

# Global structure of $\eta'$

Yuya Tanizaki (Yukawa Institute, Kyoto)

Based on the following works: [2201.06166](#) (with Mithat Ünsal),  
and [2402.04320](#), [2405.12402](#) (with Yui Hayashi) + *on-going*

# QCD vacuum structure

SSB  $\rightarrow$   $SU(N_f)_V$

Global symmetry:  $\frac{(SU(N_f)_L \times SU(N_f)_R) \times U(1)_V \times U(1)_A}{Z_{N_c} \times Z_{N_f} \times Z_2}$  explicitly broken by ABJ anomaly

Spontaneous chiral symmetry breaking via fermion-bilinear condensate:

$$\langle \bar{\psi}_i \psi_j \rangle = -\Lambda^3 \underbrace{e^{i\eta'/N_f}}_{\text{flavor-singlet } \eta'} \cdot \underbrace{U_{ij}}_{\pi, K, \eta}$$

One of the common chiral Lagrangian +  $\eta'$ :

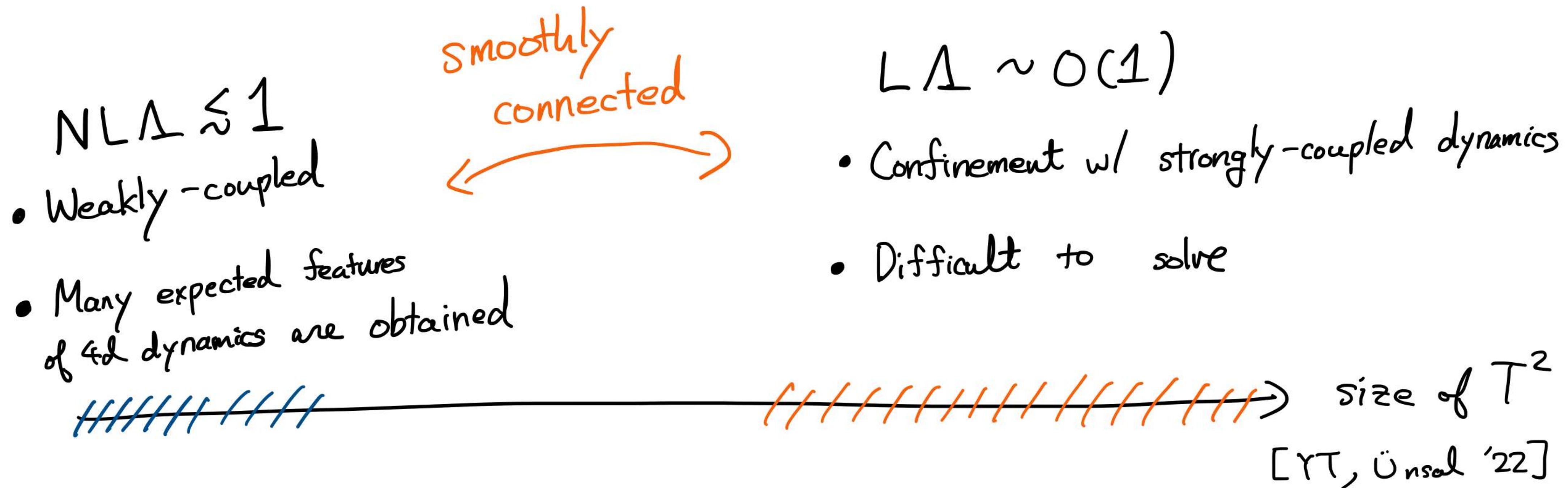
$$\mathcal{L} = \frac{F_\pi^2}{2} \text{tr}(\partial_\mu \mathcal{U}^\dagger \partial_\mu \mathcal{U}) - \Lambda^3 \text{tr}(M e^{i\frac{\eta'}{N_f}} \mathcal{U} + \text{c.c.}) + WZW(\mathcal{U})$$

$$+ \frac{F_\pi'^2}{2} (\partial_\mu \eta')^2 - \underbrace{\# e^{-\frac{8\pi^2}{g^2}} \cos(\eta' + \theta)}_{\text{Kobayashi - Maskawa - 't Hooft vertex.}}$$

Main claim

Global structure of  $\eta'$  is more involved & interesting !!

# 4d QCD / YM on $\mathbb{R}^2 \times T_{\text{twist}}^2$



- We solve QCD on  $\mathbb{R}^2 \times T_{\text{twist}}^2$  with "controllable" approximation.

No IR divergence at all.

$\Rightarrow$  chiral Lagrangian +  $\eta'$  is obtained.

# Yang - Mills theory on $\mathbb{R}^2 \times T^2$ & 't Hooft flux

4d  $SU(N)$  YM :  $\mathbb{Z}_N^{(1)}$  center symmetry

$$\mathbb{R}^2 \times \mathbb{T}^2 \quad \left\{ \quad \mathbb{Z}_N^{(1)} \quad : \quad \text{Area vs Perimeter for 2d Wilson loop.} \right.$$

$\mathbb{Z}_N^{(0)} \times \mathbb{Z}_N^{(0)}$  : Conventional center symmetry for Polyakov loops  $P_3, P_4$

## Role of 't Hooft flux P

① 4d anomaly is maximally preserved in 2d effective theory.  $\frac{25}{25}$

$$Z_{\theta+2\pi}[B] = e^{\frac{2\pi i}{N} \int \frac{1}{2} B \cup B} Z_\theta[B]$$

$\xrightarrow{T^2\text{-compact.}}$

$$Z_{\theta+2\pi}[B_{2d}] = e^{i \frac{2\pi}{N} P \int B_{2d}} Z_\theta[B_{2d}]$$

② Classical vacuum is unique &  $\mathbb{Z}_N^{(0)} \times \mathbb{Z}_N^{(0)}$  symmetric

$$P_3 P_4 = e^{\frac{2\pi i}{N} p} P_4 P_3$$

③ Classical vacuum "violates"  $\mathbb{Z}_N^{(1)}$  but semiclassically restored as

$$4d \text{ instanton} \quad \mathbb{R}^2 \times \mathbb{T}^2 \xrightarrow{\text{p-twist}}$$

④ ④  $N$  center-rortex constituents  
④ ④ [Gonzalez-Arroyo, Montero '98]

# 't Hooft flux & Classical vacuum

Lattice action

$$S_w[U_e, B] = -\frac{1}{g^2} \sum_p \left( e^{-iB_p} \text{tr}[U_p] + e^{iB_p} \text{tr}[U_p^+] \right)$$

$$B_p = \begin{cases} \frac{2\pi}{N} & \text{(for the plaquette indicated with light blue)} \\ 0 & \text{(otherwise)} \end{cases}$$

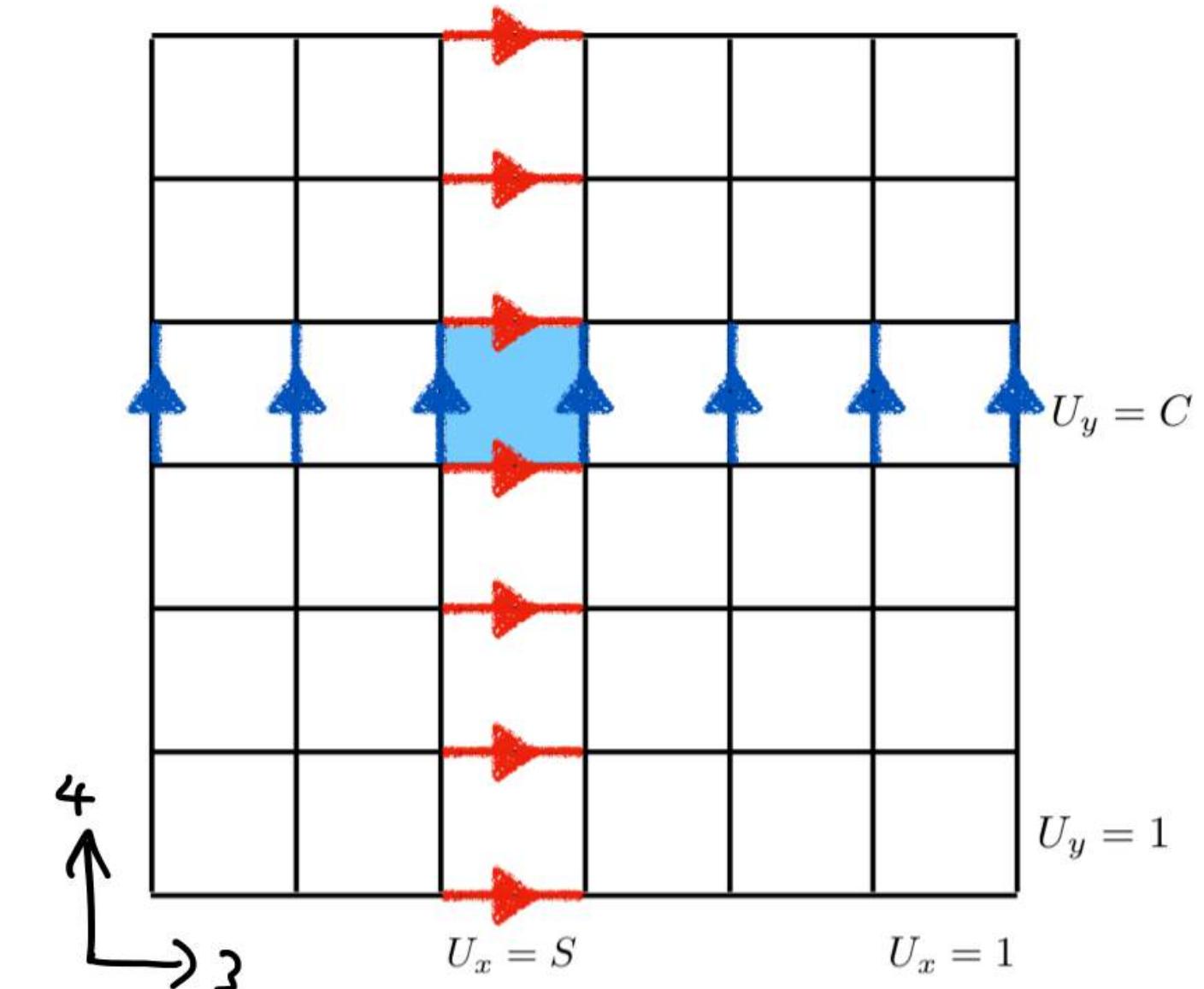
We can minimize this action by setting

$$U_e = \begin{cases} S = \begin{pmatrix} 0 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & 0 \end{pmatrix} \\ C = \begin{pmatrix} 1 & \dots & \omega^{N-1} \\ \vdots & \ddots & \vdots \\ 1 & \dots & 1 \end{pmatrix} \end{cases} \quad \left[ \begin{array}{l} * \text{ Any classical minimum is} \\ \text{gauge equivalent to this one} \end{array} \right]$$

$$\Rightarrow P_3 = S, \quad P_4 = C.$$

This configuration completely preserves

$$\mathbb{Z}_N^{[0]} \times \mathbb{Z}_N^{[0]}$$



# 2d $\mathbb{Z}_N^{(1)}$ symmetry & center vortex

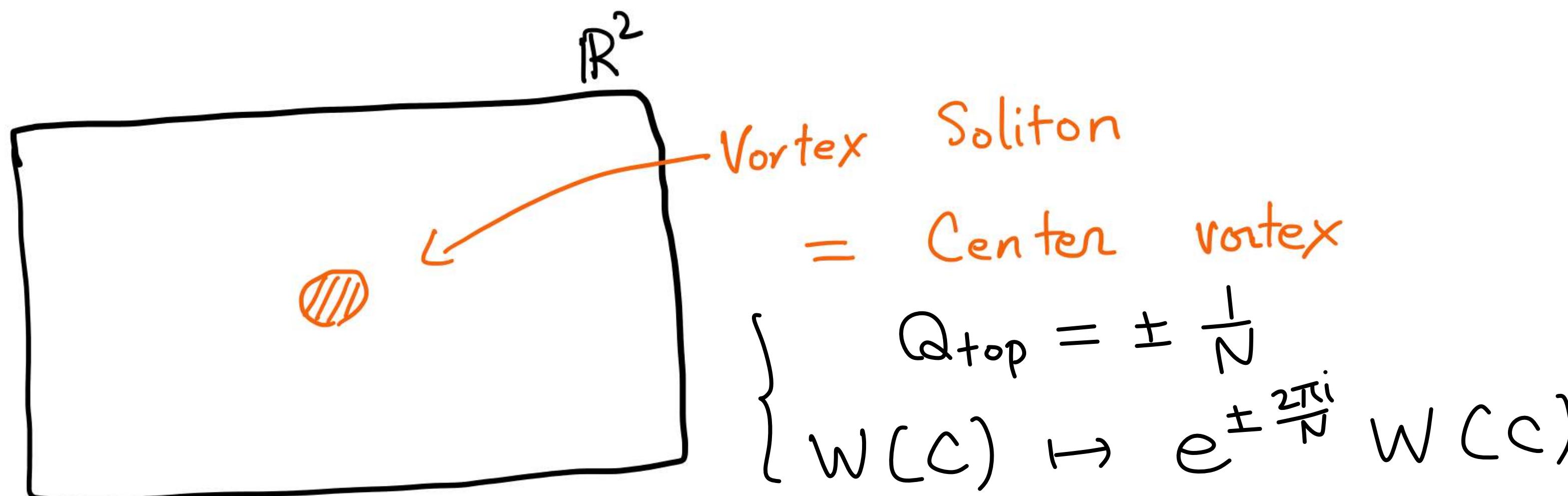
- For 2d effective theory,

$P_3 = S$  and  $P_4 = C$  behave as Adjoint Higgs with orthogonal VEV:

$$SU(N) \xrightarrow{\text{Higgs}} \mathbb{Z}_N.$$

$\Rightarrow$  2d 1-form symmetry is spontaneously broken at classical vacua.

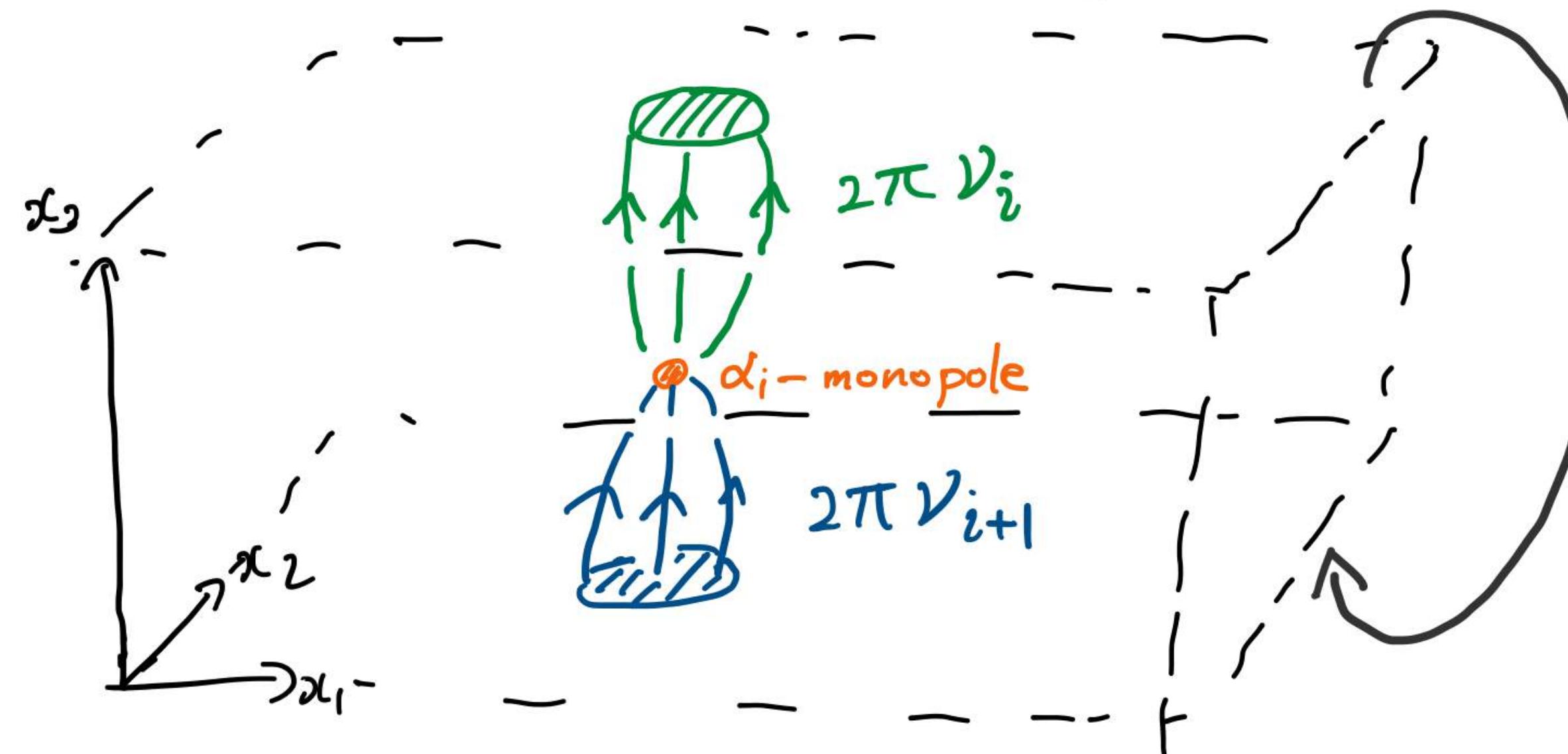
- Key player for the Area law: Center vortex = Fractional instanton



Center vortex on  $\mathbb{R}^2 \times \frac{T^2}{\text{flux}} = \text{KuBLLY monopole instanton}$

$SU(N)$  gauge field on  $\mathbb{R}^3 \times S^1$  w/ nontrivial holonomy:  $N$  fundamental monopoles  
 $\left[ \Rightarrow 3d \text{ semiclassics by Ünsal, ... since 2007} \right]$

$\alpha_i$  - monopole emits the magnetic flux  $2\pi\alpha_i = 2\pi(\nu_i - \nu_{i+1})$ .



$\mathbb{Z}_N$ -twisted b.c. ( $= \text{t Hooft flux on } T^2$ )

$$\begin{pmatrix} \nu \\ \nu \\ \nu \end{pmatrix} \mapsto \begin{pmatrix} \nu \\ \nu \\ \nu \end{pmatrix}$$

[ Hayashi, YT 2405.12402 ]

$\mathbb{Z}_N$ -twisted b.c. gives the perturbative gap  $\frac{2\pi}{NL_3}$   $\Rightarrow$  Magnetic flux localizes.

Monopole = Junction of the center vortex

(cf. Ambjorn, Giedt, Greensite '99, de Forcrand, Pepe '00)

Partition function on  $\overset{\text{M}_2}{\text{M}_2} \times \mathbb{T}^2$  &  $\theta$ -dependence  
 $\rightarrow \mathbb{R}^2$

To make the computation well-defined, we compactify  $\mathbb{R}^2$  to some closed 2-manifold  $M_2$ .

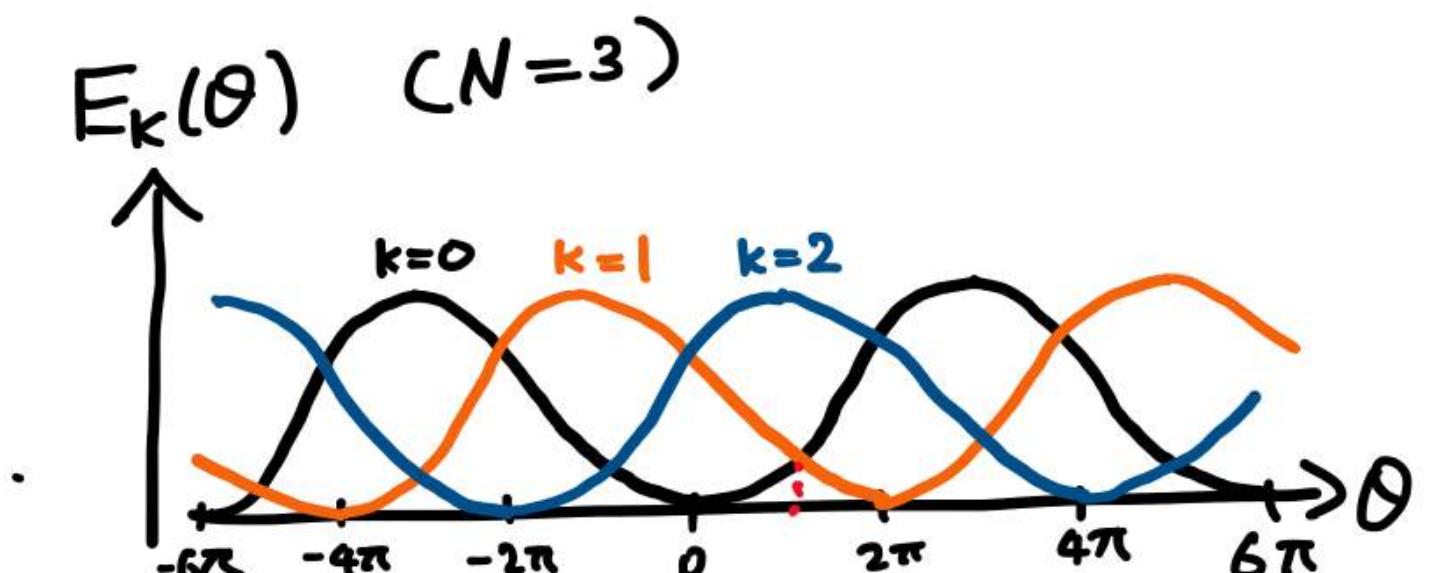
Using the 1-loop vertex of the center vortex

we have

$$\begin{aligned}
 Z(\theta) &= \sum_{n, \bar{n} \geq 0} \frac{\sum_{k=0}^{N-1} e^{\frac{2\pi i}{N} k(n-\bar{n})}}{n! \bar{n}!} \left( V \cdot K e^{-\frac{8\pi^2}{g^2 N} + i \frac{\theta}{N}} \right)^n \left( V \cdot K e^{-\frac{8\pi^2}{g^2 N} - i \frac{\theta}{N}} \right)^{\bar{n}} \\
 &= \sum_{k=0}^{N-1} \exp \left[ -V \left( -2K e^{-\frac{8\pi^2}{g^2 N}} \cos \left( \frac{\theta - 2\pi k}{N} \right) \right) \right]
 \end{aligned}$$

$E_k(\theta)$  : Ground-state energy densities

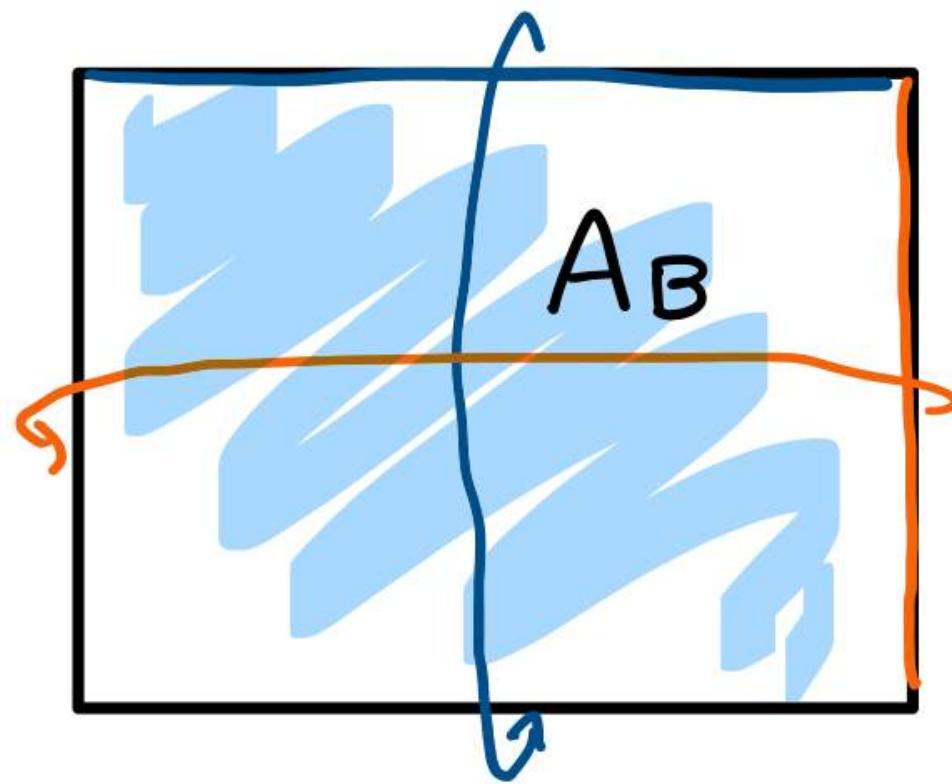
$\Rightarrow \left\{ \begin{array}{l} \bullet \text{N-branch structure of ground states.} \\ \bullet \text{Each branch has a fractional } \theta\text{-dependence.} \end{array} \right.$



# $U(1)_B$ monopole flux & 't Hooft flux on $T^2$

Fundamental quarks explicitly violates  $\mathbb{Z}_N^{(1)}$   $\Rightarrow$  No 't Hooft B.C., naively.

Use  $U(1)_B = \frac{U(1)_g}{\mathbb{Z}_N}$  monopole flux.



Cocycle condition

$$\left\{ \begin{array}{l} \psi(L, x_4) = g_3^+(x_4) e^{-i \frac{\phi_3(x_4)}{N}} \psi(0, x_4) \\ \psi(x_3, L) = \underbrace{g_4^+(x_3)}_{\text{color-transition functions}} \underbrace{e^{-i \frac{\phi_4(x_3)}{N}}}_{U(1)_g\text{-transition functions}} \psi(x_3, 0) \end{array} \right.$$

$$g_3^+(L) g_4^+(0) e^{-i \frac{1}{N} (\phi_3(L) + \phi_4(0))} = g_4^+(L) g_3^+(0) e^{-i \frac{1}{N} (\phi_4(L) + \phi_3(0))}.$$

$$U(1)_B = U(1)_g / \mathbb{Z}_N \text{ monopole flux}$$

$$2\pi = \int_{T^2} dA_B = (\phi_3(L) - \phi_3(0)) - (\phi_4(L) - \phi_4(0))$$

$$\Rightarrow g_3^+(L) g_4^+(0) = g_4^+(L) g_3^+(0) e^{\frac{2\pi i}{N}}$$

't Hooft flux !!

2d Effective Lagrangian on  $\mathbb{R}^2 \times T^2$  w/ baryon - 't Hooft flux

4d QCD  $\xrightarrow[\text{classical & Perturbative}]{}$   $\mathbb{Z}_N$  - Schwinger model  
 Gauge : Gapped gluons & Center vortices  
 Quark : 2d Dirac fermion as 4d Dirac zero modes w/  $\frac{\int dA_B}{T^2} = 2\pi$ .

$\Downarrow$  Bosonization & Semiclassical Analysis

$\left\{ \begin{array}{l} U : 2d U(N_f) - \text{valued field } (\pi, K, \eta \text{ & } \eta') \\ k : \mathbb{Z}_N \text{ discrete variable} \end{array} \right. \quad \begin{array}{l} [\text{YI, Ünsal 2201.06/66}] \\ [\text{Hayashi, YT 2402.02430}] \end{array}$

$$\begin{aligned}
 \mathcal{L}_{\text{2d effective}} &= \frac{1}{8\pi} \text{tr}(\partial_\mu U^\dagger \partial_\mu U) - \text{tr}[M U + \text{c.c.}] \\
 &\quad + i \frac{1}{12\pi} \text{tr}[(U^\dagger d U)^3] \\
 &\quad - e^{-\frac{8\pi^2}{g^2 N}} \left( e^{i \frac{\Theta - 2\pi k}{N}} (\det U)^{1/N} + \text{c.c.} \right) \stackrel{=}{\color{orange}} \cos\left(\frac{\eta + \Theta - 2\pi k}{N}\right)
 \end{aligned}$$

# Revisiting $U(1)_A$ problem

$U(1)_A$ :  $\eta'$  (i.e.  $e^{i\eta'} = \det U$ ) is too massive according to SSB of the chiral symmetry  $SU(N_f)_L \times SU(N_f)_R \times U(1)_V \times U(1)_A$ .

$\Rightarrow U(1)_A$  is not a symmetry of quantum theory!

$\eta'$  gets the mass even if  $M_{\text{quark}} = 0$ .

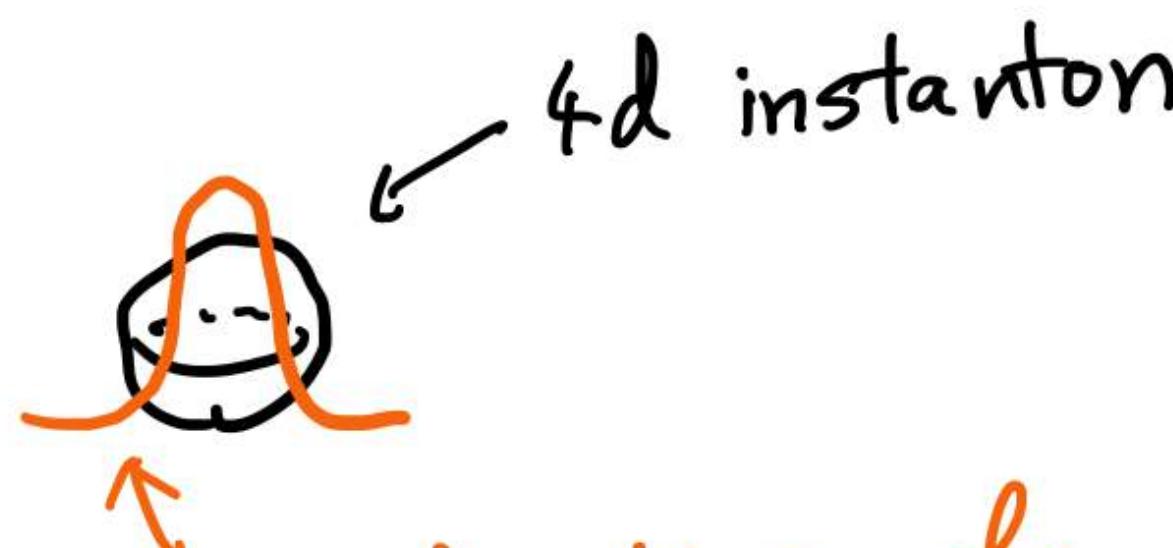
## Previous proposals

- Kobayashi - Maskawa - 't Hooft :  $-\cos(\eta' + \theta)$
- Witten - Veneziano's large  $-N$  :  $\frac{1}{2}(\eta' + \theta)^2 + O\left(\frac{(\eta' + \theta)^4}{N^2}\right)$

$\frac{1}{N}$  fractionalization  
 $\uparrow N \gg 1$

2d center-vortex theory :  $-\cos\left(\frac{\eta' + \theta - 2\pi k}{N}\right)$

How does  $\frac{1}{N}$  appear? What is its fermionic picture?



Localization of  
chiral zero mode

$$e^{-\frac{8\pi^2}{g^2}} \cdot e^{-i\theta} \det U(x)$$

$\mathbb{R}^2 \times \mathbb{T}^2$   
w/ 'tHooft flux  
 $\Rightarrow$



4d instanton =  $N$  independant  
center vortices

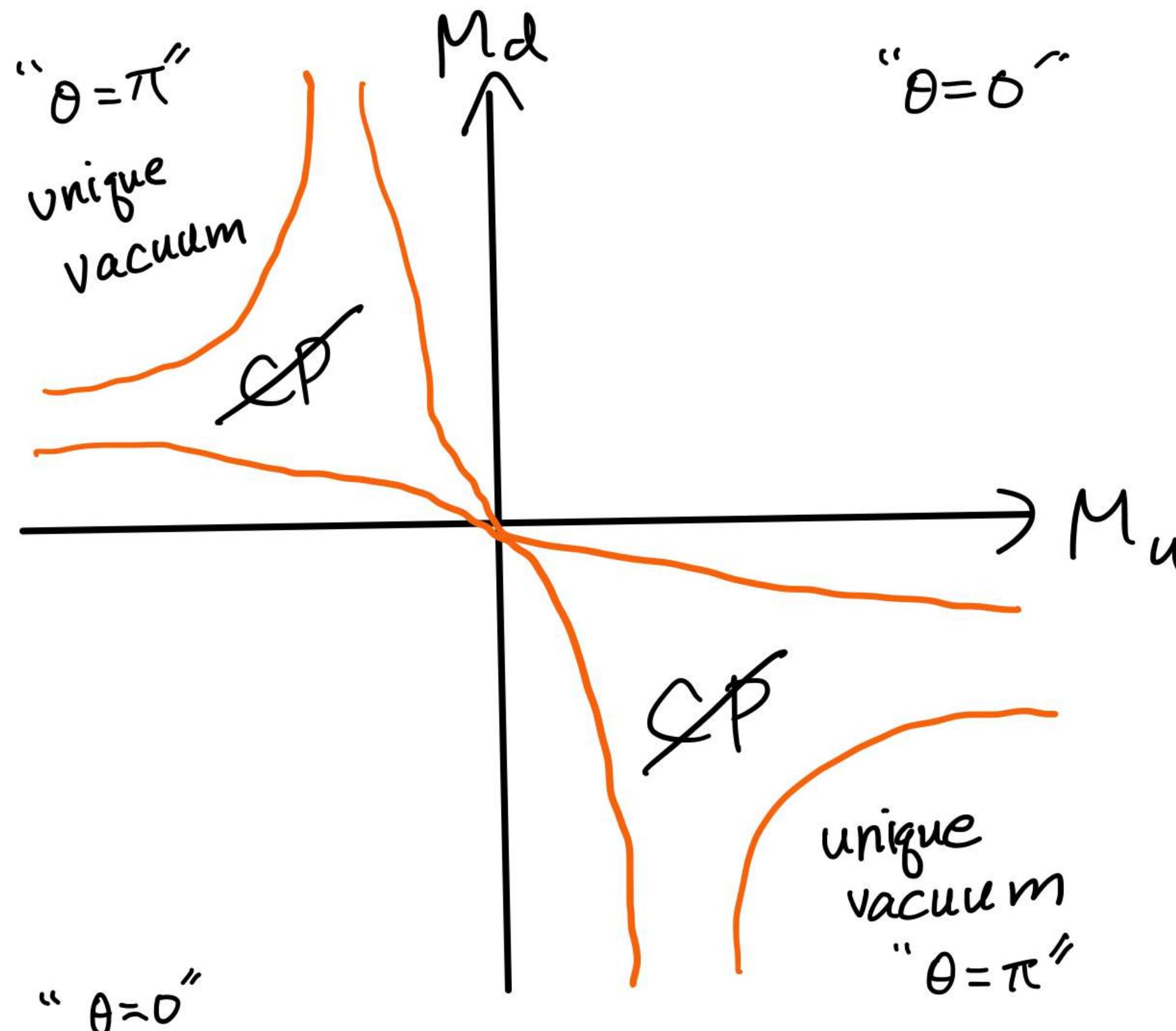
$$\prod_{i=1}^N e^{-\frac{8\pi^2}{g^2 N}} e^{-i\frac{\theta}{N}} (\det U(x_i))^{1/N}$$

each term behaves almost independently

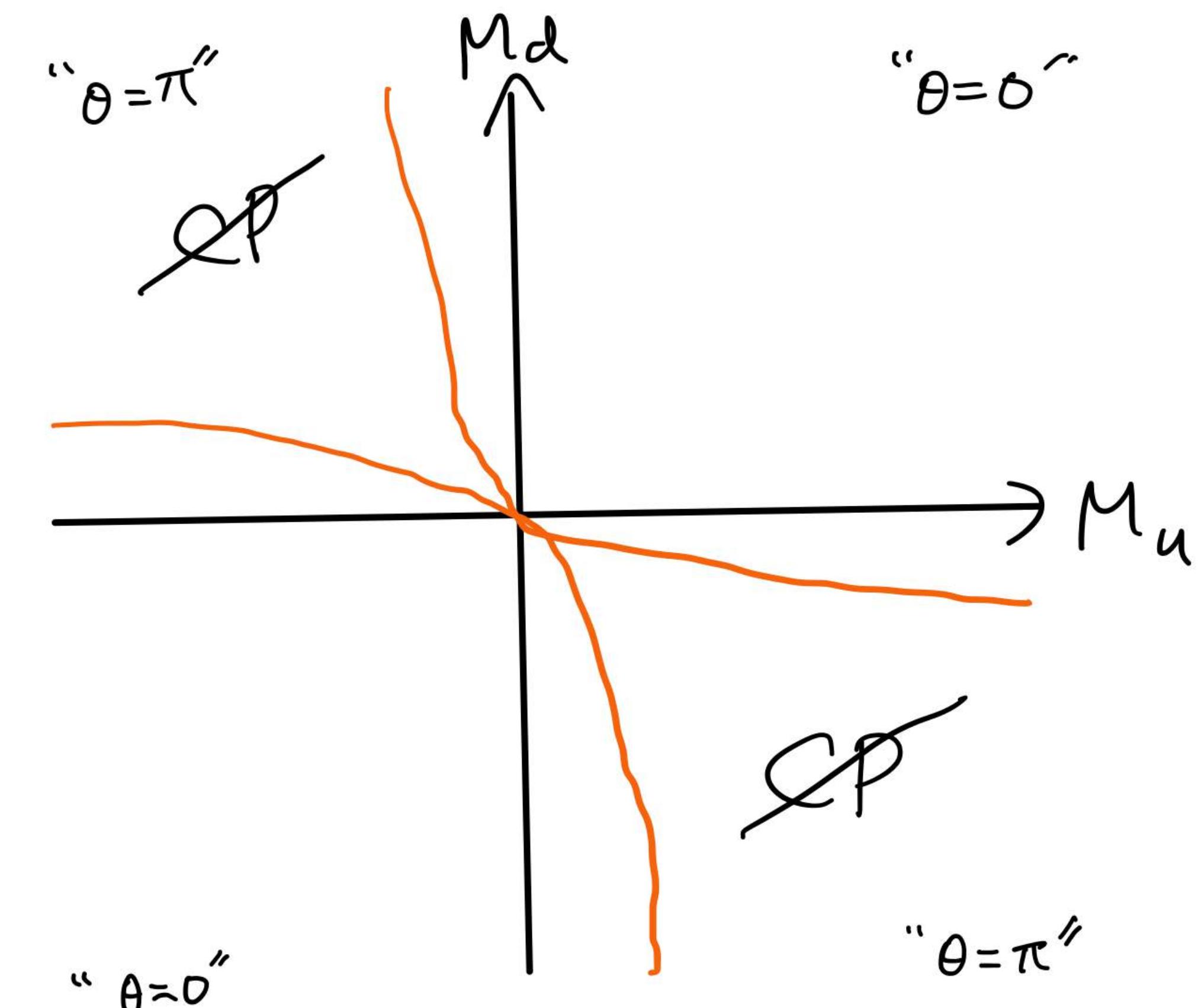
$\frac{1}{N}$  fraction of  
the chiral zero mode

# Phase diagram in $(M_u, M_d)$ space

KMT vertex &  $\frac{1}{N}$ -fractionalized vertex can give different predictions.



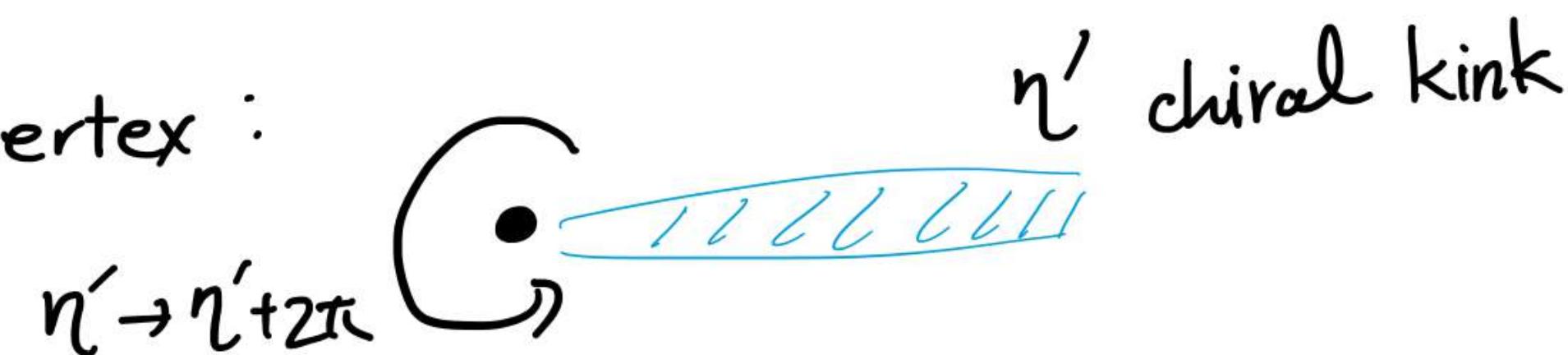
w/ KMT vertex  
 [S.Aoki, Creutz '14]  
 (inconsistent w/ anomaly matching)



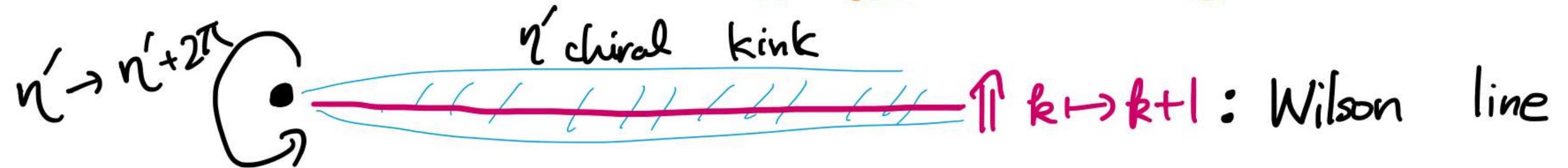
w/  $\frac{1}{N}$ -fractionalized vertex  
 [Hayashi, YT '24]

# $\eta'$ - Vortex

Usual KMT vertex :



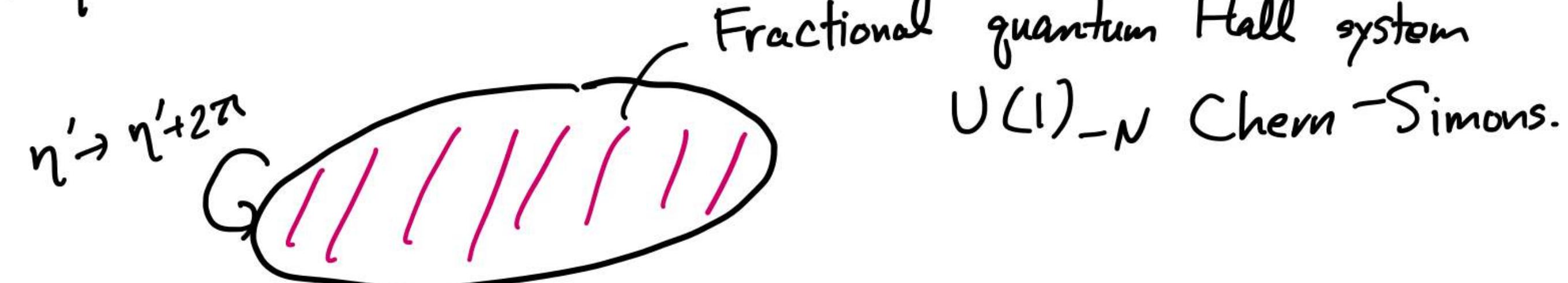
$\frac{1}{N}$  fractionalized vertex :  $V(\eta', k) = -\cos\left(\frac{\eta' + \theta - 2\pi k}{N}\right)$  does not come back even if we shift  $\eta' \rightarrow \eta' + 2\pi$ .  
**k should also jump!**



$\Rightarrow$  In  $\mathbb{R}^2 \times T_{\text{twist}}^2$ ,

$$\boxed{\eta' - \text{vortex} = \text{quark.}}$$

This corresponds to the 2d reduction of the "pancake baryon" construction. (Komargodski 1812.09253)



QCD on  $\mathbb{R}^2 \times T^2$  w/ Baryon- $\frac{1}{4}$  Hooft flux

- Weakly-coupled if  $N \Lambda \ll 1$

~ We can solve QCD analytically!  
↓

2d  $\mathbb{Z}_N$  - Schwinger model

- $\eta'$ -potential involves the discrete label  $k \in \mathbb{Z}_N$ : [YT, Ünsal '22; Hayashi, YT '24]

$$\cancel{-\cos(n' + \theta)} \Rightarrow -\cos\left(\frac{n' + \theta + 2\pi k}{N}\right)$$

- $n'$ -vortex = Boundary of  $U(1)_N$  Chern-Simons quantum liquid