics at different scales; heavy meson lifetimes link EW and strong interactions; Higgs decays into b quark pairs link Yukawa coupling and strong interactions,...
- Numerous observables imply numerous links --- nontrivial constraints
- Perhaps SM parameters may not be completely free?
- SM flavor structure governed by dispersive constraints?
- If yes, SM flavor structure can be understood dynamically
- Our speculation: only the three gauge couplings are fundamental

# Mixing patterns

Why are quark and lepton mixings so different?

A simple example to demonstrate our formalism

Li, 2306.03463

# Issues about fermion mixing

- Neutrino mass ordering

$$\Delta m_{21}^2 \equiv m_2^2 - m_1^2 = (7.55^{+0.20}_{-0.16}) \times 10^{-5} \text{ eV}^2 \quad \Delta m_{32}^2 \equiv m_3^2 - m_2^2 = (2.424 \pm 0.03) \times 10^{-3} \text{ eV}^2$$

but normal ordering or inverted ordering?

- Why small mixing in quark sector, but large mixing in lepton sector?

$$\text{CKM: } \theta_{12} = 13.04^\circ \pm 0.05^\circ, \theta_{13} = 0.201^\circ \pm 0.011^\circ, \theta_{23} = 2.38^\circ \pm 0.06^\circ$$

$$\text{Pontecorvo–Maki–Nakagawa–Sakata: } \theta_{12} = 33.41^\circ_{-0.72^\circ}^{+0.75^\circ} \quad \theta_{13} = 8.54^\circ_{-0.12^\circ}^{+0.11^\circ}$$

- Why lepton mixing has maximal angle  $\theta_{23} \approx 45^\circ$ ?

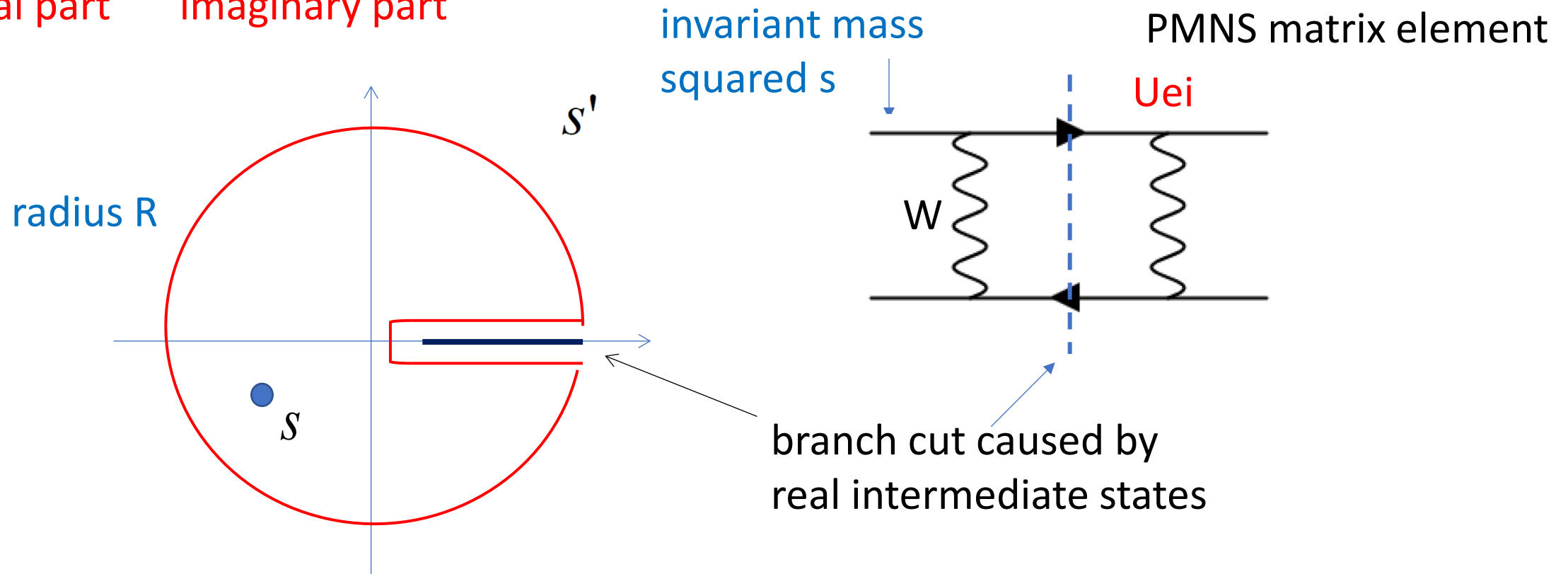
# Dispersion relation

- $\mu^- e^+ - \mu^+ e^-$  mixing amplitude  $\Pi(s) \equiv M(s) - i\Gamma(s)/2$

$$M(s) = \frac{1}{2\pi} \int^R ds' \frac{\Gamma(s')}{s - s'} + \frac{1}{2\pi i} \int_{C_R} ds' \frac{\Pi(s')}{s' - s}$$

so far, it's identity for physical observable based on analyticity

real part      imaginary part



# Crazy idea

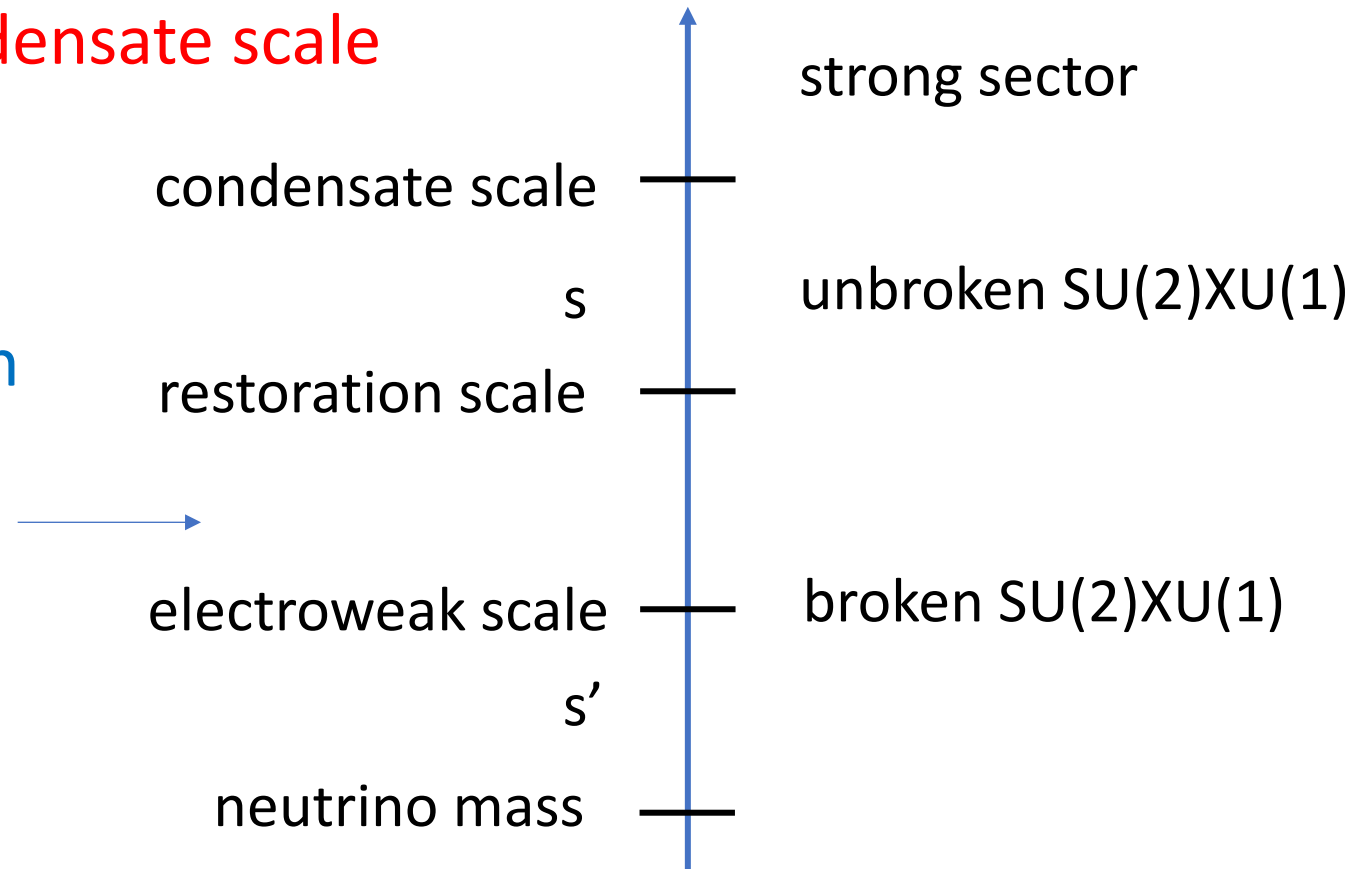
- Dispersion relation reminds QCD sum rules, which link perturbative and nonperturbative dynamics
- Nonperturbative properties (hadron mass, decay constant) can be constrained by perturbative dynamics
- No condensate, no bound state
- Related to chiral symmetry restoration and breaking
- Neutrino properties related to electroweak symmetry restoration and breaking?
- Then EW symmetric phase, corresponding to perturbative phase in QCD, provides inputs, which constrain EW broken phase

# What if EW symmetry restored at high energy?

- Composite Higgs model, Kaplan and Georgi, Phys. Lett. B136, 183 (1984):

- Electroweak group is broken at a scale much smaller than the condensate scale

- This sequential breaking can be realized in SM4 with fourth generation fermions

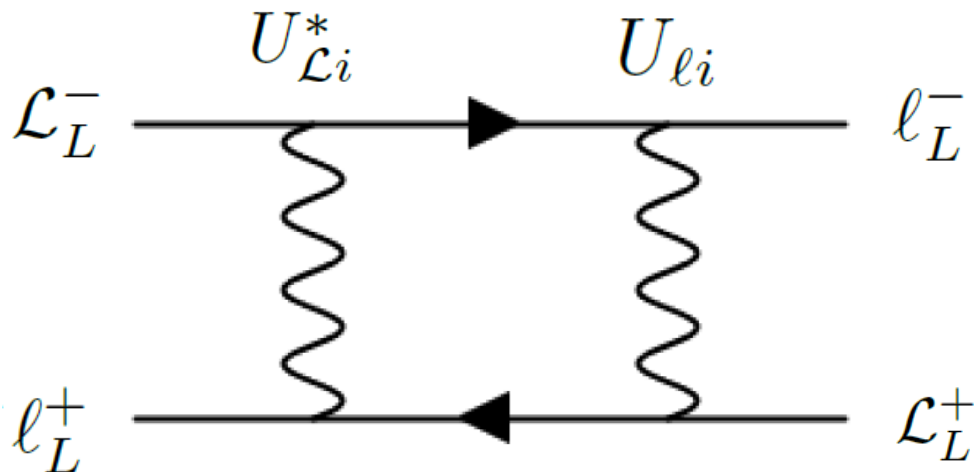




# Mixing in symmetric phase

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- Internal particles massless
- All intermediate channels give same contribution
- Sum over all channels vanishes due to unitarity  $\sum_i U_{\mathcal{L}i}^* U_{\ell i} = 0$
- **Mixing phenomenon disappears!**



restoration scale

$$M(s) = \frac{1}{2\pi} \int^{\Lambda} ds' \frac{\Gamma(s')}{s - s'} \approx 0$$

$s > \Lambda$

EW symmetry broken at low energy;  
constrains fermion masses and mixing angles

# Box diagram in broken phase

- $s'$  can be low, so  $\Gamma(s')$  depends on PMNS matrix elements and intermediate neutrino masses in broken phase.
- Box-diagram contribution from channel with two real neutrinos

Cheng 1982

Buras et al 1984

$$\Gamma_1 \Gamma(s) \propto \sum_{i,j=1}^3 \lambda_i \lambda_j \Gamma_{ij}(s), \quad \lambda_i = U_{\mathcal{L}i} U_{\ell i}$$

$$\Gamma_{ij}(s) = \frac{1}{s^2} \frac{\sqrt{s^2 - 2s(m_i^2 + m_j^2) + (m_i^2 - m_j^2)^2}}{(m_W^2 - m_i^2)(m_W^2 - m_j^2)} \\ \times \left\{ \left( m_W^4 + \frac{m_i^2 m_j^2}{4} \right) [2s^2 - 4s(m_i^2 + m_j^2) + 2(m_i^2 - m_j^2)^2] + 3m_W^2 s(m_i^2 + m_j^2)(m_i^2 + m_j^2 - s) \right\}$$

# Constraints

- How to diminish dispersive integral  $\int^{\Lambda} ds' \frac{\Gamma(s')}{s - s'}$  ?
- Asymptotic expansion

to have finite integral

$$\sum_{i,j} \lambda_i \lambda_j \Gamma_{ij}^{(m)} \approx 0, \quad m = 1, 0, -1$$

$$\Gamma_{ij}(s') \approx \Gamma_{ij}^{(1)} s' + \Gamma_{ij}^{(0)} + \frac{\Gamma_{ij}^{(-1)}}{s'} + \dots$$

$$\Gamma_{ij}^{(1)} = \frac{4m_W^4 - 6m_W^2(m_i^2 + m_j^2) + 4m_i^2 m_j^2}{2(m_W^2 - m_i^2)(m_W^2 - m_j^2)}, \quad \Rightarrow \Lambda^2/s$$

$$\Gamma_{ij}^{(0)} = -\frac{3(m_i^2 + m_j^2) [4m_W^4 - 4m_W^2(m_i^2 + m_j^2) + m_i^2 m_j^2]}{2(m_W^2 - m_i^2)(m_W^2 - m_j^2)} \Rightarrow (m_i^2 + m_j^2) \Lambda/s$$

$$\Gamma_{ij}^{(-1)} = \frac{3(m_i^4 + m_j^4) [4m_W^4 - 2m_W^2(m_i^2 + m_j^2) + m_i^2 m_j^2]}{2(m_W^2 - m_i^2)(m_W^2 - m_j^2)}. \Rightarrow (m_i^4 + m_j^4) \ln \Lambda/s$$

to diminish integral

$$\int ds' \frac{\Gamma_{12}(s')}{s - s'} \approx \frac{1}{s} \sum_{i,j} \lambda_i \lambda_j g_{ij} \quad g_{ij} \equiv \int_{t_{ij}}^{\infty} ds' \left[ \Gamma_{ij}(s') - \Gamma_{ij}^{(1)} s' - \Gamma_{ij}^{(0)} - \frac{\Gamma_{ij}^{(-1)}}{s'} \right]$$

$$\sum_{i,j} \lambda_i \lambda_j g_{ij} \approx 0$$

# These four conditions constrain neutrino masses and mixing angles!

Test quark mixing first---constrain quark masses and CKM matrix elements  
for D meson mixing  $\lambda_i \equiv V_{ci}^* V_{ui}$   $i, j = d, s, b$

# Minimization

- Use unitarity to eliminate  $\lambda_b$  and rewrite constraints

$$r^2 R_{dd}^{(m)} + 2r R_{ds}^{(m)} + 1 \approx 0, \quad m = 1, 0, -1, i \quad \leftarrow \text{refer to finite integral } g_{ij}$$

$$R_{dd}^{(m)} = \frac{\Gamma_{dd}^{(m)} - 2\Gamma_{db}^{(m)} + \Gamma_{bb}^{(m)}}{\Gamma_{ss}^{(m)} - 2\Gamma_{sb}^{(m)} + \Gamma_{bb}^{(m)}}, \quad R_{ds}^{(m)} = \frac{\Gamma_{ds}^{(m)} - \Gamma_{db}^{(m)} - \Gamma_{sb}^{(m)} + \Gamma_{bb}^{(m)}}{\Gamma_{ss}^{(m)} - 2\Gamma_{sb}^{(m)} + \Gamma_{bb}^{(m)}} \quad m = 1, 0, -1$$

- Expression for  $m = i$  similar, but with  $g_{ij}$

- Ratio of CKM elements

$$r = \frac{\lambda_d}{\lambda_s} = \frac{V_{cd}^* V_{ud}}{V_{cs}^* V_{us}} \equiv u + iv,$$

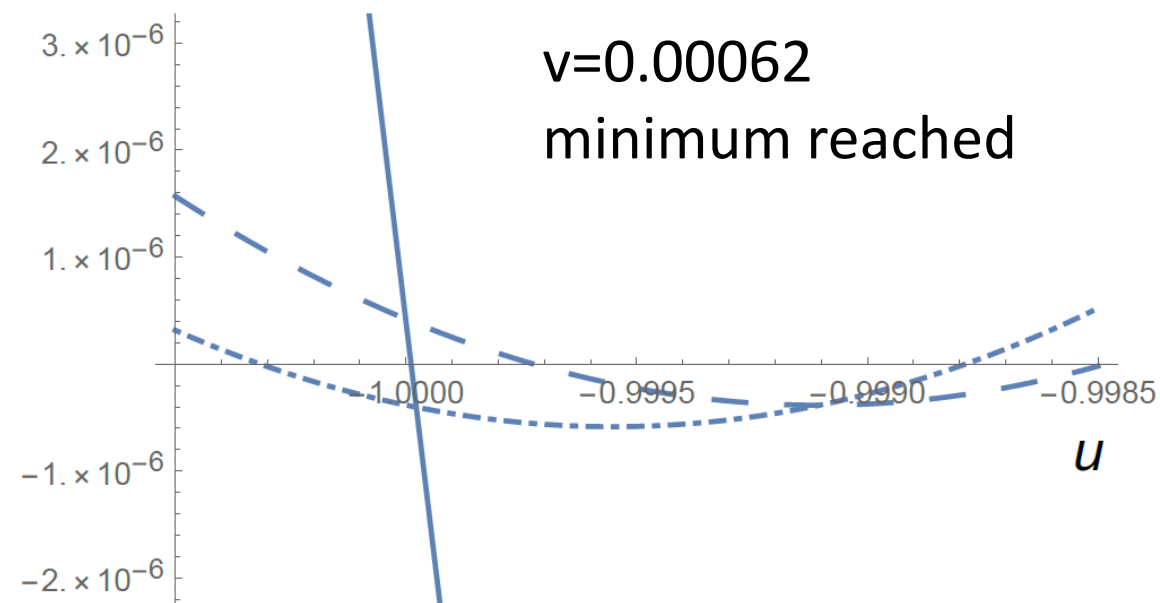
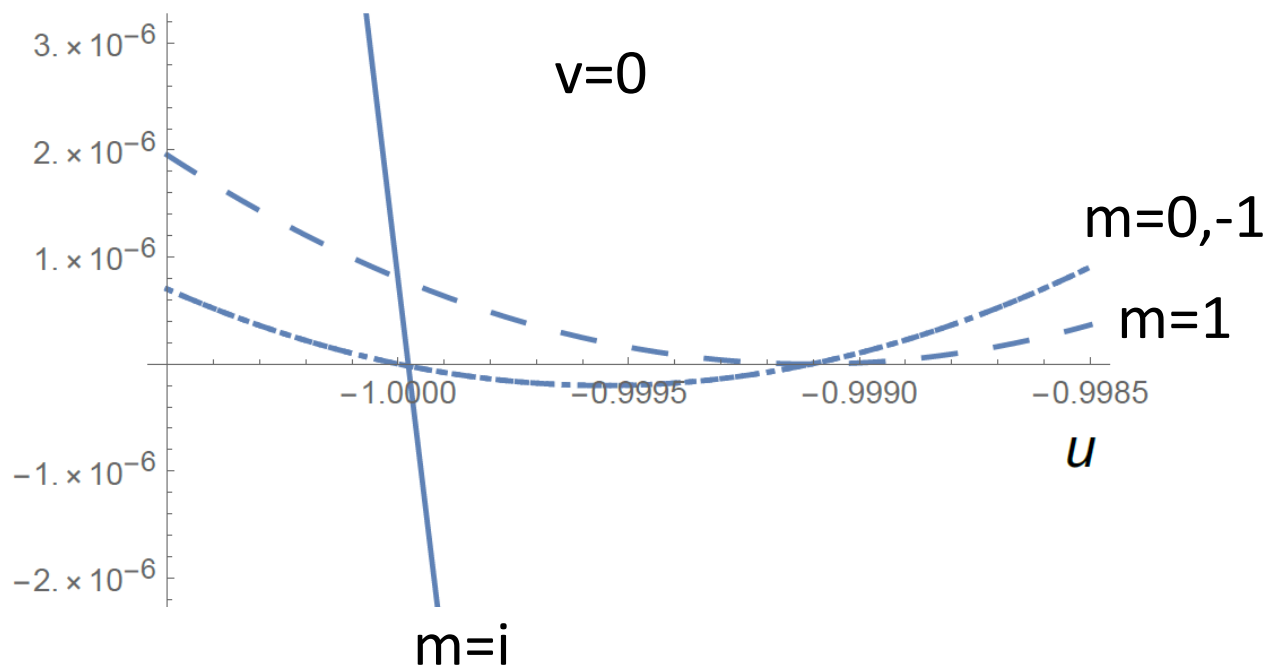
- Tune  $u$  and  $v$  to minimize the sum (real parts of constraints)

$$\sum_{m=1,-1,i} \left[ (u^2 - v^2) R_{dd}^{(m)} + 2u R_{ds}^{(m)} + 1 \right]^2$$

then imaginary parts also small

# Results

$$m_d = 0.005 \text{ GeV} \quad m_s = 0.12 \text{ GeV} \quad m_b = 4.0 \text{ GeV} \quad m_W = 80.377 \text{ GeV}$$



PDG

$$r = \frac{V_{cd}^* V_{ud}}{V_{cs}^* V_{us}} = -1.0 + (6.2_{-1.0}^{+1.2}) \times 10^{-4} i$$

variation of  $m_s$  by 0.01 GeV

$$u = -1.00029 \pm 0.00002, \quad v = 0.00064 \pm 0.00002$$

they agree well; CP phase must exist

# Global fits

experimental discrimination of NO, IO difficult

	Ref. [181] w/o SK-ATM		Ref. [181] w SK-ATM		Ref. [182] w SK-ATM		Ref. [183] w SK-ATM	
NO	Best Fit Ordering		Best Fit Ordering		Best Fit Ordering		Best Fit Ordering	
Param	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
$\frac{\sin^2 \theta_{12}}{10^{-1}}$	$3.03^{+0.12}_{-0.11}$	$2.70 \rightarrow 3.41$	$3.03^{+0.12}_{-0.12}$	$2.70 \rightarrow 3.41$	$3.03^{+0.13}_{-0.13}$	$2.63 \rightarrow 3.45$	$3.18^{+0.16}_{-0.16}$	$2.71 \rightarrow 3.69$
$\theta_{12}/^\circ$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$	$33.40^{+0.80}_{-0.82}$	$30.85 \rightarrow 35.97$	$34.3^{+1.0}_{-1.0}$	$31.4 \rightarrow 37.4$
$\frac{\sin^2 \theta_{23}}{10^{-1}}$	$5.72^{+0.18}_{-0.23}$	$4.06 \rightarrow 6.20$	$4.51^{+0.19}_{-0.16}$	$4.08 \rightarrow 6.03$	$4.55^{+0.18}_{-0.15}$	$4.16 \rightarrow 5.99$	$5.74^{+0.14}_{-0.14}$	$4.34 \rightarrow 6.10$
$\theta_{23}/^\circ$	$49.1^{+1.0}_{-1.3}$	$39.6 \rightarrow 51.9$	$42.2^{+1.1}_{-0.9}$	$39.7 \rightarrow 51.0$	$42.4^{+1.0}_{-0.9}$	$40.2 \rightarrow 50.7$	$49.3^{+0.8}_{-0.8}$	$41.2 \rightarrow 51.3$
$\frac{\sin^2 \theta_{13}}{10^{-2}}$	$2.203^{+0.056}_{-0.059}$	$2.029 \rightarrow 2.391$	$2.225^{+0.056}_{-0.059}$	$2.052 \rightarrow 2.398$	$2.23^{+0.07}_{-0.06}$	$2.04 \rightarrow 2.44$	$2.200^{+0.069}_{-0.062}$	$2.00 \rightarrow 2.405$
$\theta_{13}/^\circ$	$8.54^{+0.11}_{-0.12}$	$8.19 \rightarrow 8.89$	$8.58^{+0.11}_{-0.11}$	$8.23 \rightarrow 8.91$	$8.59^{+0.13}_{-0.12}$	$8.21 \rightarrow 8.99$	$8.53^{+0.13}_{-0.12}$	$8.13 \rightarrow 8.92$
$\delta_{\text{CP}}/^\circ$	$197^{+42}_{-25}$	$108 \rightarrow 404$	$232^{+36}_{-26}$	$144 \rightarrow 350$	$223^{+32}_{-23}$	$139 \rightarrow 355$	$194^{+24}_{-22}$	$128 \rightarrow 359$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$	$7.36^{+0.16}_{-0.15}$	$6.93 \rightarrow 7.93$	$7.50^{+0.22}_{-0.20}$	$6.94 \rightarrow 8.14$
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$	$2.437^{+0.028}_{-0.027}$	$2.354 \rightarrow 2.523$	$2.433^{+0.026}_{-0.027}$	$2.353 \rightarrow 2.516$	$2.448^{+0.023}_{-0.031}$	$2.367 \rightarrow 2.521$	$2.47^{+0.02}_{-0.03}$	$2.40 \rightarrow 2.46$
IO	$\Delta\chi^2 = 2.3$		$\Delta\chi^2 = 6.4$		$\Delta\chi^2 = 6.5$		$\Delta\chi^2 = 6.4$	
$\frac{\sin^2 \theta_{12}}{10^{-1}}$	$3.03^{+0.12}_{-0.11}$	$2.70 \rightarrow 3.41$	$3.03^{+0.12}_{-0.11}$	$2.70 \rightarrow 3.41$	$3.03^{+0.13}_{-0.13}$	$2.63 \rightarrow 3.45$	$3.18^{+0.16}_{-0.16}$	$2.71 \rightarrow 3.69$
$\theta_{12}/^\circ$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$	$33.40^{+0.80}_{-0.82}$	$30.85 \rightarrow 35.97$	$34.3^{+1.0}_{-1.0}$	$31.4 \rightarrow 37.4$
$\frac{\sin^2 \theta_{23}}{10^{-1}}$	$5.78^{+0.16}_{-0.21}$	$4.12 \rightarrow 6.23$	$5.69^{+0.16}_{-0.21}$	$4.12 \rightarrow 6.13$	$5.69^{+0.13}_{-0.21}$	$4.17 \rightarrow 6.06$	$5.78^{+0.10}_{-0.17}$	$4.33 \rightarrow 6.08$
$\theta_{23}/^\circ$	$49.5^{+0.9}_{-1.2}$	$39.9 \rightarrow 52.1$	$49.0^{+1.0}_{-1.2}$	$39.9 \rightarrow 51.5$	$49.0^{+0.7}_{-1.4}$	$40.2 \rightarrow 51.1$	$49.5^{+0.6}_{-1.0}$	$41.2 \rightarrow 51.2$
$\frac{\sin^2 \theta_{13}}{10^{-2}}$	$2.219^{+0.060}_{-0.057}$	$2.047 \rightarrow 2.396$	$2.223^{+0.058}_{-0.058}$	$2.048 \rightarrow 2.416$	$2.23^{+0.06}_{-0.06}$	$2.03 \rightarrow 2.45$	$2.225^{+0.064}_{-0.070}$	$2.02 \rightarrow 2.42$
$\theta_{13}/^\circ$	$8.57^{+0.12}_{-0.11}$	$8.23 \rightarrow 8.90$	$8.57^{+0.11}_{-0.11}$	$8.23 \rightarrow 8.94$	$8.59^{+0.13}_{-0.12}$	$8.19 \rightarrow 9.00$	$8.58^{+0.12}_{-0.14}$	$8.17 \rightarrow 8.96$
$\delta_{\text{CP}}/^\circ$	$286^{+27}_{-32}$	$192 \rightarrow 360$	$276^{+22}_{-29}$	$194 \rightarrow 344$	$274^{+25}_{-27}$	$193 \rightarrow 342$	$284^{+26}_{-28}$	$200 \rightarrow 353$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$	$7.36^{+0.16}_{-0.15}$	$6.93 \rightarrow 7.93$	$7.50^{+0.22}_{-0.20}$	$6.94 \rightarrow 8.14$
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$	$-2.498^{+0.032}_{-0.025}$	$-2.581 \rightarrow -2.408$	$-2.486^{+0.028}_{-0.025}$	$-2.570 \rightarrow -2.406$	$-2.492^{+0.025}_{-0.030}$	$-2.578 \rightarrow -2.413$	$-2.52 \pm^{+0.03}_{-0.02}$	$-2.60 \rightarrow -2.44$

# Chau-Keung parametrization

Pontecorvo–Maki–Nakagawa–Sakata matrix

$U =$

$$\begin{aligned} & \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta_{13}} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta_{13}} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \\ &= \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta_{13}} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta_{13}} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta_{13}} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta_{13}} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta_{13}} & c_{23}c_{13} \end{bmatrix}. \end{aligned}$$



# Neutrino mass orderings

- Apply to lepton  $\mu^-e^+-\mu^+e^-$  mixing with intermediate neutrino channels
- Normal ordering (NO)  $m_1^2 = 10^{-6} \text{ eV}^2$  (as long as it is small enough)

$$\Delta m_{21}^2 \equiv m_2^2 - m_1^2 = (7.55^{+0.20}_{-0.16}) \times 10^{-5} \text{ eV}^2 \quad \Delta m_{32}^2 \equiv m_3^2 - m_2^2 = (2.424 \pm 0.03) \times 10^{-3} \text{ eV}^2$$

de Salas et al, [2018](#)

- Predict

$$r = \frac{U_{\mu 1}^* U_{e 1}}{U_{\mu 2}^* U_{e 2}} \approx \underline{-1.0} - 0.02i$$

global fit

$$r = \underline{-(0.738^{+0.050}_{-0.048})} - (0.179^{+0.136}_{-0.125})i$$

- Be reminded that it is **LO analysis with 3 generations**

- Inverted ordering (IO)  $r \approx -1.0 - O(10^{-5})i$   $r = -(1.03^{+0.05}_{-0.16}) - (0.356^{+0.015}_{-0.048})i$

dramatically different

- **NO and observed PMNS matrix satisfy constraint at order of magnitude**

# Mixing patterns

- Insert  $u=-1$  into  $m=1$  constraint to get analytical expression of  $v$

$$v \approx \frac{(m_W^2 - m_b^2)(m_s^2 - m_d^2)}{(m_W^2 - m_s^2)(m_b^2 - m_d^2)} \approx \frac{m_s^2}{m_b^2}$$

- $v$  proportional to sine of mixing angle
- Larger mixing angles in lepton sector due to smaller mass hierarchy

$$\frac{m_2^2}{m_3^2} \approx 3.1 \times 10^{-2} \gg \frac{m_s^2}{m_b^2} \approx 9.0 \times 10^{-4}$$

# Mixing of generations 1-3

- Heavy lepton could be  $\mu$  or  $\tau$  , same intermediate neutrinos
- $\tau^- e^+ - \tau^+ e^-$  and  $\mu^- e^+ - \mu^+ e^-$  satisfy same constraints?
- Magnitude of PMNS matrix elements

NuFIT, 2023

$$|U| = \begin{bmatrix} |U_{e1}| & |U_{e2}| & |U_{e3}| \\ |U_{\mu 1}| & |U_{\mu 2}| & |U_{\mu 3}| \\ |U_{\tau 1}| & |U_{\tau 2}| & |U_{\tau 3}| \end{bmatrix} = \begin{bmatrix} 0.803 \sim 0.845 & 0.514 \sim 0.578 & 0.142 \sim 0.155 \\ 0.233 \sim 0.505 & 0.460 \sim 0.693 & 0.630 \sim 0.779 \\ 0.262 \sim 0.525 & 0.473 \sim 0.702 & 0.610 \sim 0.762 \end{bmatrix}$$

These two rows are indeed similar,  $\mu - \tau$  reflection symmetry

# Maximal mixing angle $\theta_{23}$

- Recall  $\nu$  has two solutions with opposite signs, so one for  $\mu^-e^+ - \mu^+e^-$  another for  $\tau^-e^+ - \tau^+e^-$  ?
- Check data

$\frac{U_{\tau 1}^* U_{e1}}{U_{\tau 2}^* U_{e2}} = -(1.231^{+0.078}_{-0.186}) + \underline{(0.204^{+0.085}_{-0.138})}i$	$r = U_{\mu 1}^* U_{e1} / (U_{\mu 2}^* U_{e2}) = -(0.738^{+0.050}_{-0.048}) - \underline{(0.179^{+0.136}_{-0.125})}i$	de Salas et al, 2018
$-(1.139^{+0.139}_{-0.207}) + \underline{(0.266^{+0.050}_{-0.124})}i$	$r = -(0.801^{+0.219}_{-0.097}) - \underline{(0.265^{+0.090}_{-0.145})}i$	Capozzi et al, 2018

- Implication:  $\theta_{23} \approx 45^\circ$

$$\frac{U_{\mu 1}^* U_{e1}}{U_{\mu 2}^* U_{e2}} = -\frac{c_{12}}{s_{12}} \frac{c_{12}s_{12}(c_{23}^2 - s_{13}^2 s_{23}^2) + c_{23}s_{13}s_{23}c_\delta(c_{12}^2 - s_{12}^2) - c_{23}s_{13}s_{23}s_\delta i}{(c_{12}c_{23} - s_{12}s_{13}s_{23})^2 + 2c_{12}c_{23}s_{12}s_{13}s_{23}(1 - c_\delta)}$$

roughly equal

$$\frac{U_{\tau 1}^* U_{e1}}{U_{\tau 2}^* U_{e2}} = -\frac{c_{12}}{s_{12}} \frac{c_{12}s_{12}(s_{23}^2 - c_{23}^2 s_{13}^2) - c_{23}s_{13}s_{23}c_\delta(c_{12}^2 - s_{12}^2) + c_{23}s_{13}s_{23}s_\delta i}{(c_{12}s_{23} + c_{23}s_{12}s_{13})^2 - 2c_{12}c_{23}s_{12}s_{13}s_{23}(1 - c_\delta)}$$

roughly equal

$(c_{12}^2 + s_{12}^2 s_{13}^2)(c_{23}^2 - s_{23}^2) \approx 0$

# Impact from 4<sup>th</sup> generation

How to improve constraints on neutrino masses and mixing angles?

Have predicted  $m_L \approx 270 \text{ GeV}$ ,  $m_4 \approx 170 \text{ GeV}$

Li, 2407.07813

# Motivation

- If SM flavor structure constrained dynamically, scalar sector of SM may not be free
- Bold conjecture: SM contains only three fundamental (gauge) parameters, and other parameters, governing interplay among various generations of fermions, are fixed by SM dynamics itself
- To maintain simplicity and beauty, natural extension of SM is to introduce sequential fourth generation of fermions, since associated parameters in scalar sector are not free
- Solutions for PMNS matrix with 3 generations deviate from data
- Check whether consistency can be improved in SM4
- QED corrections also estimated

# Solutions

- Formalism basically the same, solve for two PMNS ratios in SM4

$$r_1 \equiv \frac{U_{\mathcal{L}1}^* U_{e1}}{U_{\mathcal{L}2}^* U_{e2}} \equiv u_1 + iv_1, \quad r_3 \equiv \frac{U_{\mathcal{L}3}^* U_{e3}}{U_{\mathcal{L}2}^* U_{e2}} \equiv u_3 + iv_3$$

$$r_1 \approx -0.83 - 0.04i, \quad -0.98 + 0.04i$$

- Suppress detail, solutions

assigned to  $\mu e$

$\tau e$

- Data  $\frac{U_{\mu 1}^* U_{e1}}{U_{\mu 2}^* U_{e2}} = \begin{cases} -(0.676^{+0.045}_{-0.005}) - (0.072^{+0.118}_{-0.113})i \\ -(0.786^{+0.116}_{-0.046}) - (0.178^{+0.094}_{-0.092})i \end{cases}$ 

de Sala et al, 2021  
 Capozzi et al, 2021

$$\frac{U_{\tau 1}^* U_{e1}}{U_{\tau 2}^* U_{e2}} = \begin{cases} -(1.289^{+0.008}_{-0.068}) + (0.079^{+0.115}_{-0.125})i \\ -(1.260^{+0.106}_{-0.104}) + (0.284^{+0.076}_{-0.134})i \end{cases}$$

de Sala et al, 2021  
 Capozzi et al, 2021

- Consistent within experimental uncertainties
- In terms of mixing angles, we predict  $\theta_{12} \approx 34^\circ$ ,  $\theta_{23} \approx 47^\circ$ ,  $\theta_{13} \approx 5^\circ$  and  $\delta \approx 200^\circ$

data  $\theta_{12} = (34.3 \pm 1.0)^\circ$ ,  $\theta_{13} = (8.53^{+0.13}_{-0.12})^\circ$  and  $\theta_{23} = (49.26 \pm 0.79)^\circ$ , and the  $CP$  phase  $\delta = (194^{+24}_{-22})^\circ$

$\theta_{12} = (33.40^{+0.80}_{-0.82})^\circ$ ,  $\theta_{13} = (8.59^{+0.13}_{-0.12})^\circ$ ,  $\theta_{23} = (42.4^{+1.0}_{-0.9})^\circ$  and  $\delta = (223^{+32}_{-23})^\circ$

# Summary

- Only electroweak symmetry restoration is assumed
- Quark and lepton mixing patterns due to different mass hierarchies
- $\mu - \tau$  reflection symmetry due to same intermediate states in mixing
- Normal ordering favored,  $\theta_{23}$  tends to be in the 2<sup>nd</sup> octant
- Consistency with the neutrino mixing angles improved in SM4
- Consistency further improved by QED corrections
- Predicted small CP violation in lepton sector
- Scalar sector, governing interplay among generations, fixed by dynamics
- Our explanation sheds light on model building for new physics