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Forschungsakademie
Hessen für FAIR

DFG Deutsche
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CRC-TR 211

HIC
for **FAIR**
Helmholtz International Center

GOETHE
UNIVERSITÄT
FRANKFURT AM MAIN

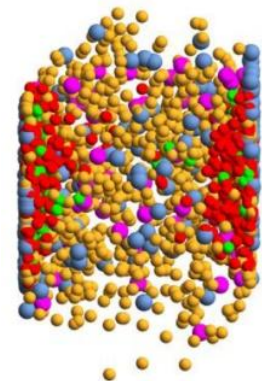


Probing the properties of strongly interacting matter with heavy-ion collisions

Elena Bratkovskaya
(GSI, Darmstadt & Uni. Frankfurt)

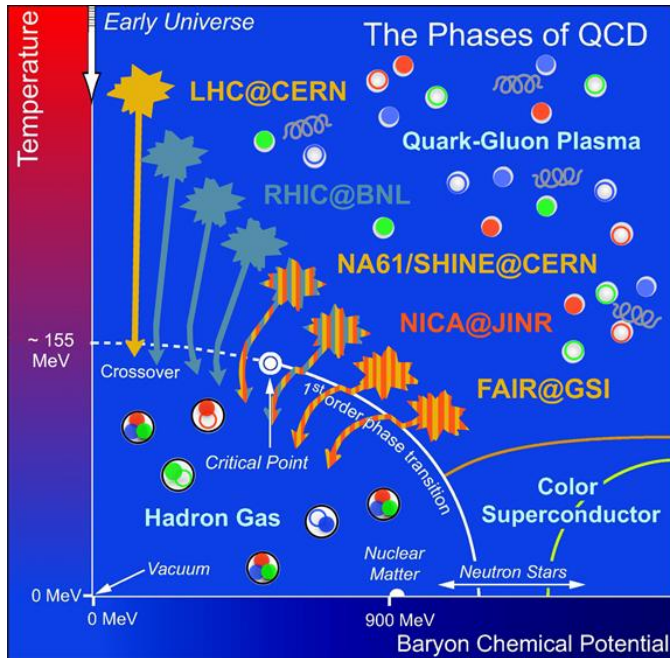


Workshop on recent developments from QCD to
nuclear matter
17 – 20 December 2025
Institute of Physics, Academia Sinica, Taipei



Key questions of HICs :

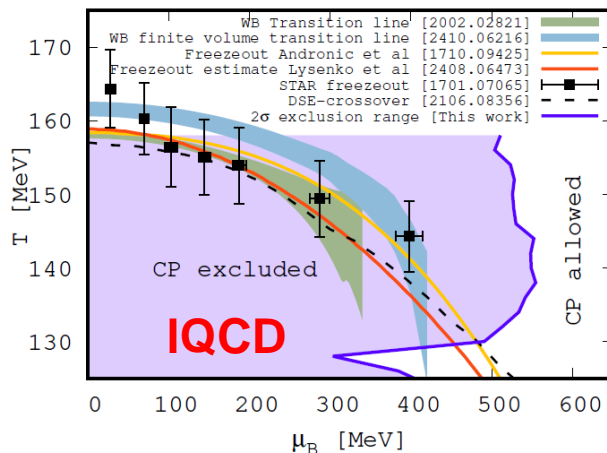
The phase diagram of QCD



- What are the **properties of the hot and dense matter** created in HICs?
- What are the **degrees-of-freedom**, their properties and interactions?

QGP: strongly interacting liquid
→ non-perturbative QCD

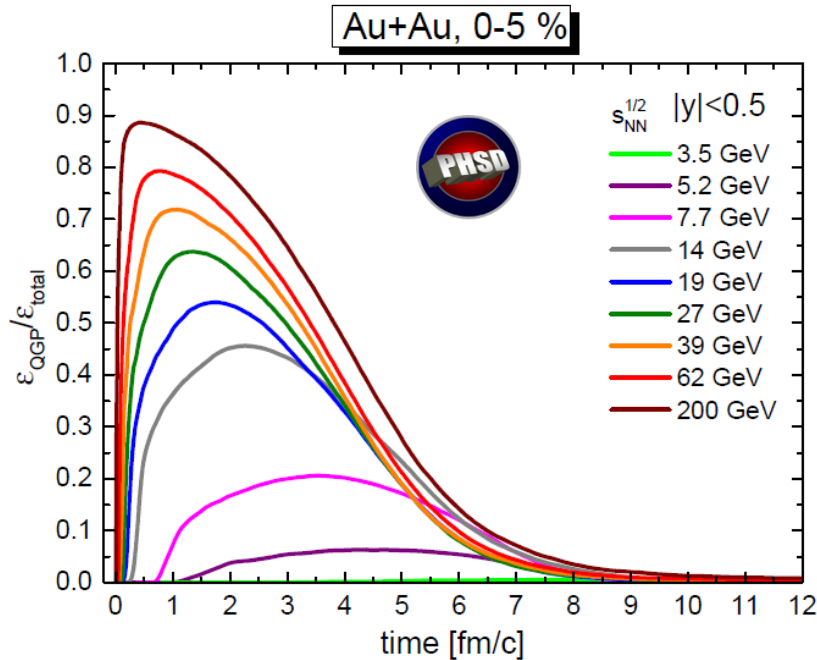
Hadronic matter: highly compressed and hot medium
→ chiral symmetry restoration effects



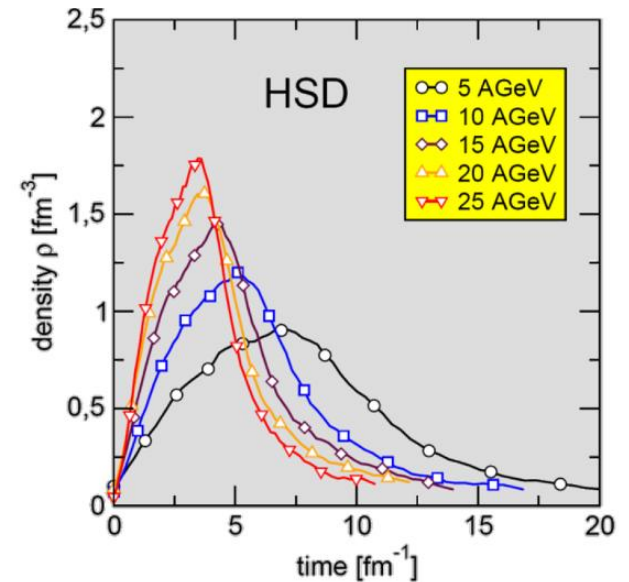
- Origin of the **phase transition**:
crossover → ?... → 1st or 2nd order?!
- **Strong electromagnetic fields** are created during the HICs
→ polarization phenomena

Dense and hot matter created in HICs

Time evolution of the partonic energy fraction



Time evolution of the baryon density ρ



A. W. R. Jorge et al., 2503.05253

Large energy and baryon densities (above critical $\epsilon > \epsilon_{\text{crit}} \sim 0.4 \text{ GeV}/\text{fm}^3$) are reachable in central reactions at FAIR/BES STAR energies

→ phase transition from hadronic matter to QGP

→ strong in-medium modification of hadron properties (chiral symmetry restoration)

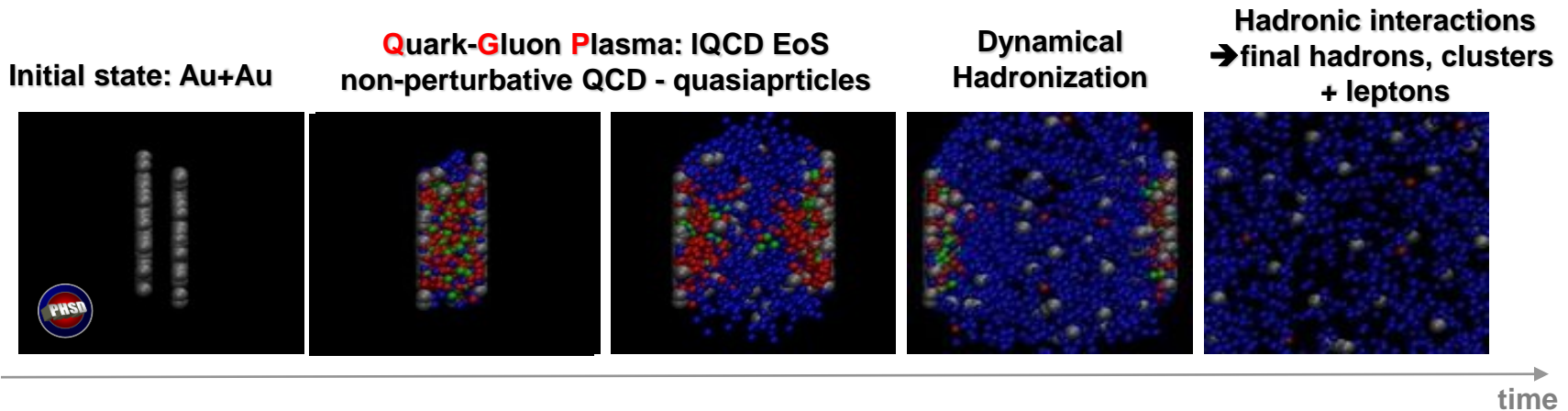
Dynamical description of strongly interacting matter



Goal: Microscopic modeling of heavy-ion collisions

PHSD & PHQMD
Parton-Hadron-String Dynamics & Parton-Hadron-Quantum-Molecular Dynamics

is a **unified non-equilibrium microscopic transport approach** for the description of the dynamics of strongly-interacting **hadronic and partonic matter** created in heavy-ion collisions and $p+A$, $p+p$, $\pi+A$ reactions from SIS to LHC energies



→ provides a **continuous description of the HIC dynamics**:
– no artificial transition from micro- to macro-description as in hydro-type models, no jump in entropy and energy density

* PHSD, PHQMD are open source codes, available for experimental collaborations



Degrees-of-freedom of the QGP

For the microscopic transport description of the system one **needs to know all degrees of freedom** as well as their properties and interactions!

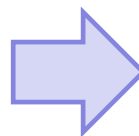
❖ IQCD gives QGP EoS at finite (T, μ_B)



! needs to be interpreted in terms of **degrees-of-freedom**

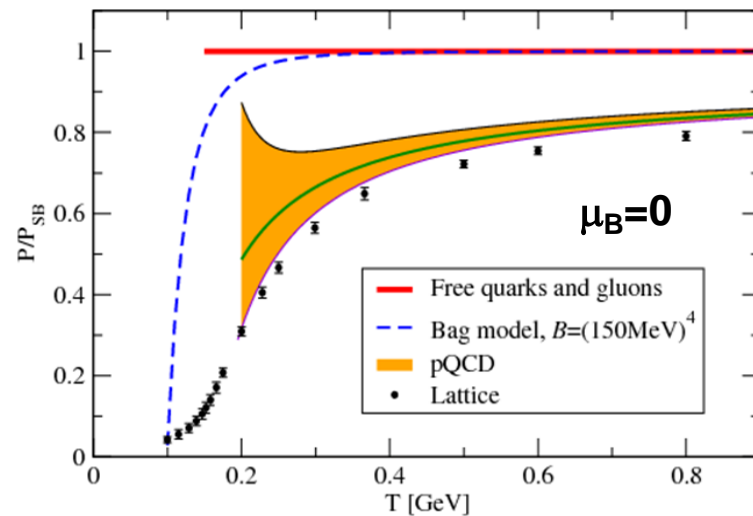
pQCD:

- weakly interacting system
- massless quarks and gluons



Thermal (non-perturbative) QCD:

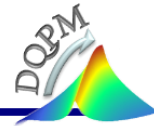
- strongly interacting system
- massive quarks and gluons



Non-perturbative QCD ← pQCD

→ Quasiparticles = effective degrees-of-freedom

QGP: Dynamical QuasiParticle Model (DQPM)



DQPM – effective model for the description of **non-perturbative** (strongly interacting) QCD based on **IQCD EoS**

Degrees-of-freedom: strongly interacting **dynamical quasiparticles** - quarks and gluons

Theoretical basis :

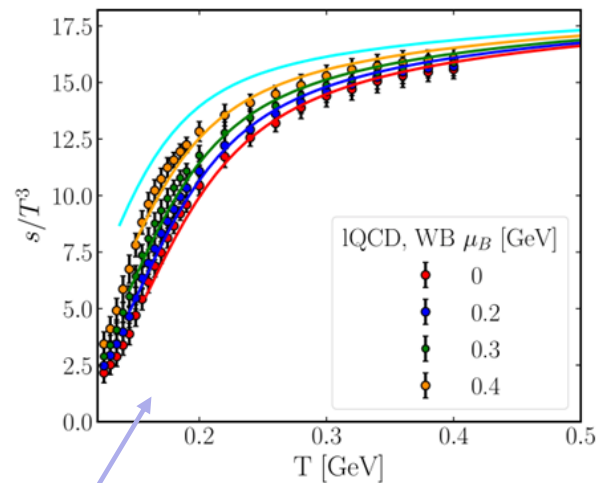
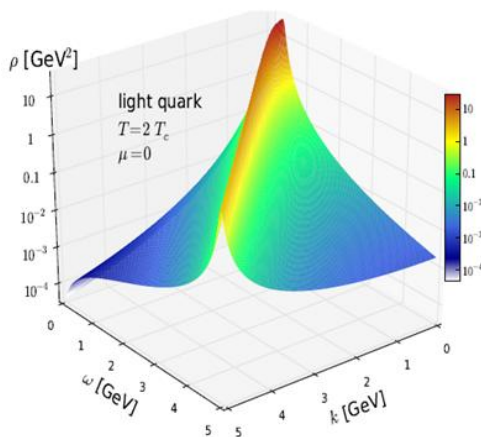
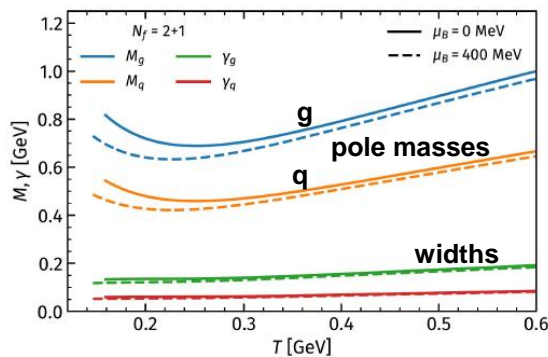
□ ,resummed' single-particle Green's functions \rightarrow quark (gluon) propagator (2PI) : $G_q^{-1} = P^2 - \Sigma_q$

Properties of the quasiparticles are specified by scalar **complex self-energies**: $\Sigma_q = M_q^2 - i2\gamma_q\omega$

$Re\Sigma_q$: **thermal masses** (M_g, M_q); $Im\Sigma_q$: **interaction widths** (γ_g, γ_q) \rightarrow spectral functions $\rho_q = -2ImG_q$

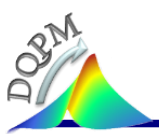
- introduce an **ansatz** (HTL; with few parameters) for the (T, μ_B) dependence of masses/widths
- evaluate the **QGP thermodynamics** in equilibrium using the Kadanoff-Baym theory
- fix DQPM parameters by comparison of the DQPM entropy density to **IQCD** at $\mu_B=0$

\rightarrow Quasi-particle properties at (T, μ_B) :



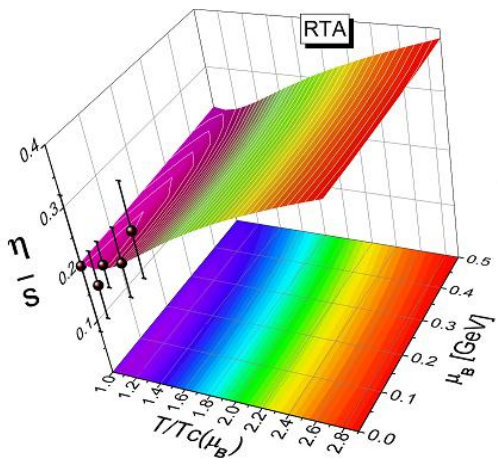
\rightarrow very good agreement with IQCD data for QGP thermodynamics at finite (T, μ_B)

• **DQPM** provides **mean-fields** (1PI) for q,g and **effective 2-body partonic interactions** (2PI); gives **transition rates** for the hadronization \rightarrow **sQGP in PHSD**

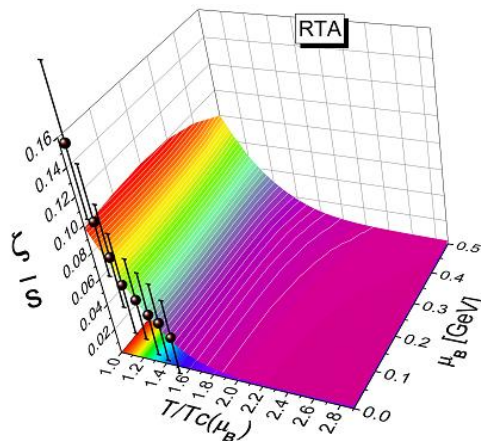


Transport coefficients: DQPM vs IQCD

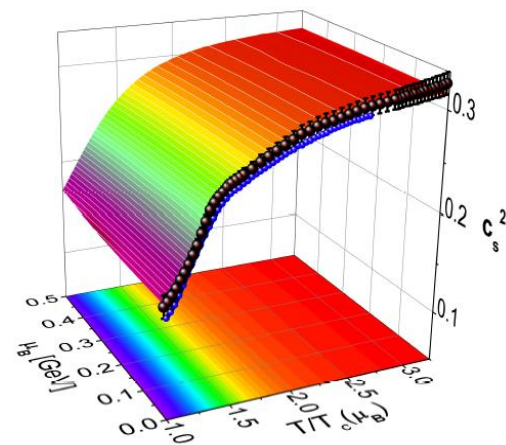
η/s versus (T, μ_B)



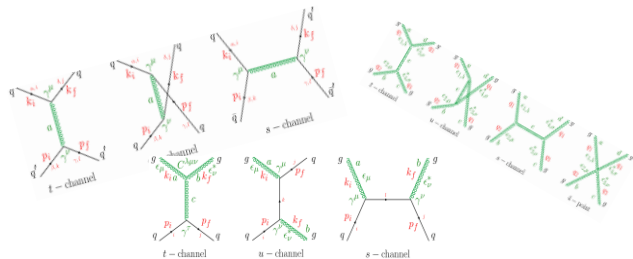
Bulk viscosity ζ/s



Speed of sound c_s^2



P. Moreau et al., PRC100 (2019) 014911;
O. Soloveva et al., PRC110 (2020) 045203

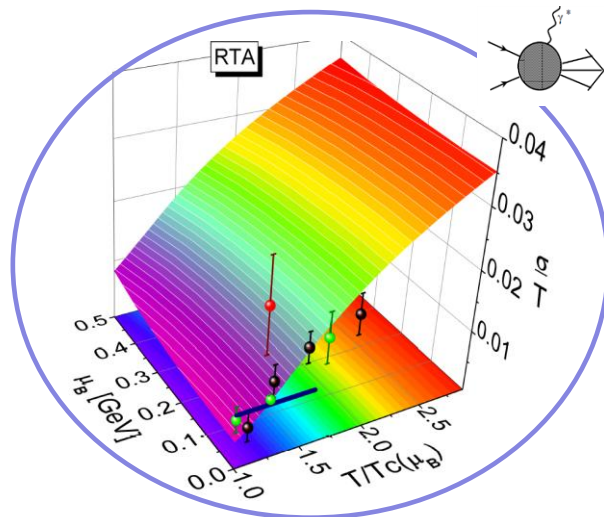


Full diffusion coefficient matrix:

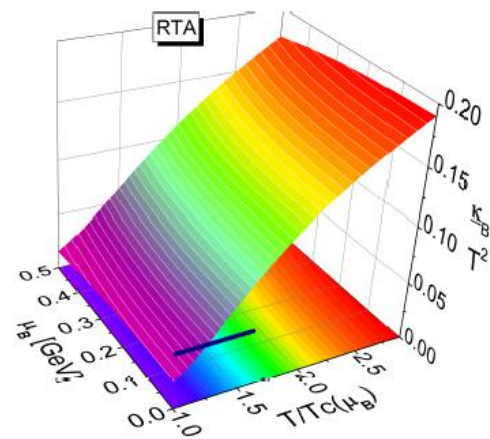
$$\begin{pmatrix} j_B^\mu \\ j_Q^\mu \\ j_S^\mu \end{pmatrix} = \begin{pmatrix} \kappa_{BB} & \kappa_{BQ} & \kappa_{BS} \\ \kappa_{QB} & \kappa_{QQ} & \kappa_{QS} \\ \kappa_{SB} & \kappa_{SQ} & \kappa_{SS} \end{pmatrix} \cdot \begin{pmatrix} \nabla^\mu \alpha_B \\ \nabla^\mu \alpha_Q \\ \nabla^\mu \alpha_S \end{pmatrix}$$

J. A. Fotakis et al., PRD 104 (2021) , 034014

Electric conductivity σ_e/T



Baryon diffusion coefficient κ_B/T^2



➔ Weak dependence of transport coefficients on μ_B

**Dynamical description of strongly
interacting hot and dense medium:**

from BUU to Kadanoff-Baym

From weakly to strongly interacting systems

In-medium effects (on hadronic or partonic levels) = changes of particle properties in a hot and dense medium

Examples: **hadronic medium** - vector mesons, strange mesons, baryons

QGP – dressing of partons

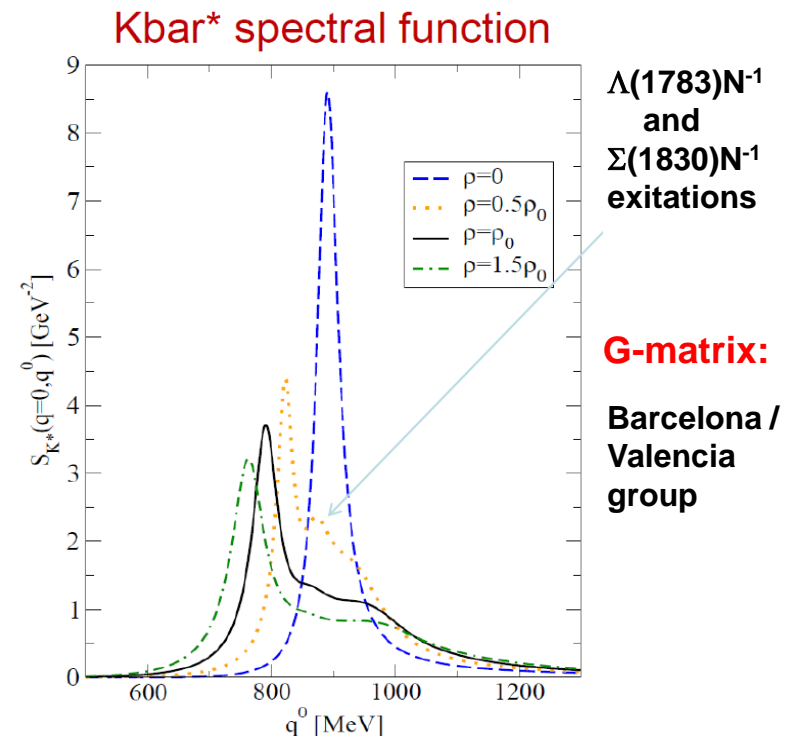
Many-body theory:

Strong interaction → large widths → broad spectral functions → **quantum objects**

▪ How to describe the dynamics of broad strongly interacting quantum states in transport theory?

Semi-classical on-shell BUU: applies for weakly interacting systems of particles

It is doable with **quantum Kadanoff-Baym equations**



Dynamical description of strongly interacting systems

Quantum field theory →

Kadanoff-Baym dynamics for resummed single-particle Green functions $S^<$

(1962)

$$\hat{S}_{0x}^{-1} S_{xy}^< = \Sigma_{xz}^{ret} \odot S_{zy}^< + \Sigma_{xz}^< \odot S_{zy}^{adv}$$

Integration over the intermediate spacetime

Green functions $S^<$ / self-energies Σ :

$$iS_{xy}^< = \eta \langle \{ \Phi^+(y) \Phi(x) \} \rangle$$

$$iS_{xy}^> = \langle \{ \Phi(y) \Phi^+(x) \} \rangle$$

$$iS_{xy}^c = \langle T^c \{ \Phi(x) \Phi^+(y) \} \rangle - \text{causal}$$

$$iS_{xy}^a = \langle T^a \{ \Phi(x) \Phi^+(y) \} \rangle - \text{anticausal}$$

$$S_{xy}^{ret} = S_{xy}^c - S_{xy}^< = S_{xy}^> - S_{xy}^a - \text{retarded}$$

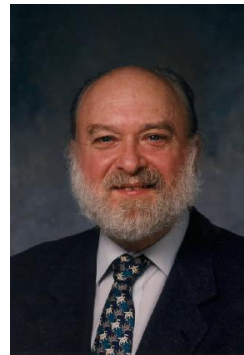
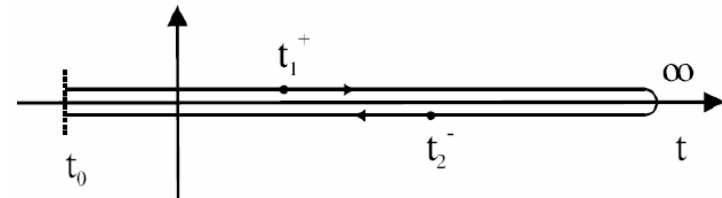
$$S_{xy}^{adv} = S_{xy}^c - S_{xy}^> = S_{xy}^< - S_{xy}^a - \text{advanced}$$

$$\hat{S}_{0x}^{-1} \equiv -(\partial_x^\mu \partial_\mu^x + M_0^2)$$

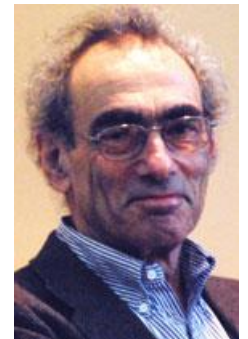
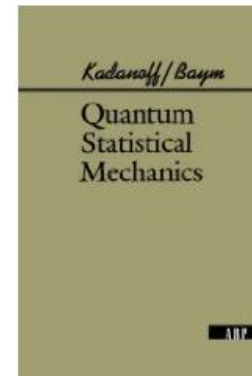
$$\eta = \pm 1 (\text{bosons / fermions})$$

$$T^a (T^c) - (\text{anti-})\text{time - ordering operator}$$

Real-time (Keldysh-) Contour



Leo Kadanoff



Gordon Baym

1st application for a spatially homogeneous system with deformed Fermi sphere:

P. Danielewicz, Ann. Phys. 152, 305 (1984); ... H.S. Köhler, Phys. Rev. 51, 3232 (1995); ...

Off-shell propagation: Kadanoff-Baym

After the first order gradient expansion of the Wigner transformed Kadanoff-Baym equations and separation into the real and imaginary parts one gets:

Generalized transport equations (GTE):

$$\underbrace{\diamond \{ P^2 - M_0^2 - \text{Re} \Sigma_{XP}^{\text{ret}} \}}_{\text{drift term}} \underbrace{\{ S_{XP}^< \}}_{\text{Vlasov term}} - \underbrace{\diamond \{ \Sigma_{XP}^< \} \{ \text{Re} S_{XP}^{\text{ret}} \}}_{\text{backflow term}} = \frac{i}{2} [\Sigma_{XP}^> S_{XP}^< - \Sigma_{XP}^< S_{XP}^>]$$

collision term = ,gain' - ,loss' term

off-shell behavior

W. Botermans, R. Malfliet,
Phys. Rep. 198 (1990) 115

- KB propagates 1-body 2-point Green functions $S^<(x,p) \rightarrow A(x,p) * N(x,p)$ in 8 dimensions
- $S^<$ carries information not only on the **occupation number** N_{XP} (as BUU), but also on the particle properties, interactions and correlations via the **spectral function** A_{XP}

Spectral function:

$$A_{XP} = \frac{\Gamma_{XP}}{(P^2 - M_0^2 - \text{Re} \Sigma_{XP}^{\text{ret}})^2 + \Gamma_{XP}^2/4}$$

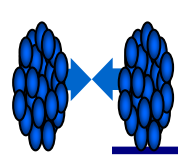
Reaction rate of particle:

$$\Gamma_{XP} = -\text{Im} \Sigma_{XP}^{\text{ret}} = 2 p_0 \Gamma$$

On-shell limit of KB:

- $A_{XP} \rightarrow \delta(p^2 - M^2)$ or A_{XP} has a constant shape in a medium, i.e. $\nabla_X \Gamma = 0$, $\nabla_P \Gamma = 0$
- **backflow term vanishes: KB \rightarrow BUU**

\rightarrow Generalized Cassing-Juchem off-shell equations of motion for testparticles \rightarrow PHSD



Generalized testparticle off-shell equations of motion

W. Cassing , S. Juchem, NPA 665 (2000) 377; 672 (2000) 417; 677 (2000) 445

□ Employ **testparticle Ansatz** for the real valued quantity $i S^<_{XP}$

$$F_{XP} = A_{XP} N_{XP} = i S^<_{XP} \sim \sum_{i=1}^N \delta^{(3)}(\vec{X} - \vec{X}_i(t)) \delta^{(3)}(\vec{P} - \vec{P}_i(t)) \delta(P_0 - \epsilon_i(t))$$

insert in generalized transport equations and determine **equations of motion !**

➔ **Generalized testparticle Cassing-Juchem off-shell equations of motion**
for the time-like particles:

$$\begin{aligned} \frac{d\vec{X}_i}{dt} &= \frac{1}{1 - C_{(i)}} \frac{1}{2\epsilon_i} \left[2\vec{P}_i + \vec{\nabla}_{P_i} \text{Re}\Sigma_{(i)}^{\text{ret}} + \frac{\epsilon_i^2 - \vec{P}_i^2 - M_0^2 - \text{Re}\Sigma_{(i)}^{\text{ret}}}{\Gamma_{(i)}} \vec{\nabla}_{P_i} \Gamma_{(i)} \right], \\ \frac{d\vec{P}_i}{dt} &= -\frac{1}{1 - C_{(i)}} \frac{1}{2\epsilon_i} \left[\vec{\nabla}_{X_i} \text{Re}\Sigma_{(i)}^{\text{ret}} + \frac{\epsilon_i^2 - \vec{P}_i^2 - M_0^2 - \text{Re}\Sigma_{(i)}^{\text{ret}}}{\Gamma_{(i)}} \vec{\nabla}_{X_i} \Gamma_{(i)} \right], \\ \frac{d\epsilon_i}{dt} &= \frac{1}{1 - C_{(i)}} \frac{1}{2\epsilon_i} \left[\frac{\partial \text{Re}\Sigma_{(i)}^{\text{ret}}}{\partial t} + \frac{\epsilon_i^2 - \vec{P}_i^2 - M_0^2 - \text{Re}\Sigma_{(i)}^{\text{ret}}}{\Gamma_{(i)}} \frac{\partial \Gamma_{(i)}}{\partial t} \right], \\ \text{with } F_{(i)} &\equiv F(t, \vec{X}_i(t), \vec{P}_i(t), \epsilon_i(t)) \\ C_{(i)} &= \frac{1}{2\epsilon_i} \left[\frac{\partial}{\partial \epsilon_i} \text{Re}\Sigma_{(i)}^{\text{ret}} + \frac{\epsilon_i^2 - \vec{P}_i^2 - M_0^2 - \text{Re}\Sigma_{(i)}^{\text{ret}}}{\Gamma_{(i)}} \frac{\partial}{\partial \epsilon_i} \Gamma_{(i)} \right] \end{aligned}$$

Realized in PHSD



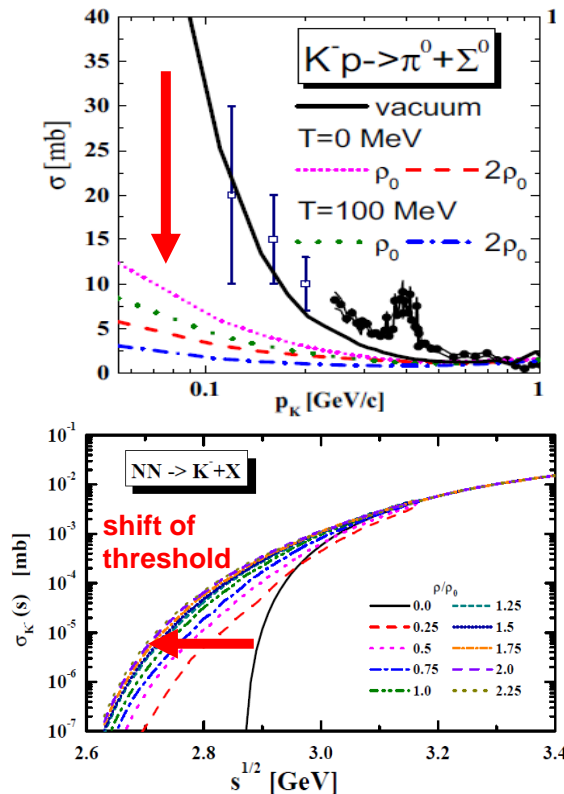
Note: the common factor $1/(1-C_{(i)})$ can be absorbed in an ,eigentime‘ of particle (i) !

Off-shell dynamics for antikaons at SIS energies

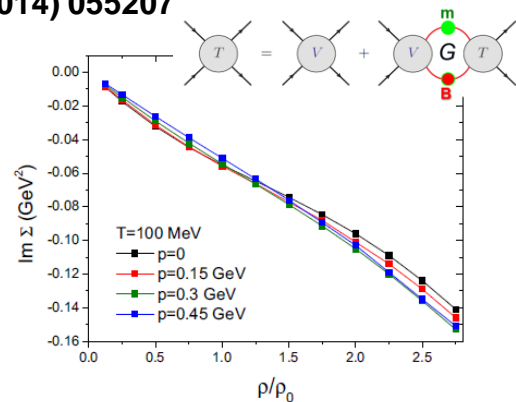
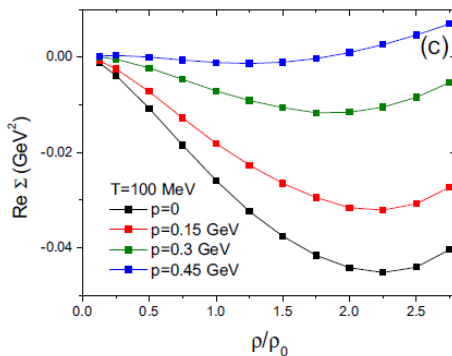
Spectral function of K^- within the **G-matrix** approach:

$$S_{\bar{K}}(k_0, \vec{k}; T) = -\frac{1}{\pi} \frac{\text{Im} \Sigma_{\bar{K}}(k_0, \vec{k}; T)}{|k_0^2 - \vec{k}^2 - m_{\bar{K}}^2 - \Sigma_{\bar{K}}(k_0, \vec{k}; T)|^2}$$

In-medium cross sections for K^- production and absorption are strongly modified in the medium:



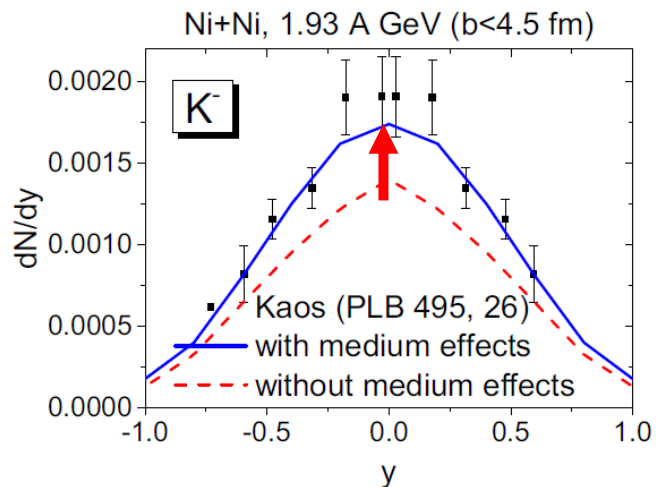
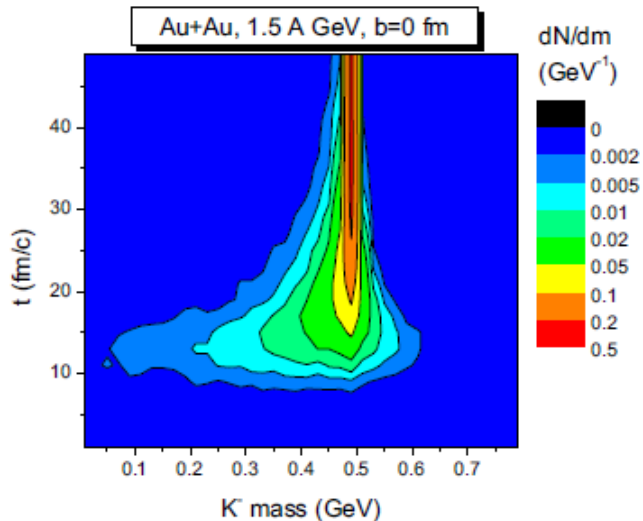
D. Cabrera et al., PRC 90 (2014) 055207



Time evolution of the K^- masses



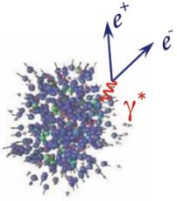
In-medium effects are mandatory for the description of experimental K^- spectra



Electromagnetic probes of the strongly interaction matter: dileptons



Physics with dileptons

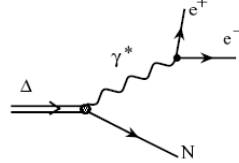


Low mass dileptons

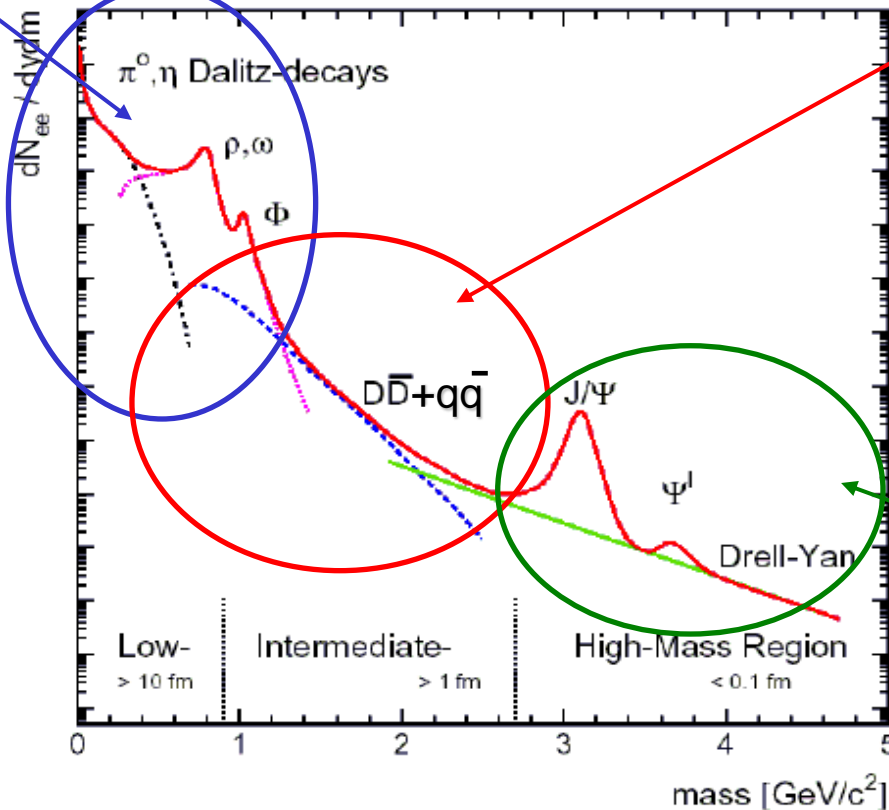
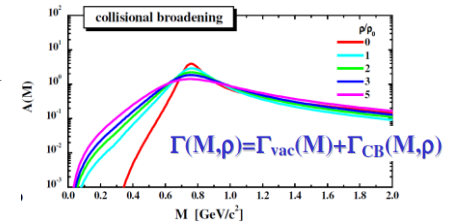
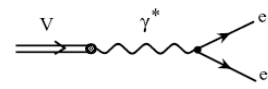
- probe of **hadronic in-medium effects** – **chiral symmetry restoration**

(late time emission)

- Bremsstrahlung
- Dalitz decay of mesons and baryons ($\pi^0, \eta, \Delta, \dots$)

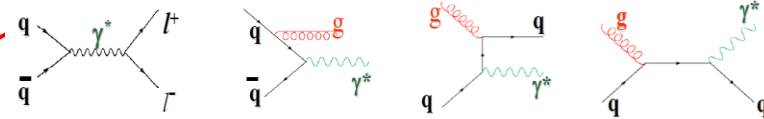


- direct decay of vector mesons

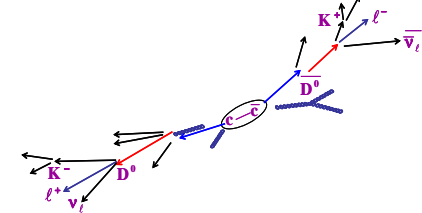


Intermediate mass dileptons

- probe of **'thermal QGP'**



- correlated **D+Dbar** pairs



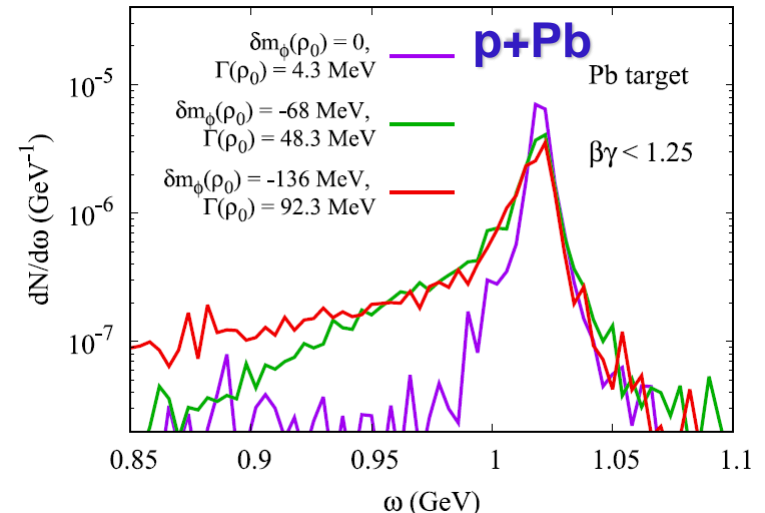
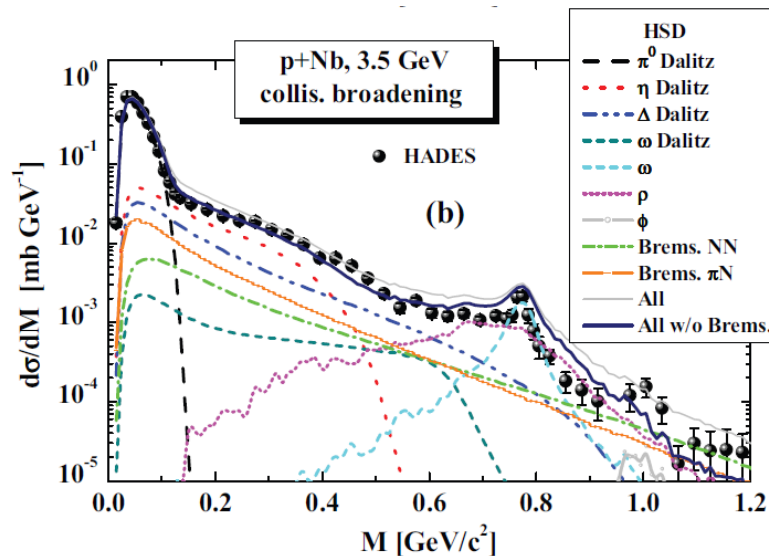
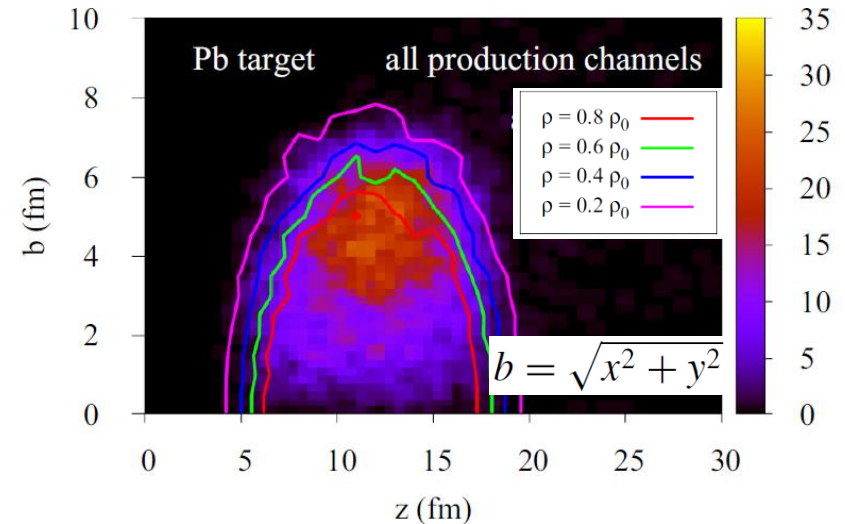
High-mass dileptons

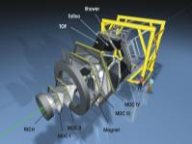
- probe of **pQGP (DY)** and **hard probes** (early time emission)

- p+A and π +A reactions probe the nuclear matter at **normal nuclear density ρ_0**
- **vector mesons (ρ , ω , ϕ)** are produced dominantly at the surface and travel through the nuclei
 - ➔ **medium effects:**
 - dropping mass
 - collisional broadening
 - coll. broad. + dropping mass

ϕ -meson: in-medium effects in p+A, 13 GeV/c

P. Gubler et al, PRC111 (2025) 034908; 2507.00420

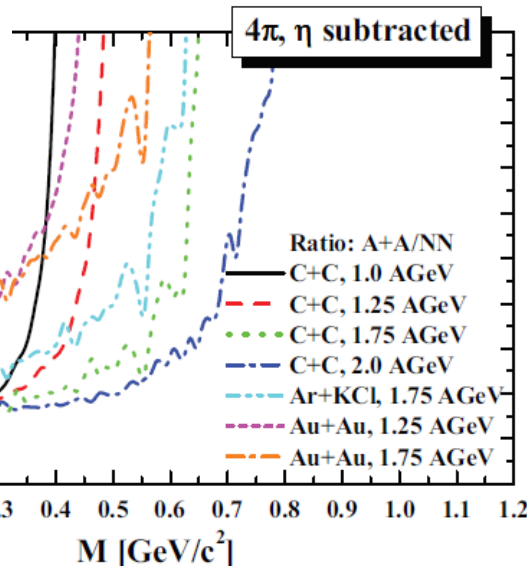
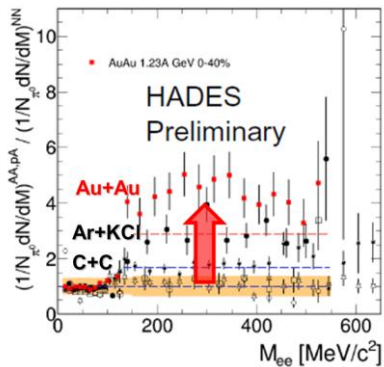
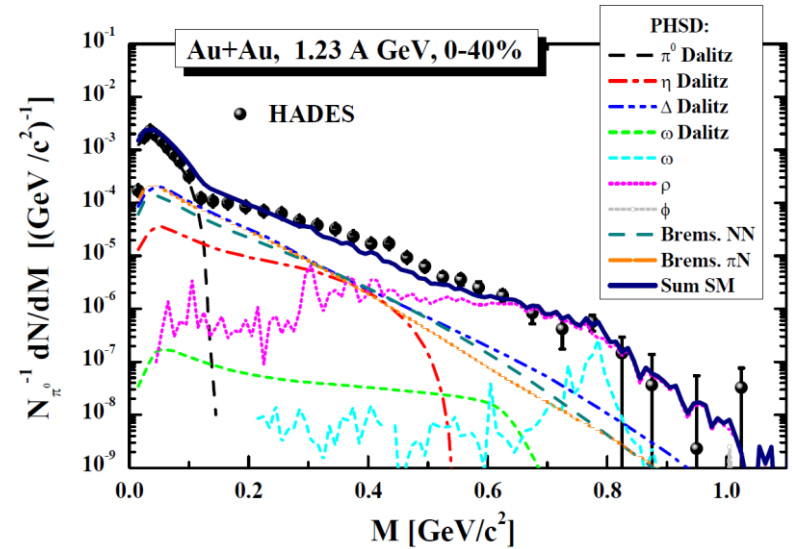
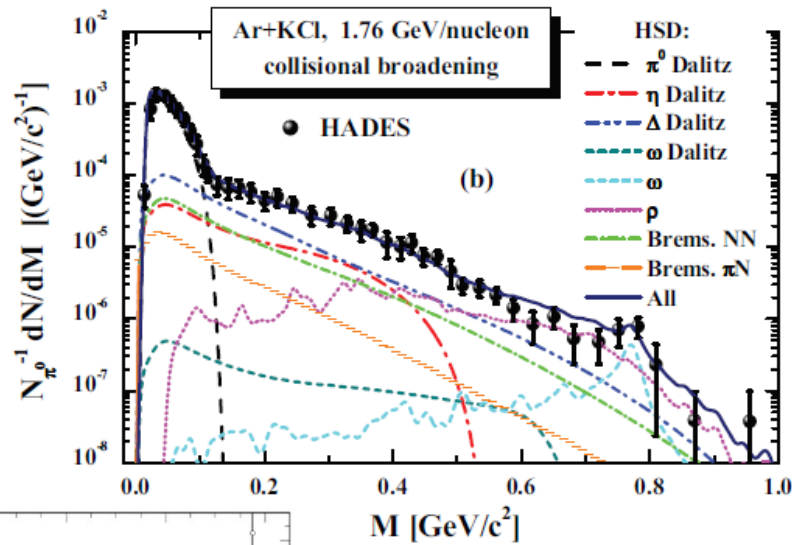




Dileptons at SIS energies - HADES

E. B., J. Aichelin, M. Thomere, S. Vogel, M. Bleicher, PRC 87 (2013) 064907

I. Schmidt, E.B., M. Gumberidze, R. Holzmann, PRD 104 (2021), 015008



- Strong in-medium enhancement of dilepton yield in Au+Au vs. NN**
- Increases with the system size:**

- 1) **multiple Δ regeneration** – dilepton emission from intermediate Δ 's which are part of the reaction cycles $\Delta \rightarrow \pi N$; $\pi N \rightarrow \Delta$ and $NN \rightarrow N\Delta$; $N\Delta \rightarrow NN$
- 2) **pN bremsstrahlung** which scales with N_{bin} and not with N_{part} , i.e. pions
- 3) **Collisional broadening** of ρ, ω, ϕ mesons

Dilepton spectra

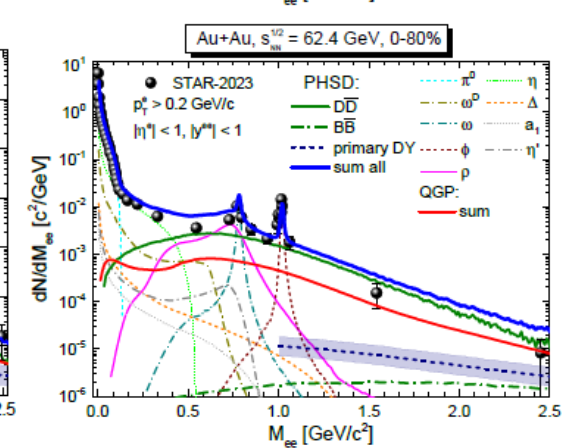
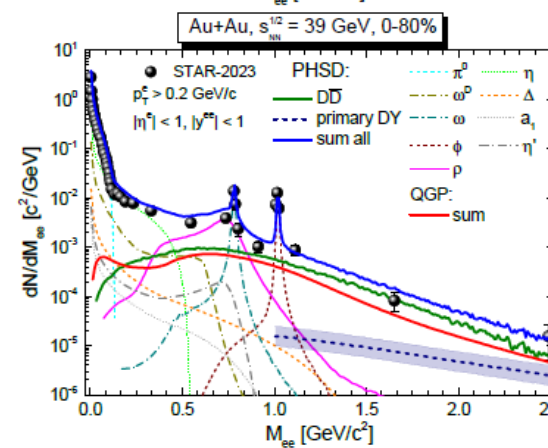
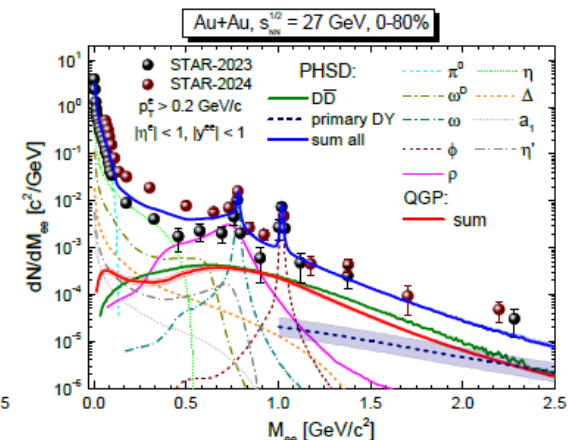
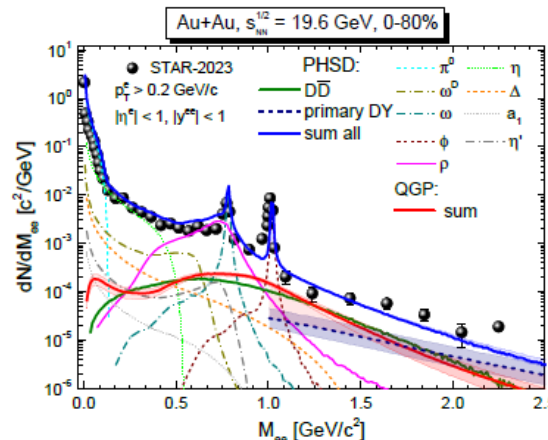
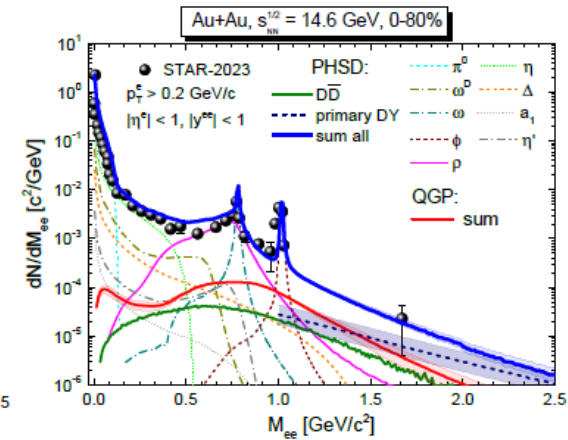
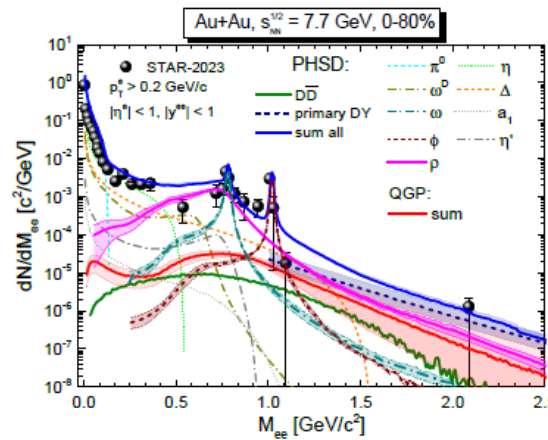
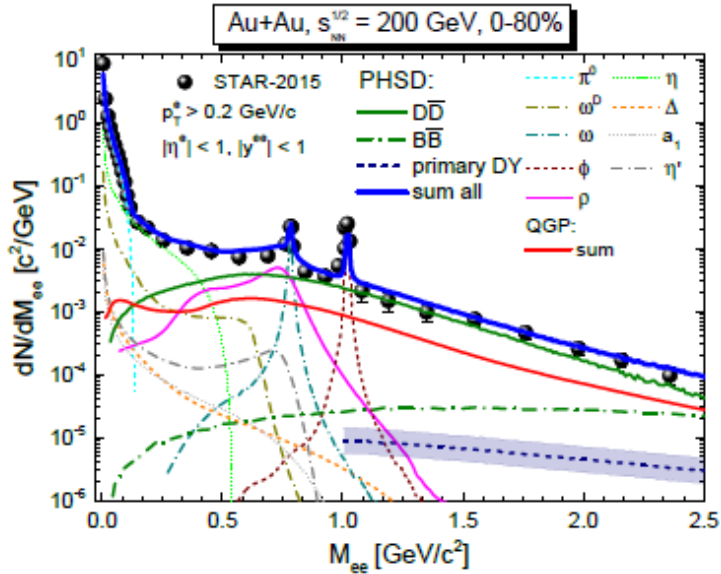
PHSD dilepton spectra including:

a **collisional broadening** of the **vector meson** spectral functions

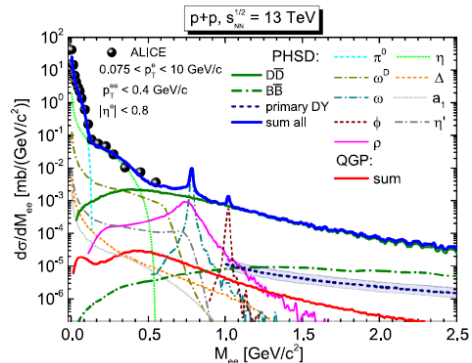
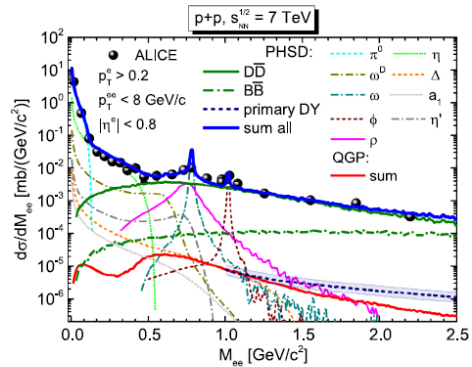
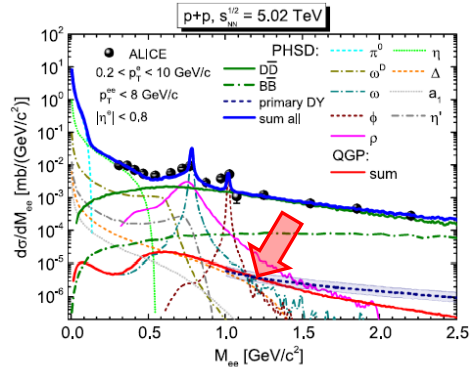
+ primary DY

+ correlated charm + beauty

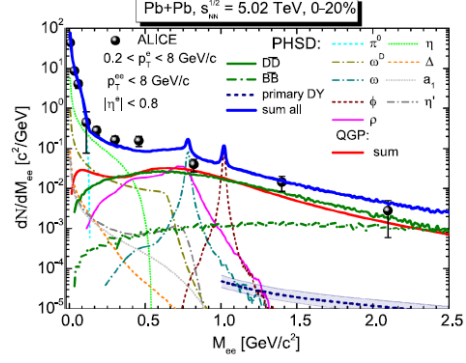
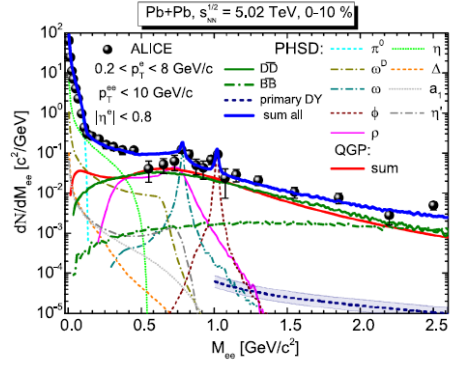
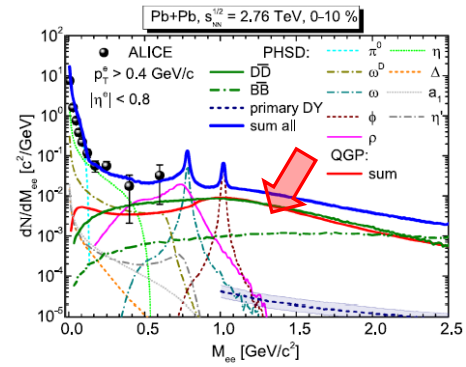
+ QGP $\left\{ \begin{array}{l} q\bar{q} \rightarrow e^+e^- \\ q\bar{q} \rightarrow ge^+e^- \\ gq(\bar{q}) \rightarrow q(\bar{q})e^+e^- \end{array} \right.$



p+p



Pb+Pb

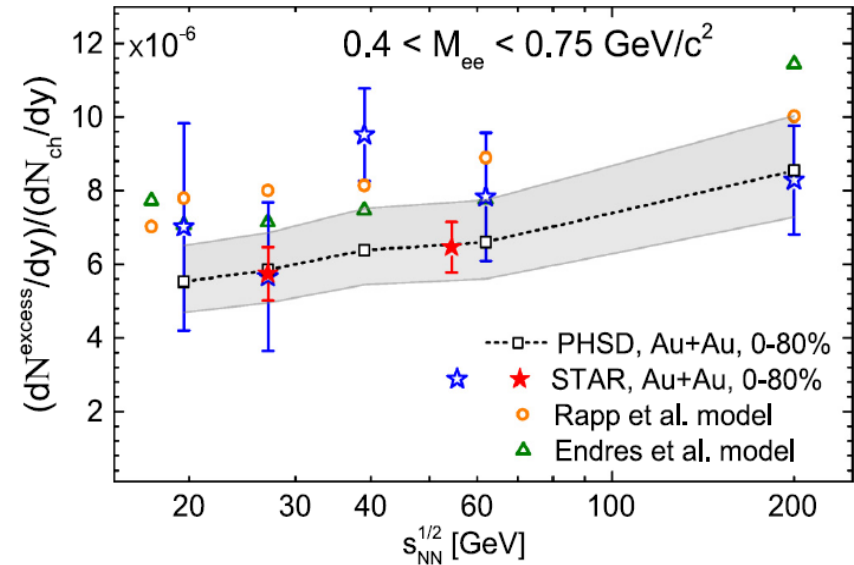
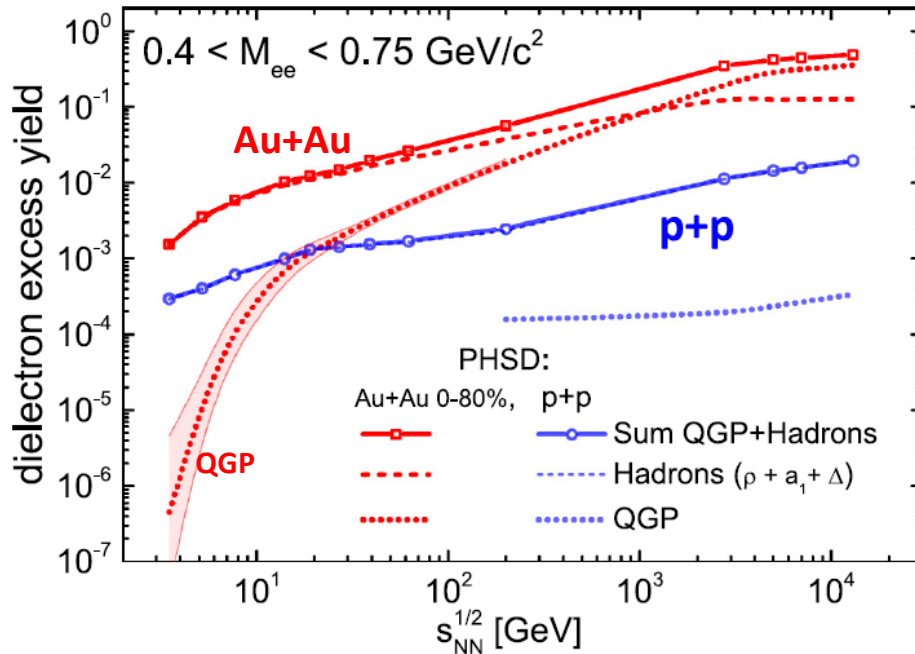


- p+p: ($M > 1.2 \text{ GeV}$) correlated charm (dominant) + dileptons from **QGP droplets**
- A+A: dilepton yield from **QGP** = dilepton yield from correlated **charm**

Dileptons: excitation functions for $0.4 < M < 0.75 \text{ GeV}$

Excitation function of **dilepton excess yield** integrated for $0.4 < M < 0.75 \text{ GeV}$

Dilepton excess yield = total – ‘cocktail’ (expected contributions from hadronic decays at freezeout)

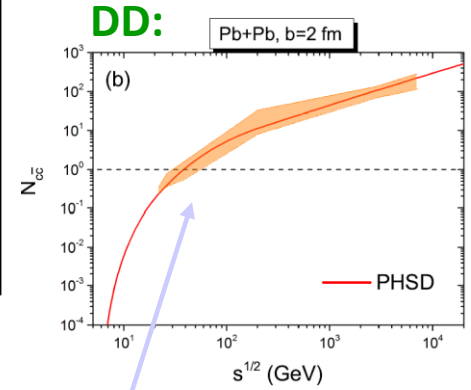
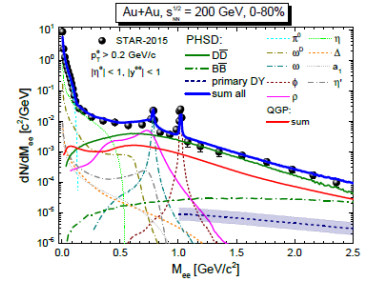
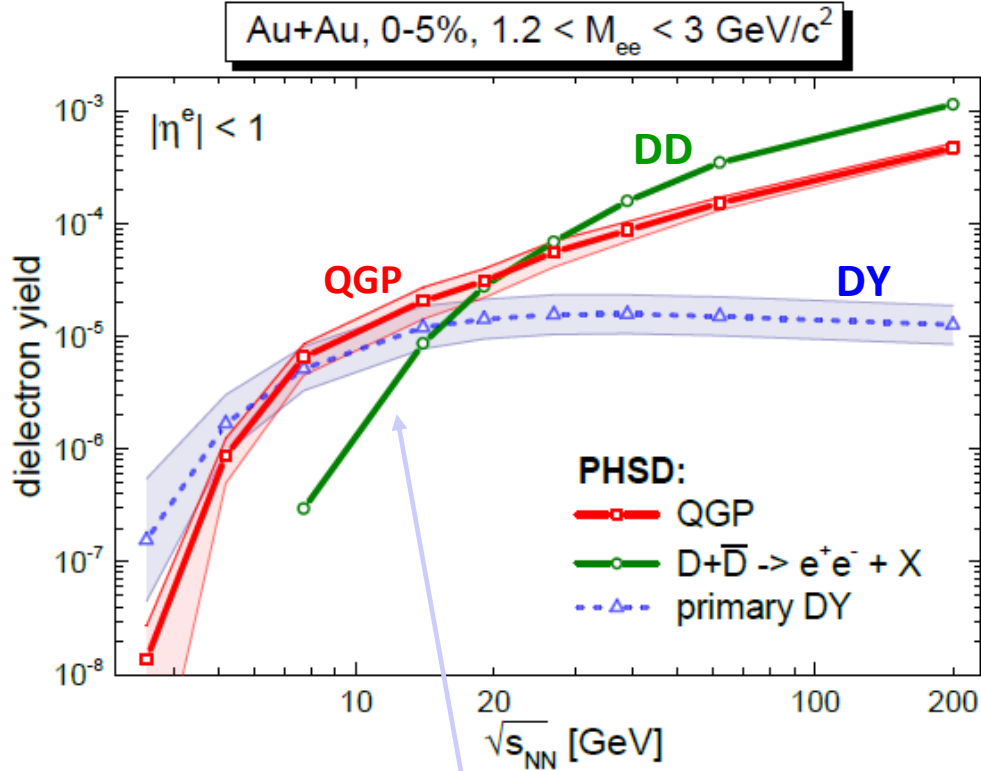
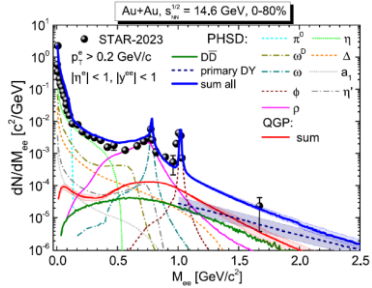


For $0.4 < M < 0.75 \text{ GeV}$:

- ❑ Dominant contribution – vector meson decays
 - ➔ favours **in-medium** modifications of vector meson spectral function
 - collisional broadening
- ❑ **QGP** contribution increases with energy
- ❑ **p+p**: dileptons are dominated by hadronic channels (vector mesons)

Dileptons: excitation functions for $1.2 < M < 3 \text{ GeV}$

Excitation function of dilepton yield integrated for $1.2 < M < 3 \text{ GeV}$



For $1.2 < M < 3 \text{ GeV}$:

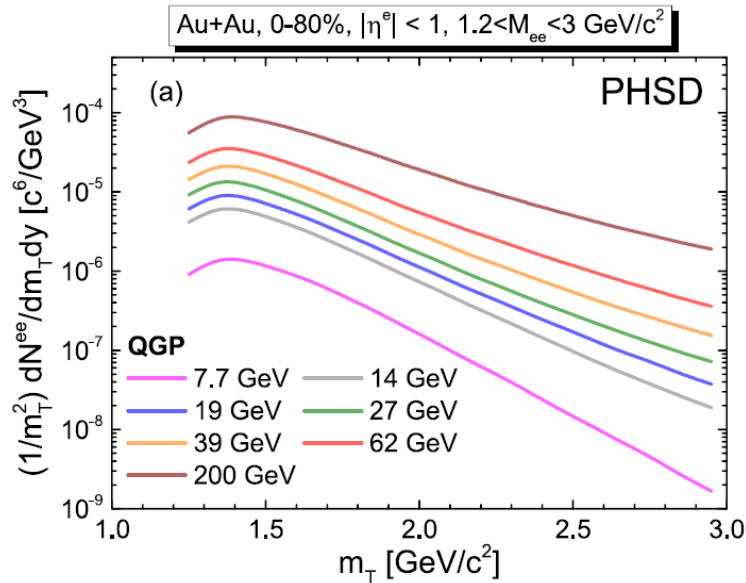
- Dileptons from **QGP** overshine charm dileptons (D-Dbar) with decreasing beam energy
- Primary DY** could be “subtracted” from AA dilepton spectra using pp data

Transverse mass spectra

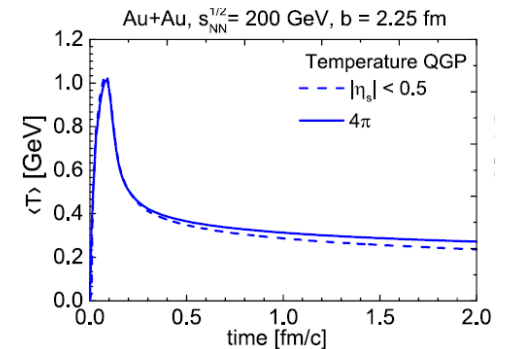
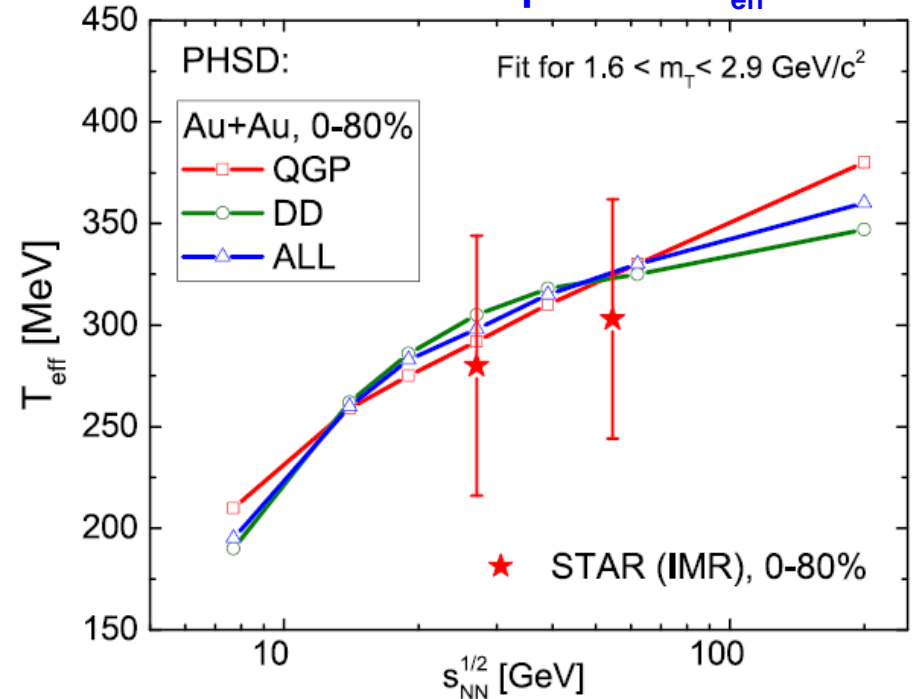
→ Effective temperature

$1.6 < m_T < 2.9 \text{ GeV}/c^2$

$$\frac{1}{m_T^2} \frac{d\sigma}{dm_T dy} \sim e^{\beta m_T}$$



Excitation function of effective temperature T_{eff}



□ measurement of T_{eff} allows to penetrate inside the hot and dense matter and to probe its thermal properties

Summary



- **Transport theory** is the general basis for an understanding of nuclear dynamics on a microscopic level

Dynamical description of strongly interacting matter:

- **Degrees-of-freedom:**

QGP: strongly interacting quasiparticles (quarks and gluons) → DQPM

HG: off-shell hadrons

(+ physics beyond the standard model – dark matter)

- **Dynamics:**

PHSD: microscopic transport approach based on the solution of generalized off-shell transport equations derived from Kadanoff-Baym many-body theory

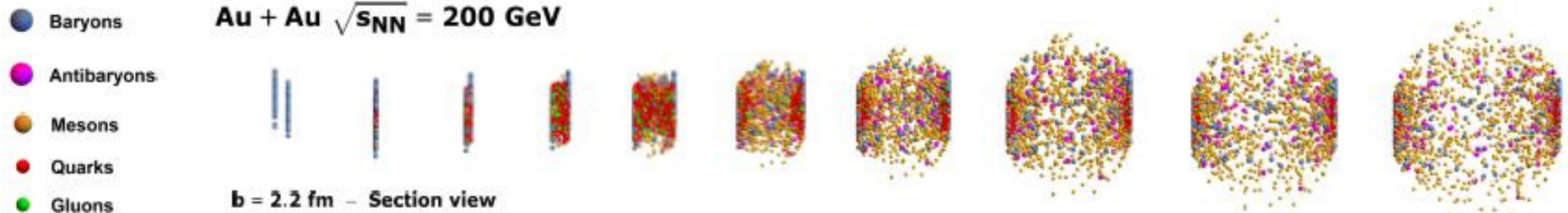
- **PHQMD:** QMD dynamics + dynamical cluster formation



Theory versus experimental observables:

- **evidence for strong partonic interactions** in the early phase of relativistic heavy-ion reactions

→ **formation of the sQGP in HIC!**



PHSD-PHQMD code



PHSD mode

PHQMD mode

Initialization A+A
+ propagation of **baryons**:
Mean Field dynamics
(BUU)

Initialization A+A
+ propagation of **baryons**:
Quantum Molecular dynamics
(QMD) – n-body model

Propagation of partons (quarks, gluons) and mesons:
Mean Field dynamics (Kadanoff-Baym, BUU)

 **Collision integral** = interactions of hadrons and partons (QGP)

Optionally
Cluster recognition: **MST** (Minimum Spanning Tree)
or **SACA** (Simulated Annealing Clusterization Algorithm)
or coalescence mechanism + kinetic deuterons



Final output – “events” : OSCAR, ROOT, Rivet formats

Realization: parallel ensemble method

Computer language: Fortran