

# Global structure of $\eta'$

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Based on the following works: 2201.06166 (with Mithat Ünsal),  
and 2402.04320, 2405.12402 (with Yui Hayashi) + *on-going*

# QCD vacuum structure

SSB  $\nearrow$   $SU(N_f)_V$

Global symmetry:  $\underbrace{SU(N_f)_L \times SU(N_f)_R}_{\mathbb{Z}_{N_c} \times \mathbb{Z}_{N_f} \times \mathbb{Z}_2} \times U(1)_V \times \cancel{U(1)_A}$  explicitly broken by ABJ anomaly

Spontaneous chiral symmetry breaking via fermion-bilinear condensate:

$$\langle \bar{\Psi}_i \Psi_j \rangle = -\Lambda^3 \underbrace{e^{i\eta'/N_f}}_{\text{flavor-singlet } \eta'} \cdot \underbrace{U_{ij}}_{\pi, K, \eta}$$

One of the common chiral Lagrangian +  $\eta'$ :

$$\mathcal{L} = \frac{F_\pi^2}{2} \text{tr}(\partial_\mu \mathbf{U}^\dagger \partial_\mu \mathbf{U}) - \Lambda^3 \text{tr}(M e^{i\frac{\eta'}{N_f}} \mathbf{U} + \text{c.c.}) + WZW(\mathbf{U}) + \frac{F_{\pi'}^2}{2} (\partial_\mu \eta')^2 - \underbrace{\# e^{-\frac{8\pi^2}{g^2}} \cos(\eta' + \theta)}_{\text{Kobayashi-Maskawa- \& Hooft vertex .}}$$

Main claim

Global structure of  $\eta'$  is more involved & interesting !!

# 4d QCD / YM on $\mathbb{R}^2 \times T^2_{\text{twist}}$


- $NL\Lambda \lesssim 1$
- Weakly-coupled
- Many expected features of 4d dynamics are obtained

smoothly  
connected



$$L\Lambda \sim O(1)$$

- Confinement w/ strongly-coupled dynamics
- Difficult to solve



size of  $T^2$   
[YT, Ünsal '22]

- We solve QCD on  $\mathbb{R}^2 \times T^2_{\text{twist}}$  with "controllable" approximation.

No IR divergence at all.

$\Rightarrow$  chiral Lagrangian +  $\eta'$  is obtained.

# Yang-Mills theory on $\mathbb{R}^2 \times T^2$ & 't Hooft flux

4d  $SU(N)$  YM :  $\mathbb{Z}_N^{(1)}$  center symmetry

$$\mathbb{R}^2 \times T^2 \Rightarrow \begin{cases} \mathbb{Z}_N^{(1)} & : \text{Area vs Perimeter for 2d Wilson loops} \\ \mathbb{Z}_N^{(0)} \times \mathbb{Z}_N^{(0)} & : \text{Conventional center symmetry for Polyakov loops } P_3, P_4 \end{cases}$$

## Role of 't Hooft flux $P$

① 4d anomaly is maximally preserved in 2d effective theory  
$$Z_{\theta+2\pi}[B] = e^{\frac{2\pi i}{N} \int \frac{1}{2} B \cup B} Z_{\theta}[B] \xrightarrow{T^2\text{-compact.}} Z_{\theta+2\pi}[B_{2d}] = e^{i \frac{2\pi}{N} P \int B_{2d}} Z_{\theta}[B_{2d}]$$

② Classical vacuum is unique &  $\mathbb{Z}_N^{(0)} \times \mathbb{Z}_N^{(0)}$  symmetric :

$$P_3 P_4 = e^{\frac{2\pi i}{N} P} P_4 P_3$$

③ Classical vacuum "violates"  $\mathbb{Z}_N^{(1)}$  but semiclassically restored as

4d instanton  $\mathbb{R}^2 \times T^2_{p\text{-twist}}$   
(14)  $\Rightarrow$

$\circ \quad \circ \quad \circ \quad \circ$   $N$  center-vortex constituents  
[Gonzalez-Arroyo, Montero '98]

# 't Hooft flux & Classical vacuum

Lattice action

$$S_w[U_\ell, B] = -\frac{1}{g^2} \sum_P \left( e^{-iB_P} \text{tr}[U_P] + e^{iB_P} \text{tr}[U_P^\dagger] \right)$$

$$B_P = \begin{cases} \frac{2\pi}{N} & (\text{for the plaquette indicated with light blue}) \\ 0 & (\text{otherwise}) \end{cases}$$

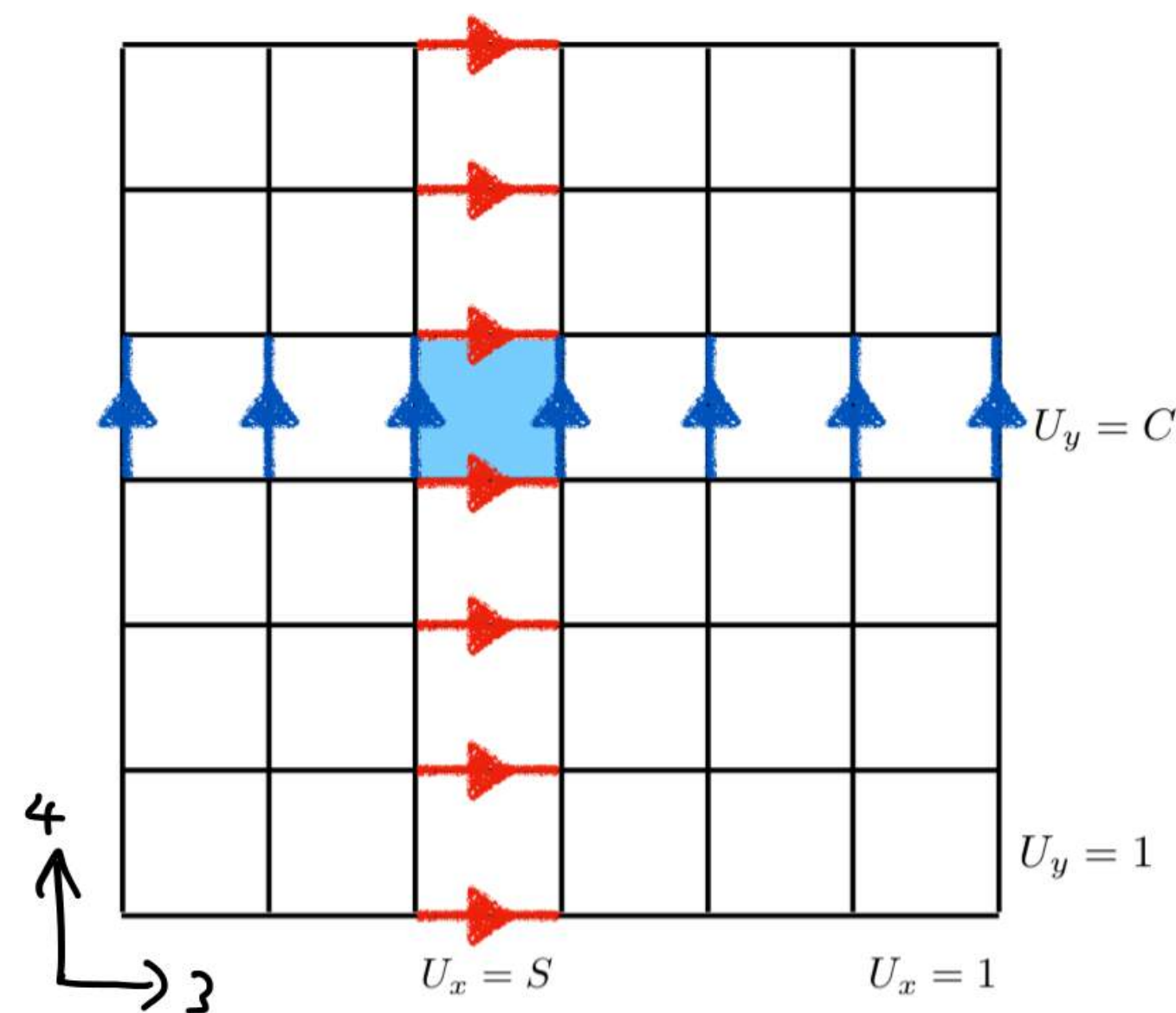
We can minimize this action by setting

$$U_\ell = \begin{cases} S = \begin{pmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{pmatrix} \\ C = \begin{pmatrix} 1 & \omega & \dots & \omega^{N-1} \end{pmatrix} \\ \mathbb{1} \end{cases}$$

[\* Any classical minimum is gauge equivalent to this one]

$$\Rightarrow P_3 = S, \quad P_4 = C.$$

This configuration completely preserves  $\mathbb{Z}_N^{[0]} \times \mathbb{Z}_N^{[0]}$ .



## 2d $\mathbb{Z}_N^{(1)}$ symmetry & center vortex

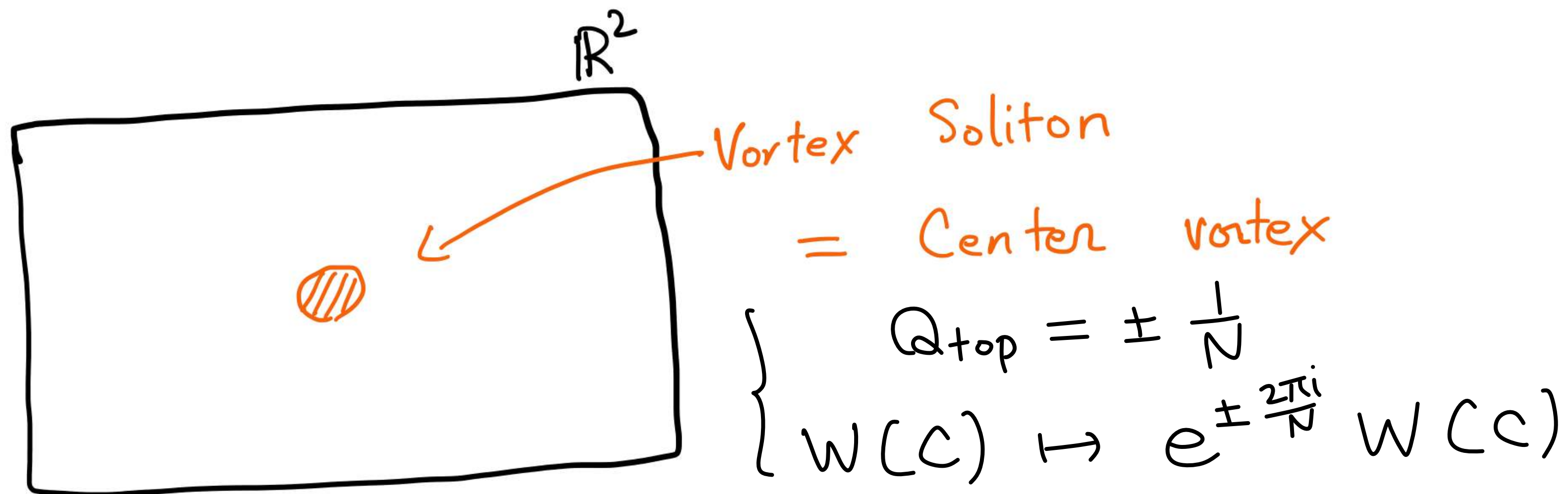
o For 2d effective theory,

$P_3 = S$  and  $P_4 = C$  behave as Adjoint Higgs with orthogonal VEV:

$$SU(N) \xrightarrow{\text{Higgs}} \mathbb{Z}_N.$$

$\Rightarrow$  2d 1-form symmetry is spontaneously broken at classical vacua.

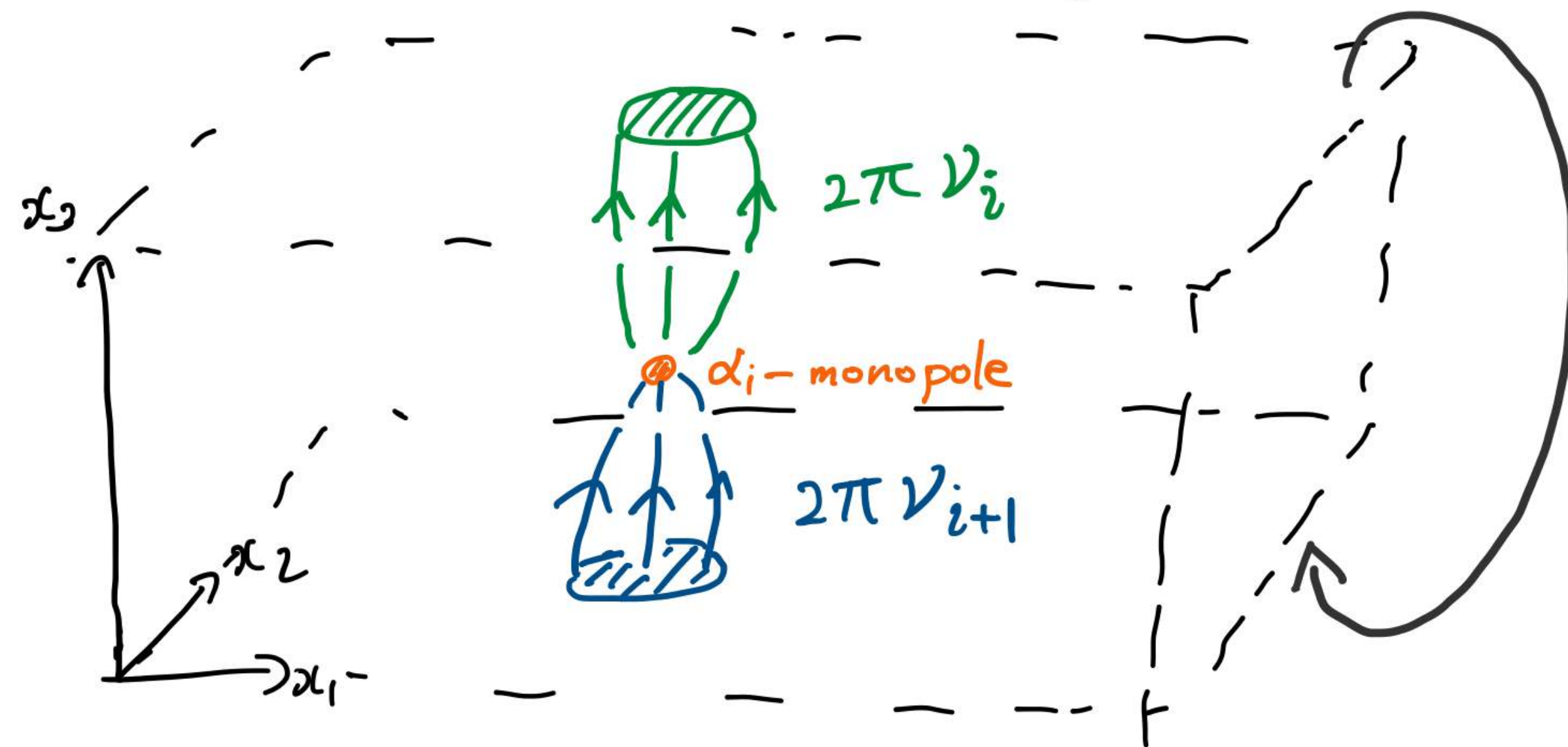
o Key player for the Area law: Center vortex = Fractional instanton



Center vortex on  $\mathbb{R}^2 \times \underbrace{T^2}_{\text{flux}} = \text{KvBLLY}$  monopole instanton

$SU(N)$  gauge field on  $\mathbb{R}^3 \times S^1$  w/ nontrivial holonomy:  $N$  fundamental monopoles  $\begin{pmatrix} \text{Lee, Yi '97} \\ \text{Lee, Lu '98} \\ \text{Kraan, van Baal '98} \end{pmatrix}$   
 $[ \Rightarrow 3d \text{ semiclassics by Ünsal, ... since 2007} ]$

$\alpha_i$  - monopole emits the magnetic flux  $2\pi \alpha_i = 2\pi [ \nu_i - \nu_{i+1} ]$ .



$\mathbb{Z}_N$ -twisted b.c. (= t Hooft flux on  $T^2$ )

$$\begin{pmatrix} \nu \\ \nu \\ \nu \end{pmatrix} \mapsto \begin{pmatrix} \nu \\ \nu \\ \nu \end{pmatrix}$$

[Hayashi, YT 24.05.12402]

$\mathbb{Z}_N$ -twisted b.c. gives the perturbative gap  $\frac{2\pi}{NL_3} \Rightarrow$  Magnetic flux localizes.

Monopole = Junction of the center vortex

(cf. Ambjorn, Giedt, Greensite '99, de Forcrand, Pepe '00)

Partition function on  $\underbrace{M_2}_{\rightarrow \mathbb{R}^2} \times T^2$  &  $\theta$ -dependence

To make the computation well-defined, we compactify  $\mathbb{R}^2$  to some closed 2-manifold  $M_2$ .

Using the 1-loop vertex of the center vortex

$$K \cdot e^{-\frac{8\pi^2}{g^2 N} + i \frac{\theta}{N}}$$

we have

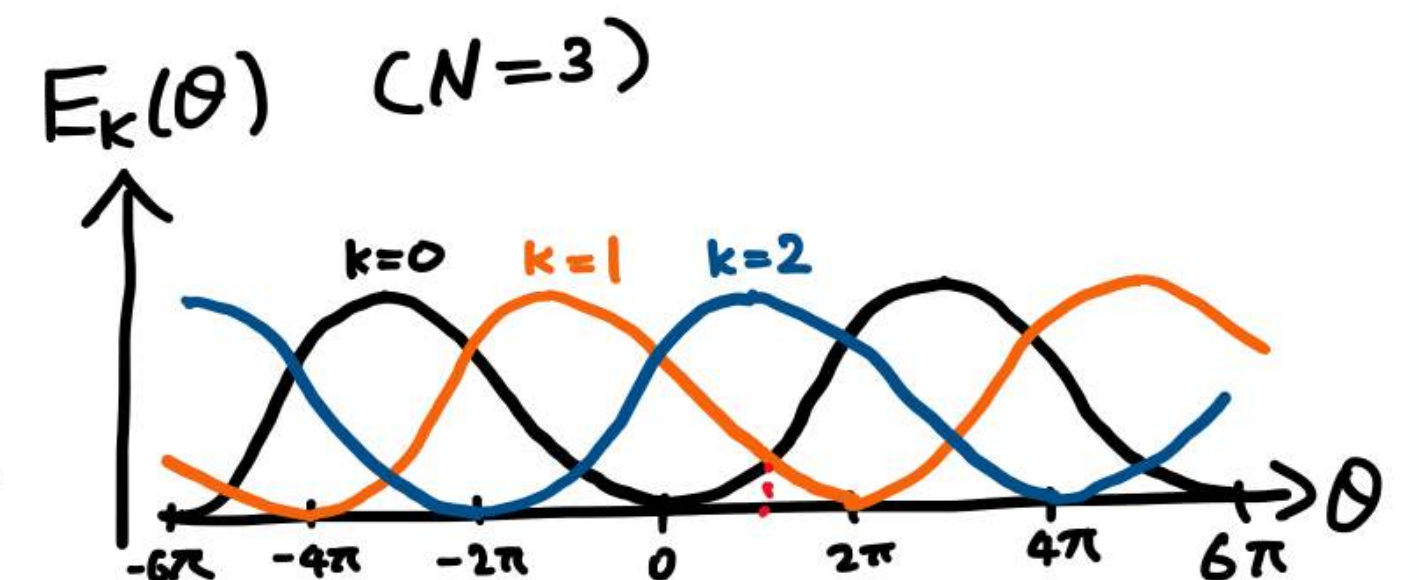
$$\sum_{k=0}^{N-1} e^{\frac{2\pi i}{N} k(n-\bar{n})}$$

$$Z(\theta) = \sum_{n, \bar{n} \geq 0} \frac{\delta_{n-\bar{n} \in N\mathbb{Z}}}{n! \bar{n}!} \left( \underbrace{V \cdot K e^{-\frac{8\pi^2}{g^2 N} + i \frac{\theta}{N}}}_{\text{vortex}} \right)^n \left( \underbrace{V \cdot K e^{-\frac{8\pi^2}{g^2 N} - i \frac{\theta}{N}}}_{\text{anti-vortex}} \right)^{\bar{n}}$$

$$= \sum_{k=0}^{N-1} \exp \left[ -V \left( -2K e^{-\frac{8\pi^2}{g^2 N}} \cos \left( \frac{\theta - 2\pi k}{N} \right) \right) \right]$$

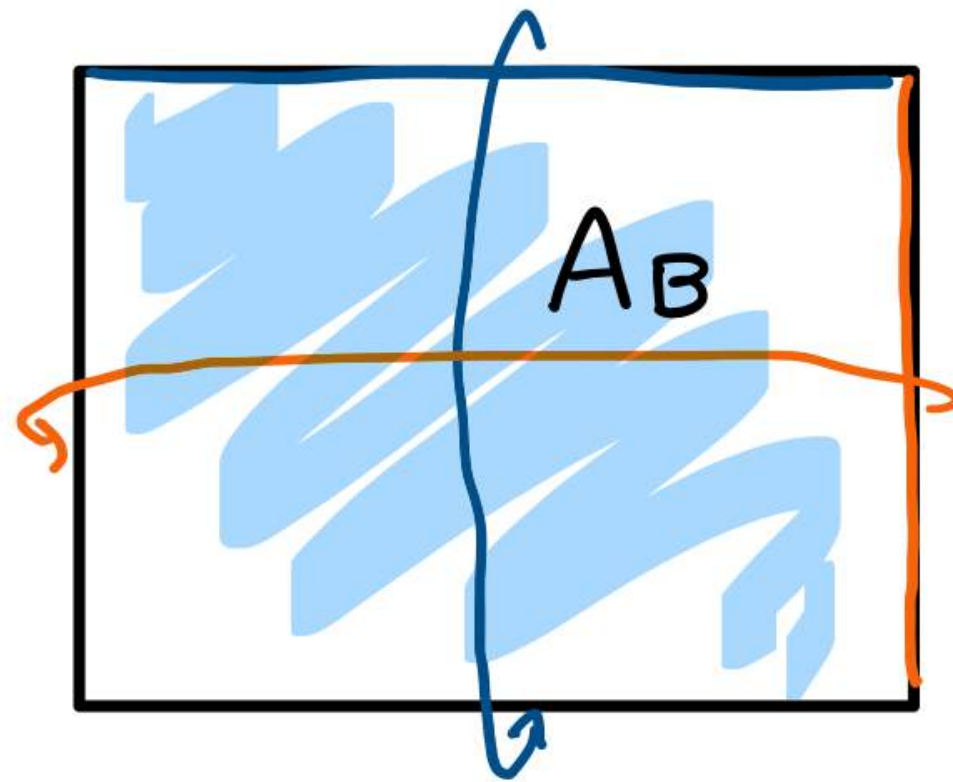
$E_k(\theta)$  : Ground-state energy densities

- $\Rightarrow$  {
- $N$ -branch structure of ground states.
  - Each branch has a fractional  $\theta$ -dependence.



$U(1)_B$  monopole flux & 't Hooft flux on  $T^2$

Fundamental quarks explicitly violates  $\mathbb{Z}_N^{(U)}$   $\Rightarrow$  No 't Hooft B.C., naively.  
 Use  $U(1)_B = \frac{U(1)_q}{\mathbb{Z}_N}$  monopole flux.



Cocycle condition

$$\begin{cases} \psi(L, x_4) = g_3^+(x_4) e^{-i \frac{\phi_3(x_4)}{N}} \psi(0, x_4) \\ \psi(x_3, L) = \underbrace{g_4^+(x_3)}_{\text{color-transition functions}} \underbrace{e^{-i \frac{\phi_4(x_3)}{N}}}_{U(1)_q\text{-transition functions}} \psi(x_3, 0) \end{cases}$$

$$g_3^+(L) g_4^+(0) e^{-i \frac{1}{N} (\phi_3(L) + \phi_4(0))} = g_4^+(L) g_3^+(0) e^{-i \frac{1}{N} (\phi_4(L) + \phi_3(0))}.$$

$U(1)_B = U(1)_q / \mathbb{Z}_N$  monopole flux

$$2\pi = \int_{T^2} dA_B = (\phi_3(L) - \phi_3(0)) - (\phi_4(L) - \phi_4(0))$$

$$\Rightarrow g_3^+(L) g_4^+(0) = g_4^+(L) g_3^+(0) \boxed{e^{\frac{2\pi i}{N}}}$$

't Hooft flux !!

2d Effective Lagrangian on  $\mathbb{R}^2 \times T^2$  w/ baryon - 't Hooft flux

$\mathbb{Z}_N$  - Schwinger model

4d QCD  $\xRightarrow{\text{classical \& perturbative}}$   $\left\{ \begin{array}{l} \text{Gauge: Gapped gluons \& Center vortices} \\ \text{Quark: 2d Dirac fermion as 4d Dirac zero modes w/ } \int_{T^2} dA_B = 2\pi. \end{array} \right.$

$\Downarrow$  Bosonization  
&  
Semiclassical Analysis

$\left\{ \begin{array}{l} U: 2d U(N_f) \text{-valued field } (\pi, K, \eta \text{ \& } \eta') \\ k: \mathbb{Z}_N \text{ discrete variable} \end{array} \right. \left[ \begin{array}{l} \text{YT, Ünsal 2201.06166} \\ \text{Hayashi, YT 2402.02430} \end{array} \right]$

$$\begin{aligned} \mathcal{L}_{2d \text{ effective}} &= \frac{1}{8\pi} \text{tr}(\partial_\mu U^\dagger \partial_\mu U) - \text{tr}[M U + \text{c.c.}] \\ &+ i \frac{1}{12\pi} \text{tr}[(U^\dagger dU)^3] \\ &- e^{-\frac{8\pi^2}{g^2 N}} \left( e^{i \frac{\theta - 2\pi k}{N}} (\det U)^{1/N} + \text{c.c.} \right) \\ &= \cos\left(\frac{\eta' + \theta - 2\pi k}{N}\right) \end{aligned}$$

## Revisiting $U(1)_A$ problem

$U(1)_A$ :  $\eta'$  (i.e.  $e^{i\eta'} = \det U$ ) is too massive according to SSB of the chiral symmetry  $SU(N_f)_L \times SU(N_f)_R \times U(1)_V \times U(1)_A$ .

$\Rightarrow U(1)_A$  is not a symmetry of quantum theory!

$\eta'$  gets the mass even if  $M_{\text{quark}} = 0$ .

### Previous proposals

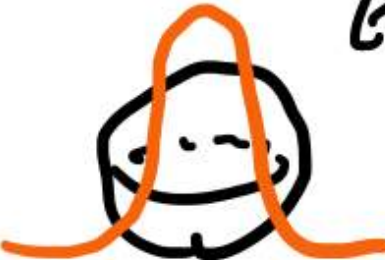
- Kobayashi - Maskawa - 't Hooft :  $-\cos(\eta' + \theta)$
- Witten - Veneziano's large  $-N$  :  $\frac{1}{2}(\eta' + \theta)^2 + O\left(\frac{(\eta' + \theta)^4}{N^2}\right)$

$\frac{1}{N}$  fractionalization

$N \gg 1$


2d center-vortex theory :  $-\cos\left(\frac{\eta' + \theta - 2\pi k}{N}\right)$

How does  $\frac{1}{N}$  appear? What is its fermionic picture?

4d instanton  
  
 localization of  
 chiral zero mode

$\mathbb{R}^2 \times T^2$   
 w/ 't Hooft flux  
 $\Rightarrow$

  $\frac{1}{N}$  fraction of  
 the chiral zero mode

4d instanton =  $N$  independent  
 center vortices

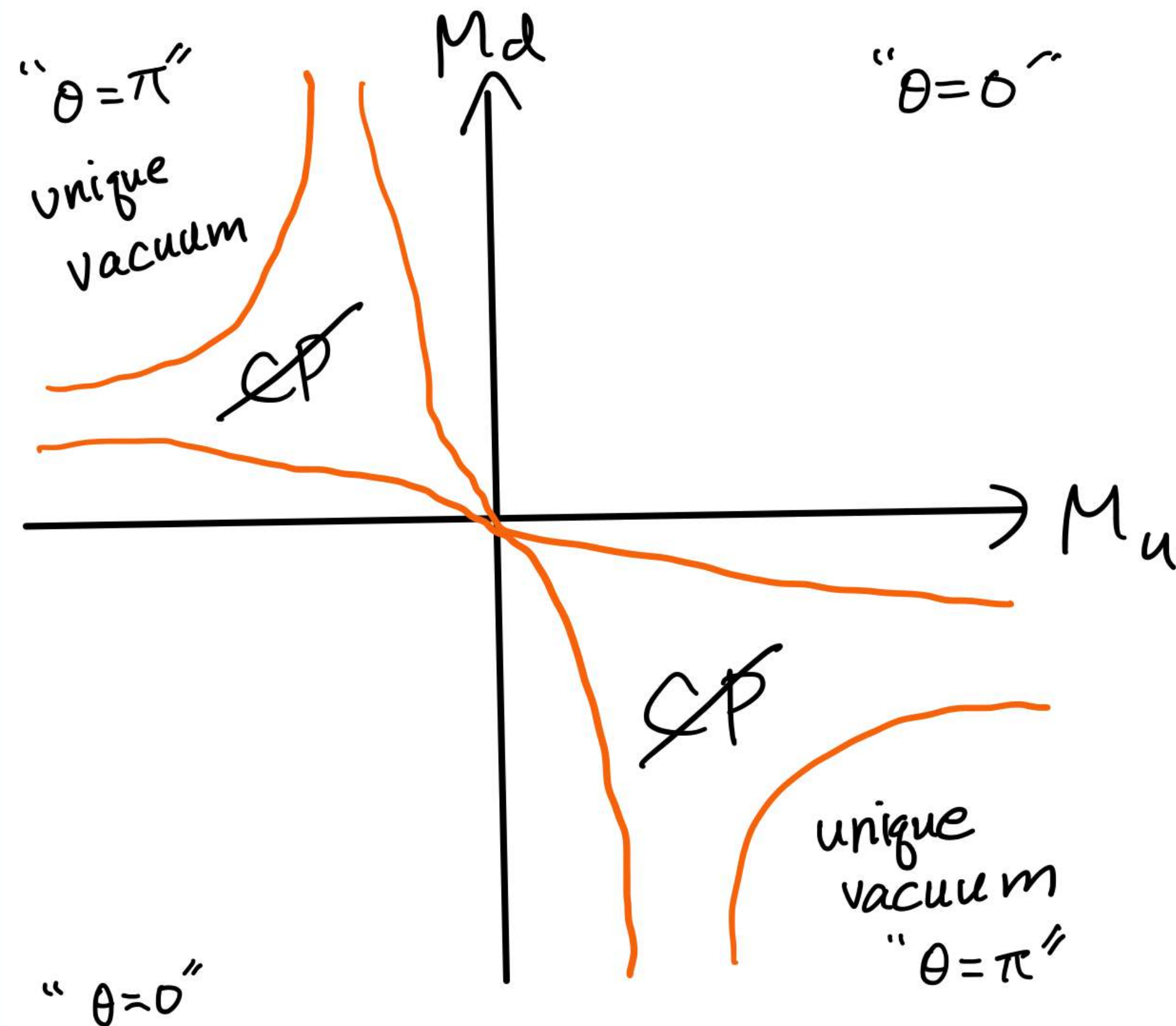
$$e^{-\frac{8\pi^2}{g^2}} \cdot e^{-i\theta} \det U(x)$$

$$\prod_{i=1}^N \underbrace{e^{-\frac{8\pi^2}{g^2 N}} e^{-i\frac{\theta}{N}} (\det U(x_i))^{1/N}}_{\text{each term behaves almost independently}}$$

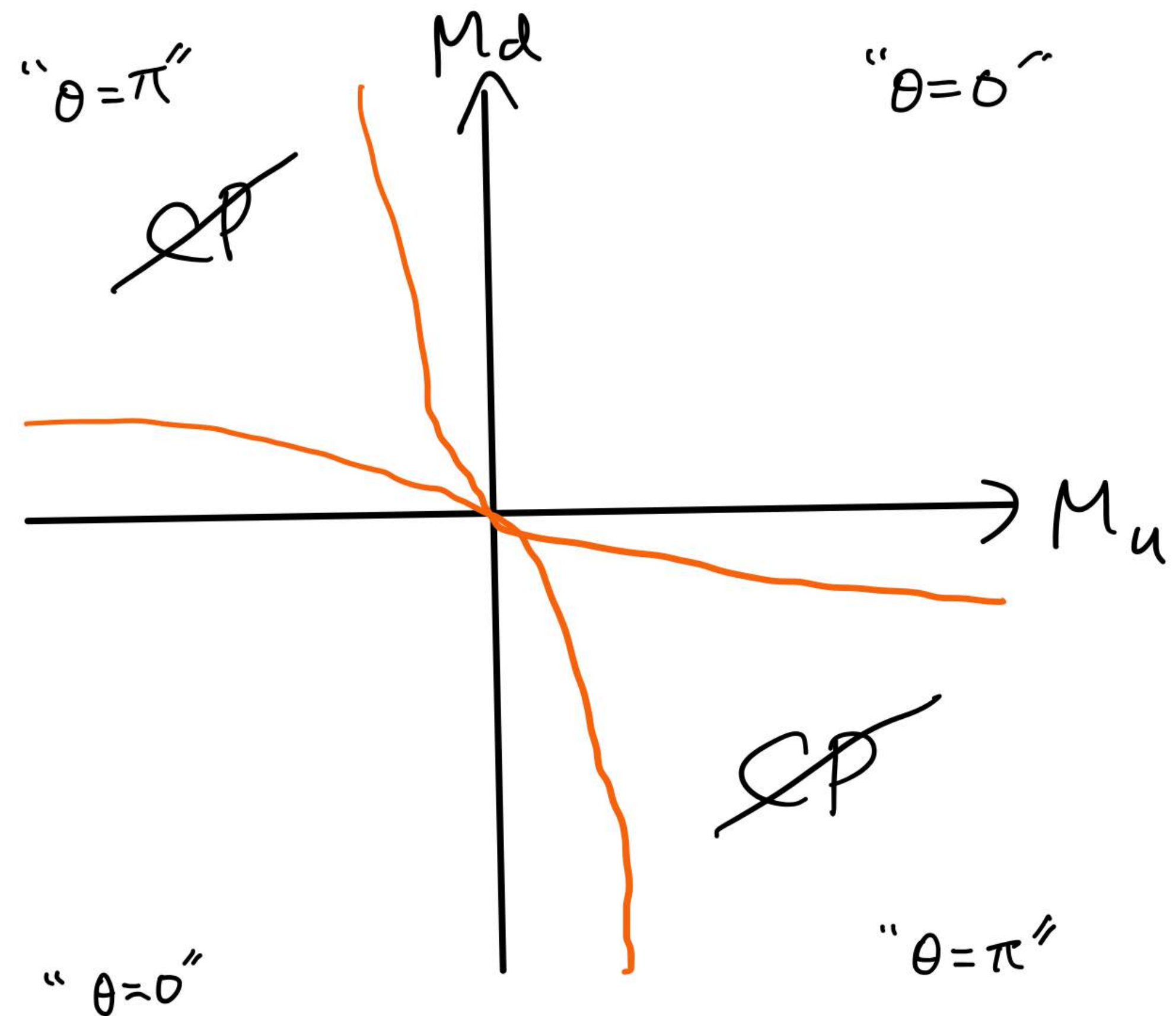
each term behaves almost independently

# Phase diagram in $(M_u, M_d)$ space

KMT vertex &  $\frac{1}{N}$ -fractionalized vertex can give different predictions.

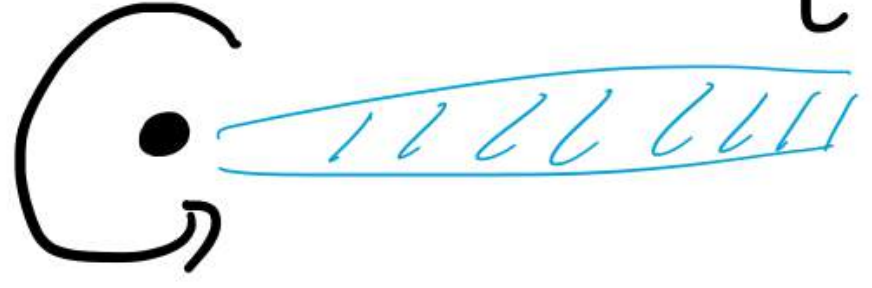


w/ KMT vertex  
[S. Aoki, Creutz '14]  
(inconsistent w/ anomaly matching)




w/  $\frac{1}{N}$ -fractionalized vertex  
[Hayashi, YT '24]

# $\eta'$ - Vortex

Usual KMT vertex :  $\eta' \rightarrow \eta' + 2\pi$    $\eta'$  chiral kink


$\frac{1}{N}$  fractionalized vertex :  $V(\eta', k) = -\cos\left(\frac{\eta' + \theta - 2\pi k}{N}\right)$  does not come back even if we shift  $\eta' \rightarrow \eta' + 2\pi$ .  
 $k$  should also jump!

$\eta' \rightarrow \eta' + 2\pi$    $\eta'$  chiral kink  $\uparrow k \mapsto k+1$  : Wilson line

$\Rightarrow$  In  $\mathbb{R}^2 \times T^2_{\text{twist}}$ ,

$\eta'$ -vortex = quark.

This corresponds to the 2d reduction of the "pancake baryon" construction. (Komargodski 1812.09253)

$\eta' \rightarrow \eta' + 2\pi$   Fractional quantum Hall system  
 $U(1)_N$  Chern-Simons.

QCD on  $\mathbb{R}^2 \times T^2$  w/ Baryon- & Hooft flux

- Weakly-coupled if  $N\Lambda \ll 1$

$\leadsto$  We can solve QCD analytically!



2d  $\mathbb{Z}_N$  - Schwinger model

- $\eta'$ -potential involves the discrete label  $k \in \mathbb{Z}_N$ : [YT, Ünsal '22; Hayashi, YT '24]  
 ~~$-\cos(\eta' + \theta)$~~   $\Rightarrow -\cos\left(\frac{\eta' + \theta + 2\pi k}{N}\right)$

- $\eta'$ -vortex = Boundary of  $U(1)_N$  Chern-Simons quantum liquid