

# Dispersive analysis of the pion distribution amplitude

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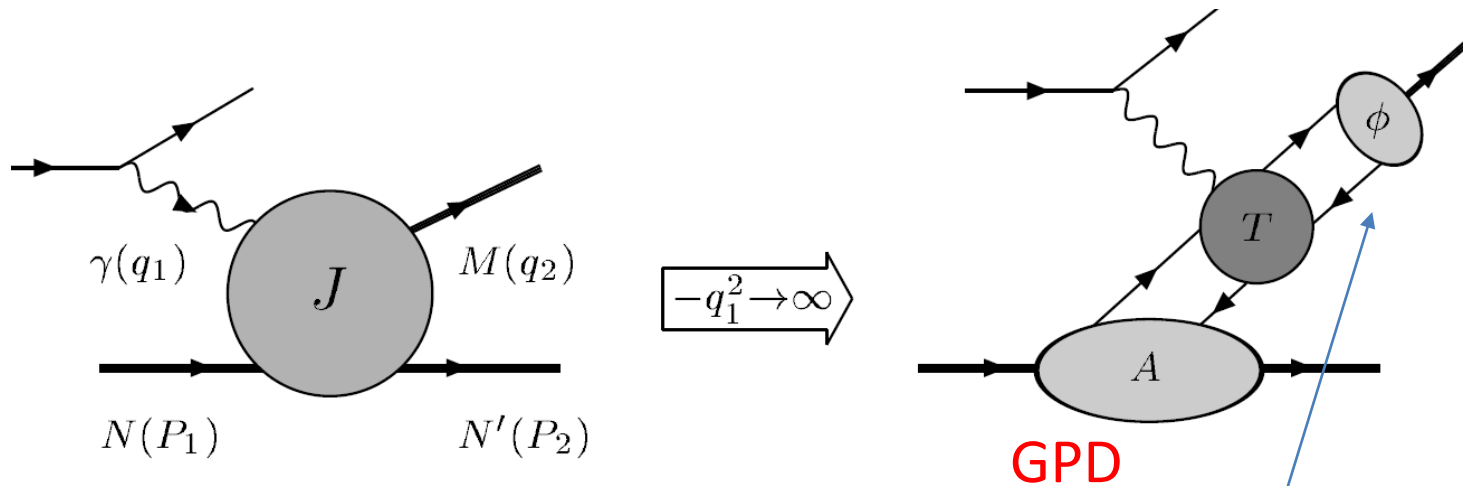
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# Hard exclusive electroproduction

- Hard exclusive meson electroproduction at EIC
- Collinear factorization at high energy
- To extract GPD, need to know meson DA



momentum fraction

$$\phi_\pi(x) = 6x(1-x) \sum_{n=1,2,\dots} a_{2n-2}^\pi C_{2n-2}^{(3/2)}(2x-1)$$

Gegenbauer expansion

# Introduction

- Distribution amplitude (DA) is nonpert fundamental input to collinear factorization for high-energy exclusive QCD processes
- Tremendous efforts devoted to hadron DAs:
- Lattice, sum rules limited to first few moments
- Quasi-correlation allows access to entire  $x$  range, but not reliable near endpoints of  $x$
- Solutions for DAs from Dyson-Schwinger equations depend on kernels
- Global fits rely on theo and exp precisions

# Challenge: x dependence

- Even all Mellin moments known, can reconstruct x dependence of DA?

$$\langle \xi^n \rangle \equiv \int_0^1 dx (2x - 1)^n \phi_\pi(x)$$

- Gegenbauer coefficients vs moments

$$a_0^\pi = \langle \xi^0 \rangle,$$

$$a_2^\pi = \frac{7}{12} (5\langle \xi^2 \rangle - \langle \xi^0 \rangle),$$

$$a_4^\pi = \frac{11}{24} (21\langle \xi^4 \rangle - 14\langle \xi^2 \rangle + \langle \xi^0 \rangle),$$

$$a_6^\pi = \frac{5}{64} (429\langle \xi^6 \rangle - 495\langle \xi^4 \rangle + 135\langle \xi^2 \rangle - 5\langle \xi^0 \rangle),$$

$$a_8^\pi = \frac{19}{384} (2431\langle \xi^8 \rangle - 4004\langle \xi^6 \rangle + 2002\langle \xi^4 \rangle - 308\langle \xi^2 \rangle + 7\langle \xi^0 \rangle),$$

$$a_{10}^\pi = \frac{23}{1536} (29393\langle \xi^{10} \rangle - 62985\langle \xi^8 \rangle + 46410\langle \xi^6 \rangle - 13650\langle \xi^4 \rangle + 1365\langle \xi^2 \rangle - 21\langle \xi^0 \rangle)$$

huge coefficients !  
big number cancellation  
theoretical or roundoff  
errors greatly amplified  
highly nontrivial task

a30 ~ 10E+8 \* moments

# ill-posed problem

Zhong et al.  
2102.03989

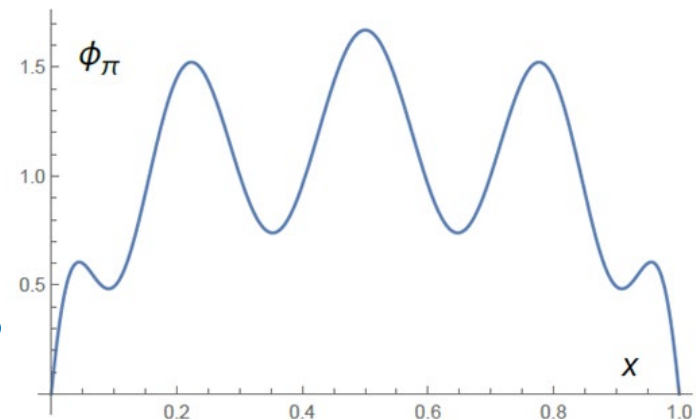
- Derived up to 10<sup>th</sup> moments in QSR

$$\begin{aligned} & (\langle \xi^0 \rangle, \langle \xi^2 \rangle, \langle \xi^4 \rangle, \langle \xi^6 \rangle, \langle \xi^8 \rangle, \langle \xi^{10} \rangle) \Big|_{\mu=2 \text{ GeV}} \quad \text{factorization scale} \\ & = (1, 0.254, 0.125, 0.077, 0.054, 0.041) \quad \text{good convergence} \end{aligned}$$

- Inverted to Gegenbauer coefficients

$$\begin{aligned} & (a_0^\pi, a_2^\pi, a_4^\pi, a_6^\pi, a_8^\pi, a_{10}^\pi) \Big|_{\mu=2 \text{ GeV}} \\ & = (1, 0.157, 0.032, 0.035, 0.098, -0.046) \quad \text{bad convergence} \end{aligned}$$

- Unrealistic fluctuating DA
- Eventually, fit DA parametrization to moments



# Goals

- Develop analytical nonpert framework that gives all moments of DA --- dispersive approach
- Determine DA in entire  $x$  range unambiguously and reliably --- Tikhonov regularization
- Compatible with QCD evolution: DA solved at a scale and DA solved at another scale obey known evolution
- Precision can be improved systematically

# Main ideas

consider correlator

$$\Pi_{2;\pi}^{(n,0)}(z, q) = i \int d^4x e^{iq \cdot x} \langle 0 | T \{ J_n(x) J_0^\dagger(0) \} | 0 \rangle$$

$$J_n(x) = \bar{d}(x) \not{x} \gamma_5 (iz \cdot \overleftrightarrow{D})^n u(x) \quad J_0^\dagger(0) = \bar{u}(0) \not{x} \gamma_5 d(0)$$

$$\langle 0 | \bar{d}(0) \not{x} \gamma_5 (iz \cdot \overleftrightarrow{D})^n u(0) | \pi(q) \rangle = i(z \cdot q)^{n+1} f_\pi \langle \xi^n \rangle$$

↑  
Wilson line direction

# Dispersive integral (hadron side)

- For analytical function  $\Pi(q^2)$

$$\Pi(q^2) = \frac{1}{\pi} \int_0^\infty ds \frac{\text{Im}\Pi(s)}{s - q^2 - i\epsilon}$$

contain resonant  
nonpert contribution

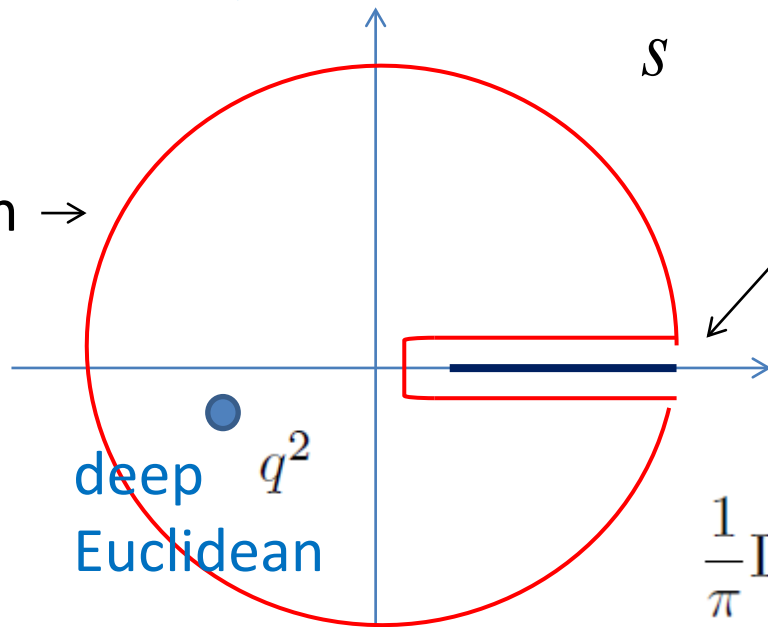
branch cut caused by  
physical intermediate  
states due to time-like  
 $s > 0$

threshold not greater  
than excited mass squared

$$\frac{1}{\pi} \text{Im}I_n(s) = f_\pi^2 \langle \xi^n \rangle \langle \xi^0 \rangle \delta(s - m_\pi^2) + \pi \frac{3}{4\pi^2 (n+1)(n+3)} \theta(s - s_\pi)$$

from excited states

contribution →  
from large  
circle  
assumed  
negligible



- Naive parametrization

based on **quark-hadron duality**

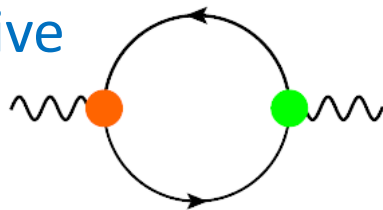


# OPE (quark side)

- Calculate correlator at  $q^2$  via OPE directly

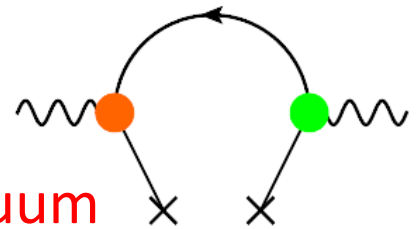
$$I_n^{\text{OPE}}(q^2) = \frac{3}{4\pi^2(n+1)(n+3)} \ln \frac{\mu^2}{-q^2} + \frac{m_u \langle \bar{u}u \rangle + m_d \langle \bar{d}d \rangle}{(q^2)^2} + \dots$$

perturbative  
piece



quark condensate

nontrivial vacuum



- Express perturbative piece into dispersive integral

$$I_n^{\text{OPE}}(q^2) = \frac{1}{\pi} \int_0^\infty ds \frac{\text{Im} I_n^{\text{pert}}(s)}{s - q^2} + I_n^{\text{cond}}(q^2) \leftarrow \text{condensates, higher powers}$$

imaginary part for  $s > 0$

# Conventional sum rules

- Equate two calculations

$$\frac{f_\pi^2 \langle \xi^n \rangle \langle \xi^0 \rangle}{M^2 e^{m_\pi^2/M^2}} = \frac{3}{4\pi^2 (n+1)(n+3)} \left( 1 - e^{-s_\pi/M^2} \right) \quad \text{Borel transform } q^2 \rightarrow M^2$$

$$+ \frac{m_u \langle \bar{u}u \rangle + m_d \langle \bar{d}d \rangle}{M^4} + \frac{1}{12\pi} \frac{\langle \alpha_s G^2 \rangle}{M^4} - \frac{n\theta(n-2)}{48\pi^2} \frac{\langle g_s^3 f G^3 \rangle}{M^6} + \dots$$

- Perturbative (condensate) piece decreases (increases) with  $n$ ; **OPE deteriorates with  $n$**
- Enlarge Borel mass  $M$  to suppress latter
- $1 - e^{-s_\pi/M^2}$  diminishes with  $M$  for threshold  $s_\pi <$  excited states, otherwise more resonances

# Quark-hadron duality

- Reason why QSR limited to few moments
- Weakness of conventional QSR originates from assumption of quark-hadron duality
- Our spectral density along branching cut

$$\frac{1}{\pi} \text{Im} I_n(s) = f_\pi^2 \langle \xi^n \rangle \langle \xi^0 \rangle \delta(s - m_\pi^2) + \rho_n(s)$$

resonance      excited state contribution

- Last term unknown, smooth function, may not be equal to perturbative piece in OPE
- Solve it directly, can go for all moments

# Integral equation

- How to solve such an ill-posed inverse problem (integral equation) is technical

notoriously  
difficult to solve

$$\int_0^{\infty} dy \frac{\rho(y)}{x-y} = \omega(x)$$

spectral density, unknown ←  
← OPE input

- We can get exact solutions and all moments
- Refer details to 2205.06746

# Polynomial decomposition

- Suppose  $\rho(y)$  decreases quickly enough
- Expansion into powers of  $1/x$  justified

$$\frac{1}{x-y} = \sum_{m=1}^N \frac{y^{m-1}}{x^m}$$

$$\omega(x) = \sum_{n=1}^N \frac{b_n}{x^n}$$

true for OPE

- Suppose  $\omega(x)$  can be expanded
- Decompose

$$\rho(y) = \sum_{n=1}^N a_n y^\alpha e^{-y} L_{n-1}^{(\alpha)}(y)$$

orthogonal  
generalized  
Laguerre  
polynomials

depend on  $\rho(y)$  at  $y \rightarrow 0$ .

$$\rho_n(s) \sim s \rightarrow \alpha = 1$$

Azizi et al, 2010

# Inverse matrix method

- Equate coefficients of  $1/x^n$  on two sides

$$\begin{array}{c}
 \begin{array}{c} \rightarrow \\ \text{matrix} \end{array} M a = b \\
 \begin{array}{c} \uparrow \\ \text{unknown} \end{array} \\
 \begin{array}{c} \uparrow \\ \text{input} \end{array} b = (b_1, b_2, \dots, b_N) \\
 a = (a_1, a_2, \dots, a_N)
 \end{array}
 \quad
 M_{mn} = \int_0^\infty dy y^{m-1+\alpha} e^{-y} L_{n-1}^{(\alpha)}(y)$$

- Solution  $a = M^{-1}b$ , easy by using Math
- True solution can be approached by increasing N, before  $M^{-1}$  diverges, **stability in N**

N=15~20 usually

# Tikhonov regularization

- To get  $x$  dependence, work on dispersion relations for Gegenbauer coefficients directly
- **Linearly combine OPE inputs for moments** into those for Gegenbauer coefficients  $BV^{-1}$

$$V_{kn} = 6 \int_0^1 dx x(1-x)(2x-1)^{2n-2} C_{2k-2}^{(3/2)}(2x-1),$$

$V$  more singular than  $U(=M)$

- Solutions to  $UAV = B$  diverge
- Employ Tikhonov regularization  $UA(V + \lambda H) = B$ ,
- Freedom to choose  $H$ , set  $H = I$

search for solutions insensitive to parameter

unknown

# OPE inputs

Zhong et al.  
2102.03989

- Condensate inputs in OPE

$$m_u \langle \bar{u}u \rangle + m_d \langle \bar{d}d \rangle = -(1.651 \pm 0.003) \times 10^{-4} \text{ GeV}^4, \quad \beta_0 = 11 - 2n_f/3$$

$$\langle g_s \bar{q}q \rangle^2 = (2.082^{+0.734}_{-0.697}) \times 10^{-3} \left[ \frac{\alpha_s(\mu)}{\alpha_s(2 \text{ GeV})} \right]^{-4/\beta_0} \text{ GeV}^6, \quad \underline{n_f = 4}$$

$$\sum_{u,d,s} \langle g_s^2 \bar{\psi}\psi \rangle^2 = (2 + r_c^2) \langle g_s^2 \bar{q}q \rangle^2, \quad \langle g_s^2 \bar{q}q \rangle^2 = (7.420^{+2.614}_{-2.483}) \times 10^{-3} \text{ GeV}^6,$$

$$\langle \alpha_s G^2 \rangle = 0.038 \pm 0.011, \text{ GeV}^4, \quad \underline{r_c \equiv \langle \bar{s}s \rangle / \langle \bar{q}q \rangle} \quad r_c = 0.74 \pm 0.03$$

$$m_u \langle g_s \bar{u}\sigma TGu \rangle + m_d \langle g_s \bar{d}\sigma TGd \rangle = -(1.321 \pm 0.033) \times 10^{-4} \left[ \frac{\alpha_s(\mu)}{\alpha_s(2 \text{ GeV})} \right]^{14/(3\beta_0)} \text{ GeV}^4$$

$$\Lambda_{\text{QCD}} = 0.22 \text{ GeV}, \quad \mu = 2 \text{ GeV} \quad \text{evolution}$$

$$\langle g_s^3 f G^3 \rangle = (8.2 \pm 1.0) \text{ GeV}^2 \times \langle \alpha_s G^2 \rangle$$

Narison 2010



# Results

$0.210 \pm 0.013$  (stat.)  $\pm 0.034$  (sys.)

from HOPE (lattice) 2022

- Moments

$$\begin{aligned} & (\langle \xi^2 \rangle, \langle \xi^4 \rangle, \langle \xi^6 \rangle, \langle \xi^8 \rangle, \langle \xi^{10} \rangle, \langle \xi^{12} \rangle, \dots) |_{\mu=2 \text{ GeV}} \\ &= (0.2672, 0.1333, 0.0871, 0.0658, 0.0546, 0.0480, \dots) \\ & \quad (0.2609, 0.1362, 0.0890, 0.0652, 0.0511, 0.0420, \dots) \end{aligned}$$

- Can get all moments in principle

- Corresponding Gegenbauer coefficients

$$\begin{aligned} & (a_2^\pi, a_4^\pi, a_6^\pi, a_8^\pi, a_{10}^\pi, a_{12}^\pi, \dots) |_{\mu=2 \text{ GeV}} \quad \text{bad convergence} \\ &= (0.1960, 0.0268, 0.1918, 0.1376, 0.4034, -0.1319, \dots) \end{aligned}$$

- Solution with Tikhonov regularization

overcome ill-posedness

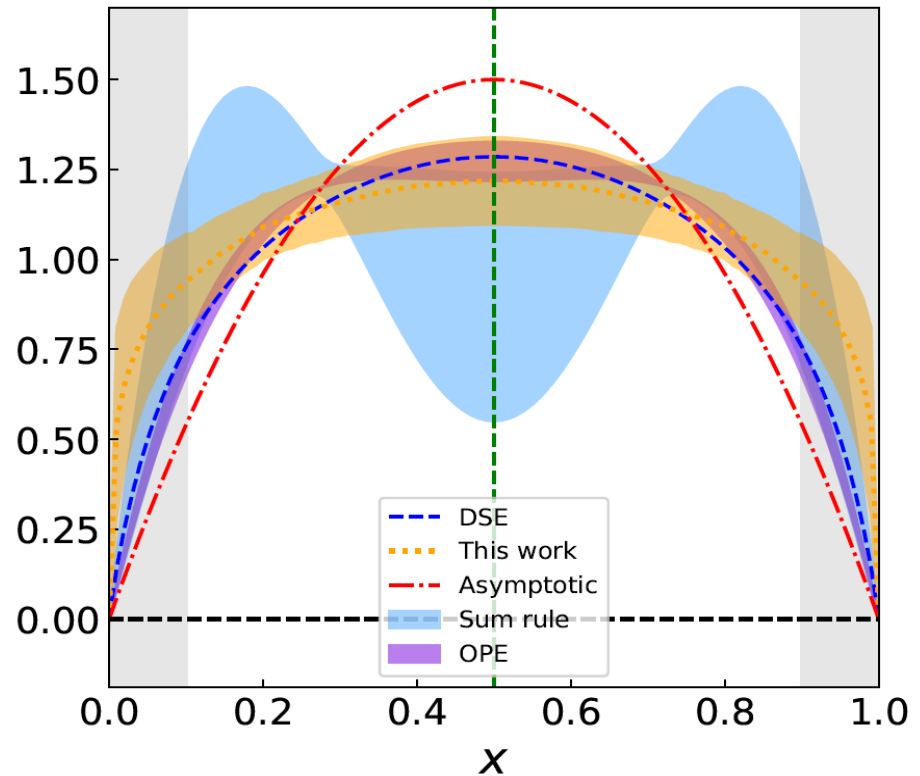
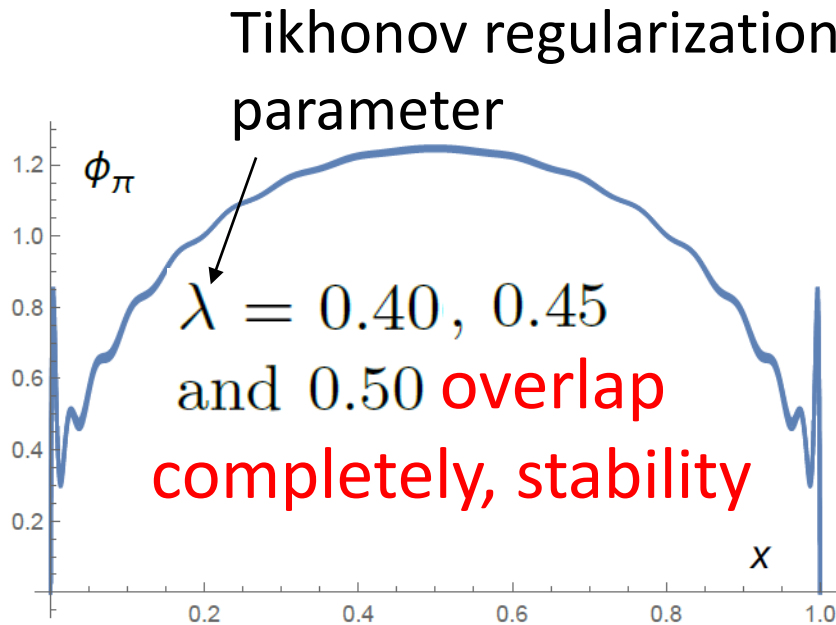
$$\begin{aligned} & (a_2^\pi, a_4^\pi, a_6^\pi, a_8^\pi, a_{10}^\pi, a_{12}^\pi, \dots, a_{32}^\pi, a_{34}^\pi) |_{\mu=2 \text{ GeV}} \\ &= (0.1775_{-0.0040}^{+0.0036}, 0.0957_{-0.0012}^{+0.0011}, 0.0762_{-0.0003}^{+0.0006}, 0.0688_{-0.0012}^{+0.0016}, 0.0643_{-0.0017}^{+0.0021}, 0.0603_{-0.0019}^{+0.0024}, \\ & \quad \dots, 0.0089_{-0.0006}^{+0.0004}, 0.0028_{-0.0003}^{+0.0001}), \end{aligned}$$

good convergence

↑  
Tikhonov  
regularization  
works!  
↓

# x dependence

- Sum over 18 Gegenbauer coefficients



- Fit to parametrization

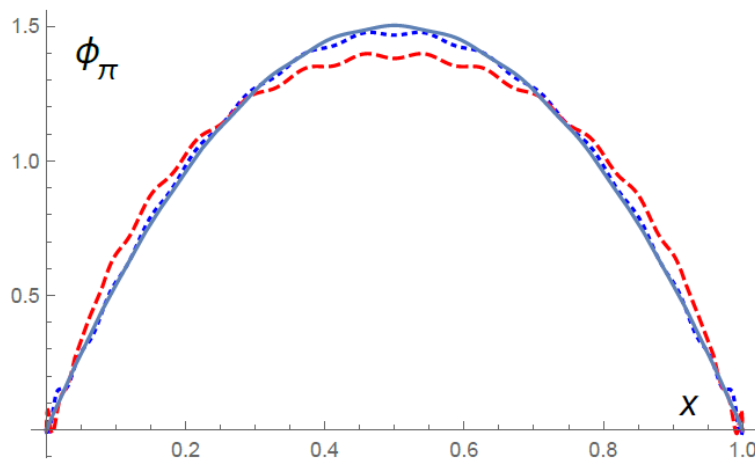
$$\frac{\Gamma(2p + 2)}{\Gamma(p + 1)^2} x^p (1 - x)^p, \quad p = 0.45 \pm 0.02,$$

from variation of  $\lambda$

Hua et al 2021  
from quasi-correlator

# Asymptotic limit

- Drop higher-power (condensate) contribution, keep only perturbative contribution



solid and dotted lines  
almost overlap  
stability

$\lambda = 0$  (solid line),  $0.01$  (dotted line) and  $0.10$  (dashed line)

$$\begin{aligned} & (a_2^\pi, a_4^\pi, a_6^\pi, a_8^\pi, a_{10}^\pi, a_{12}^\pi, \dots, a_{32}^\pi, a_{34}^\pi) \quad \lambda = 0 \\ = & (\sim 0, \sim 0, \sim 0, \sim 0, \sim 0, 4.4 \times 10^{-9}, \dots, 0.0001, -0.0001) \end{aligned}$$

- This is asymptotic pion DA!

# Results in various approaches

Methods	$a_2^\pi$	$a_4^\pi$	
This work	$0.1775^{+0.0036}_{-0.0040}$	$0.0957^{+0.0011}_{-0.0012}$	
Lattice QCD [13]	$0.101 \pm 0.023$		RQCD, 2020
Lattice QCD [23]	$0.258 \pm 0.087$	$0.122 \pm 0.055$	Hua et al, 2022
Lattice QCD [63]	$0.233 \pm 0.065$		Arthur et al, 2011
Lattice QCD [64]	$0.136 \pm 0.021$		Braun et al, 2015
QCD sum rules [2]	$0.057^{+0.024}_{-0.019}$	$-0.013^{+0.022}_{-0.019}$	Stefanis, 2014
QCD sum rules [30]	$0.149^{+0.052}_{-0.043}$	$-0.096^{+0.063}_{-0.058}$	Bukulev et al, 2004
QCD sum rules [32]	$0.157 \pm 0.029$	$0.032 \pm 0.007$	Zhong et al, 2021
LFQM [65]	$0.092 (0.038)$	$-0.002 (-0.020)$	Choi, Ji, 2007
LCSR fit [68]	$0.085$	$-0.020$	Mikhailov et al, 2021
LCSR fit [70]	$0.205 \pm 0.036$	$0.125 \pm 0.042$	Cheng et al, 2020
Global fit [37]	$0.491 \pm 0.058$	$0.084 \pm 0.029$	Hua et al, 2021

# Summary

- Have developed analytical nonpert framework that gives all moments of DA
- Have determined DA in entire  $x$  range unambiguously and reliably
- Precision can be improved systematically by including subleading contributions to OPE
- Can be extended to other meson DAs, like  $\rho$
- Results help extraction of GPD in hard exclusive electroproduction

Back-up slides

# How?

- Typical Fredholm integral equation

notoriously  
difficult to solve

$$\int_0^\infty dy \frac{\rho(y)}{x-y} = \omega(x)$$

spectral density, unknown ← OPE input

- Discretize integral equation usually

$$\sum_j M_{ij} \rho_j = \omega_i$$

unknowns      input

$$M_{ij} = \begin{cases} 1/(i-j), & i \neq j \\ 0, & i = j \end{cases}$$

- Rows  $M_{ij}$  and  $M_{(i+1)j}$  become almost identical and matrix  $M$  becomes singular quickly for fine meshes, **solution diverges**

# Test with Mock data

- Consider sample DA and continuum functions

$$(a_0^\pi, a_2^\pi, a_4^\pi, a_6^\pi, a_8^\pi, a_{10}^\pi, \dots) = (1, 0.20, -0.15, 0.10, 0, 0, \dots)$$

$$\Delta\rho_{2n-2}(y) = ye^{-ny}, \quad n = 1, 2, \dots$$

- Mock data for input

pion mass  $B_i^{(n)} \Rightarrow r_m^{i-1} \int_0^1 dy (2y-1)^{2n-2} \phi_\pi(y) + \int_0^\infty dy y^i e^{-ny}$

- Comparison with true solution

$$(\langle \xi^0 \rangle, \langle \xi^2 \rangle, \langle \xi^4 \rangle, \langle \xi^6 \rangle, \langle \xi^8 \rangle, \langle \xi^{10} \rangle, \langle \xi^{12} \rangle)$$

$$= (1, 0.2686, 0.1158, 0.0638, 0.0408, 0.0288, 0.0217)$$

our solution      1, 0.2686, 0.1159, 0.0642, 0.0417, 0.0300, 0.0232

but

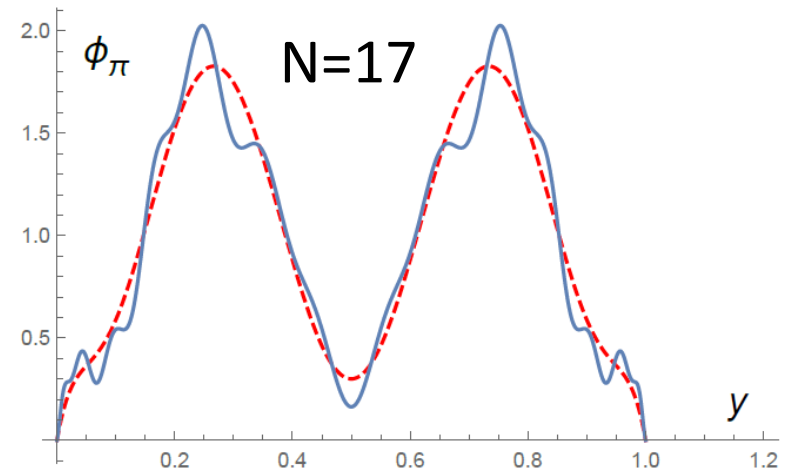
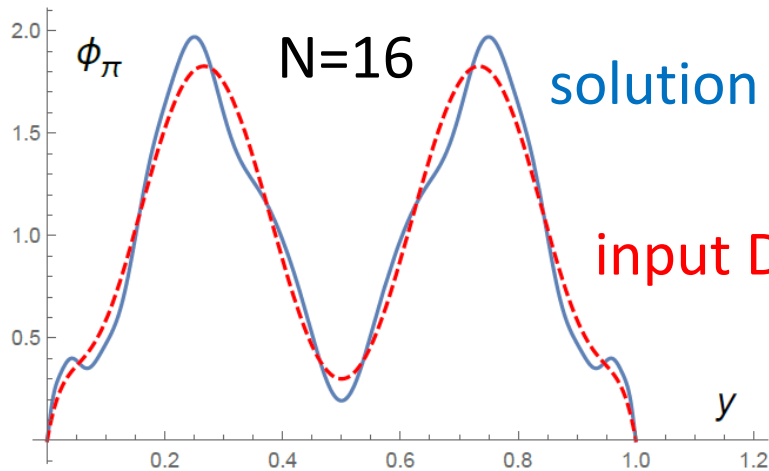
Gegenbauer

1, 0.2001, -0.1496, 0.1119, 0.0306, -0.0233, **0.2339**



# Solutions for Gegenbauer without regularization

- Solutions stable as  $N > 13$ , oscillate as  $N > 17$

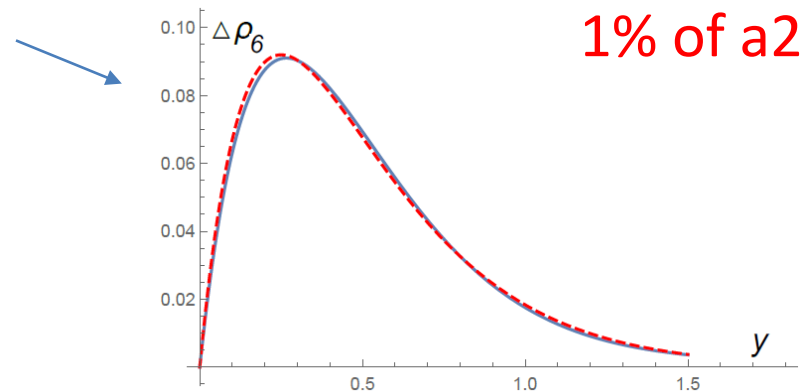


$$(a_0^\pi, a_2^\pi, a_4^\pi, a_6^\pi, a_8^\pi, a_{10}^\pi, a_{12}^\pi, \dots, a_{28}^\pi, a_{30}^\pi)$$

$$= (1, 0.2000, -0.1472, 0.1212, 0.0335, -0.0059, -0.0098, \dots, -0.0029, 0.0013)$$

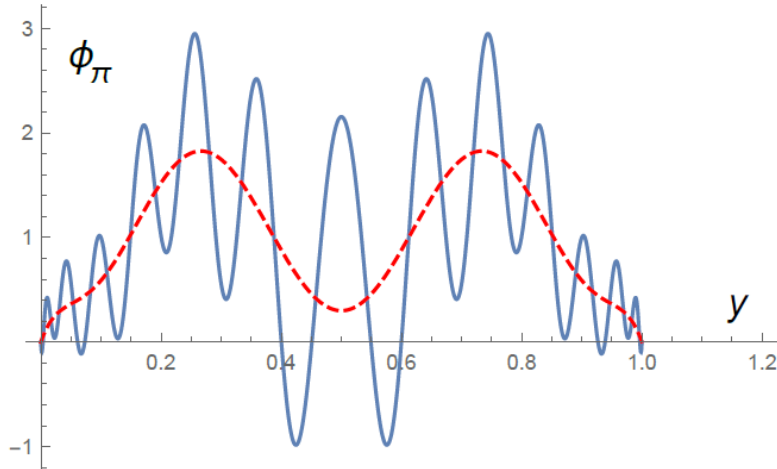
- Continuum functions

- First two functions reproduced exactly



# Add noise

- Enhance an element in input B by 0.05%
- Solution for x dependence of DA without Tikhonov regularization goes out of control completely

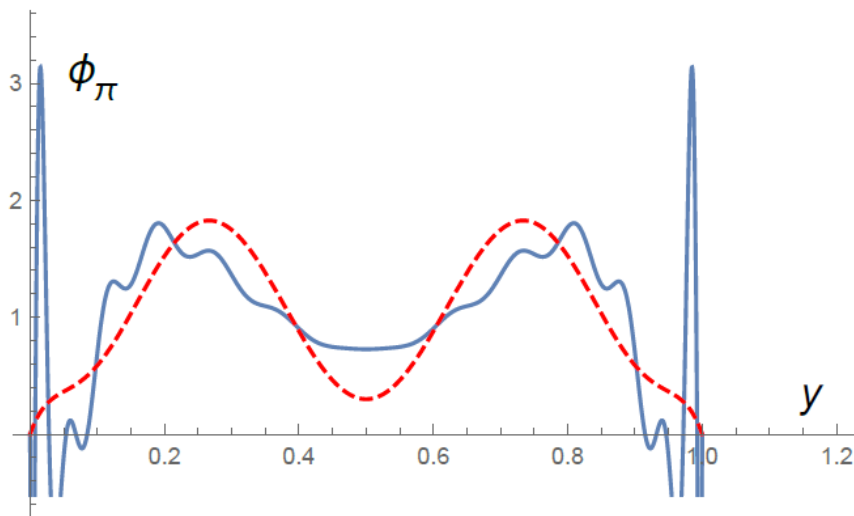


$\lambda = 0$  with  $N = 16$  (solid line)  
input one (dashed line)

- ill-posed nature

# Solution under noise

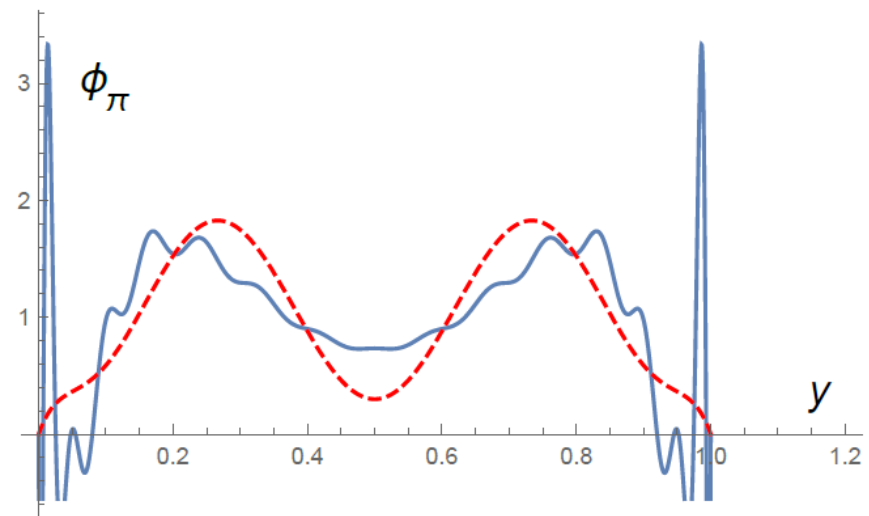
- Implement Tikhonov regularization, shape of DA reproduced reliably



$$\lambda = 0.0054$$

$$N = 15$$

stability in  $\lambda$



$$\lambda = 0.0058$$

$$N = 16$$

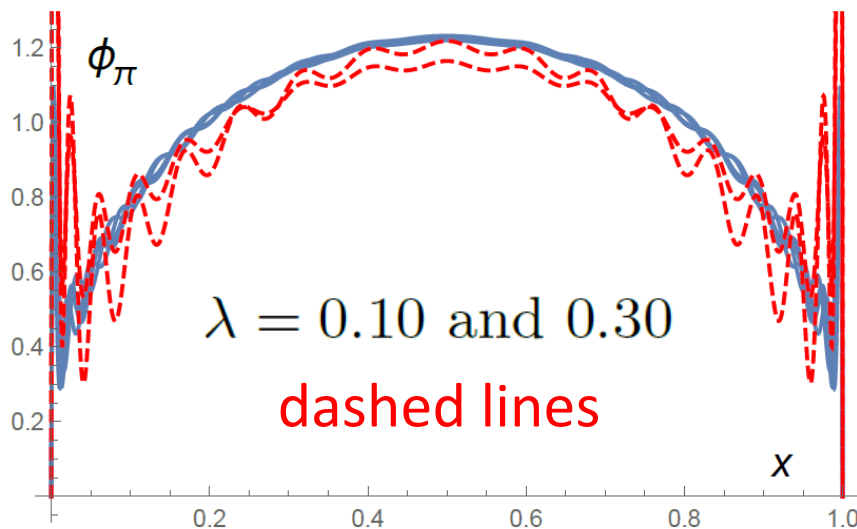
$$a_2^\pi = 0.1980, a_4^\pi = -0.1289, a_6^\pi = 0.0597, \dots$$

# Compatible with QCD evolution

- Compare DA evolved from 2 GeV to 1.5 GeV with DA solved at 1.5 GeV
- Latter derived with OPE at 1.5 GeV

$$a_n^\pi(\mu) = a_n^\pi(\mu_0) E_n(\mu, \mu_0), \quad n = 2, 4, 6, \dots \quad E_n(\mu, \mu_0) = \left[ \frac{\alpha_s(\mu)}{\alpha_s(\mu_0)} \right]^{\gamma_n^{(0)}/(2\beta_0)}$$

solid lines



dashed lines

$$\gamma_n^{(0)} = 8C_F \left[ \psi(n+2) + \gamma_E - \frac{3}{4} - \frac{1}{2(n+1)(n+2)} \right]$$

curves at low scale bumpier due to larger condensates, stronger fluctuation