

EIC-Asia Workshop on QCD and Hadron Structure

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Institute of Physics, Academia Sinica

# Transition GPDs and nondiagonal DVCS at the EIC

In collaboration with

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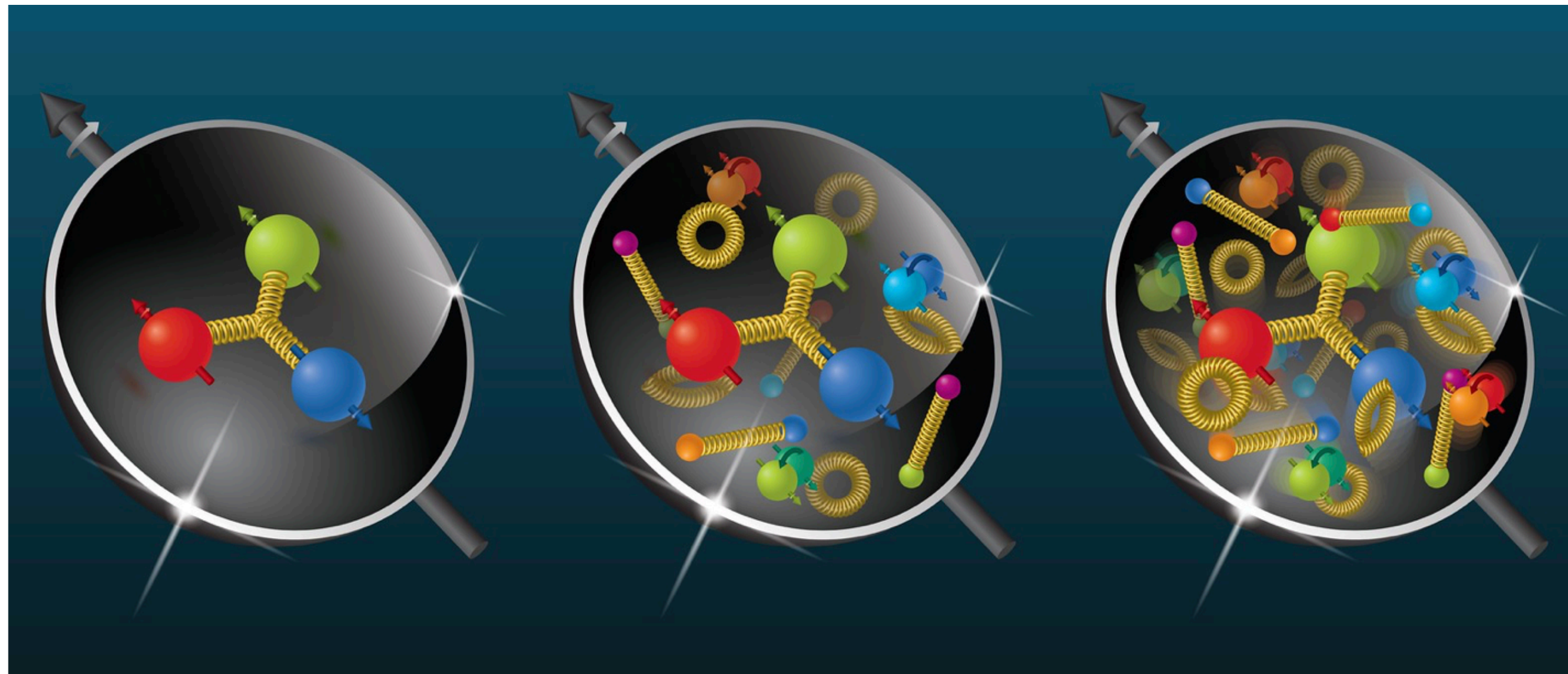
# Outline

- **Introduction**
- **Nondiagonal DVCS amplitudes**
- **N- $\Delta$  transition GPDs**
- **Numerical estimations for N- $\Delta$  DVCS at the EIC**
- **Summary**

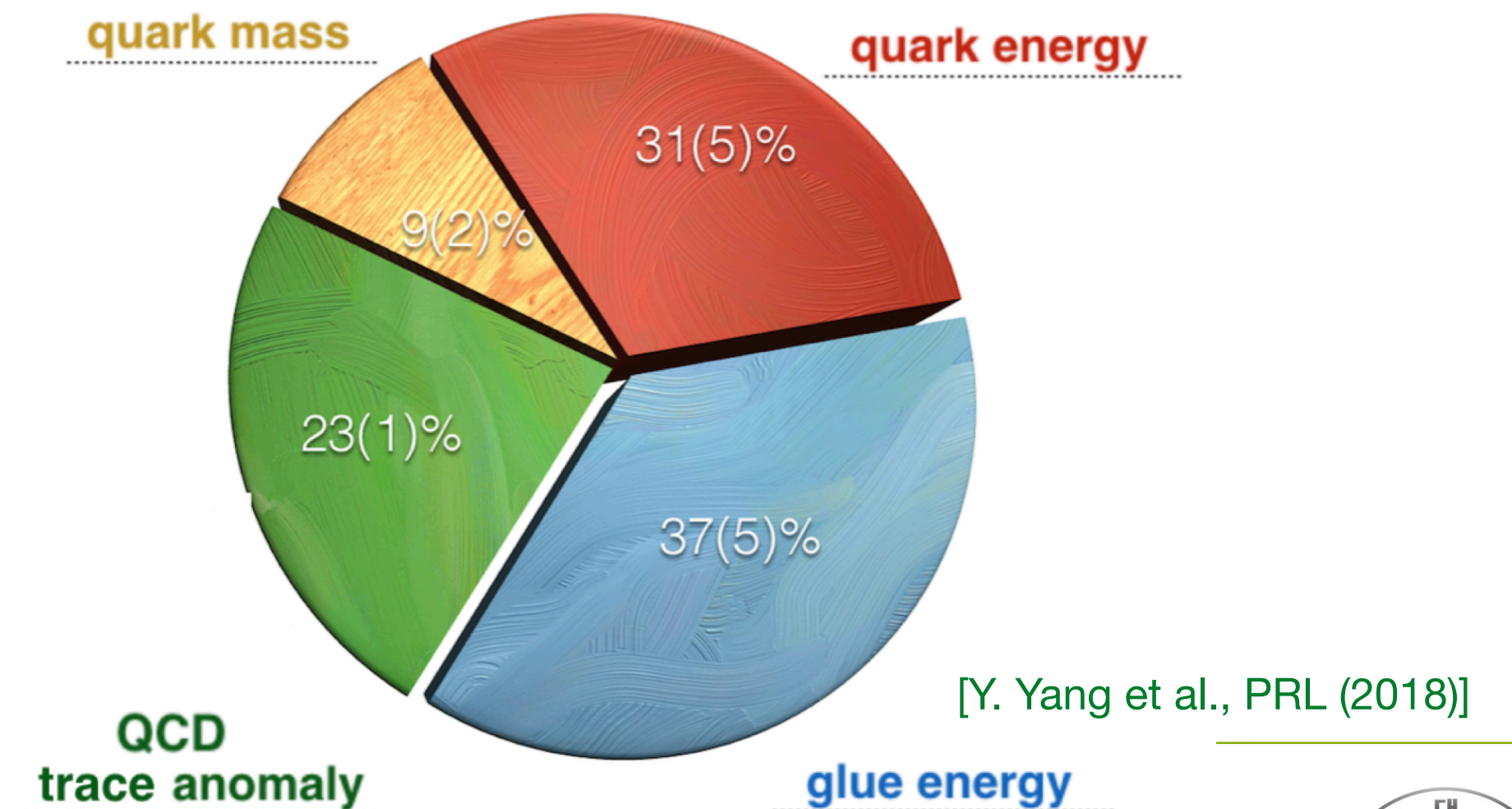
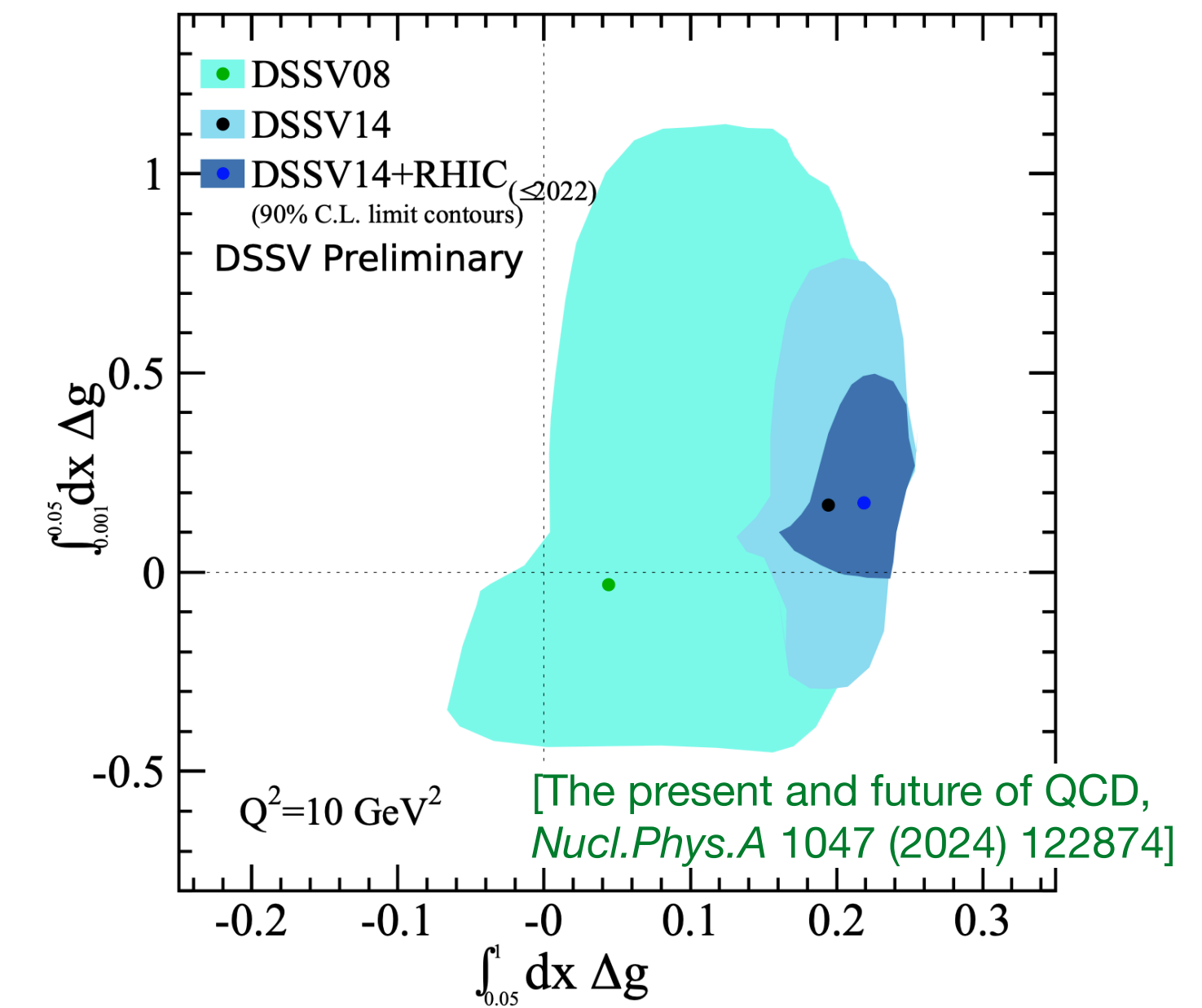
# Introduction

# EIC missions towards parton structure of hadrons

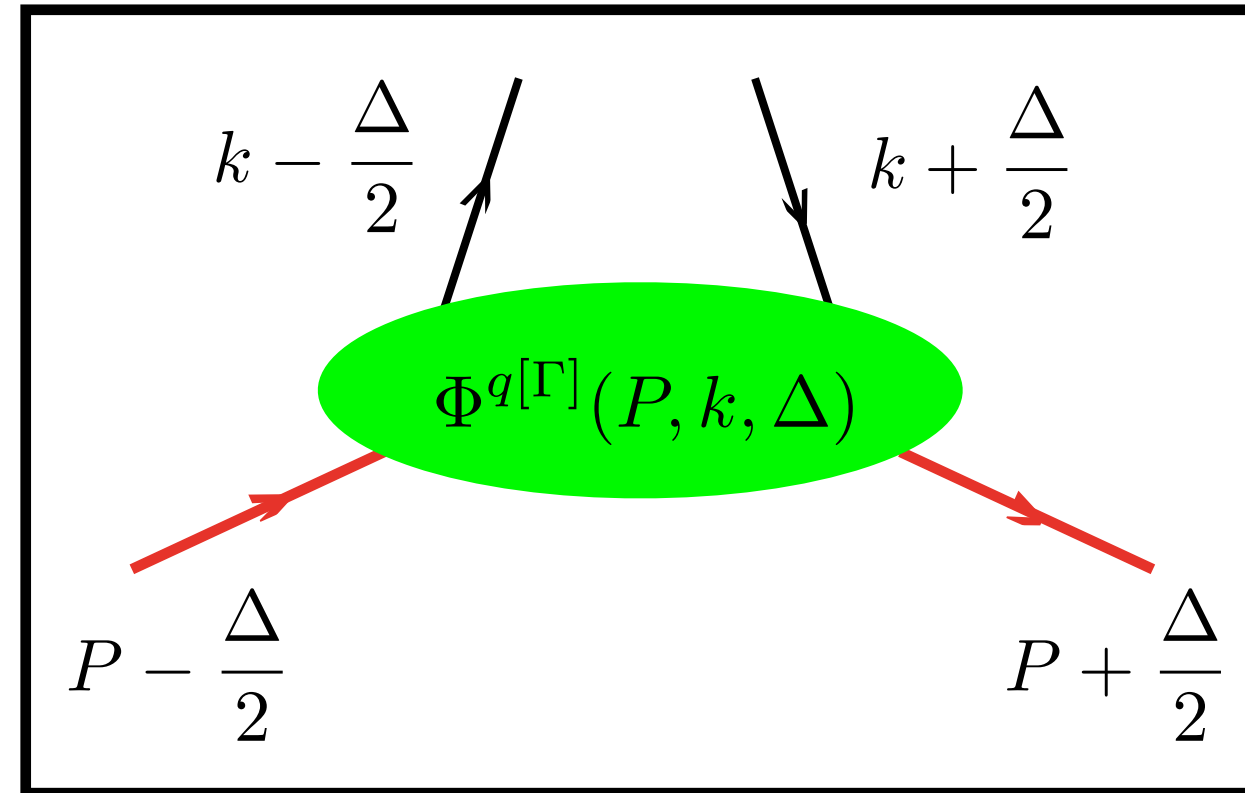
- **Mass and spin decomposition into quarks and gluons**
- **3-dimensional partonic picture**



Indico page of this workshop :)



# General QCD quark correlator matrix elements to GPDs



$$\Phi^{q[\Gamma]}(P, k, \Delta) = \frac{1}{2} \int \frac{d^4 z}{(2\pi)^4} \langle p', S' | \bar{\psi}_q \left( -\frac{z}{2} \right) \Gamma W \left[ -\frac{z}{2}, \frac{z}{2} \right] \psi_q \left( \frac{z}{2} \right) | p, S \rangle$$

## Generalized TMD: Joint probability of space & momentum distribution (Wigner)

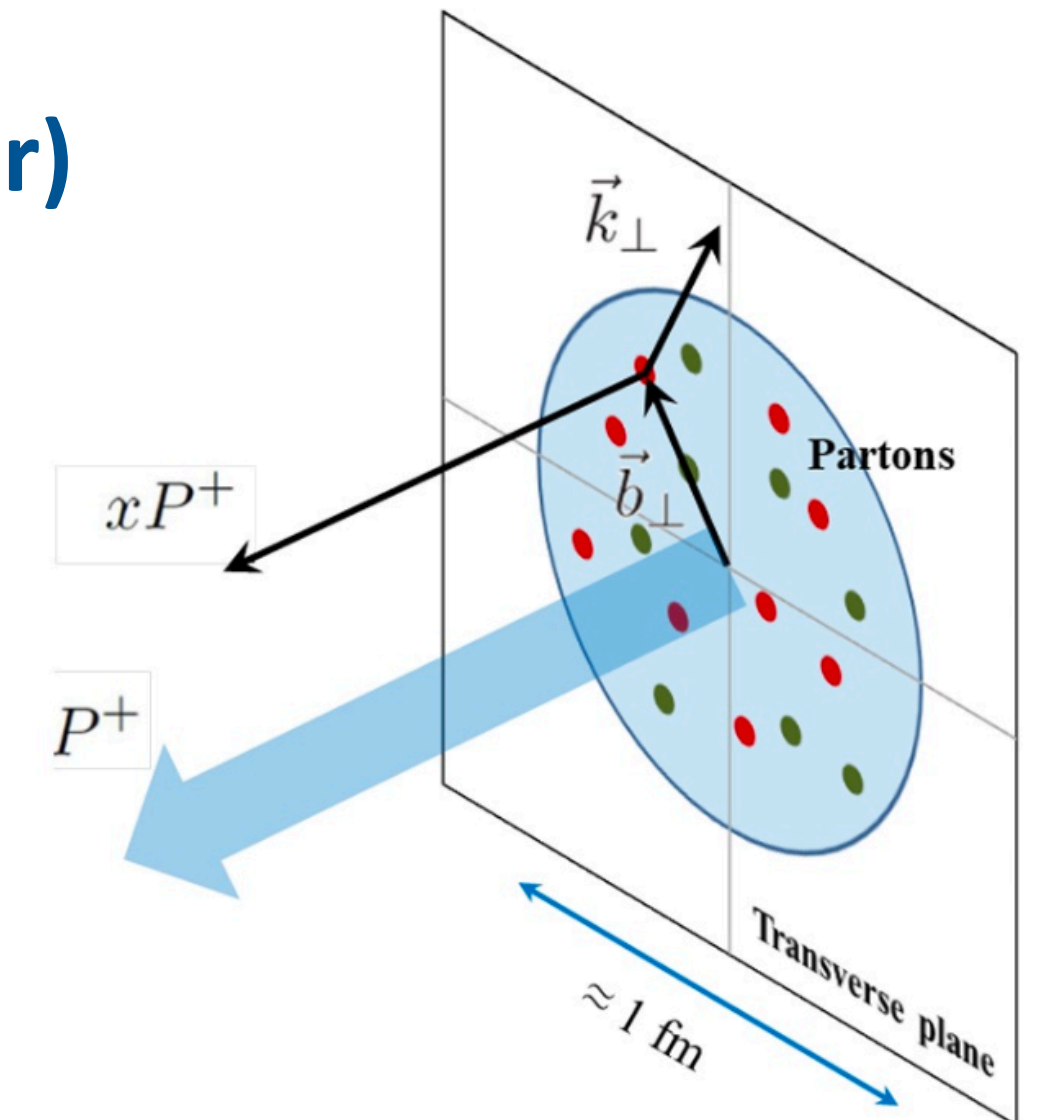
$$\Phi_{\text{GTMD}}^{q[\Gamma]}(P, x, \vec{k}_\perp, \xi, \vec{\Delta}_\perp) = \int dk^+ dk^- \Phi^{q[\Gamma]}(P, k, \Delta) \delta(k^+ - xP^+)$$

## Generalized parton distributions

D. Müller, Fortsch.Phys. 42 (1994) 101-141

$$\int d\vec{k}_\perp \longrightarrow \Phi_{\text{GPD}}^{q[\Gamma]}(P, x, \xi, \vec{\Delta}_\perp) = \int d^4 k \Phi^{q[\Gamma]}(P, k, \Delta) \delta(k^+ - xP^+)$$

$$x = k^+ / P^+, \quad \xi = -\Delta^+ / (2P^+)$$



# Generalized Parton Distributions (nucleon, chirality even, twist-2)

## Unpolarized quarks

$$\begin{aligned} & \frac{P^+}{2\pi} \int dy^- e^{ixP^+y^-} \langle P' | \bar{\psi}_q(0) \gamma^+ \psi_q(y) | P \rangle \Big|_{y^+=y_\perp=0} \\ &= \boxed{H^q(x, \xi, t)} \bar{N}(P') \gamma^+ N(P) + \boxed{E^q(x, \xi, t)} \bar{N}(P') i\sigma^{+\nu} \frac{\Delta_\nu}{2M_N} N(P) \end{aligned}$$

## Longitudinally polarized quarks

$$\begin{aligned} & \frac{P^+}{2\pi} \int dy^- e^{ixP^+y^-} \langle P' | \bar{\psi}_q(0) \gamma^+ \gamma_5 \psi_q(y) | P \rangle \Big|_{y^+=y_\perp=0} \\ &= \boxed{\tilde{H}^q(x, \xi, t)} \bar{N}(P') \gamma^+ \gamma_5 N(P) + \boxed{\tilde{E}^q(x, \xi, t)} \bar{N}(P') i\gamma_5 \frac{\Delta^+}{2M_N} N(P) \end{aligned}$$

# Properties of GPDs

Forward limit of the target hadron → PDFs

$$H^q(x, 0, 0) = f_1(x) \quad \text{Unpolarized quark distribution}$$

$$\tilde{H}^q(x, 0, 0) = g_1(x) \quad \text{Helicity quark distribution}$$

Mellin moments (Polynomiality)

$$\int_{-1}^1 dx H^a(x, \xi, t) = F_1(t)$$

$$\int_{-1}^1 dx E^a(x, \xi, t) = F_2(t)$$

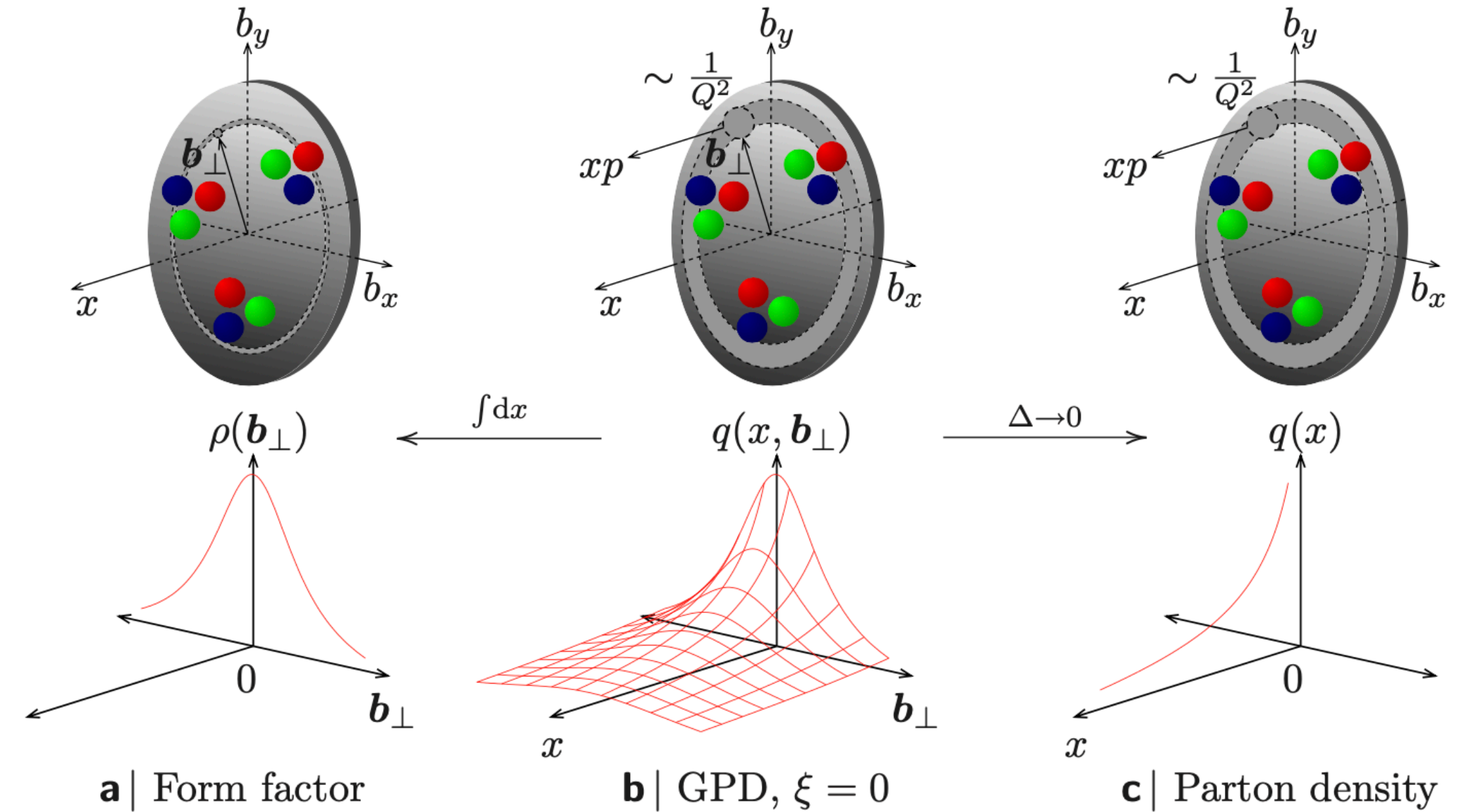
Electromagnetic form factors

$$\int_{-1}^1 dx x H^a(x, \xi, t) = A^a(t) + \xi^2 D^a(t)$$

$$\int_{-1}^1 dx x E^a(x, \xi, t) = 2J^a(t) - A^a(t) - \xi^2 D^a(t)$$

Twist-2 gravitational form factors

H+E = Ji's spin sum rule



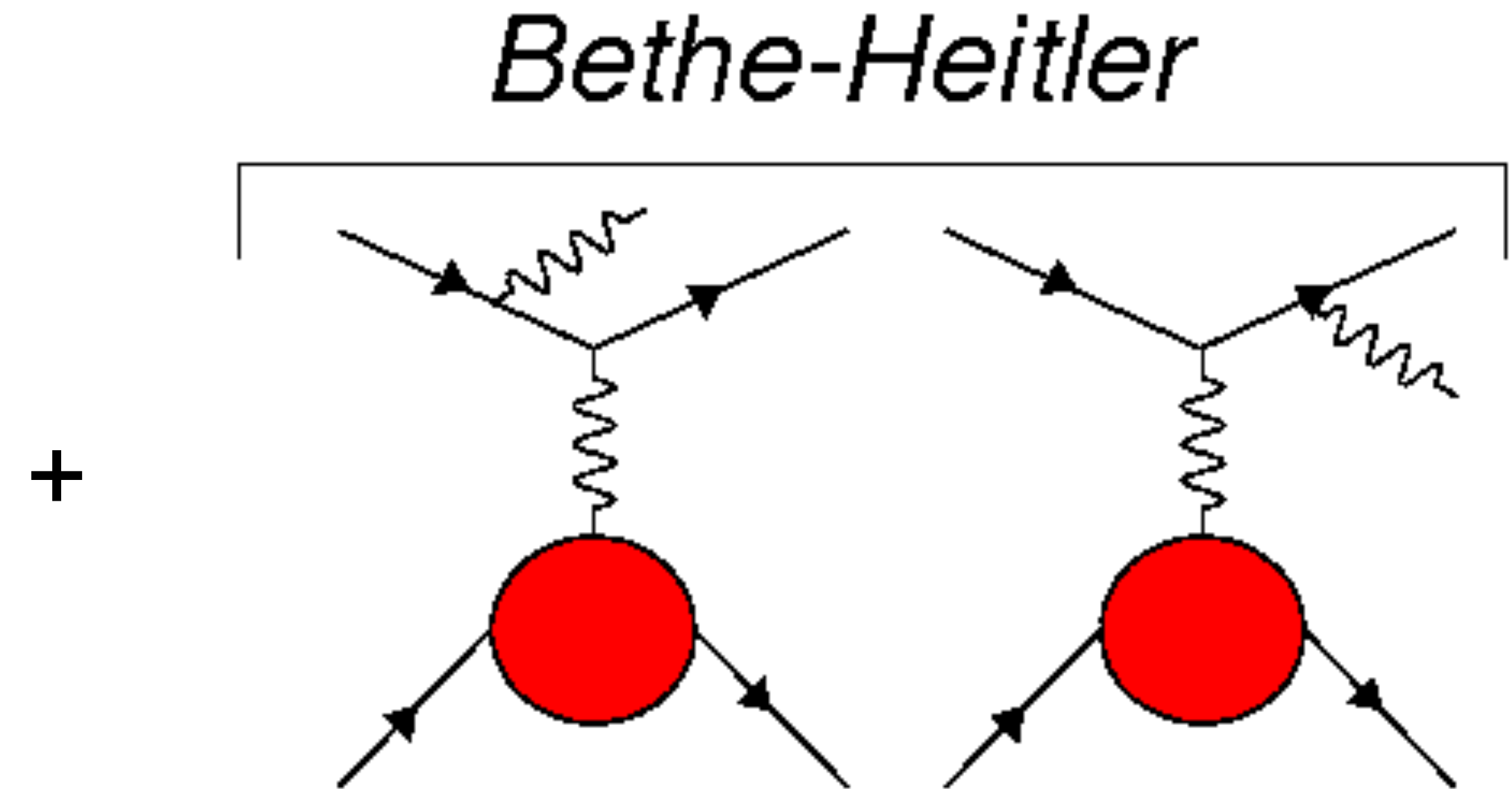
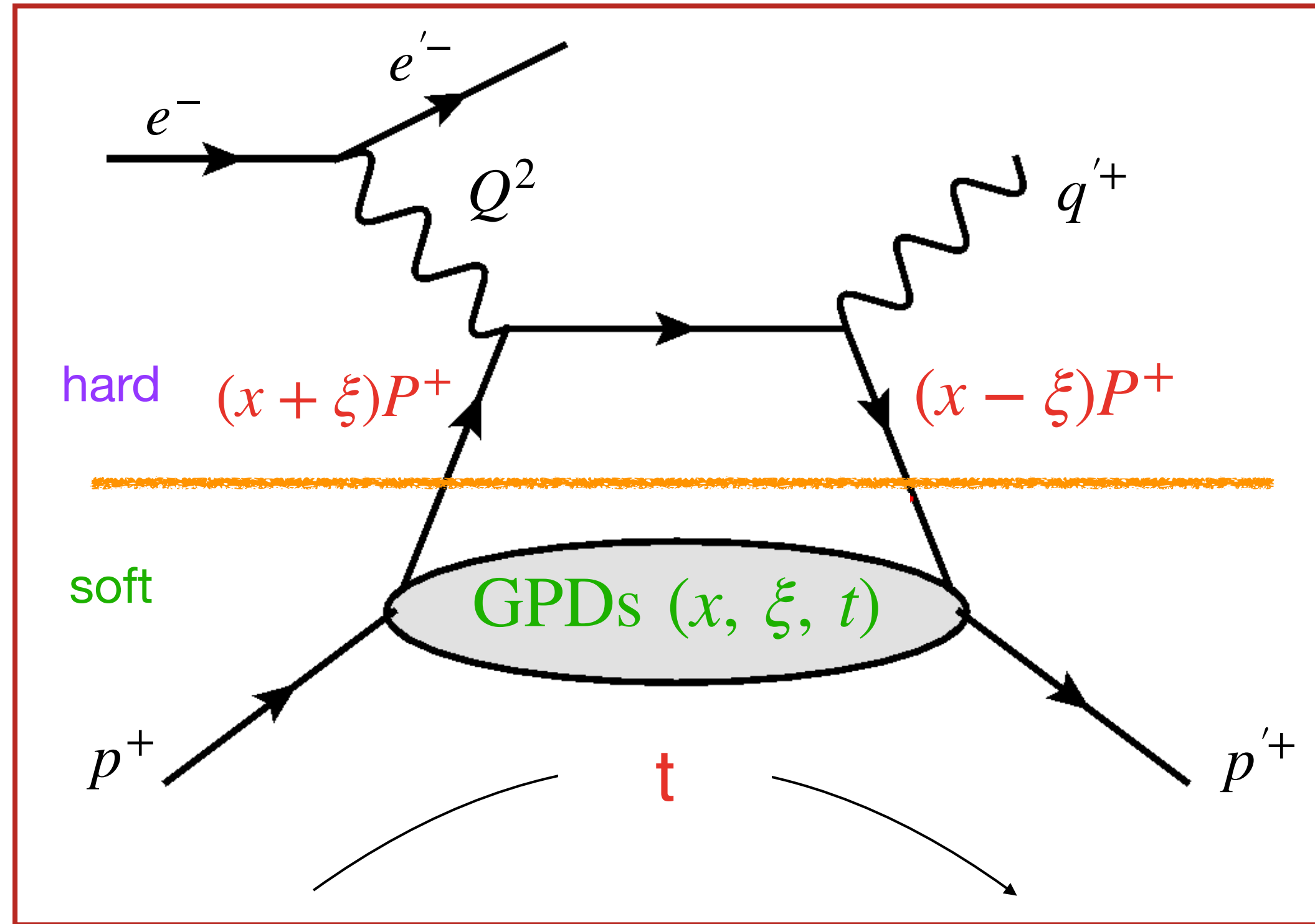
# Deeply Virtual Compton Scattering

$$ep \rightarrow e'\gamma p'$$

Xiangdong Ji

*Phys.Rev.Lett.* 78 (1997) 610-613

*Phys.Rev.D* 55 (1997) 7114-7125



Scattering cross-section factorizes as:

hard part (pQCD)  $\otimes$  soft part

# Mechanical structure of hadrons

In DVCS, Compton form factors are measurable quantities,

$$\mathcal{H}(\xi, t) = \int_{-1}^1 dx \left( \frac{1}{\xi - x - i0} - \frac{1}{\xi + x - i0} \right) H(x, \xi, t)$$

→ Extraction of the GPDs from experiments is model dependent

**D-term** can be obtained using the **dispersion relation** of the Compton form factors, **model independently**

Dispersion relation of the CFFs

$$\text{Re } \mathcal{H}(\xi, t) = \left( \frac{4}{5} \sum_q e_q^2 D^q(t) + \dots \right) + \frac{1}{\pi} \text{PV} \int_0^1 d\xi' \text{Im } \mathcal{H}(\xi', t) \left( \frac{1}{\xi - \xi'} - \frac{1}{\xi + \xi'} \right)$$

*D(t) as the (first) subtraction constant!*

Polyakov 2003

Teryaev 2005

Anikin, Teryaev 2007

Dlehl, Ivanov 2007

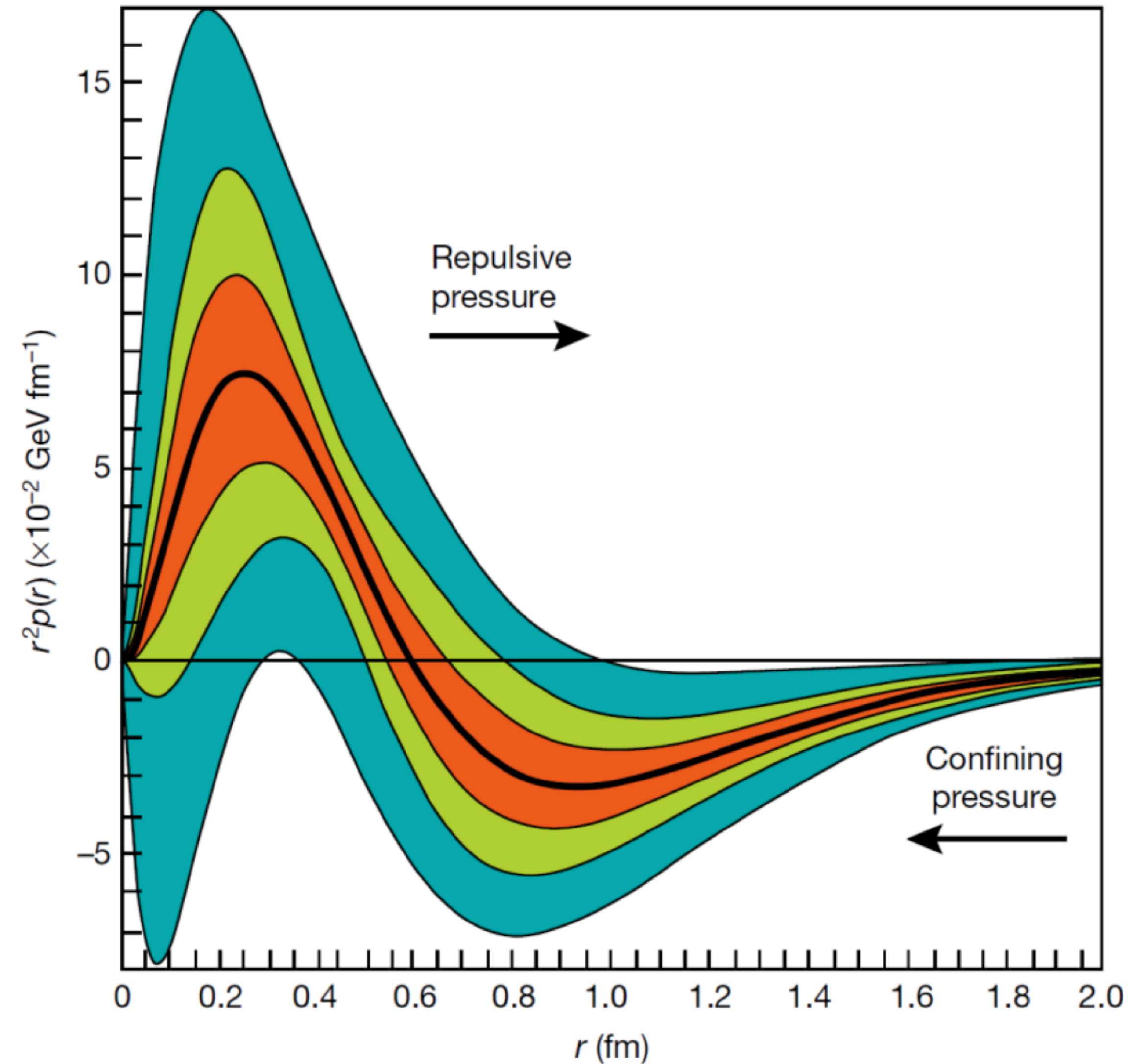
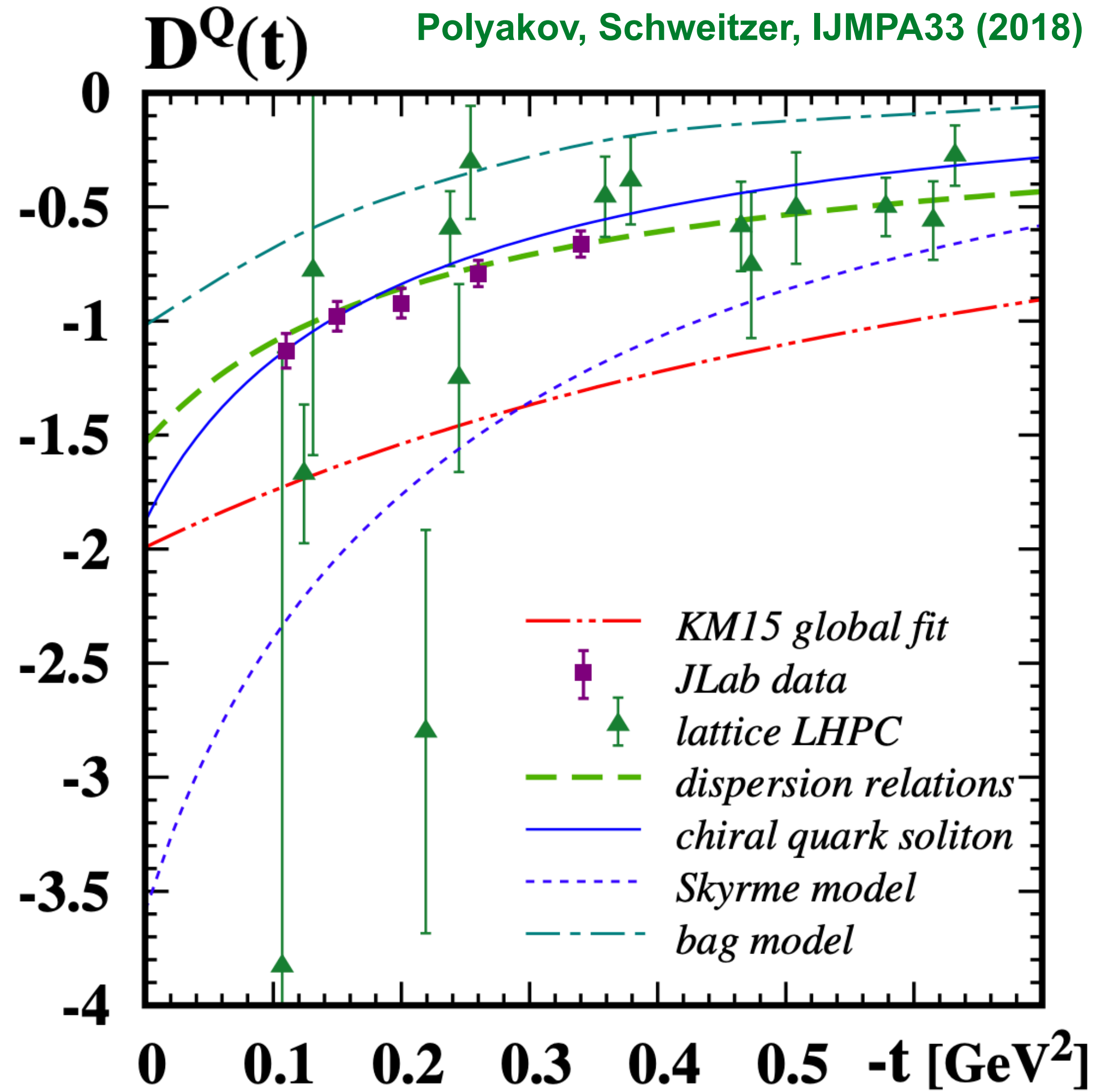
'Threshold approximation' for heavy quarkonium photo productions: access to gluon GFFs

**'Pressure distribution'**

$$p^a(r) = \frac{1}{6M_N} \frac{1}{r^2} \frac{d}{dr} r^2 \frac{d}{dr} \int \frac{d^3 \Delta}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} D^a(t) - M_N \int \frac{d^3 \Delta}{(2\pi)^3} e^{-i\vec{\Delta} \cdot \vec{r}} \bar{c}^a(t)$$

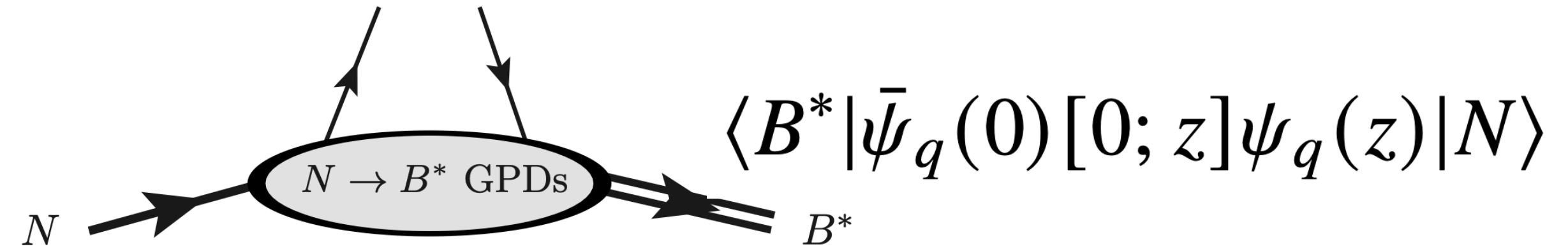
Burkert, Elouadrhiri, Girod, *Nature* 557(2018)

Polyakov, Schweitzer, *IJMPA*33 (2018)



# Transition FFs and GPDs

Example:  $N \rightarrow \Delta$  transition (V,A)



Operator		Diagonal: $N \rightarrow N$	Transition: $N \rightarrow \Delta$
Local	Vector	$G_E(t), G_M(t)$ Sachs EM form factors	$g_M(t), g_E(t), g_C(t)$ Jones–Scadron
	Axial	$G_A(t), G_P(t)$ axial-vector & induced pseudoscalar	$C_3^A, C_4^A, C_5^A, C_6^A$ Adler axial transition FFs
	Gravitational ( $n=2$ )	$A(t), J(t), \mathbf{D}(t)$ EMT form factors	<b>transition gravitational FFs</b>
Nonlocal	Vector	$H(x, \xi, t), E(x, \xi, t)$	$h_M, h_E, h_C, h_X(x, \xi, t)$
	Axial	$\tilde{H}(x, \xi, t), \tilde{E}(x, \xi, t)$	$C_1, C_2, C_3, C_X(x, \xi, t)$

**Production of the resonances in terms of quarks and gluons**

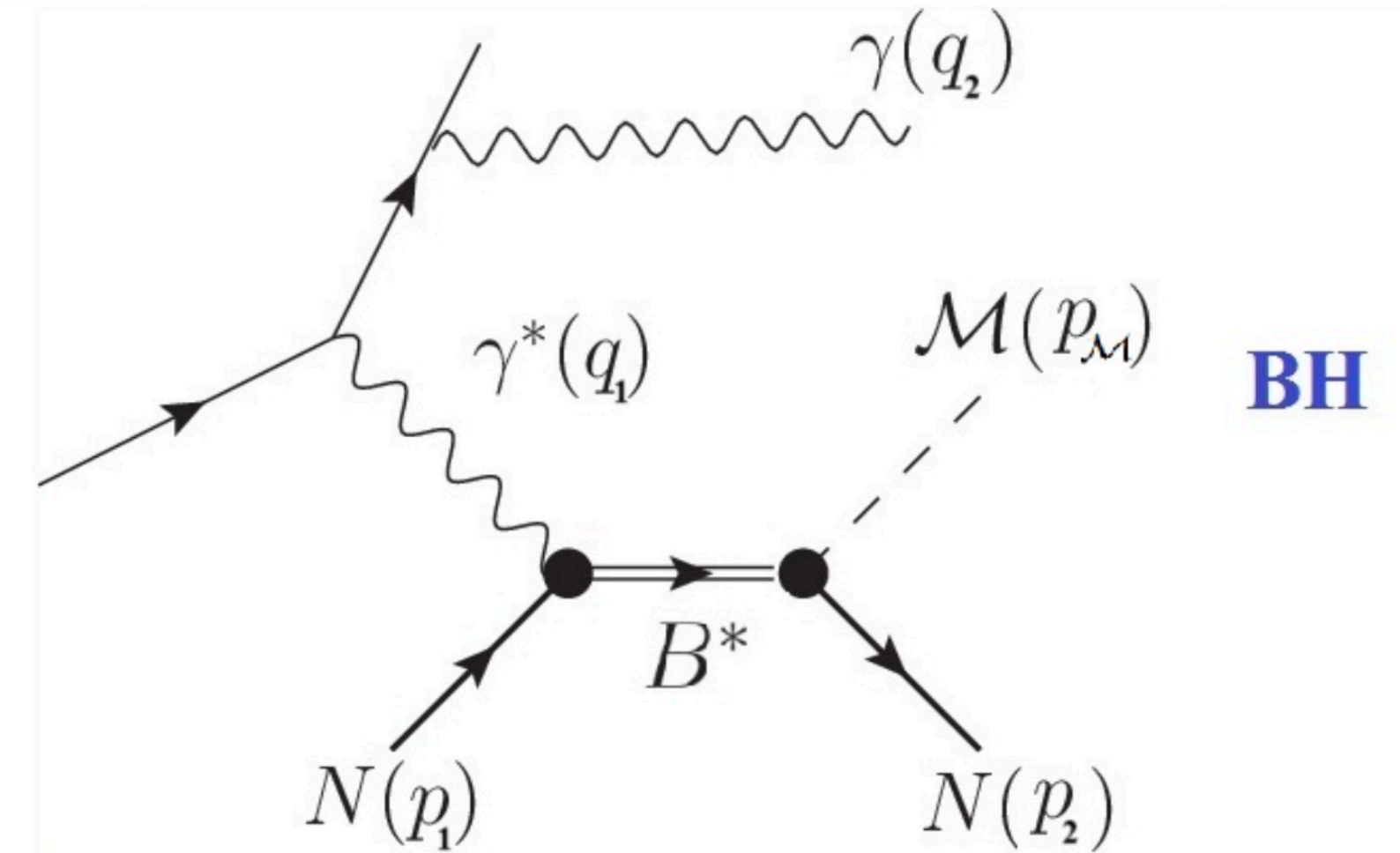
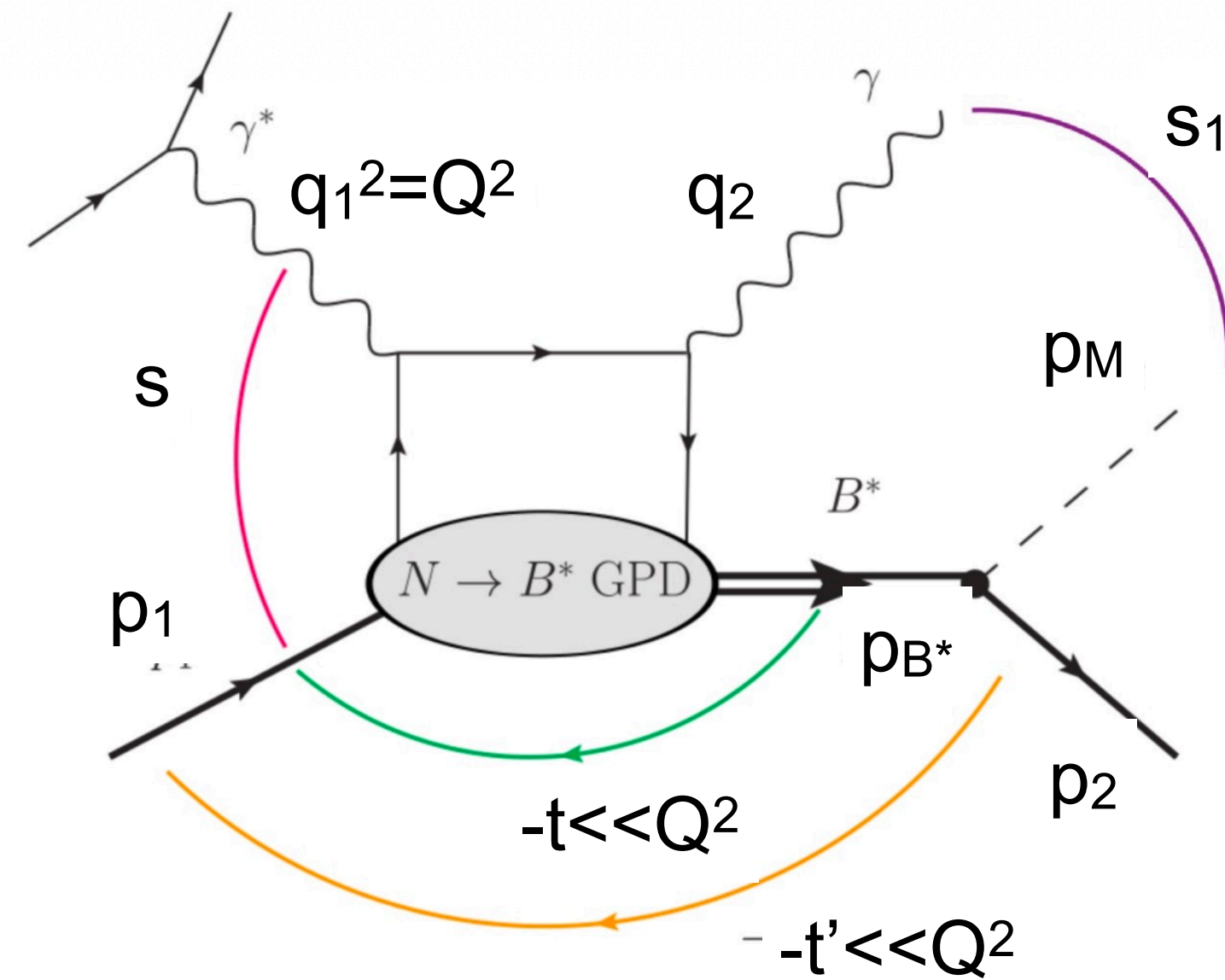
**Nonlocal parton operators: resonance production with arbitrary spin QCD operator**

**N=2 Mellin moments: Transition gravitational form factors** H. Alharazin et al. *JHEP* 03 (2024) 007

**Forward limit: quark ‘density’ in the transition** J. Kim *Phys.Rev.D* 108 (2023) 3, 034024

# Nondiagonal DVCS

$$\gamma^*(q) + N(p) \rightarrow \gamma(q') + [\mathcal{M}(p_{\mathcal{M}})N(p')]; \quad \mathcal{M} = \pi, \eta, \rho, \omega, \dots$$



**Factorization in terms of  $N \rightarrow B^*$  GPDs in the generalized Bjorken kinematics:**

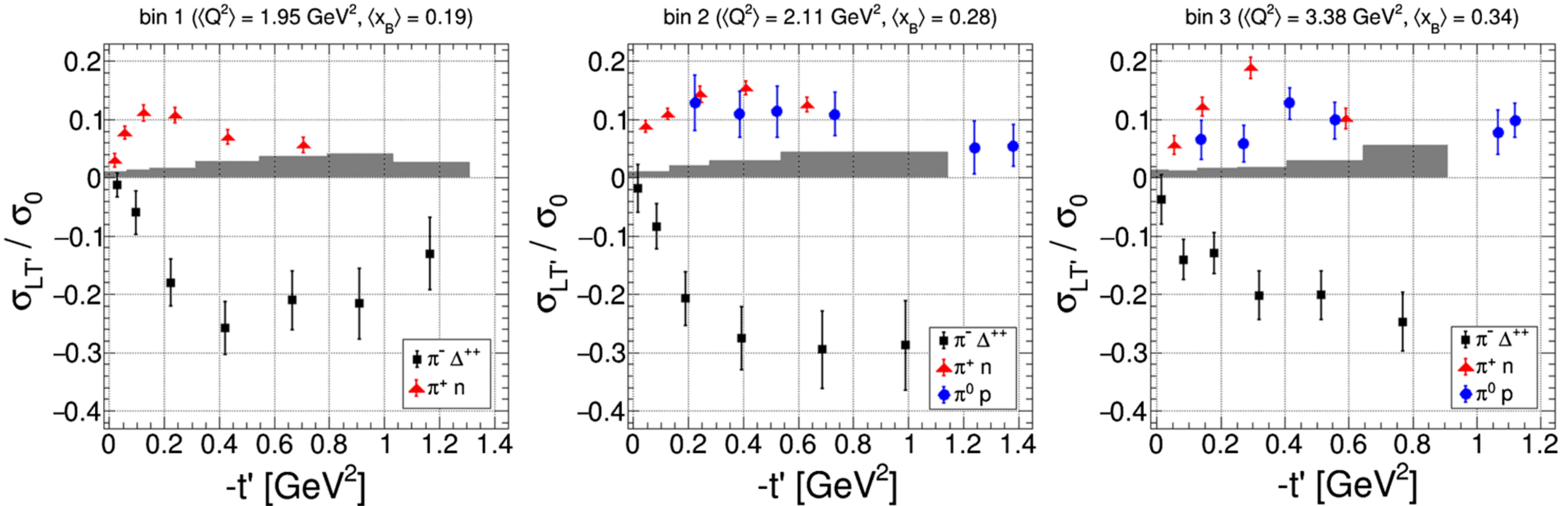
$$-q_1^2; \quad s = (p_1 + q_1)^2; \quad s_1 = (p_{\mathcal{M}} + q_2)^2 - \text{large}; \quad x_B = \frac{-q_1^2}{2p_1 \cdot q_1} - \text{fixed};$$

$$-t = -(p_{B^*} - p_1)^2; \quad -t' = -(p_2 - p_1)^2; \quad W_{\mathcal{M}N}^2 = (p_1 + p_{\mathcal{M}})^2 \quad \text{of hadronic scale.}$$

# First nondiagonal DVMP measurement from CLAS12

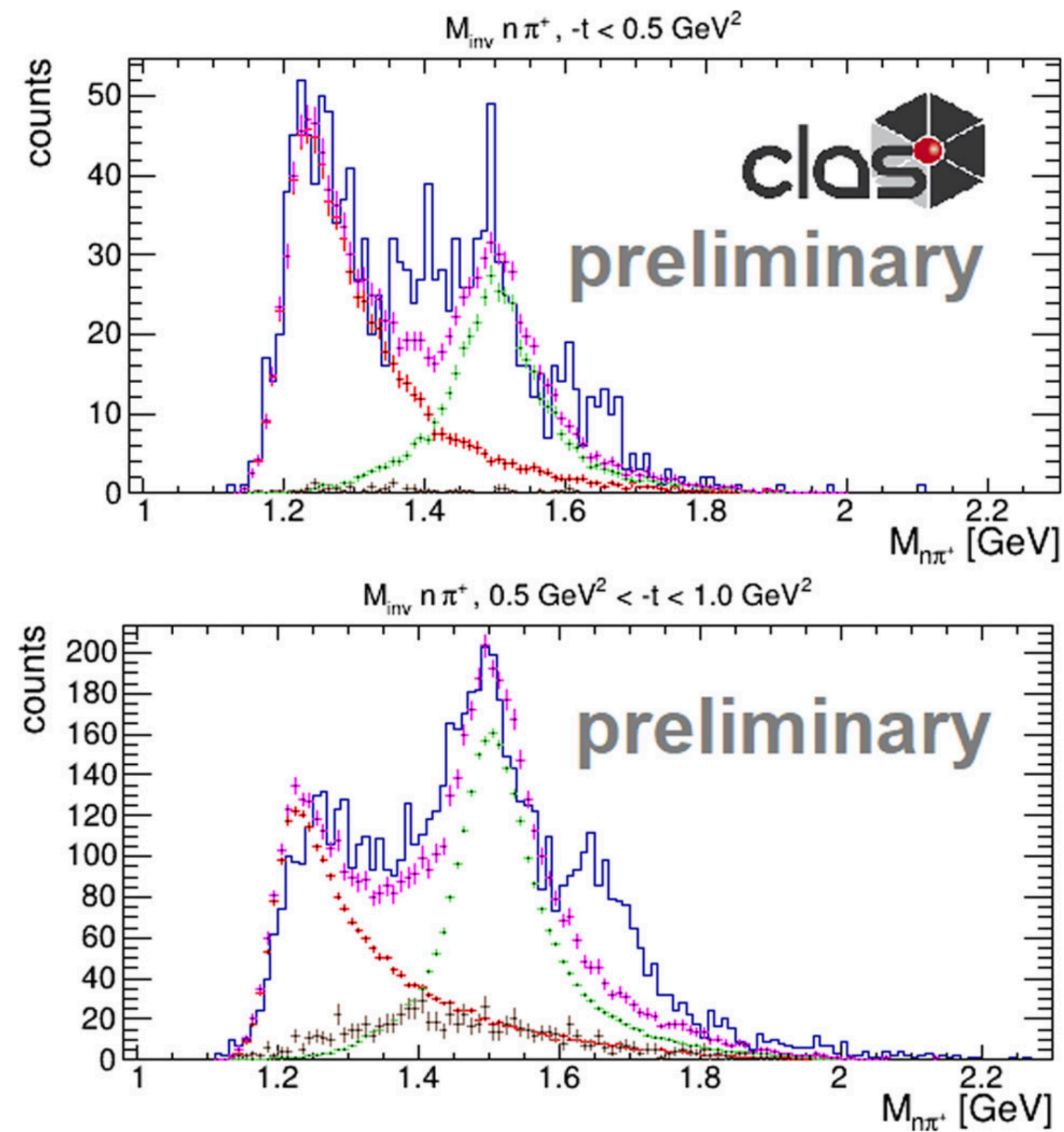
$\sigma_{LT'}/\sigma_0$  sensitive to the transversity transition GPDs

S. Diehl et al., *Phys.Rev.Lett.* 131 (2023) 2, 021901

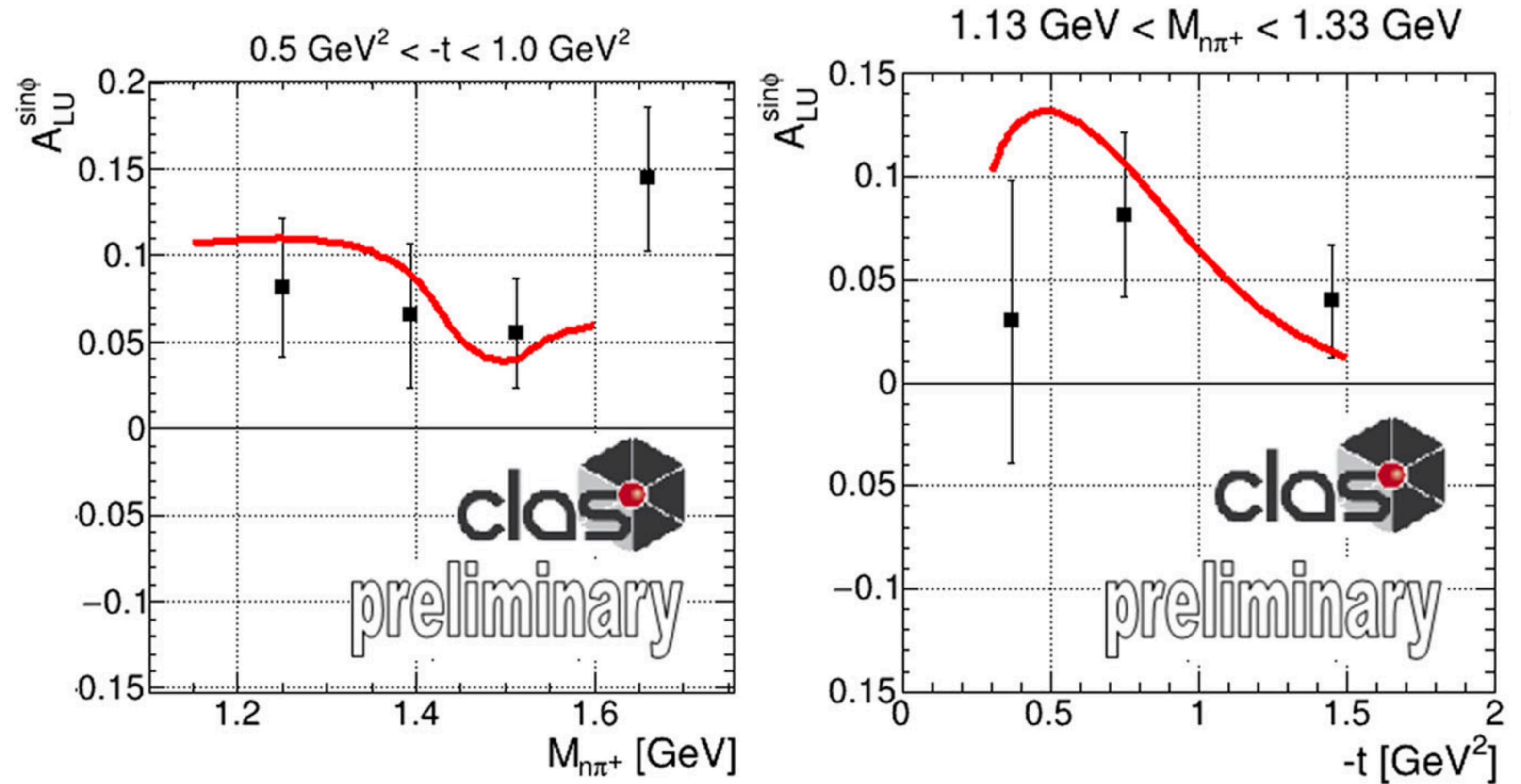


# Invariant mass distributions for $e^-p \rightarrow e^- \gamma n \pi^+$

## Cross section



## BSA



Preliminary results from S. Diehl,  
TGPD white paper *Eur.Phys.J.A* 61 (2025) 6, 131  
Theoretical curve: K. Semenov and M. Vanderhaeghen  
*Phys.Rev.D* 108 (2023) 3, 034021

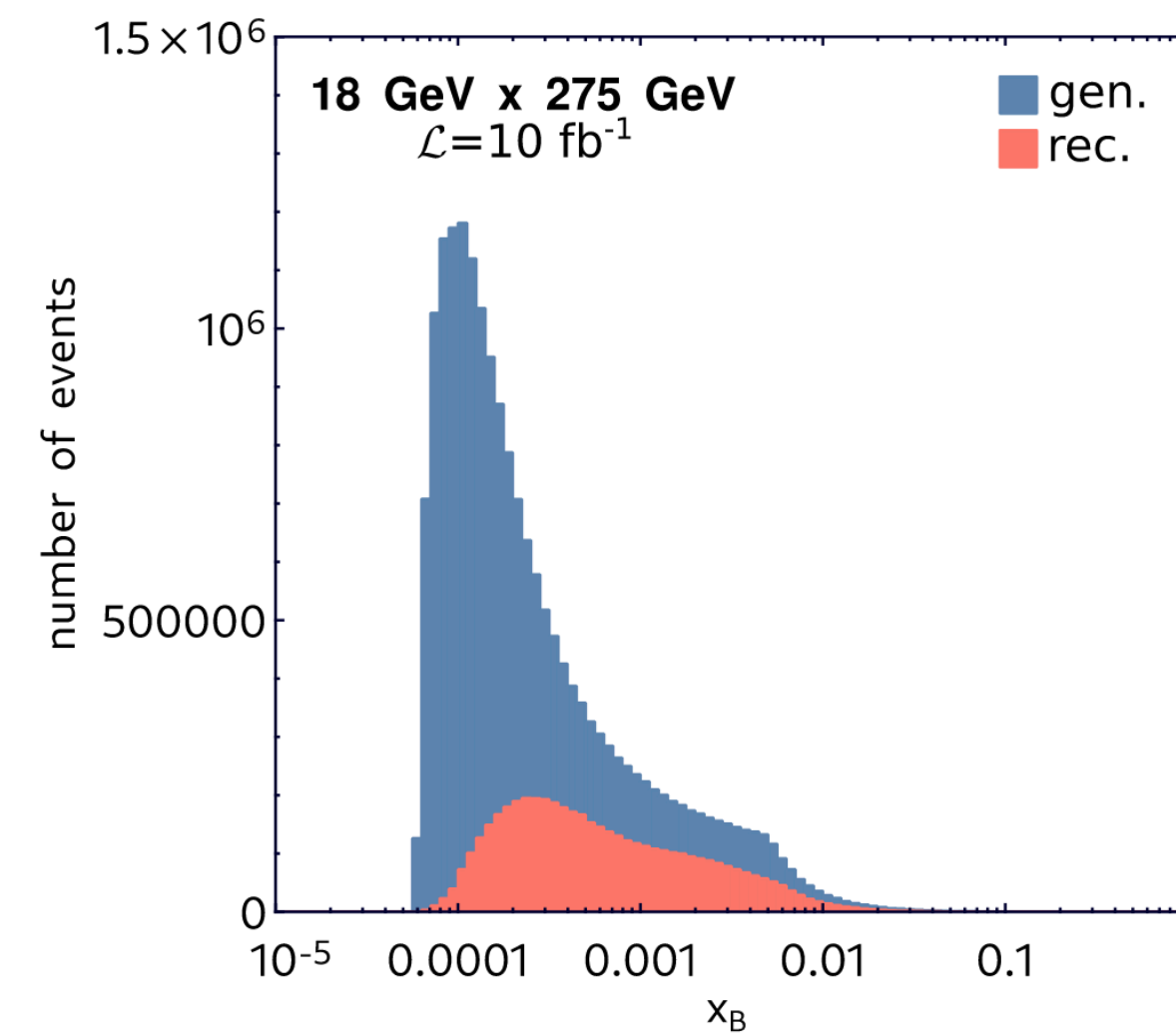
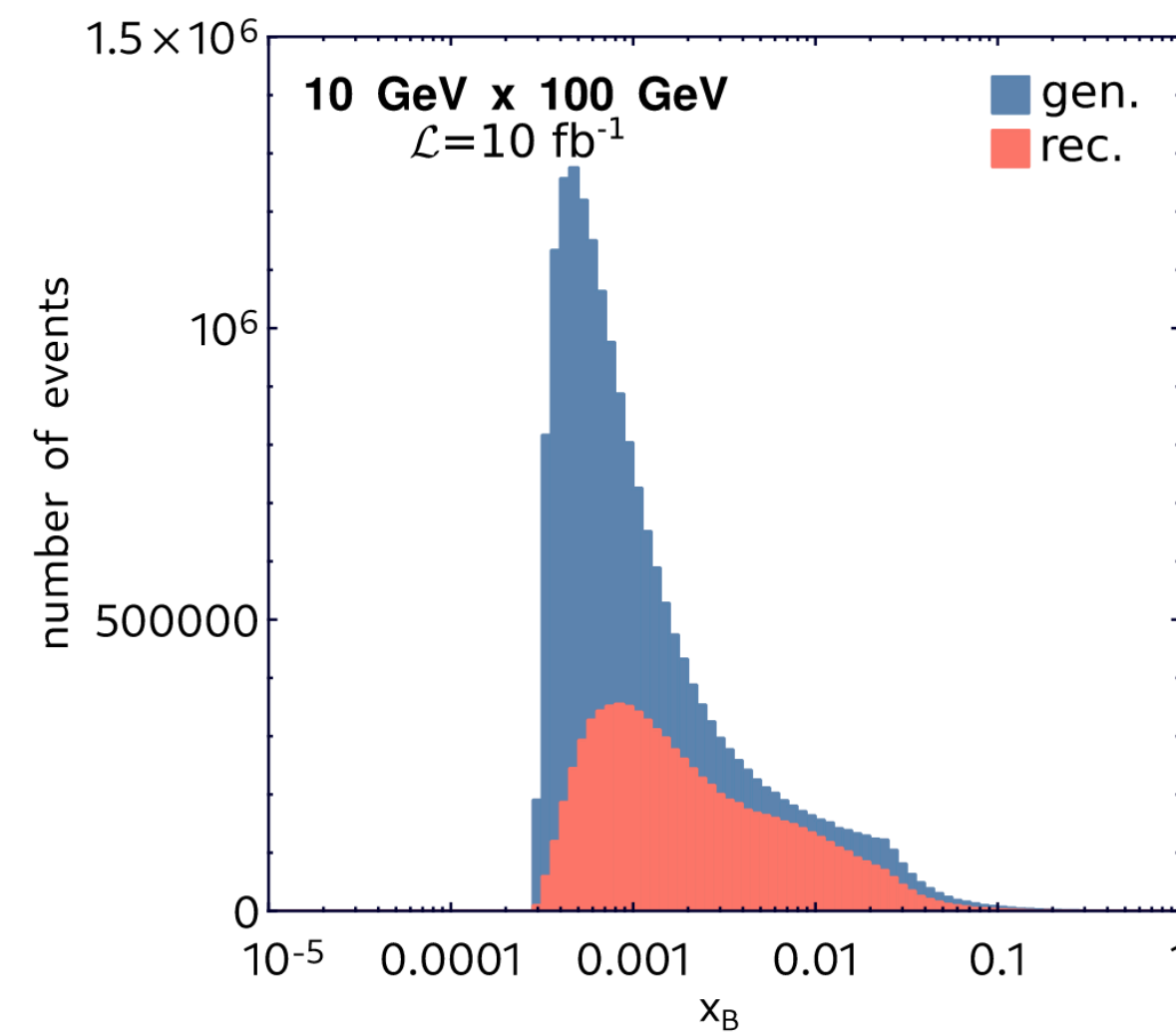
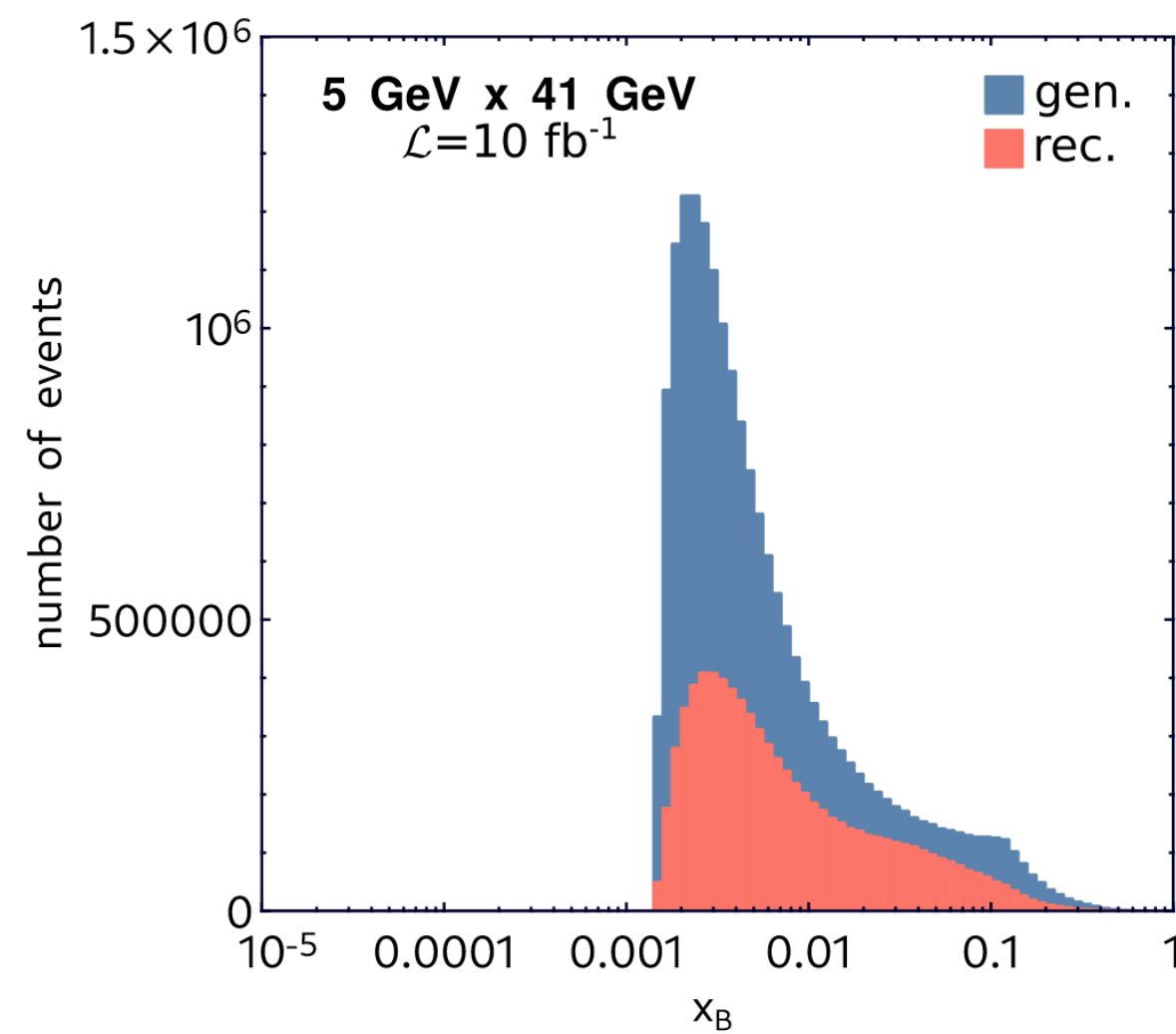
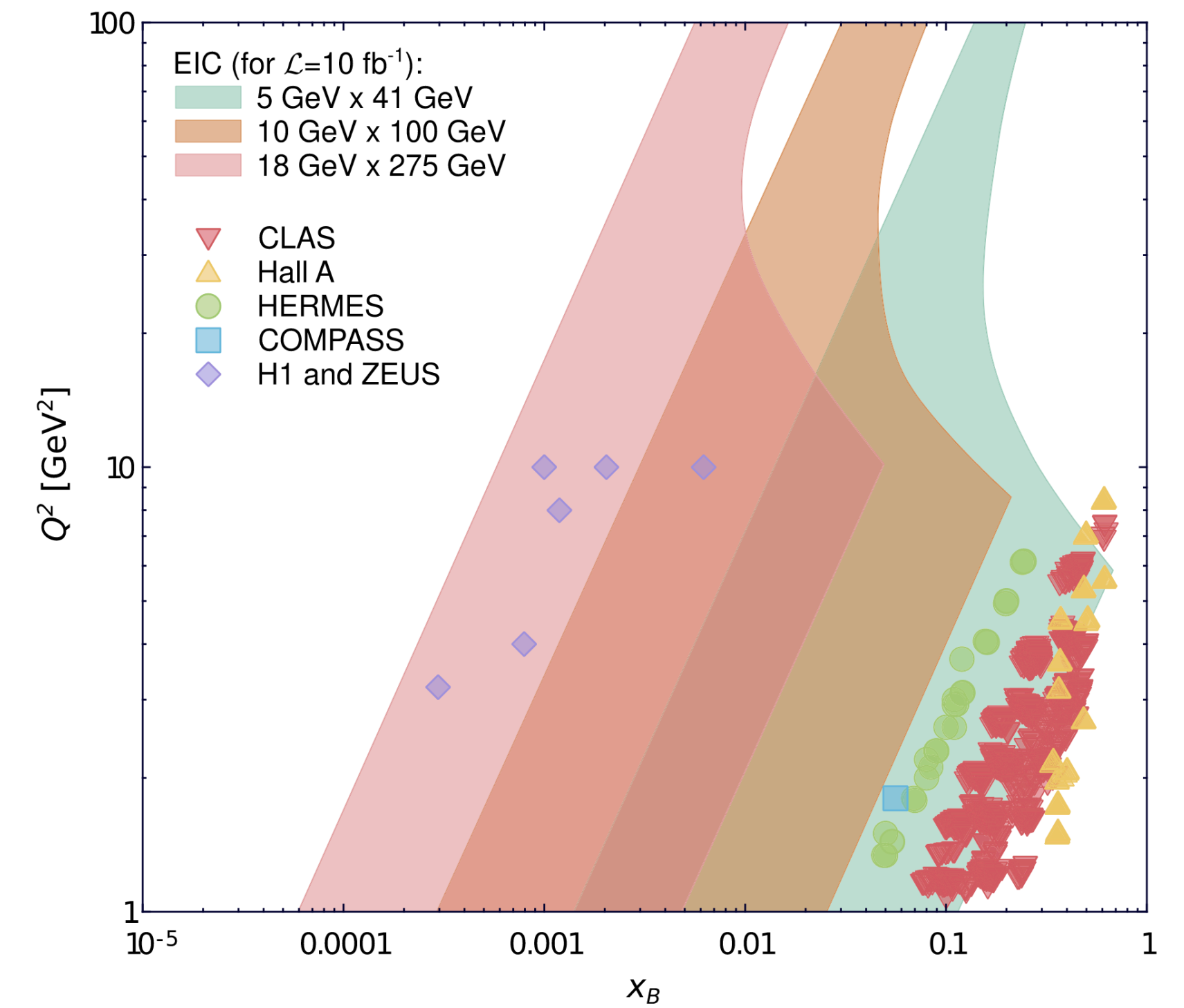
Shows the applicability of GPD framework  
under the collinear factorization in non-  
diagonal processes

# Opportunities for DVCS at the EIC

## EIC promises EIC Yellow report, 2022

- Broad kinematic coverage across the valence and sea regions
- Polarized lepton and proton beams
- High luminosity

Dedicated study for diagonal DVCS off a proton,  
E. Aschenauer et. al., PRD 112 (2025)

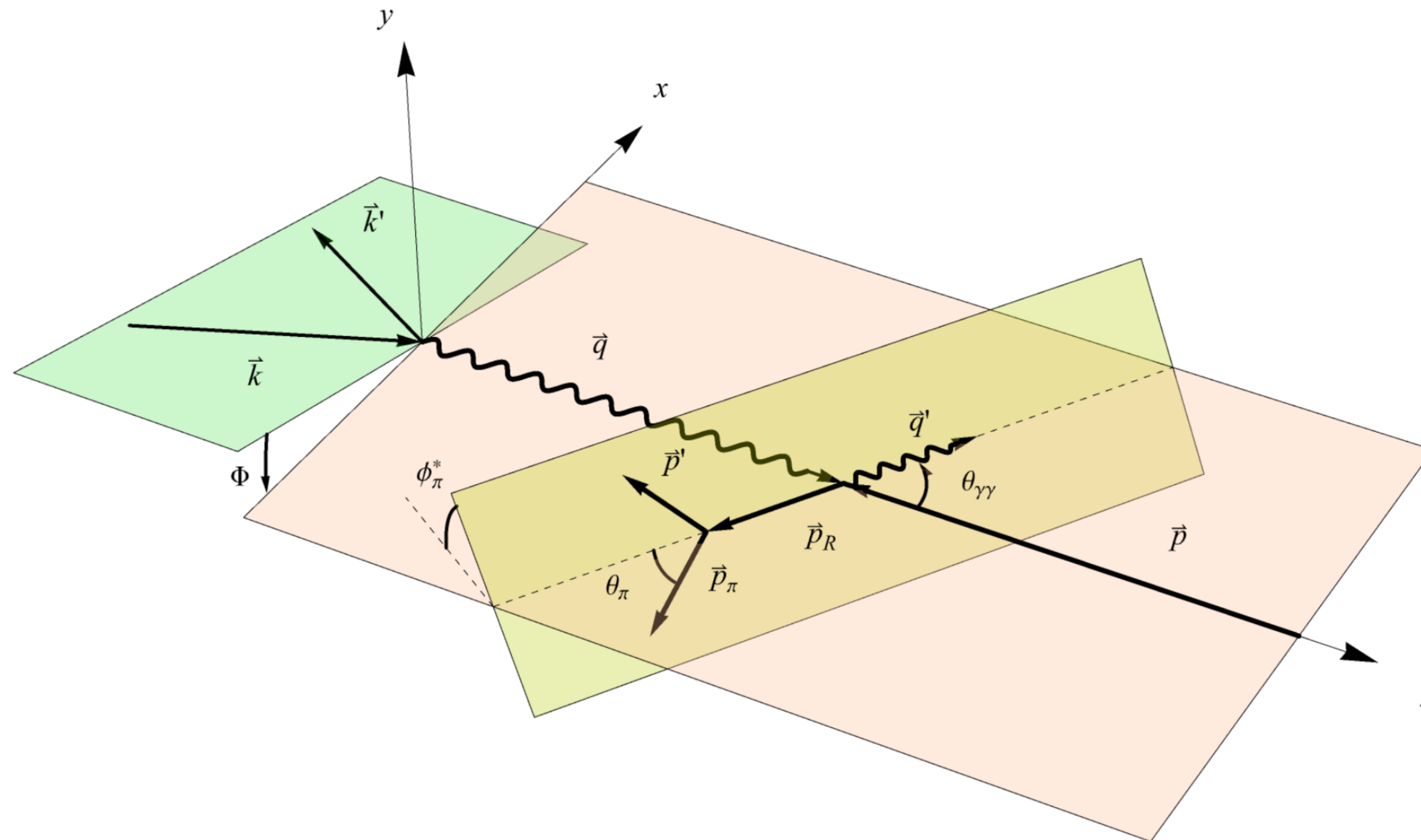


# Nondiagonal N- $\Delta$ DVCS amplitudes

# Nondiagonal DVCS

K. Semenov and M. Vanderhaeghen *Phys.Rev.D* 108 (2023) 3, 034021

$$e(k) + N(p) \rightarrow e'(k') + \gamma^*(q) + N(p) \rightarrow e'(k') + \gamma(q') + \pi(p_\pi) + N'(p')$$



Differential cross section:

$$\frac{d^7 \sigma}{dQ^2 dx_B dt d\phi dW_{\pi N}^2 d\Omega_\pi^*}$$

$\gamma^* N \rightarrow B^* \gamma$  Transition subprocess

$B^* \rightarrow \pi N'$  Resonance decay

# N-Δ DVCS

## Narrow decay width approximation

P. Guichon, L. Mossé, and M. Vanderhaeghen, 2003

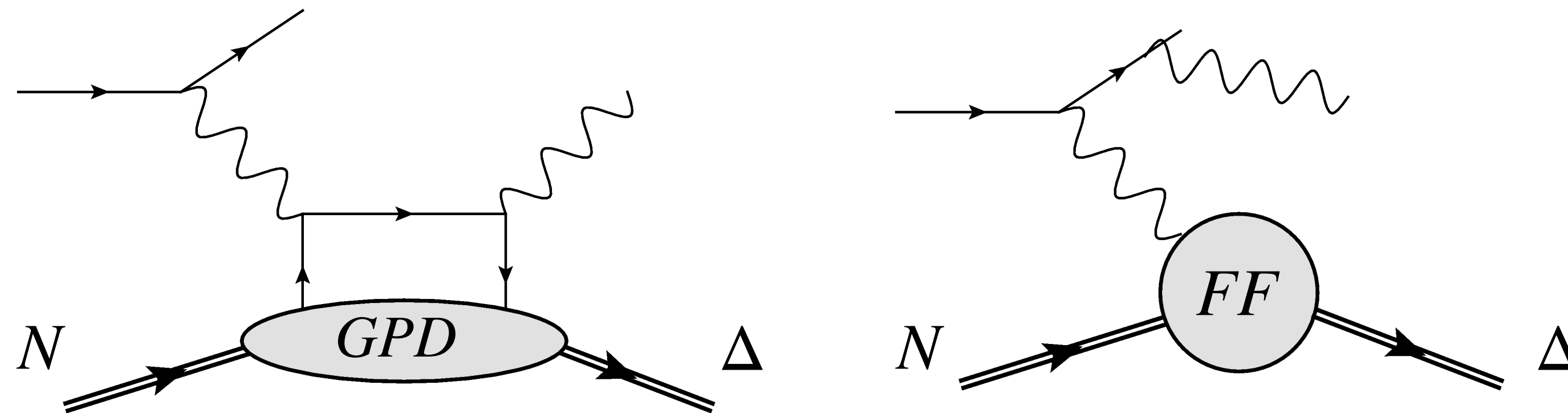
$$\int_{(m_N+m_\pi)^2}^{\infty} dW_{\pi N}^2 \frac{d\sigma(eN \rightarrow e\gamma B^* \rightarrow e\gamma\pi N)}{dQ^2 dx_B dt d\Phi dW_{\pi N}^2}$$

$$\propto \boxed{\frac{d\sigma(eN \rightarrow e\gamma B^*)}{dQ^2 dx_B dt d\Phi}} \underbrace{\int_{(m_N+m_\pi)^2}^{\infty} dW_{\pi N}^2 \frac{W_{\pi N} \Gamma(W_{\pi N})}{(W_{\pi N}^2 - m_{B^*}^2)^2 + m_{B^*}^2 \Gamma^2(W_{\pi N})}}_{\sim \mathcal{O}(1) \text{ for } \Gamma(m_{B^*})/m_{B^*} \ll 1}$$

$eN \rightarrow e'\gamma\Delta$  amplitude: VCS + BH

$$\frac{\Gamma(m_\Delta)}{m_\Delta} \simeq 10\%$$

We treat the  $\Delta$  as a stable hadron state



# N- $\Delta$ DVCS amplitudes

Harmonic expansion in the angle  $\phi$  bin the leptonic and production planes

$$|\mathcal{M}_{\text{BH}}|^2 = \frac{e^6}{x_B^2 y^2 t \mathcal{P}_1(\phi) \mathcal{P}_2(\phi)} \left[ \sum_{n=0}^2 c_n^{\text{BH}} \cos(n\phi) + s_1^{\text{BH}} \sin \phi \right],$$

$$|\mathcal{M}_{\text{VCS}}|^2 = \frac{e^6}{y^2 Q^2} \left[ \sum_{n=0}^2 c_n^{\text{VCS}} \cos(n\phi) \right],$$

$$\mathcal{I} = \frac{e^6}{x_B y^3 t \mathcal{P}_1(\phi) \mathcal{P}_2(\phi)} \left\{ c_0^{\mathcal{I}} + \sum_{n=1}^3 \left[ c_n^{\mathcal{I}} \cos(n\phi) + s_n^{\mathcal{I}} \sin(n\phi) \right] \right\}$$

Cf. Diagonal DVCS:

Belitsky, Müller, Kirchner,

*Nucl.Phys.B* 629 (2002) 323-392

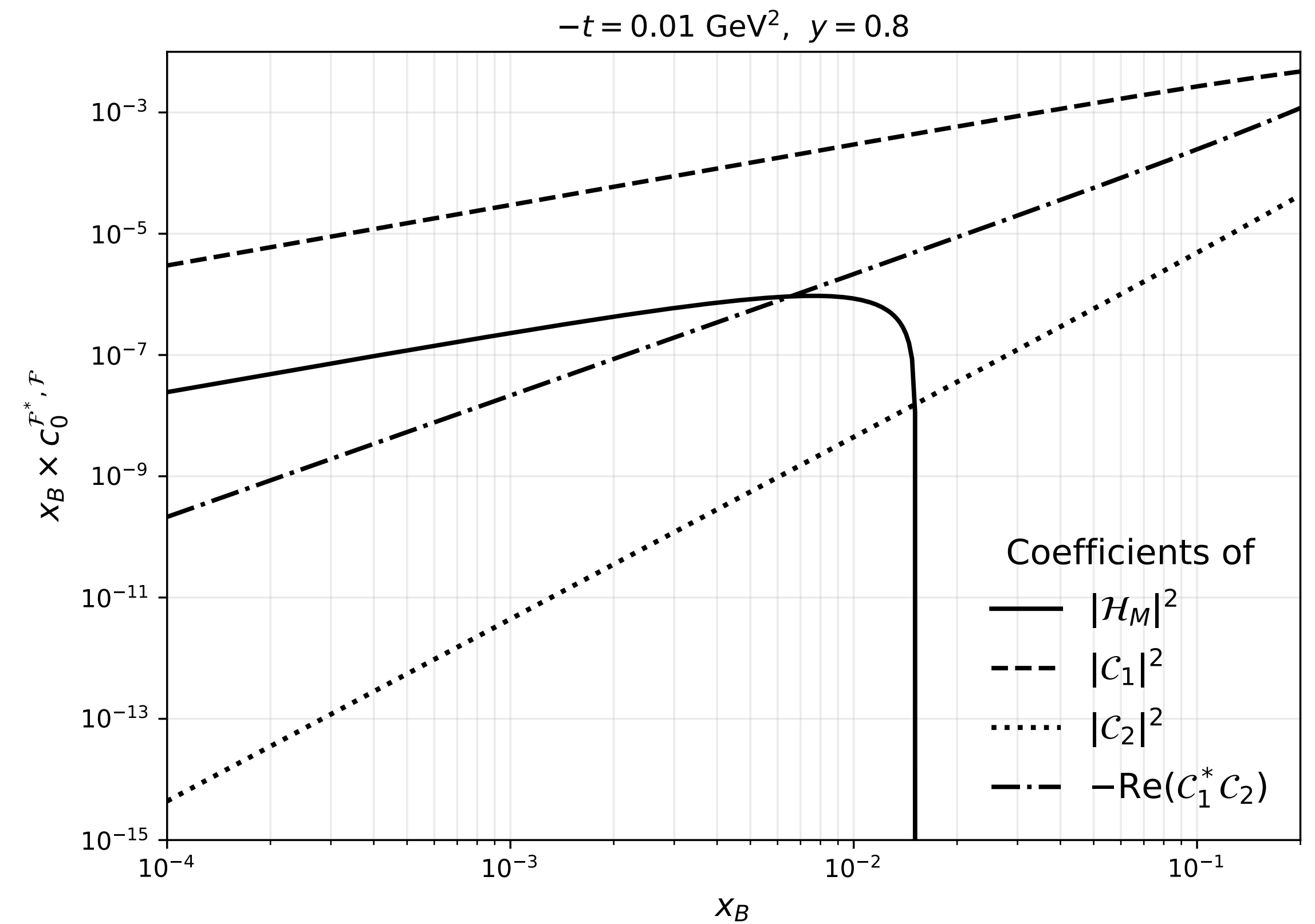
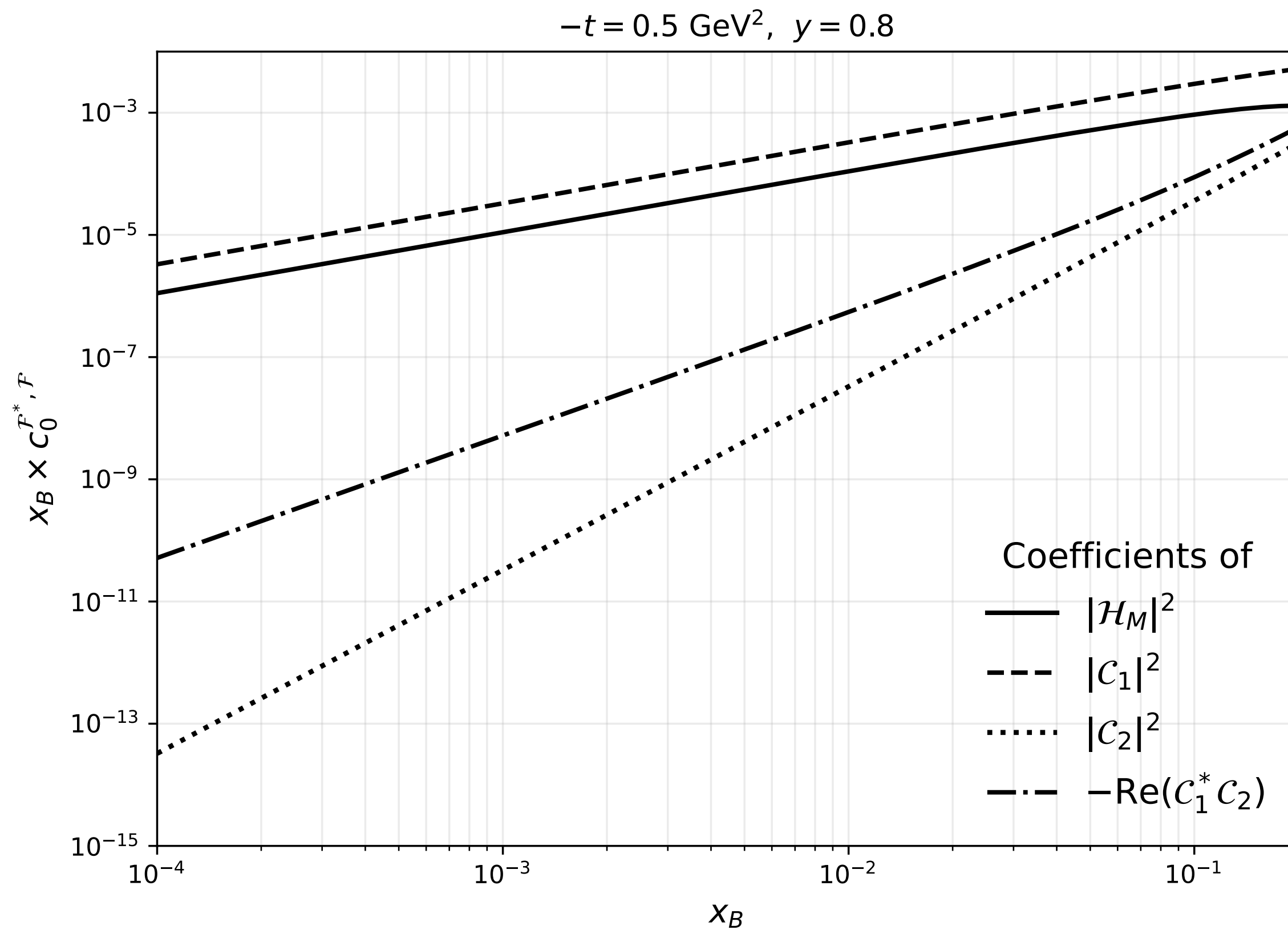
# N-Δ DVCS amplitudes

## Harmonic coefficients for DVCS

$$c_n^{\text{VCS}} \propto (t_{\min} - t) |\mathcal{H}_M|^2 + \text{Other CFFs.}$$

Magnetic dipole CFF HM becomes less important near  $t =$

$$t_{\min} = -\frac{x_B}{1-x_B} \left[ W_{\pi N}^2 - (1-x_B)m_N^2 \right] + \mathcal{O}(Q^{-2})$$



# Spin asymmetries and azimuthal angle modulations

Type	CFFs	$\varphi$ modulation (Leading twist-2)
$A_{LU}$	$\text{Im } H_M, \text{Im } C_I$	$\sin\varphi, \sin 2\varphi$
$A_{UL}$	$\text{Im } H_M, \text{Im } C_I, \text{Im } C_2$	$\sin\varphi, \sin 2\varphi, \sin 3\varphi$
$A_{LL}$	$\text{Re } H_M, \text{Re } C_I, \text{Re } C_2$	$\cos\varphi$

Polarization power of the EIC gives access to all CFFs in the large  $N_c$   
through the longitudinal spin asymmetries!

# N- $\Delta$ Transition GPDs in the large $N_c$ limit

# N-Δ Transition GPDs

L.L. Frankfurt et al. PRL 84 (2000) 2589

J.-Y. Kim et al. PRD 111 (2025)

## Vector GPDs

$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle \Delta(p_\Delta) | \bar{\psi}(-\lambda n/2) \not{n} \tau^3 \psi(\lambda n/2) | N(p_N) \rangle$$

$$= \sqrt{\frac{2}{3}} \bar{R}_\beta(p_\Delta) \left[ h_M(x, \xi, t) \mathcal{K}_M^{\beta\mu} n_\mu + h_E(x, \xi, t) \mathcal{K}_E^{\beta\mu} n_\mu + h_C(x, \xi, t) \mathcal{K}_C^{\beta\mu} n_\mu + h_X(x, \xi, t) \mathcal{K}_X^{\beta\mu} n_\mu \right] N(p_N),$$


## Mellin moments of vector GPDs

$$h_M(x, \xi, t)$$

$$h_E(x, \xi, t)$$

$$h_C(x, \xi, t)$$

$$h_X(x, \xi, t)$$

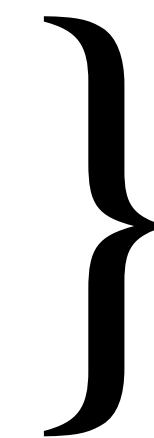
$$\int_{-1}^1 dx$$


$$2g_M(t)$$

$$2g_E(t)$$

$$2g_C(t)$$

$$0$$



N-Delta vector transition ffs  
(related to Jones-Scadron)

Pascalutsa, Vanderhaeghen, Yang, Phys. Rept. 437 125 (2007)

# N-Δ Transition GPDs

L.L. Frankfurt et al. PRL 84 (2000) 2589

J.-Y. Kim et al. PRD 111 (2025)

## Axial vector GPDs

$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle \Delta(p_\Delta) | \bar{\psi}(-\lambda n/2) \not{n} \gamma_5 \tau^3 \psi(\lambda n/2) | N(p_N) \rangle$$

$$= \bar{R}_\beta(p_\Delta) \left[ C_1(x, \xi, t) \tilde{\mathcal{K}}_1^{\beta\mu} n_\mu + C_2(x, \xi, t) \tilde{\mathcal{K}}_2^{\beta\mu} n_\mu + C_3(x, \xi, t) \tilde{\mathcal{K}}_3^{\beta\mu} n_\mu + C_X(x, \xi, t) \tilde{\mathcal{K}}_X^{\beta\mu} n_\mu \right] N(p_N)$$

## Mellin moments of axial vector GPDs

$C_1(x, \xi, t)$	$\xrightarrow{\sqrt{\frac{2}{3}} \int_{-1}^1 dx}$	$2 \left[ C_5^A(t) + \frac{m_\Delta^2 - m_N^2}{m_N^2} C_4^A(t) \right]$	}	<b>N-Delta axialvector transition ffs</b> Pascalutsa, Vanderhaeghen, Yang, Phys. Rept. 437 125 (2007)
$C_2(x, \xi, t)$		$2 \left[ C_6^A(t) + \frac{1}{\xi} C_4^A(t) \right]$		
$C_3(x, \xi, t)$		$2C_3^A(t)$		
$C_X(x, \xi, t)$		$0$		

# Transition GPDs in the large Nc limit

L.L. Frankfurt et al. PRL 84 (2000) 2589

Goeke, Polyakov, Vanderhaeghen PPNP 47 (2001) 401

**Large Nc limit of QCD:**

**nucleon and  $\Delta$  are different rotational excitation ( $1/N_c$ ) states  
in the same classical soliton**

**→ Large Nc relation of the N- $\Delta$  GPDs and nucleon GPDs**

$$H_M(x, \xi, t) = \sqrt{2} [E_u(x, \xi, t) - E_d(x, \xi, t)],$$

$$C_1(x, \xi, t) = \sqrt{3} \left[ \tilde{H}_u(x, \xi, t) - \tilde{H}_d(x, \xi, t) \right],$$

$$C_2(x, \xi, t) = \frac{\sqrt{3}}{4} \left[ \tilde{E}_u(x, \xi, t) - \tilde{E}_d(x, \xi, t) \right].$$

**Isovector dominance follows from the nucleon GPDs**

**All other GPDs are  $1/N_c$  suppressed; set to zero**

**Cx!**

# Phenomenological model for the N-Δ transition GPDs

## Regge-motivated t-dependence

S. Goloskokov and P. Kroll, 2007, 2014

$$H_q(x, \xi = 0, t) = q(x)e^{t[f(x)]}$$

$$\tilde{H}_q(x, \xi = 0, t) = \Delta q(x)e^{t[f(x)]}$$

$$E_q(x, \xi = 0, t) = \mathcal{N}x^{-r}(1-x)^s e^{t[f(x)]}$$

Regge trajectory

$$f(x) = -\alpha'(1-x)^3 \ln x + B_q(1-x)^3 + A_q x(1-x)^3$$

## Forward limit of the helicity-flip GPD E

M. Diehl and W. Kugler, 2007

Ansatz  $e(x) = Nx^{-\alpha}(1-x)^\beta$ , satisfying the constraints from the first moment and the EMT conservation, etc.

$$\begin{aligned} 0 &= \int_0^1 dx E^g(x, 0, 0) + \sum_q \int_{-1}^1 dx x E^q(x, 0, 0) \\ &= \int_0^1 dx x e^g(x) + \sum_q \int_0^1 dx x [e_{q_v}(x) + 2e_{\bar{q}}(x)] \end{aligned}$$

Also,  $\tilde{H}$ : DSSVpol,  $\tilde{E}$ : pion pole dominance

## Full GPD: Radyushkin double distribution ansatz

A. Radyushkin, 1999

$$F_q(x, \xi, t) = \int_{-1}^1 d\beta \int_{-1+|\beta|}^{1-|\beta|} d\alpha \delta(x - \xi\alpha - \beta) h(\beta, \alpha) F_q(\beta, \xi = 0, t)$$

$$h(\alpha, \beta) = \frac{\Gamma(2n+2)}{2^{2n+1} [\Gamma(n+1)]^2} \frac{[(1-|\beta|)^2 - \alpha^2]^n}{(1-|\beta|)^{2n+1}}$$

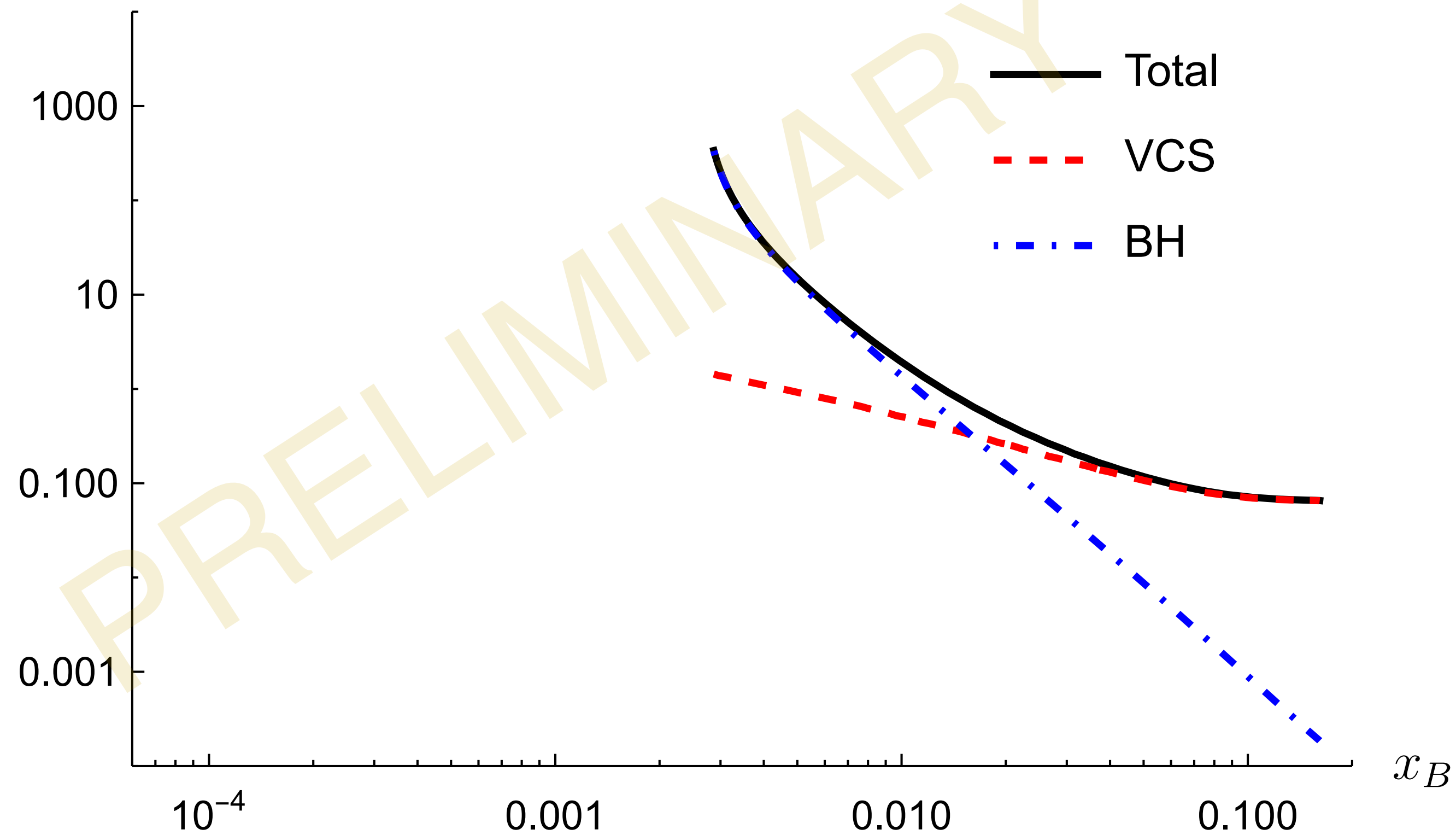
# Numerical Results and Discussions (preliminary )

# Differential Cross sections $e^-p \rightarrow e^- \gamma \Delta^+$

\*For each  $s_{ep}$ , Bjorken- $x_B$  ranges are bounded by the lepton energy loss cut  $0.01 \leq y \leq 0.85$

$$E_e = 5 \text{ GeV}, E_p = 41 \text{ GeV}, -t = 0.15 \text{ GeV}^2, Q^2 = 2 \text{ GeV}^2$$

$$\frac{d\sigma}{dx_B dt dQ^2} \text{ (nb} \cdot \text{GeV}^{-4}\text{)}$$

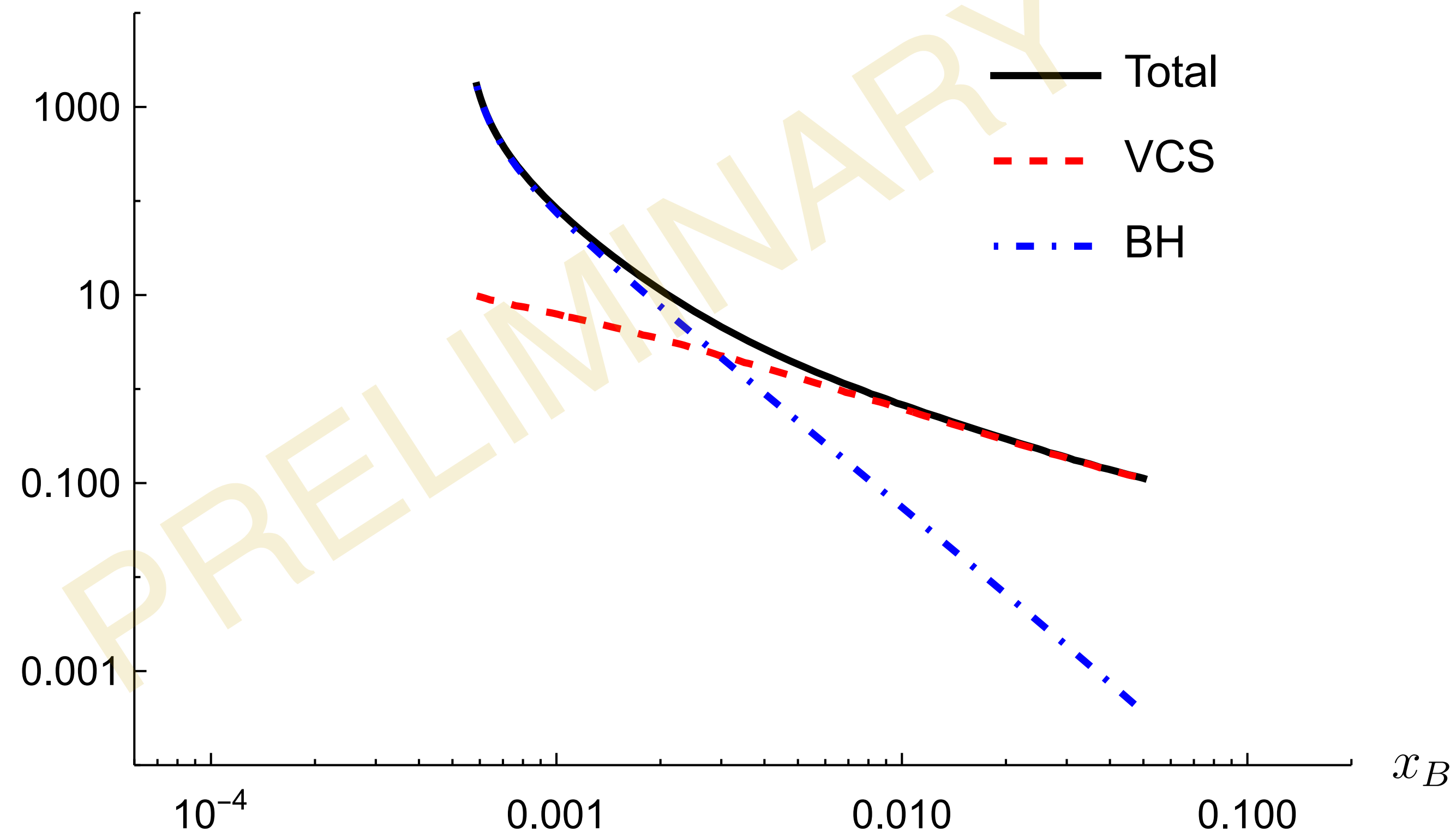


# Differential Cross sections $e^-p \rightarrow e^- \gamma \Delta^+$

\*For each  $s_{ep}$ , Bjorken- $x_B$  ranges are bounded by the lepton energy loss cut  $0.01 \leq y \leq 0.85$

$$E_e = 10 \text{ GeV}, E_p = 100 \text{ GeV}, -t = 0.15 \text{ GeV}^2, Q^2 = 2 \text{ GeV}^2$$

$$\frac{d\sigma}{dx_B dt dQ^2} \text{ (nb} \cdot \text{GeV}^{-4}\text{)}$$



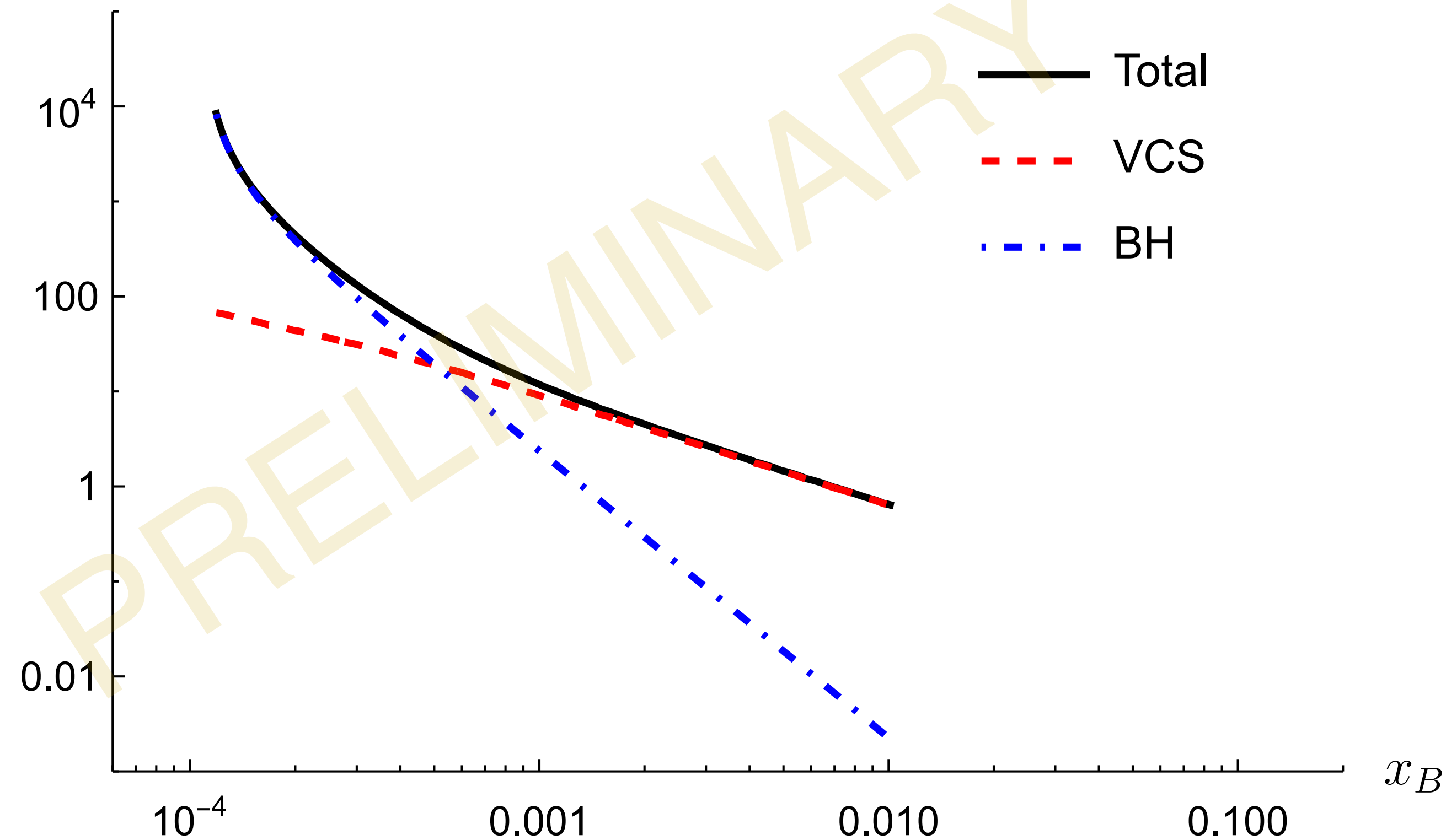
**VCS dominates the sea quark region  $x \sim 0.01$ !**

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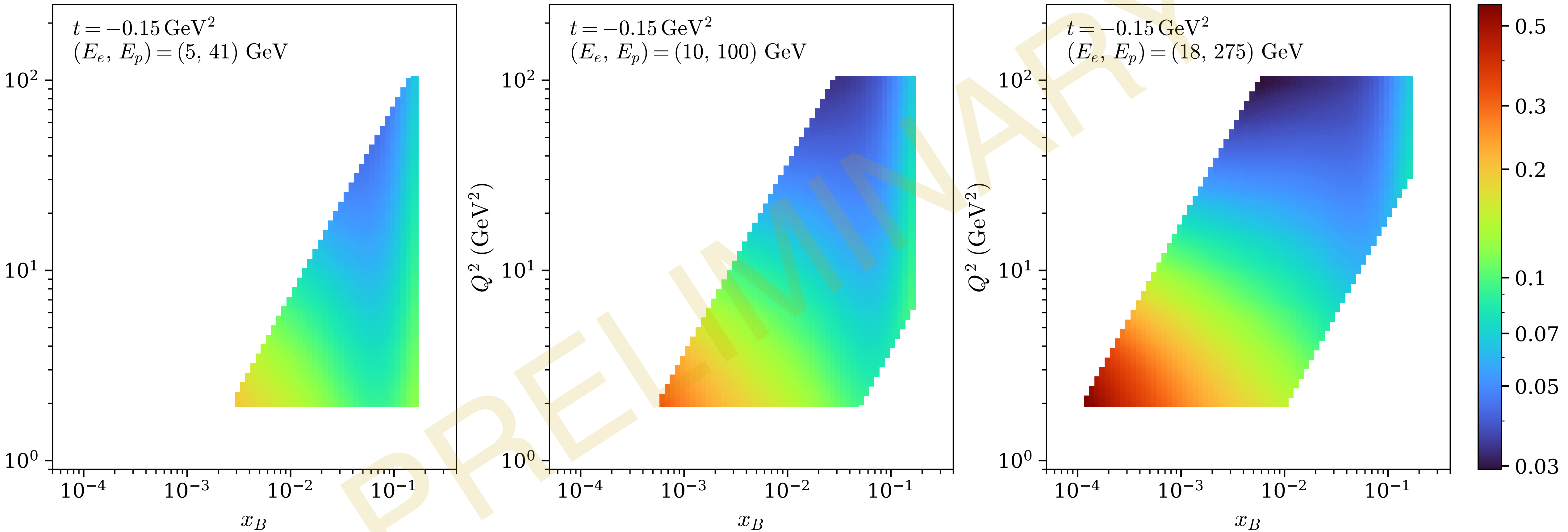
$$E_e = 18 \text{ GeV}, E_p = 275 \text{ GeV}, -t = 0.15 \text{ GeV}^2, Q^2 = 2 \text{ GeV}^2$$

$$\frac{d\sigma}{dx_B dt dQ^2} \text{ (nb} \cdot \text{GeV}^{-4}\text{)}$$



**VCS dominates the sea quark region  $x \sim 0.01$ !**

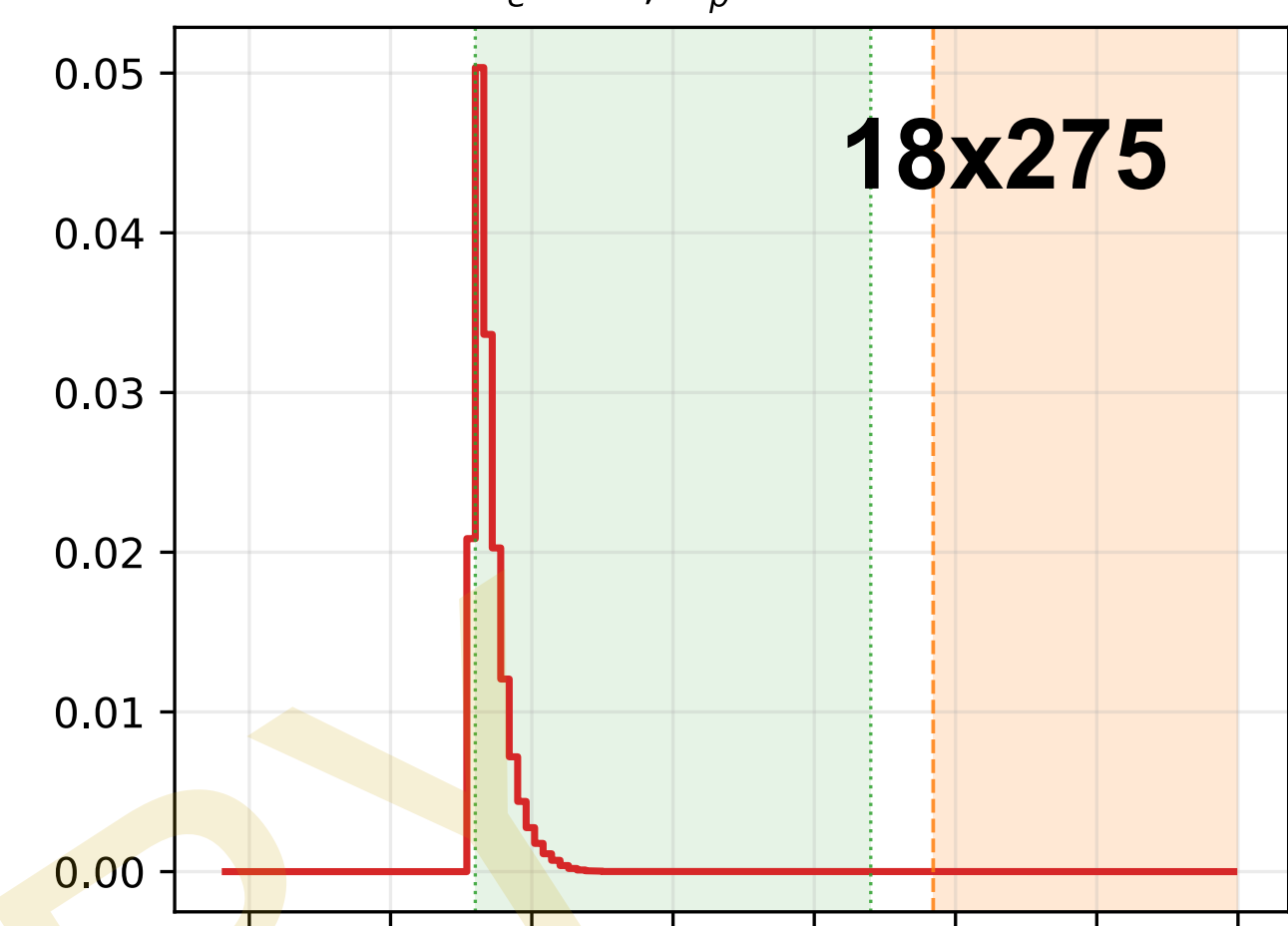
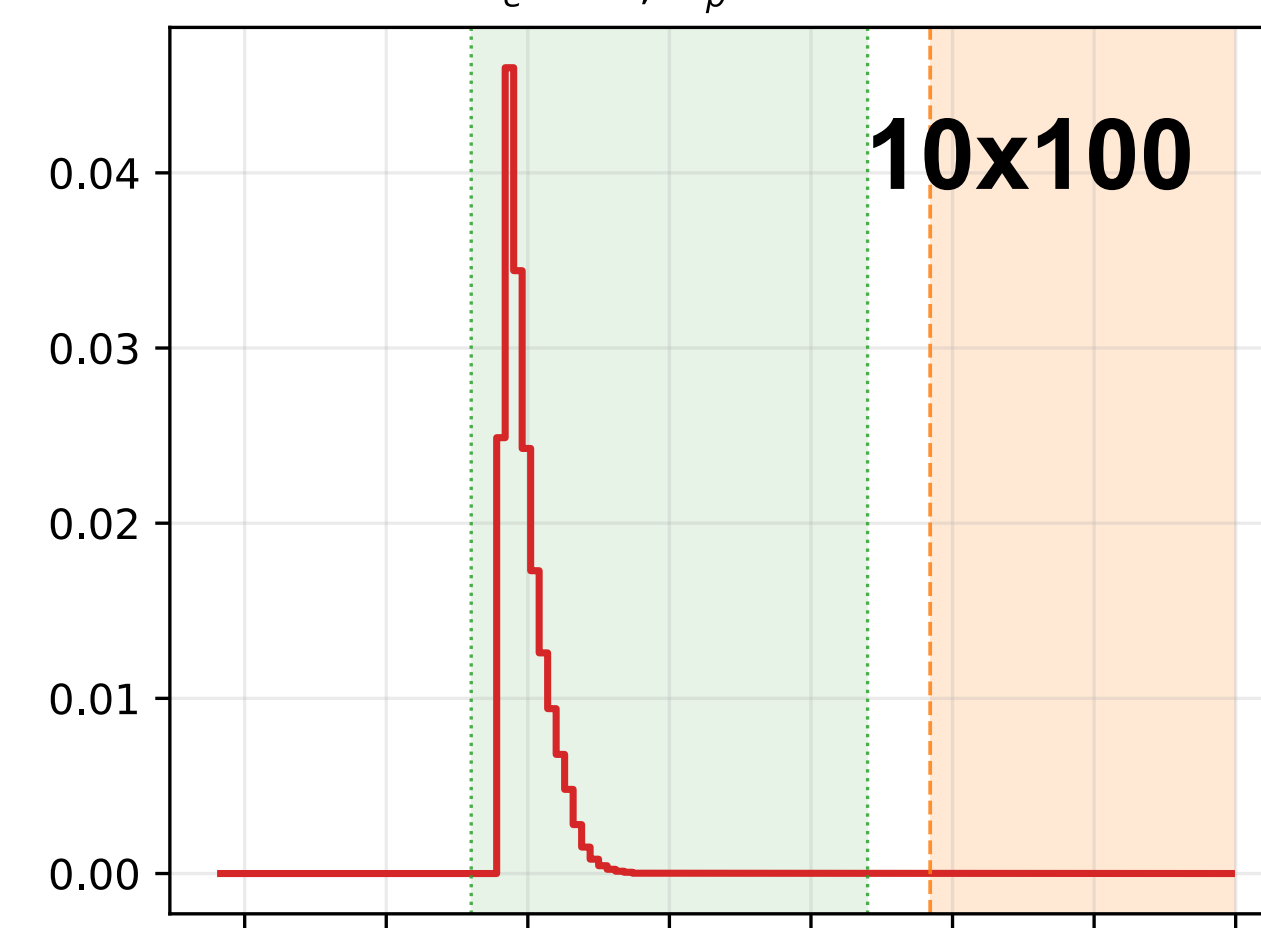
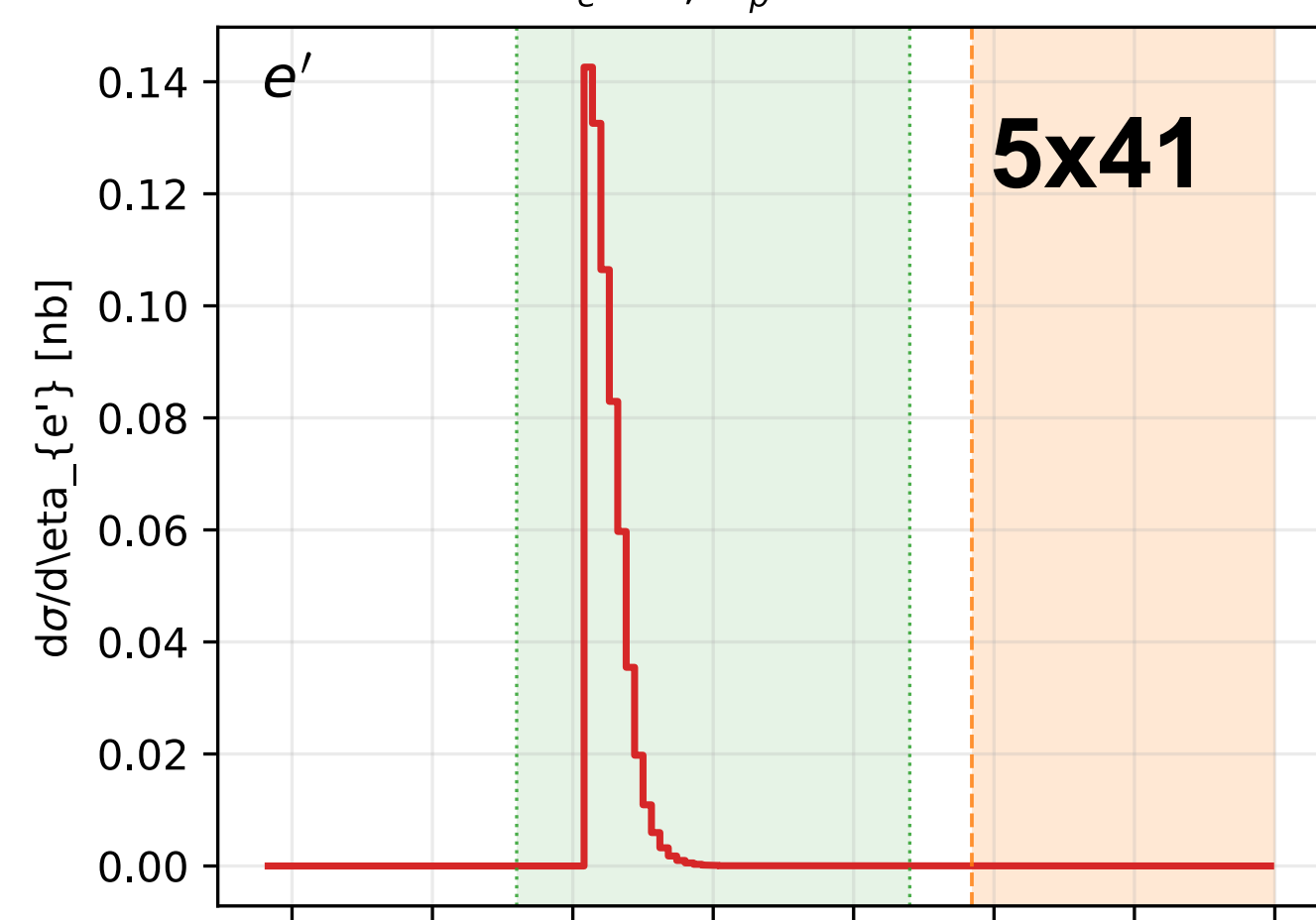
# vs. diagonal DVCS



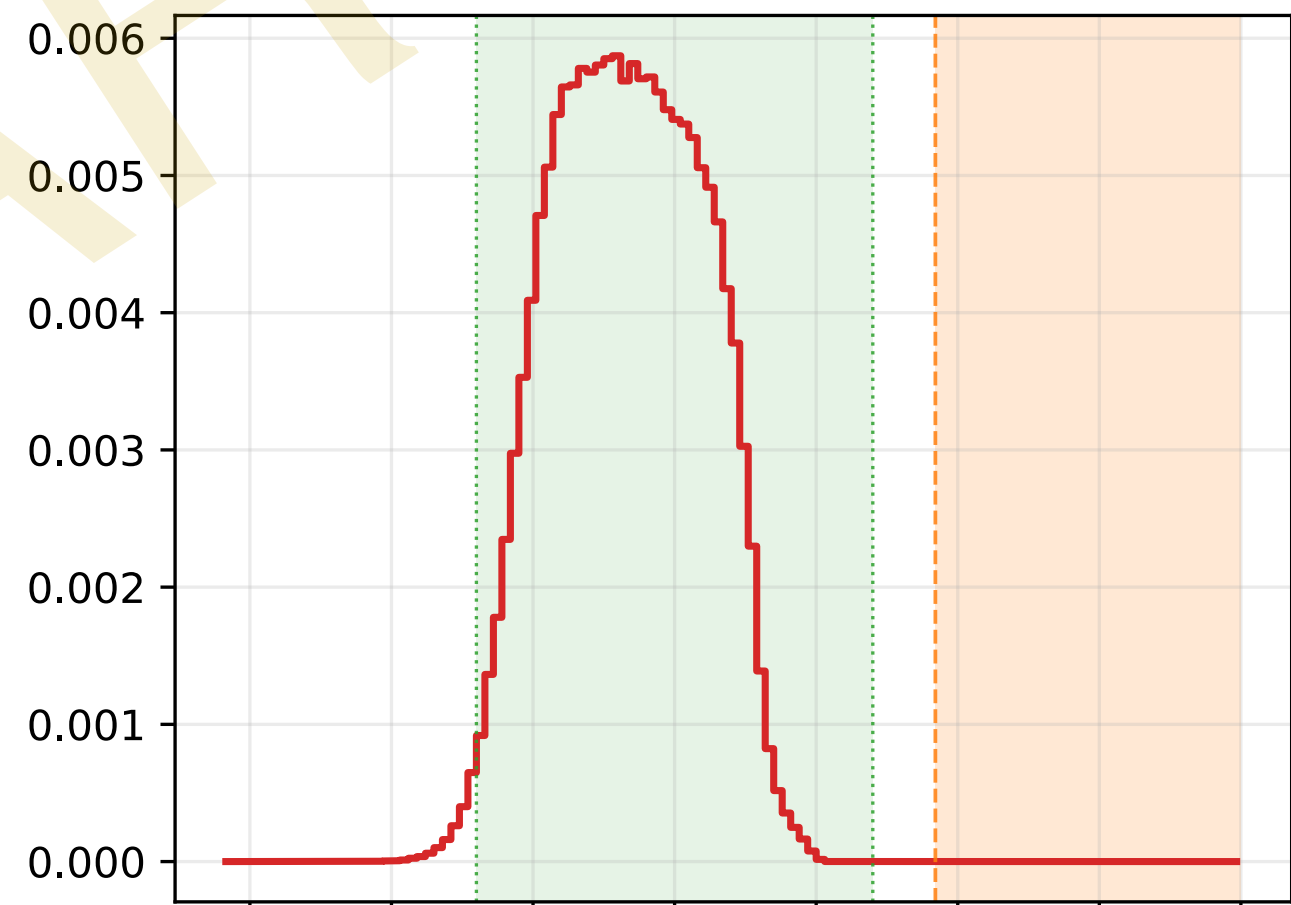
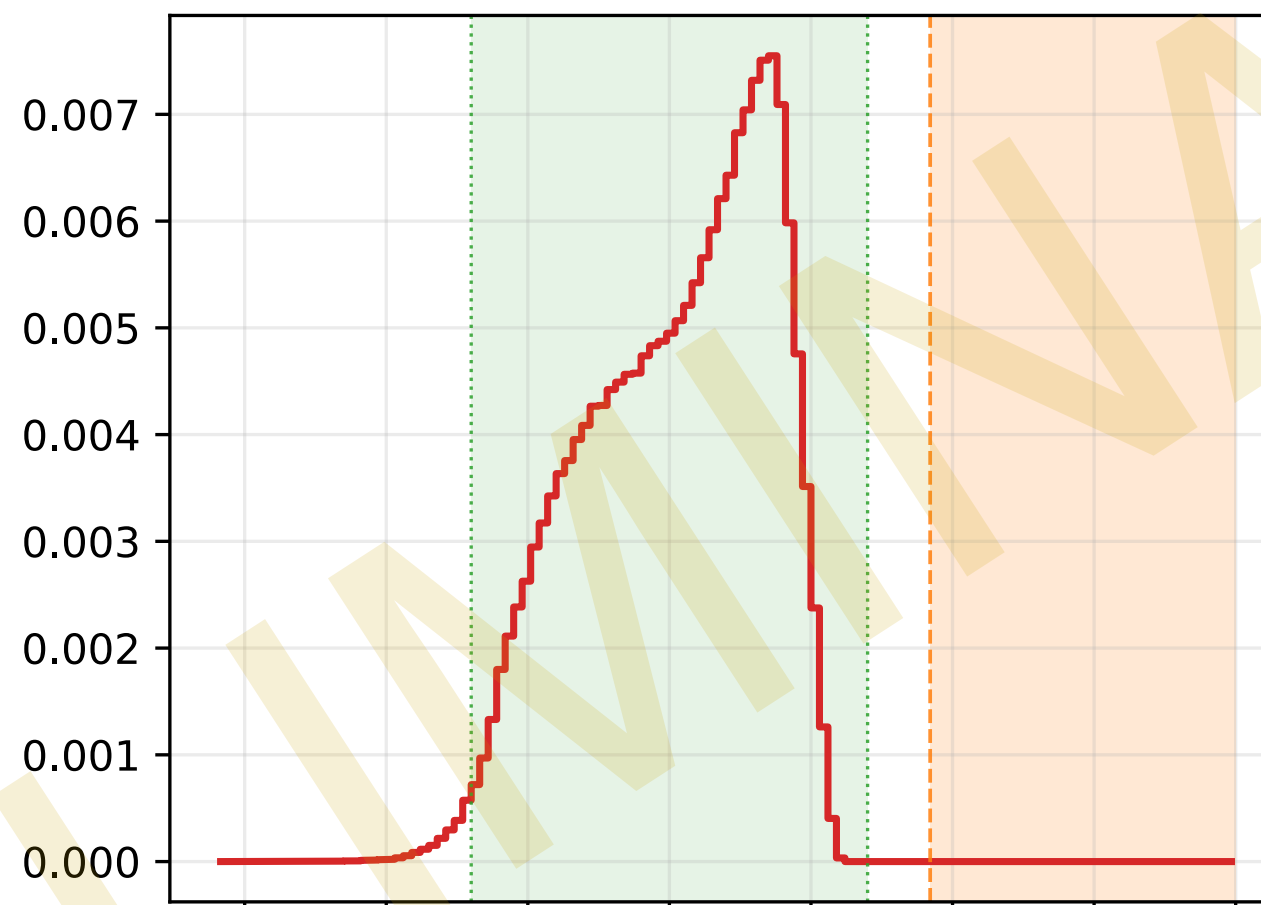
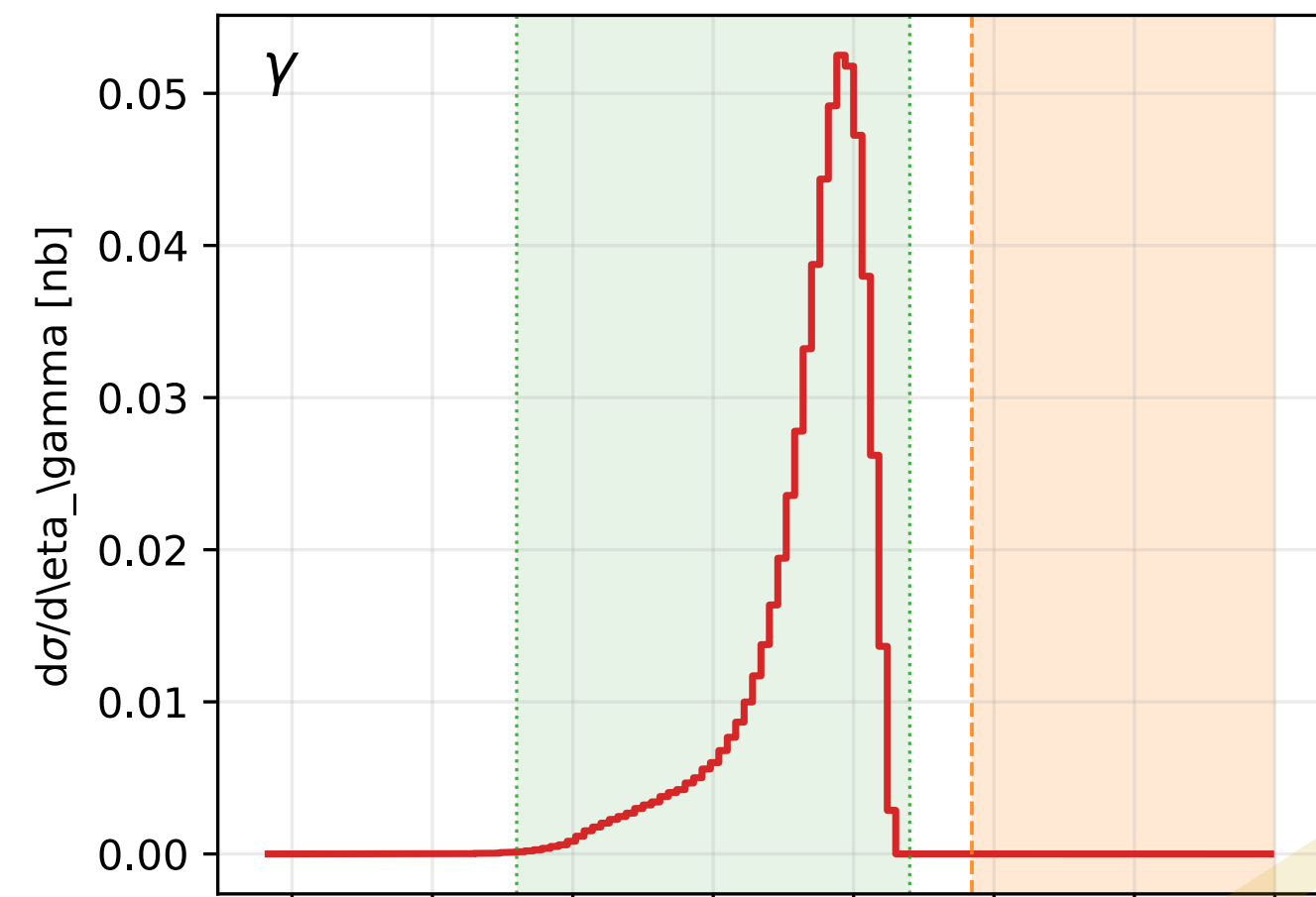
$$\frac{d\sigma_{\text{DVCS}}(p \rightarrow \Delta^+)}{d\sigma_{\text{DVCS}}(p \rightarrow p)}$$

**$\sim 1/10$  of the DVCS cross section is expected**

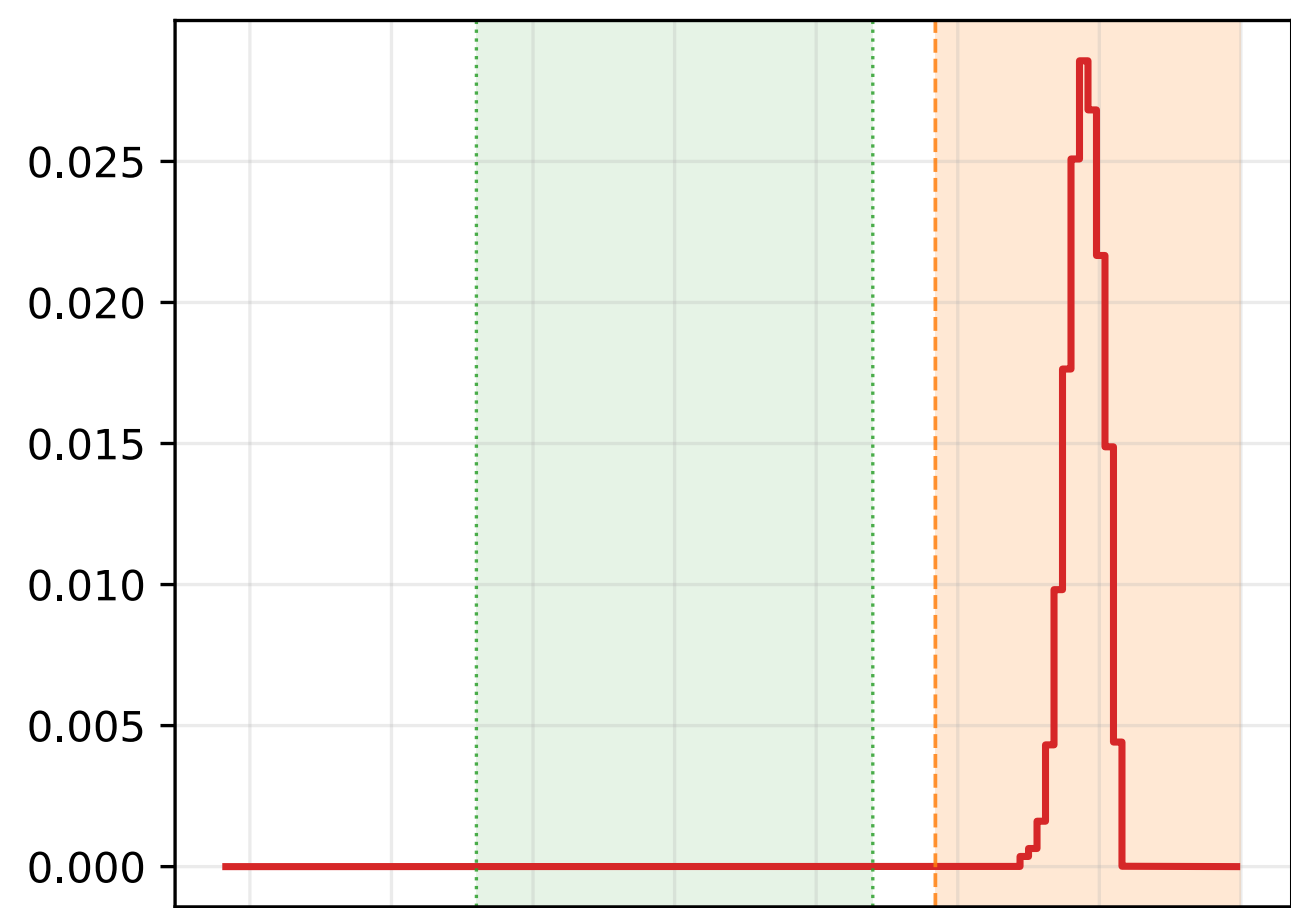
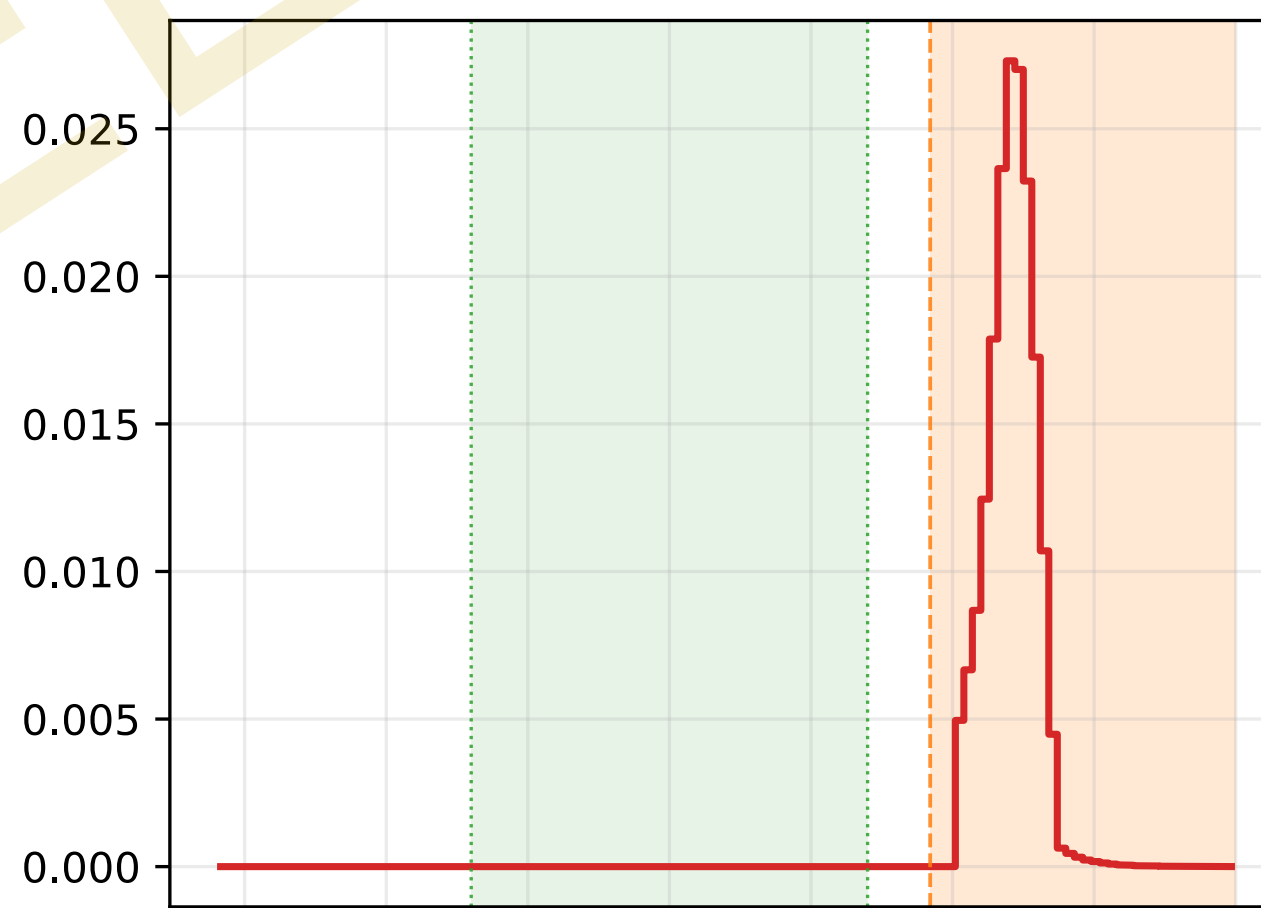
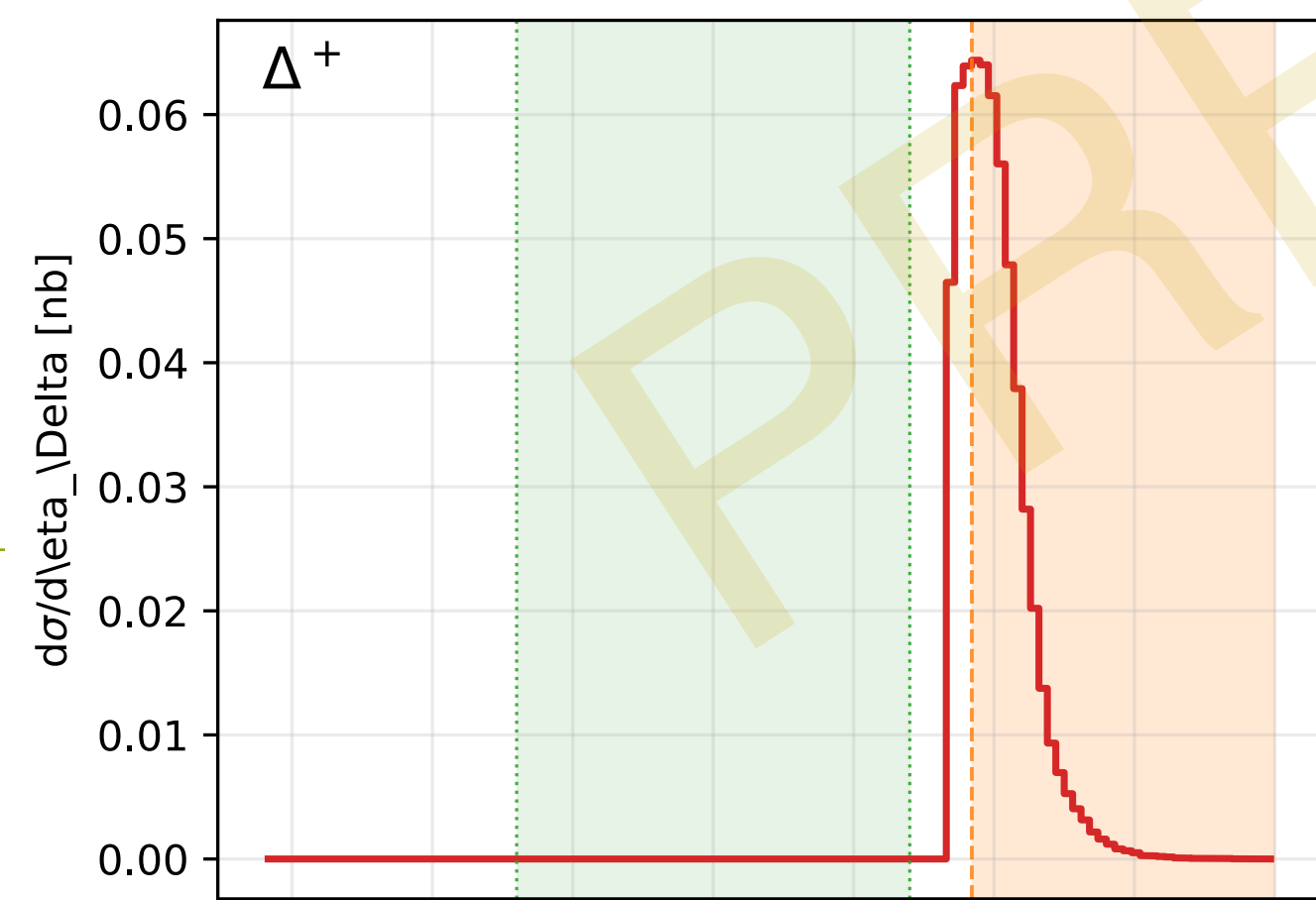
# Rapidity distribution



$e'$



$\gamma$



$\Delta^+$

# Summary and outlook

- **EIC will provide a great opportunity for studying the transition GPDs**

Kinematic range: small  $x_B$ , larger  $Q^2$

Polarized beams access to various BSAs thus to the transition CFFs

- **Feasibility studies at the EIC**

**Sea quark region** of the transition GPDs

Naive  $\sim 1/10$  cross section vs. DVCS

- **Tasks**

Realistic simulation of the process

PARTONS add-on: NDeltaDVCS, ...

Other reaction mechanisms for transition GPDs: **Meson production, Hyperons, ...**

SDHEP @ J-PARC with meson beams (N. Tomida)

Transition D-term; Helicity transition GPD  $C_x$  from ChQSM, ...

Complete description of  $\gamma^* N \rightarrow \gamma N \pi$

**M. Polyakov and S. Stratmann, hep-ph/0609045**

**Pion case: S. Son and K. Semenov JHEP 01 (2025) 119**

*Thank you very much!*