

# Smearing and extracting states in LQCD

TQCD workshop @ Academia Sinica

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# Outline

- Current project: Composite Higgs Model

*$Sp(4)$  gauge theories for BSM models on the lattice*

- Lattice method
- Smearing (APE & Gaussian) technique
- Extracting excited states: variation method
- Quenched QCD as an example

# Collaboration



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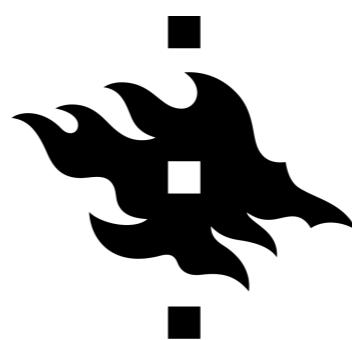
부산대학교  
PUSAN NATIONAL UNIVERSITY



Trinity  
College  
Dublin

The University of Dublin

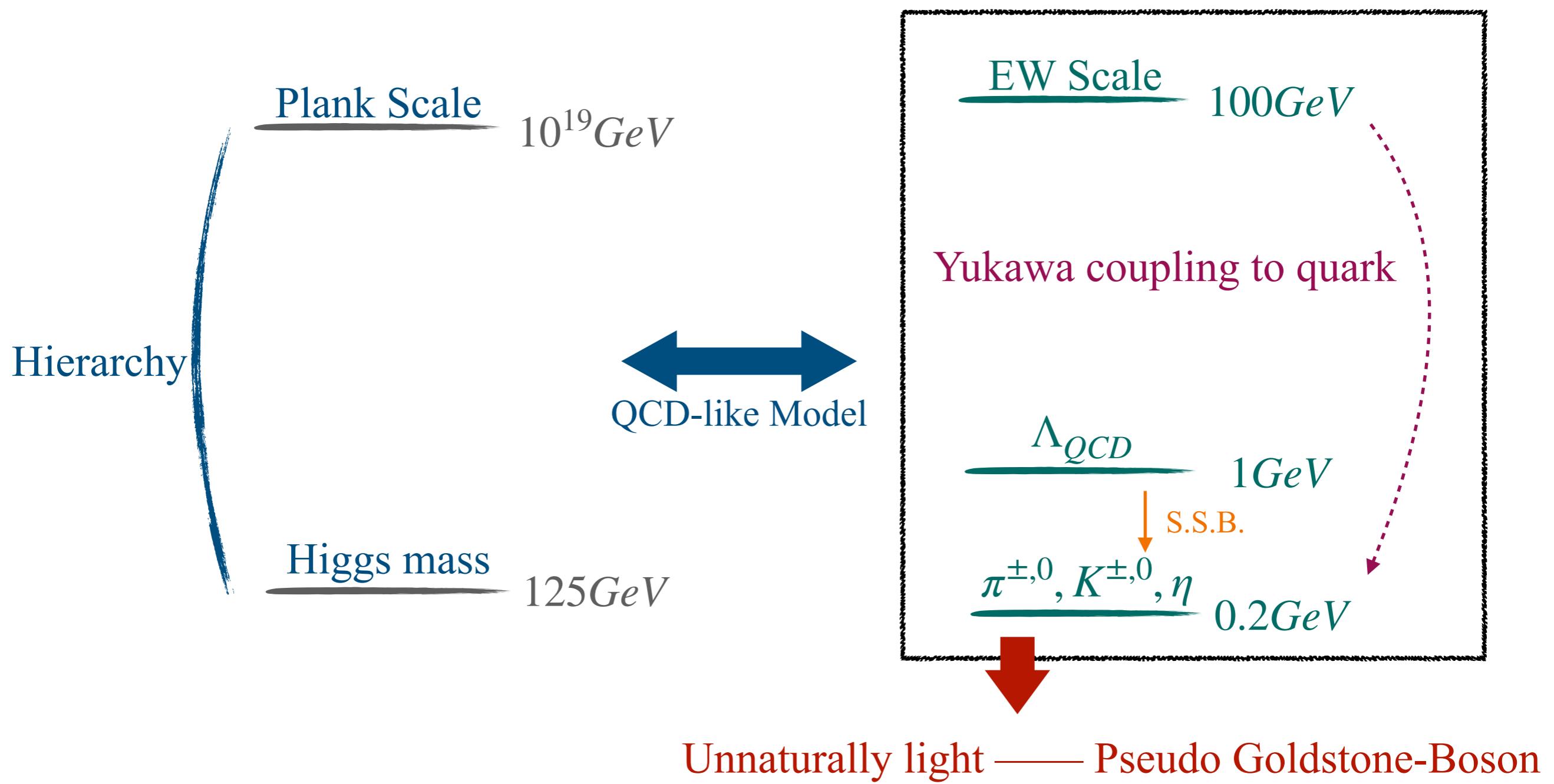
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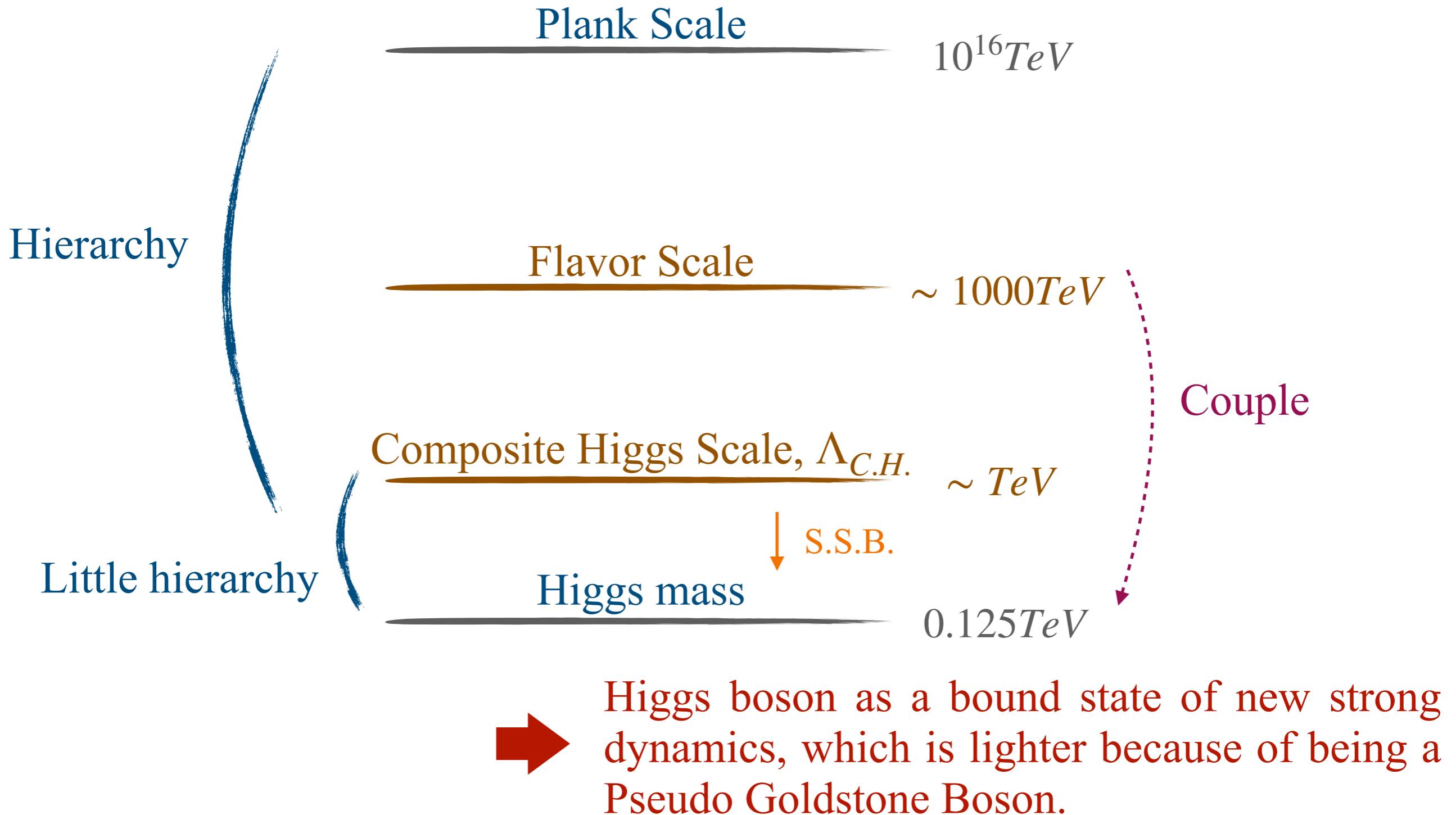
HELSINKIN YLIOPISTO  
HELSINGFORS UNIVERSITET  
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# Composite Higgs Model



# Composite Higgs Model



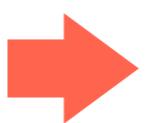
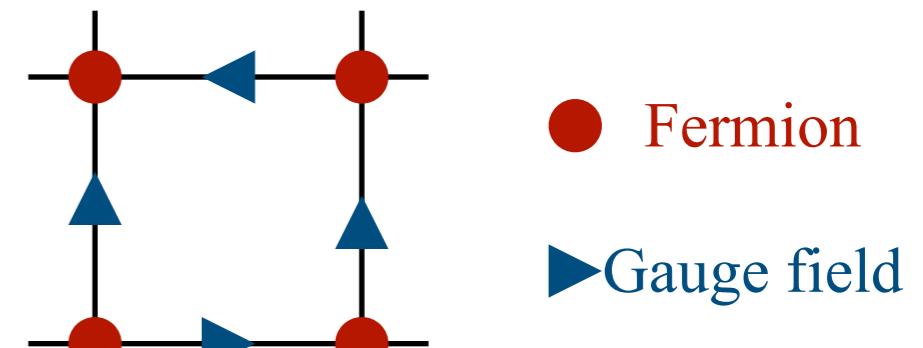
# Our project

- $\text{Sp}(4)$  gauge + 2 fundamental Dirac fermions
- Global symmetry breaking pattern:  $\text{SU}(4)/\text{Sp}(4)$ 
  - 5 Goldstone boson
- Study spectrum to gain basic understanding
- Compute 4-fermion operator matrix elements
  - relevant to generating Higgs mass

# Lattice Method

- Strongly coupled theory → lattice field theory
- Fermions on the grids, carrying colors, spin or flavors
- Gauge fields on the links
- Generating functional

$$Z = \int DUD\psi D\bar{\psi} e^{-S[U]} e^{-2 \int d^4x \bar{\psi}(D[U] + m)\psi}$$
$$= \int DU \det(D[U] + m)^2 e^{-S[U]}$$



(Hybrid) Monte-Carlo simulation



Quench calculation:  $\det(D[U] + m) = 1$

# Lattice Method

## Measuring observables

- 2-point correlation function

$$\begin{aligned} C(t) &= \sum_{\vec{x}} e^{-i\vec{p}\cdot\vec{x}} \langle 0 | T [O(\vec{x}, t) O^\dagger(0,0)] | 0 \rangle \\ &\quad \downarrow \qquad \qquad \qquad \downarrow \\ &\sum_{\vec{x}} e^{-i\vec{p}\cdot\vec{x}} \langle 0 | [\bar{u}\gamma_5 d](\vec{x}, t) [\bar{d}\gamma_5 u](0,0) | 0 \rangle \\ &= \sum_{\vec{x}} e^{-i\vec{p}\cdot\vec{x}} \text{Tr} \left[ S_u(0,0; \vec{x}, t) S_d^\dagger(0,0; \vec{x}, t) \right] \\ &\quad \swarrow \qquad \qquad \qquad \downarrow \\ &S = M^{-1}q \end{aligned}$$
$$\begin{aligned} &\sum_n \frac{\langle 0 | O_\pi | n \rangle \langle n | O_\pi^\dagger | 0 \rangle}{2E_n} e^{-E_n t} \\ &\xrightarrow{t \rightarrow \infty} \frac{1}{2m_\pi} \left| \langle 0 | O_\pi | n \rangle \right|^2 e^{-M_\pi t} \end{aligned}$$

- Effective Mass

$$M_{eff}(t) = -\ln \left[ \frac{C(t+1)}{C(t)} \right]$$

$M$  is the Dirac operator calculated on a given background field.

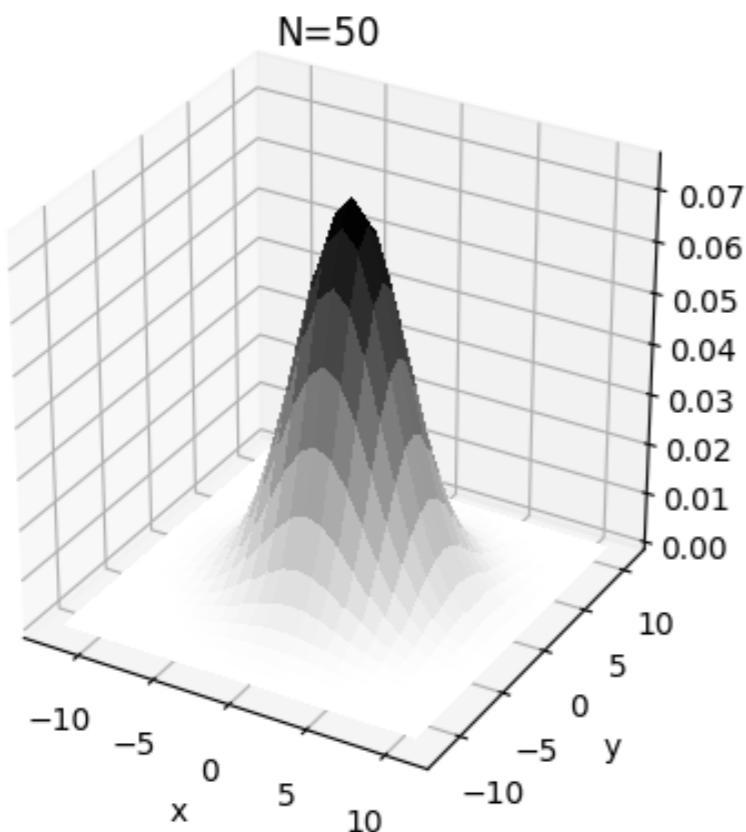
# Smearing

- Wuppertal smearing (Gaussian smearing) acts on fermion field increasing the overlap of ground state.

$$q^{(n+1)}(x) = \frac{1}{1 + 2d\varepsilon} \left[ q^{(n)}(x) + \varepsilon \sum_{\mu=\pm 1}^{\pm d} U_\mu(x) q^{(n)}(x + \hat{\mu}) \right]$$

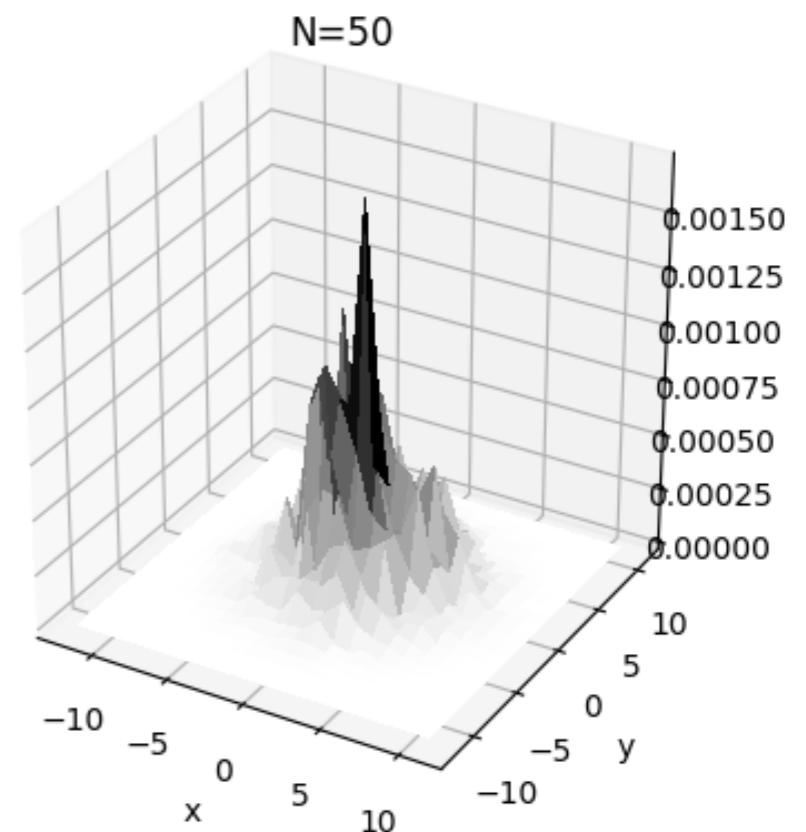
Free fermion,  $\varepsilon = 0.1$

Real conf.,  $\varepsilon = 0.1$



**Source density**

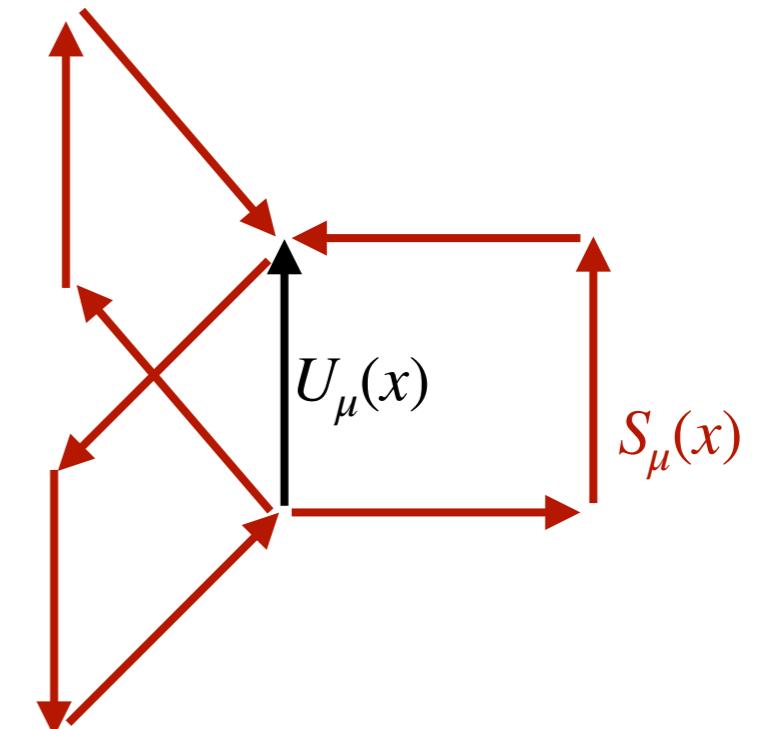
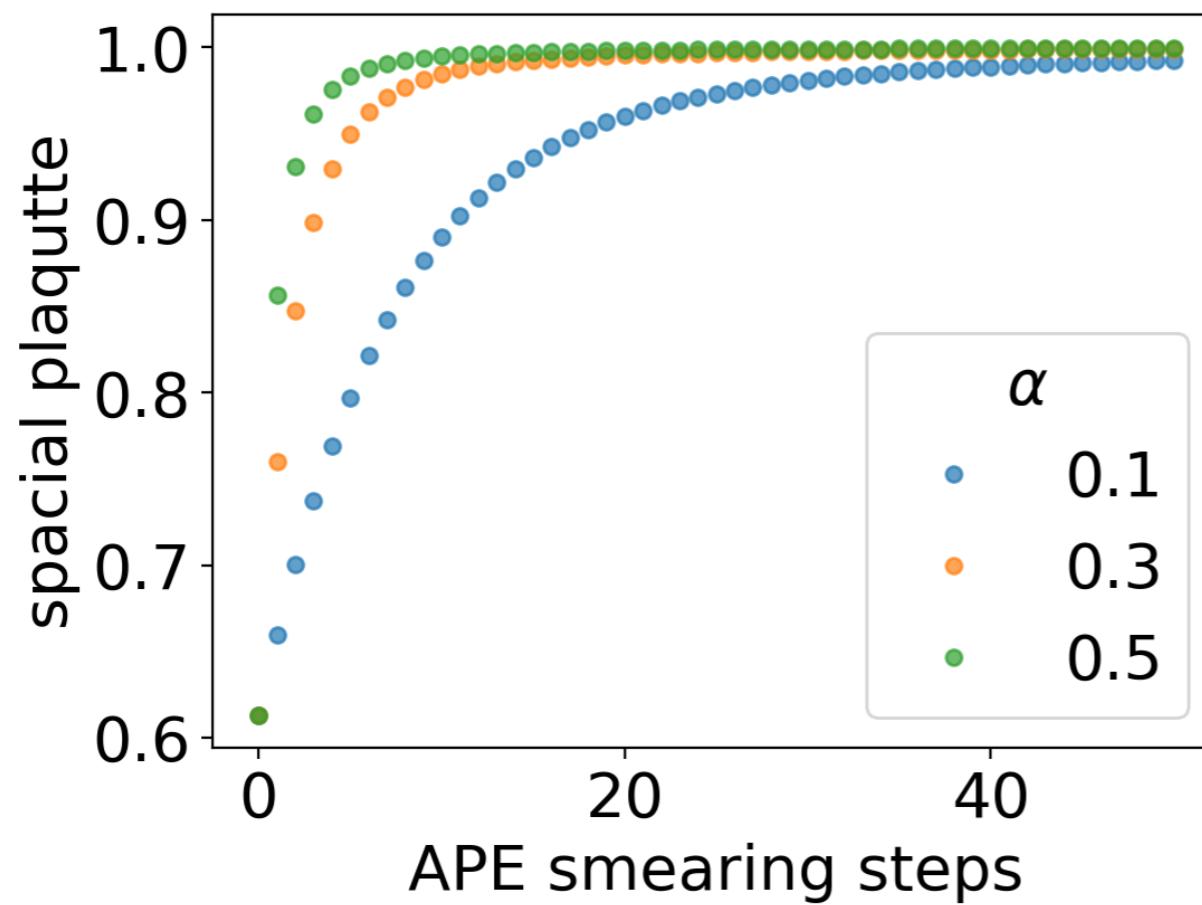
$$\rho(x) = \frac{\sum_{ab} |q^{ab}(x)|^2}{\sum_x \sum_{ab} |q^{ab}(x)|^2}$$



# Smearing

- APE smearing averages out UV fluctuations of the gauge fields.

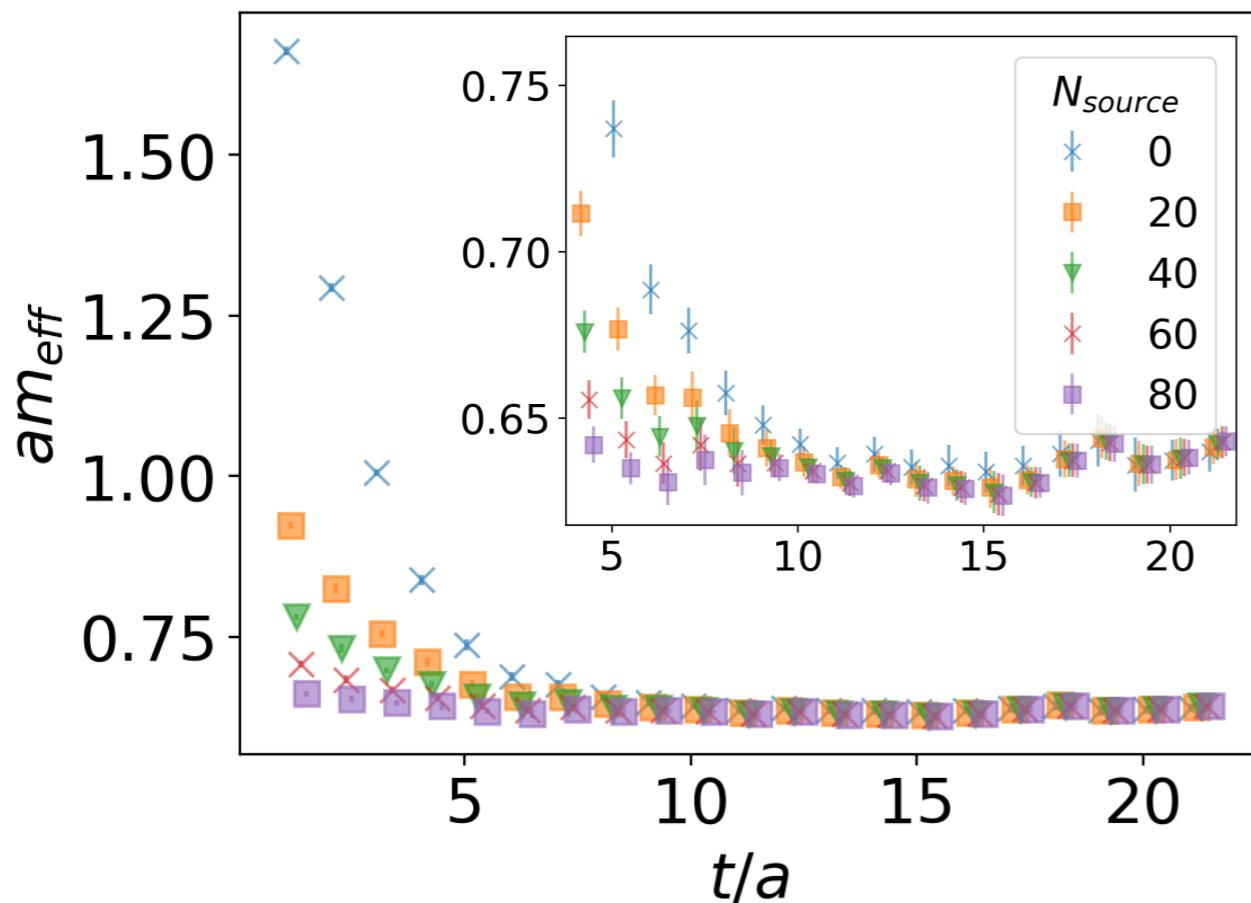
$$U_\mu^{(n+1)}(x) = P \left\{ (1 - \alpha) U_\mu^{(n)}(x) + \frac{\alpha}{6} S_\mu^{(n)}(x) \right\}, \quad S_\mu(x) = \sum_{\pm \nu \neq \mu} U_\nu(x) U_\mu(x + \hat{\nu}) U_\nu^\dagger(x + \hat{\mu})$$



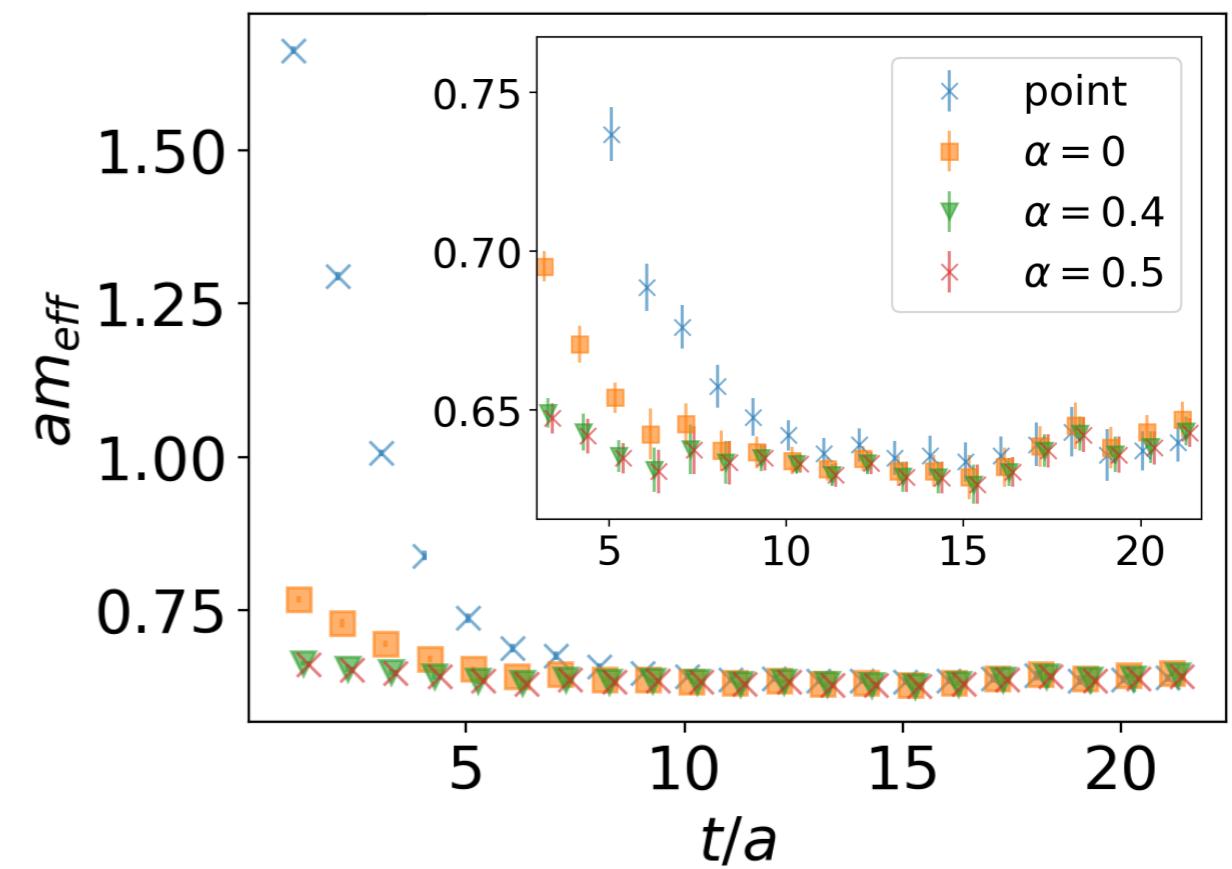
# Smearing effects

## Results

- Effects of Wuppertal smearing



- Effects of APE smearing



# Variational Method

- General Eigenvalue problem

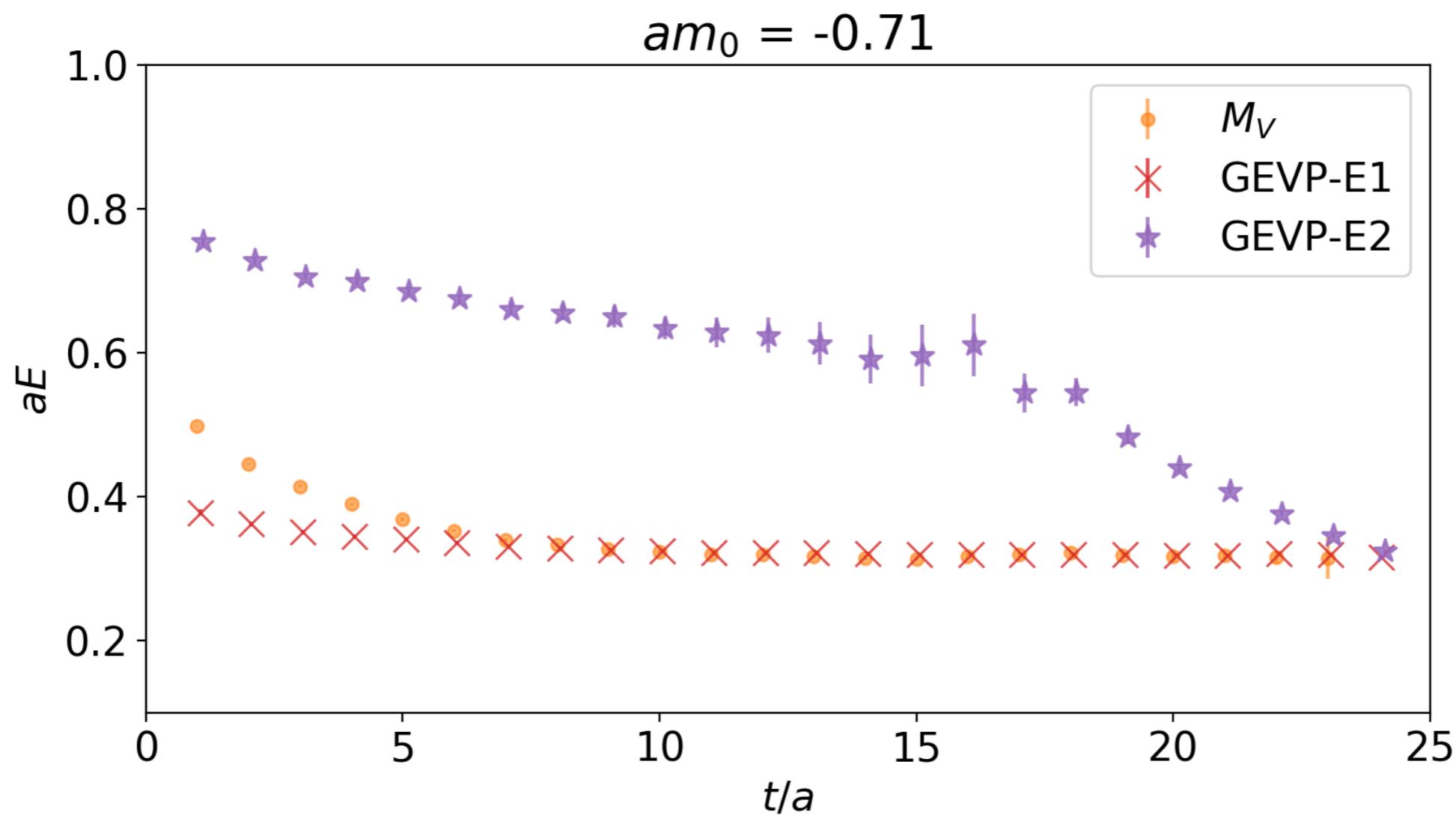
$$C(t_2)v_n(t_2, t_1) = \lambda_n(t_2, t_1)C(t_1)v_n(t_2, t_1) \rightarrow \lambda_n(t_2, t_1) = e^{-E_n(t_2 - t_1)}$$

The matrix  $C(t)$  is constructed by different interpolating operators. Here, we vary the operators by the number of steps of Wuppertal smearing at source and sink with fixed step size  $\varepsilon$ .

$$C(t) = \begin{pmatrix} \text{source} & \text{sink} \\ \uparrow & \uparrow \\ c_\varepsilon^{(N_1, N_1)}(t) & c_\varepsilon^{(N_1, N_2)}(t) & \dots & c_\varepsilon^{(N_1, N_n)}(t) \\ c_\varepsilon^{(N_2, N_1)}(t) & c_\varepsilon^{(N_2, N_2)}(t) & & \\ \vdots & & \ddots & \\ c_\varepsilon^{(N_n, N_1)}(t) & c_\varepsilon^{(N_n, N_2)}(t) & & c_\varepsilon^{(N_n, N_n)}(t) \end{pmatrix}$$

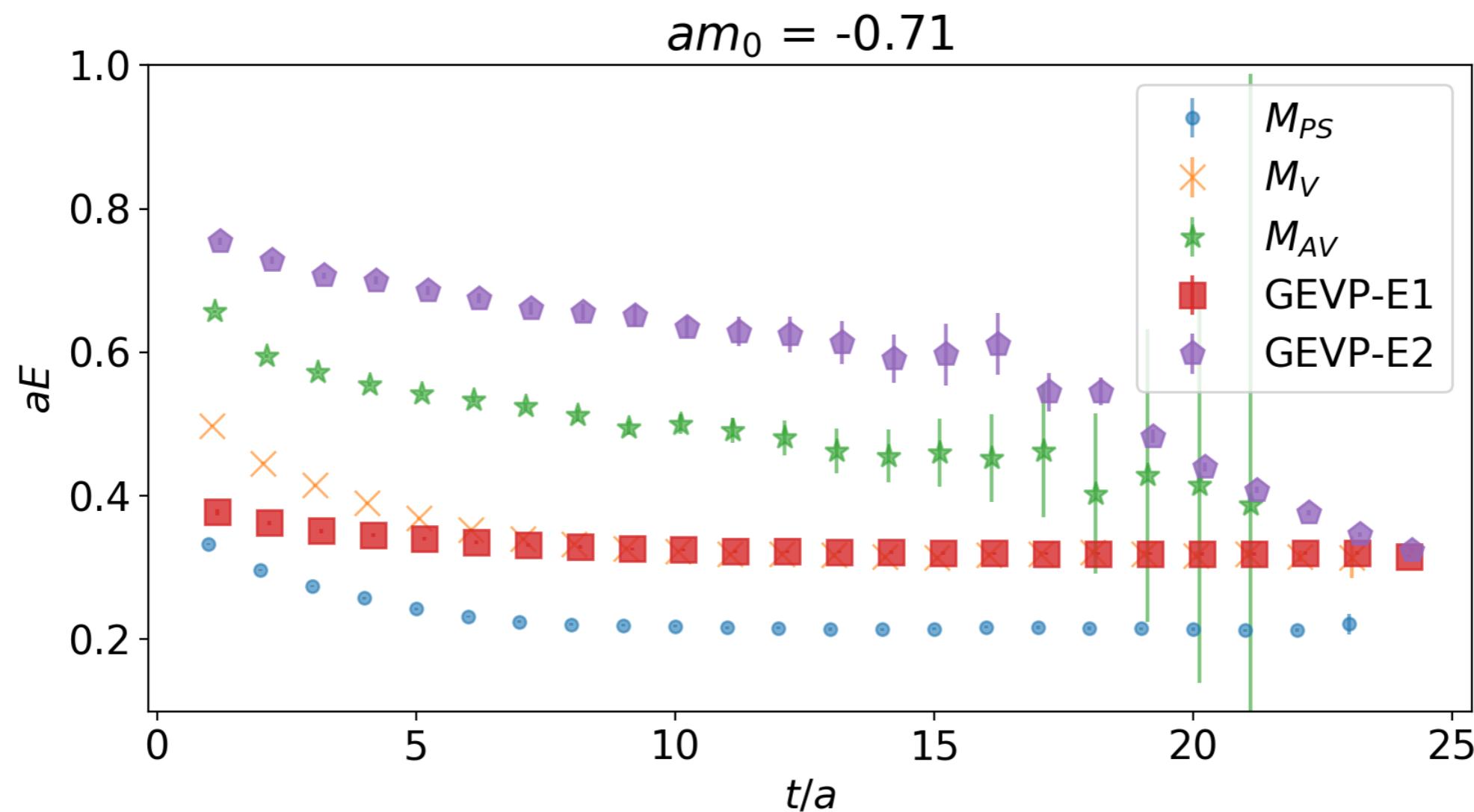
# Example: SU(3) gauge theory

- Lattice:  $48 \times 24 \times 24 \times 24, \beta = 6.2 \rightarrow a^{-1} \approx 2.73(5) GeV$
  - 280 configurations
  - Wilson fermion
- 



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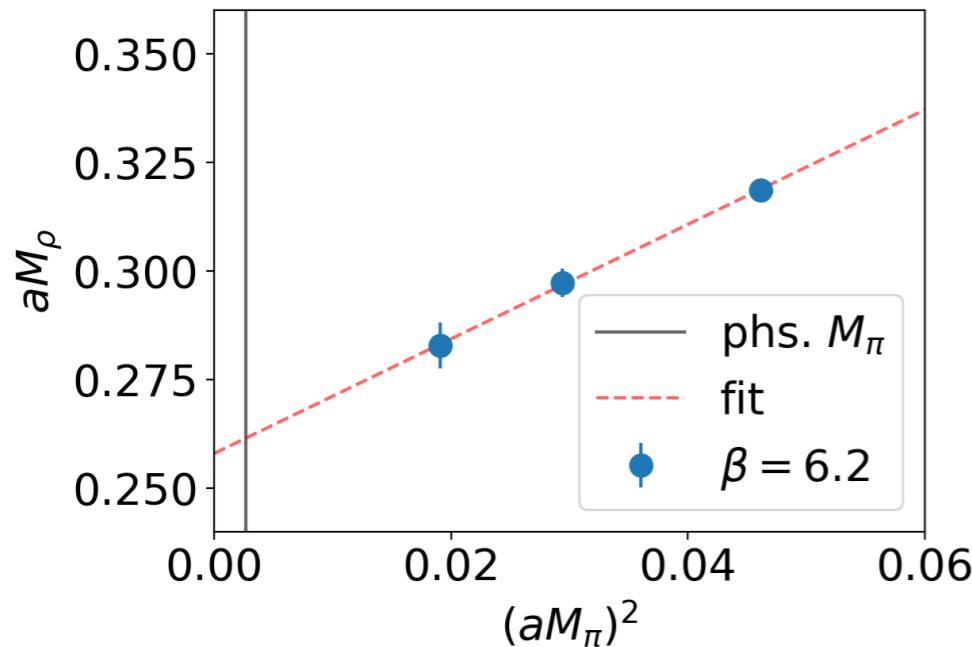


# Example: SU(3) gauge theory

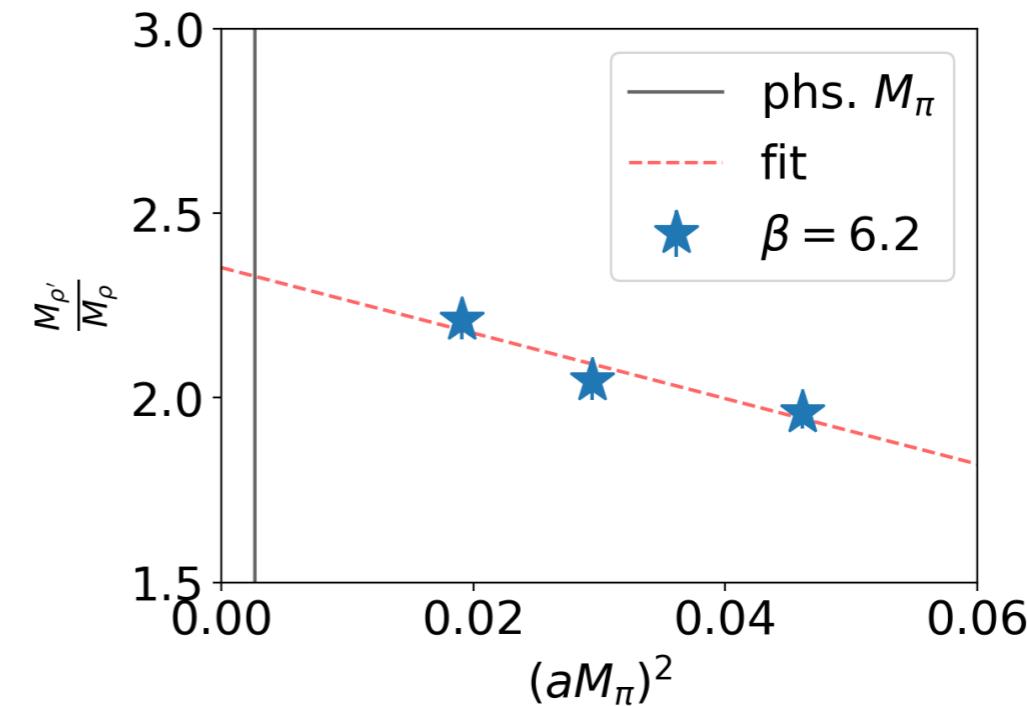
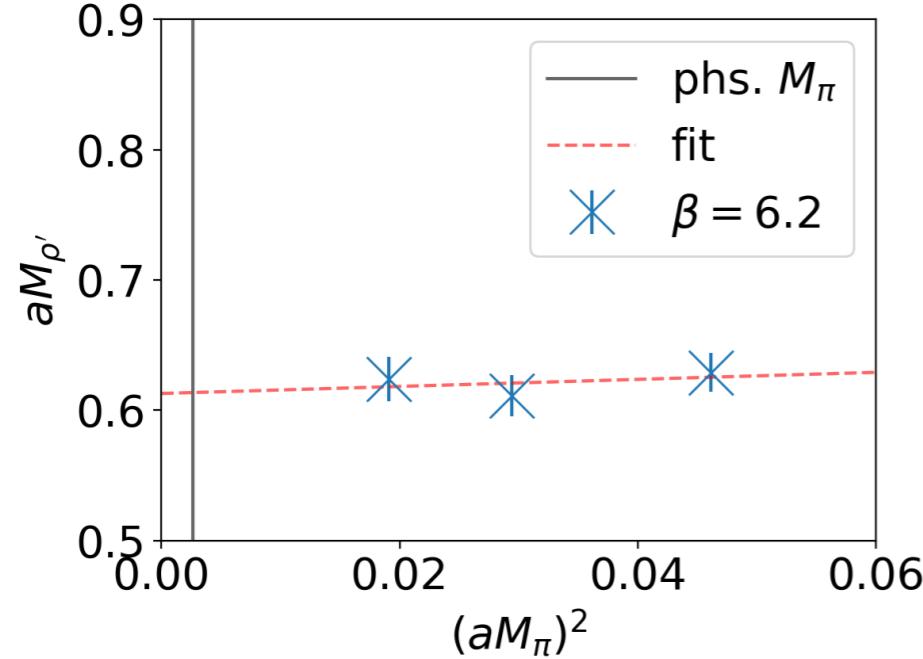
$am_0$ $ch$	-0.71	-0.72	-0.727
<b>PS</b>	0.2148(12)	0.1715(17)	0.1382(18)
<b>V</b>	0.3186(23)	0.2973(33)	0.2828(53)
<b>E1</b>	0.3206(20)	0.2986(24)	0.2822(31)
<b>E2</b>	0.628(14)	0.611(16)	0.624(17)
<b>E2/E1</b>	1.961(45)	2.045(51)	2.212(54)
<b>AV</b>	0.463(30)	0.466(16)	0.438(37)

# SU(3) gauge theory example

$$a^{-1} \approx 2.73(5) \text{ GeV}$$



$M_\rho$  at physical  $M_\pi$   
approximates 715 MeV  
physical  $M_\rho$  is roughly 770 MeV



$M_{\rho'}$  at physical  $M_\pi$   
approximates 1665 MeV  
physical  $M_{\rho'}$  is roughly 1450/1700 MeV

# Summary

- Both Wuppertal smearing and APE smearing bring the plateau of the effective mass earlier.
- The variational method extracts the excited states by solving the general eigenvalue problem.
- In this study, we measured the  $\rho$  mass at 715 MeV and  $\rho'$  at 1665 MeV