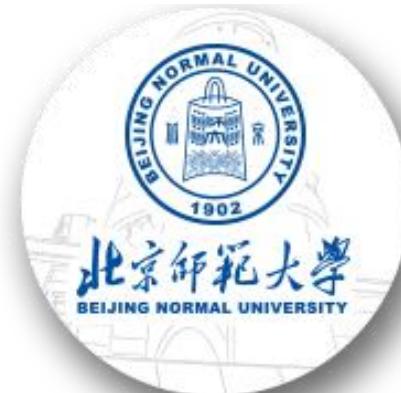


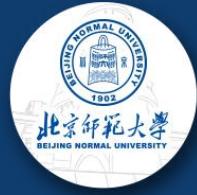
Germanium Detector Passivation Techniques

Shasha LV (CDEX Collaboration)

Beijing Normal University

PIRE GEMADARC Collaboration Meeting Agenda, June 1&2 , 2023



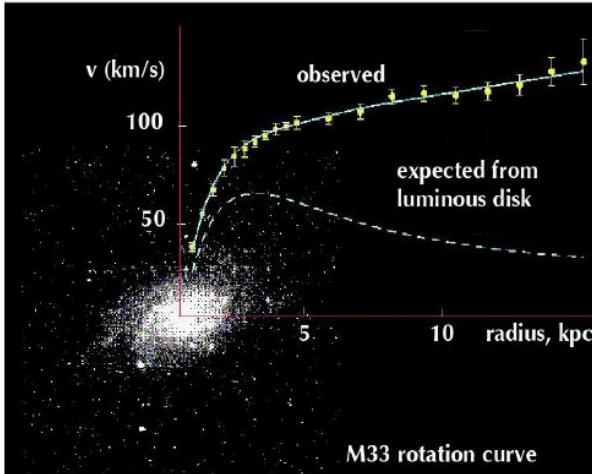


Content

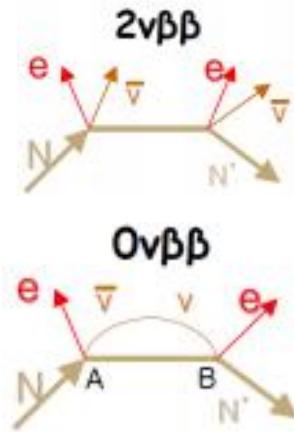
- I. Background
- II. First-principle Simulation and Performance Evaluation of
HPGe Detectors **Passivated with Silicon Oxide Films**
- III. Prophase Simulation, Film Fabrication, Passivation Evaluation
on **Germanium Oxynitride Passivation** of Ge-based Devices
- IV. Conclusion

Rare event detection

(a)

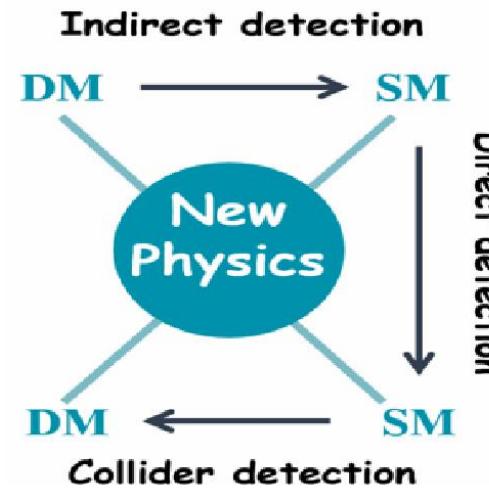


(c)

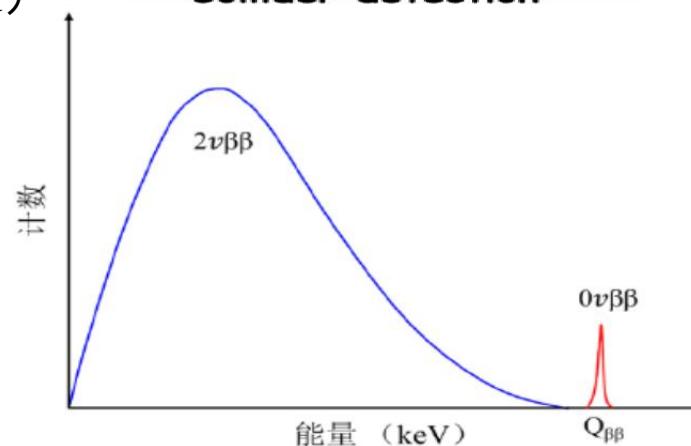


(a) Evidence for dark matter: galaxy rotation curve, (b) method for dark matter detection,
 (c) 2 $\nu\beta\beta$ and 0 $\nu\beta\beta$ Feynman diagram; (d) Possible energy of 0 $\nu\beta\beta$ ^[1,2]

(b)



(d)



Implications for dark matter detection:

- Dark matter **plays an important role in the formation and evolution of the universe**
- Involves research topics such as **elementary particles**

Implications for 0 $\nu\beta\beta$ detection:

- Neutrino mass order
- The **only viable way** to prove whether neutrinos are their own antiparticles is to be neutrinos,
- **Understanding the neutrino mass origin problem**

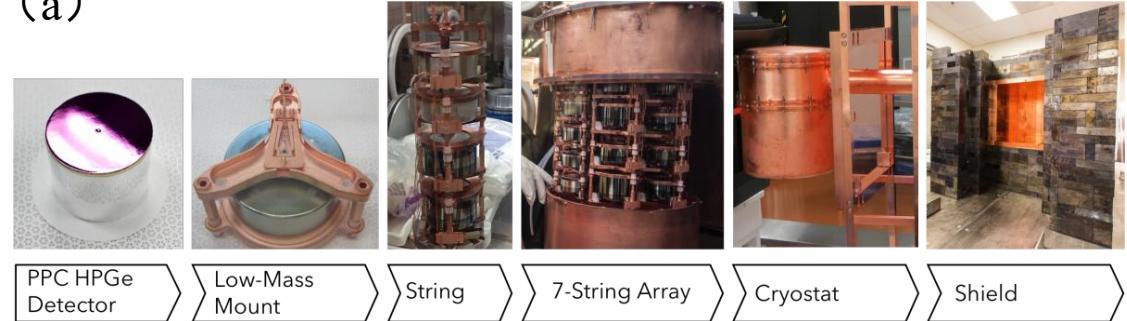


Rare event detection

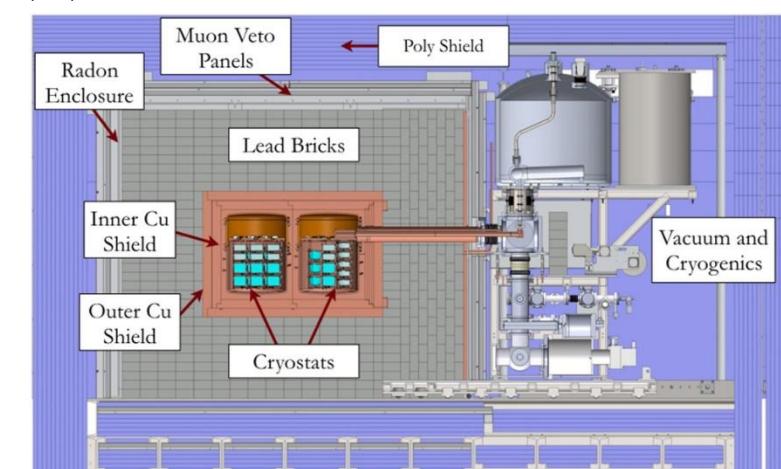
Direct detection method

HPGe detectors

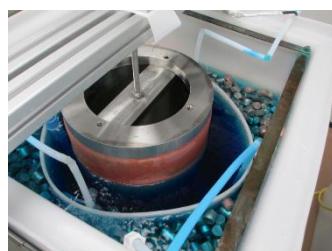
(a)



(b)

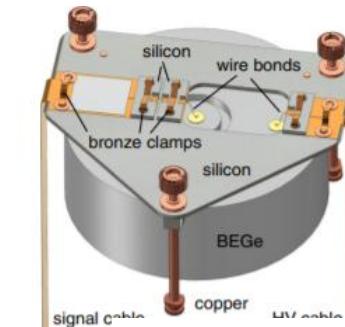


(c)

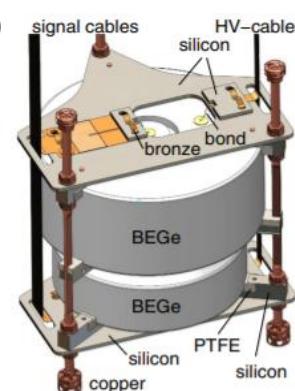


Schematic diagram of MAJORANA experimental apparatus: (a) the composition of HPGe array, (b) shieding device diagram; (c) MAJORANA experimental characteristics: underground electroforming copper^[1]

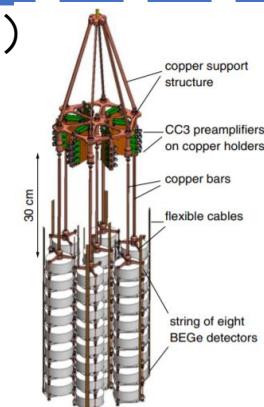
(a)



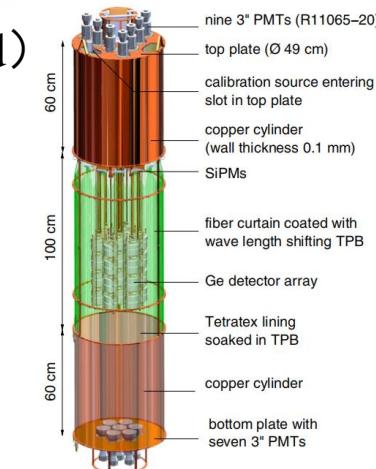
(b)



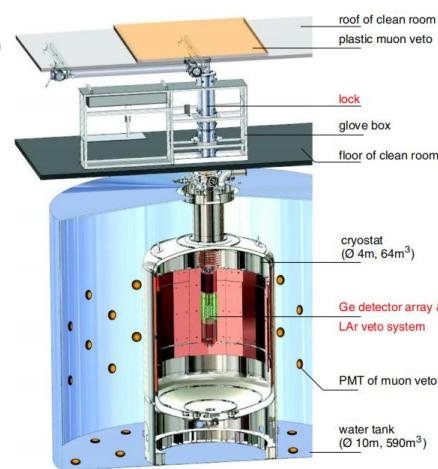
(c)



(d)



(e)



Schematic of GERDA experimental apparatus: (a) Single BEGe fixing device diagram, (b) BEGe array, (c) Seven string high BEGe array, (d) GERDA experimental liquid argon anticoincidence device, (e) Schematic diagram of GERDA Phase II experimental device^[2]

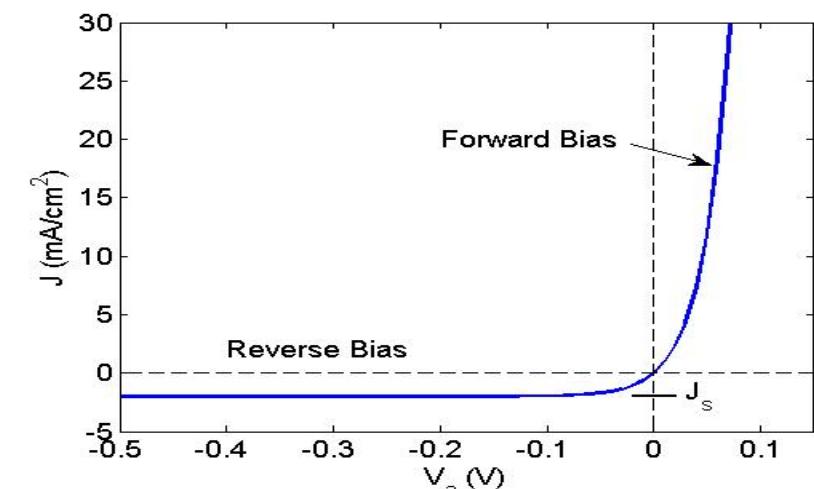
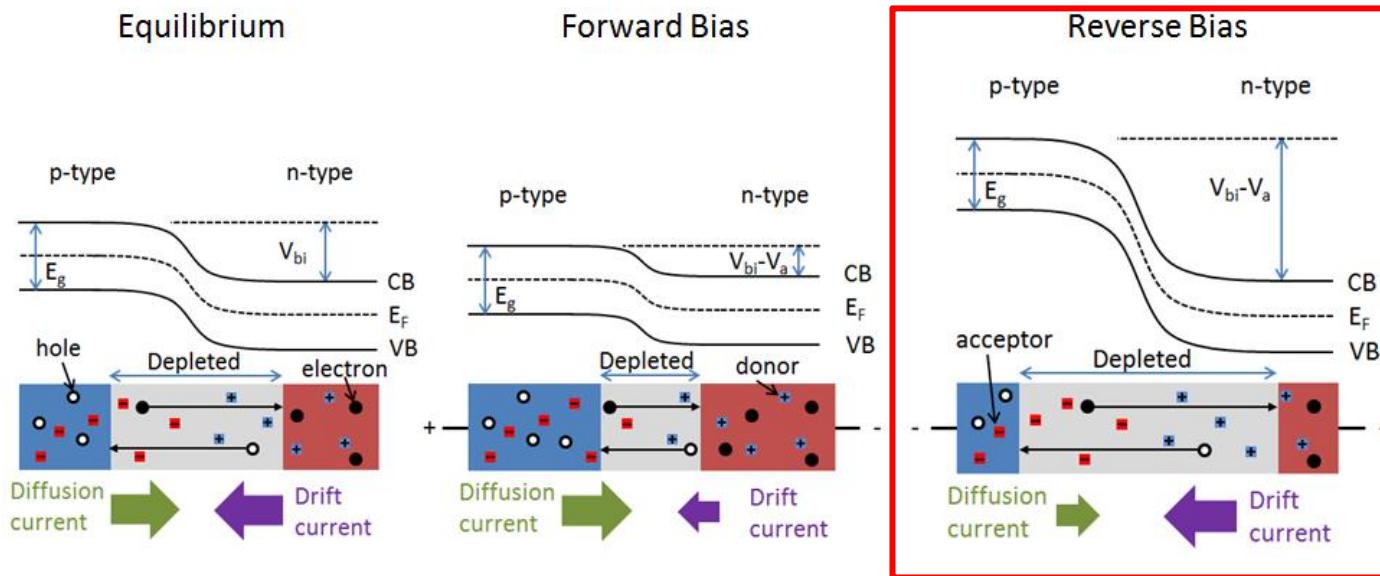
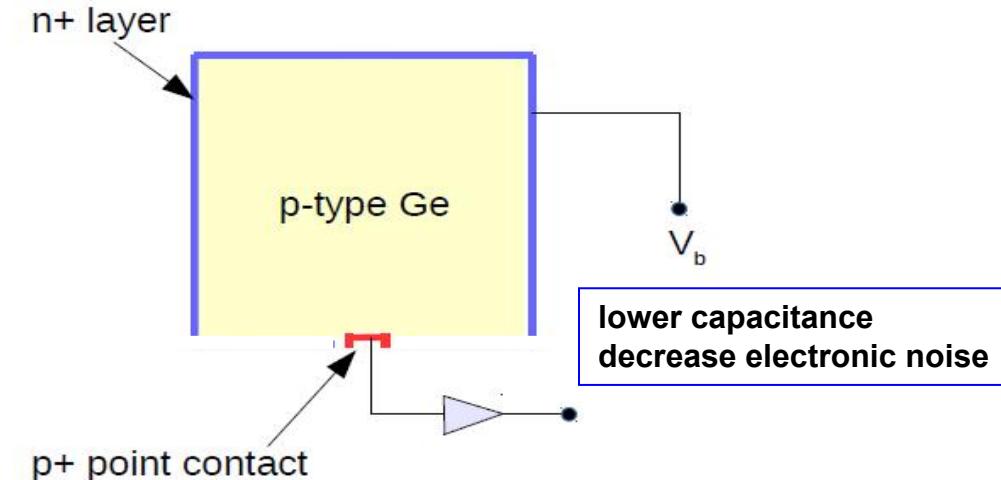
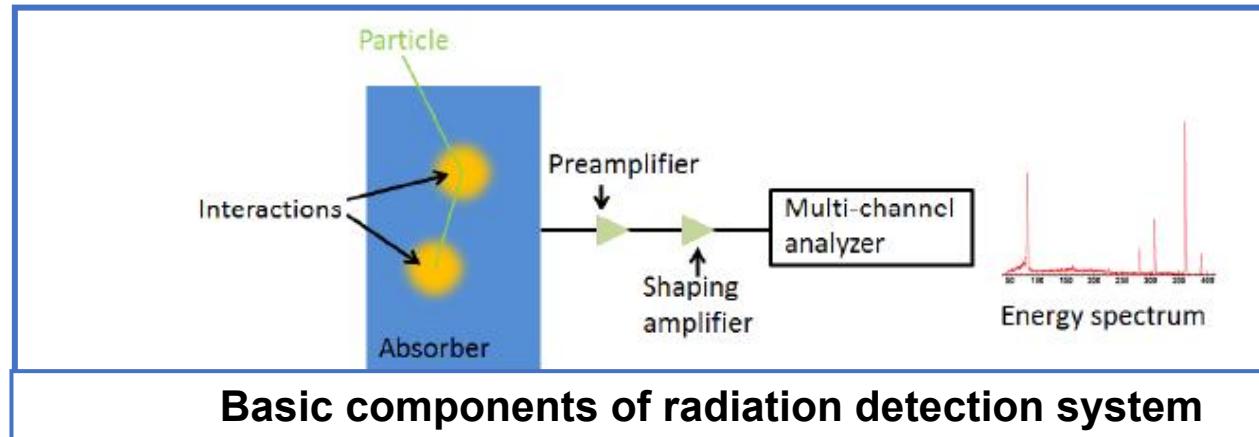
[1] N. Abgrall, I. J. Arnquist, F. T. Avignone III et al. The processing of enriched germanium for the MAJORANA DEMONSTRATOR R&D for a next generation double-beta decay experiment[J]. NUCLEAR INSTRUMENTS AND METHODS IN PHYSICS RESEARCH SECTION A, 2018

[2] AGOSTINI M, ARAUJO G R, BAKALYAROV A M, et al. Final results of GERDA on the search for neutrinoless double- β decay[J]. Physical Review Letters, 2020, 125(25): 252502



HPGe detectors

Energy resolution (0.2% FWHM at 662keV), linear energy response, lower energy threshold



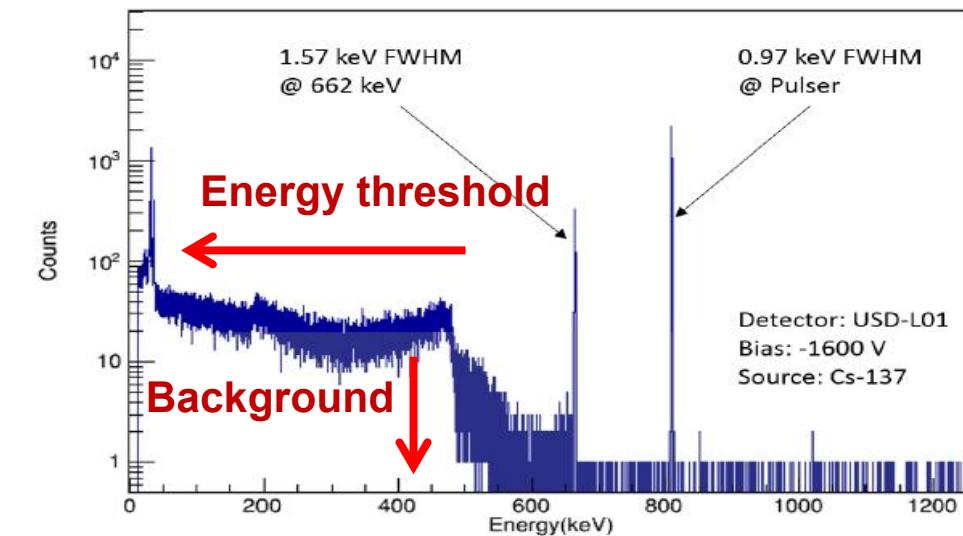


HPGe detectors

Material	Bandgap at 300 K (eV)	e-h pair creation energy (eV)	Density (g/cm ³)	Mean Z
Si	1.12	3.6	2.33	14
Ge	0.67	2.96	5.33	32
Cd _{0.9} Zn _{0.1} Te	1.57	4.64	5.78	49.1

Material	Hole mobility μ_h (cm ² /V·s)	Electron mobility μ_e (cm ² /V·s)	Hole lifetime τ_h (s)	Electron lifetime τ_e (s)	$\mu_h\tau_h$ (cm ² /V)	$\mu_e\tau_e$ (cm ² /V)
Ge (77 K)	42000 [43]	36000 [44]	2×10^{-4} [45]	2×10^{-4} [45]	>1 [31]	>1 [31]
Si (300 K)	450 [47]	1350 [47]	2×10^{-3} [46]	> 10^{-3} [46]	>1 [31]	>1 [31]
CZT (300 K)	30 [48]	1100 [48]	1×10^{-6} [46]	3×10^{-6} [46]	5×10^{-5} [2]	5×10^{-5} [2]

Small band gap
Free carrier concentration of $10^{13} /cm^3$ at RT,
 $10^9 /cm$ at 77 K
High activated carrier leakage current at RT





HPGe detectors

Carrier injection current (77K is less than 1pA)

$$I_{tot} = AJ_{hi} + AJ_{ei} + \boxed{I_{surf}} + I_{bulk}$$

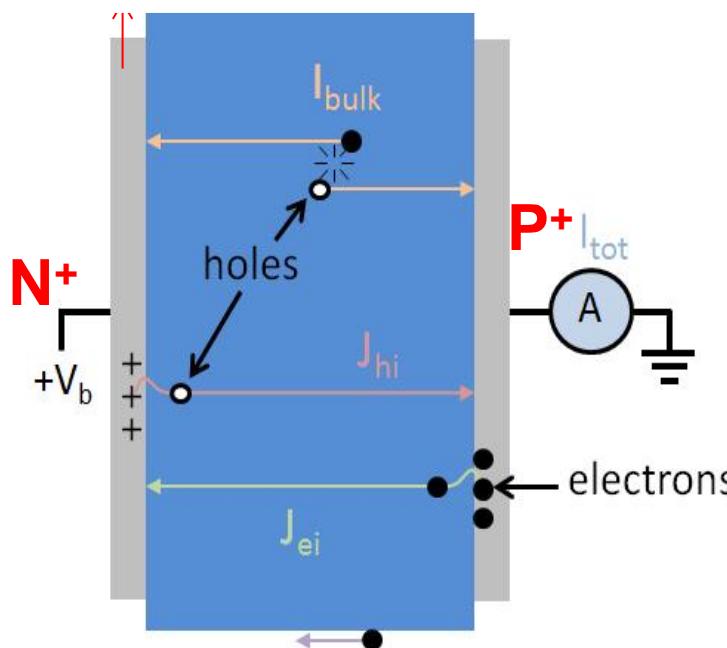
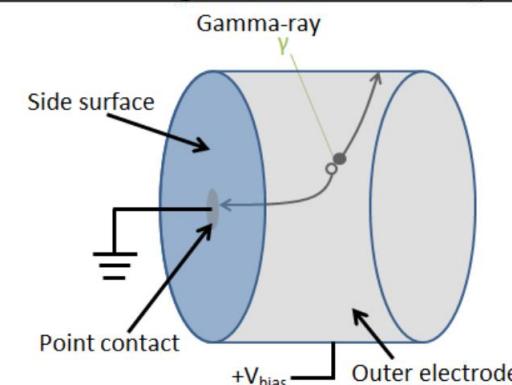
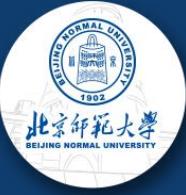


Table 3.3. Summary of key features for the different classes of electrical contacts on HPGe detectors.

Contact Type	Advantages	Disadvantages
Ion-implanted (B)	Thin, good electron blocking	No hole blocking, additional processing needed for segmentation
Li-diffused	Robust, good hole blocking	Thick, changes with time, transition region, difficult to segment, no electron blocking
Metal Schottky barrier	Thin, simple to construct, easily segmented, good electron blocking	Poor hole blocking, not robust, some lack of reproducibility
Amorphous semiconductor	Thin, blocks holes or electrons, doubles as passivation, easily segmented	Electron or hole blocking inferior to p-n junction, wide range of film properties





HPGe detectors

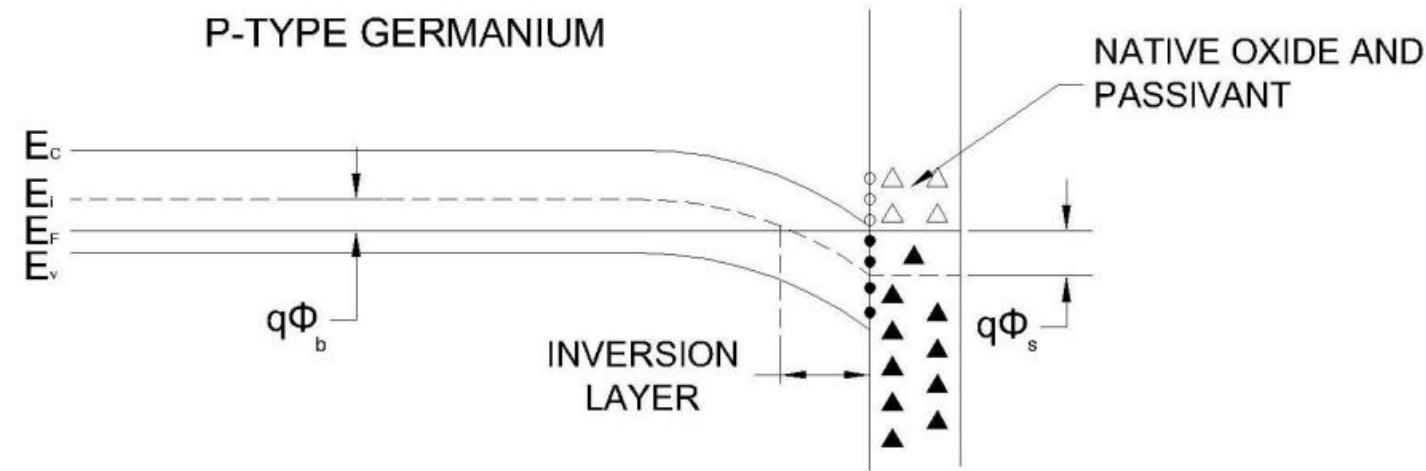
Schottky Theory:

$$J = AT^2 e^{-\Phi_B/kT} [e^{Va/kT} - 1]$$

J: Saturation current under reverse bias

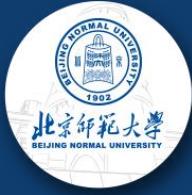
A: Richardson constant

Φ_B : Interfacial potential barrier height

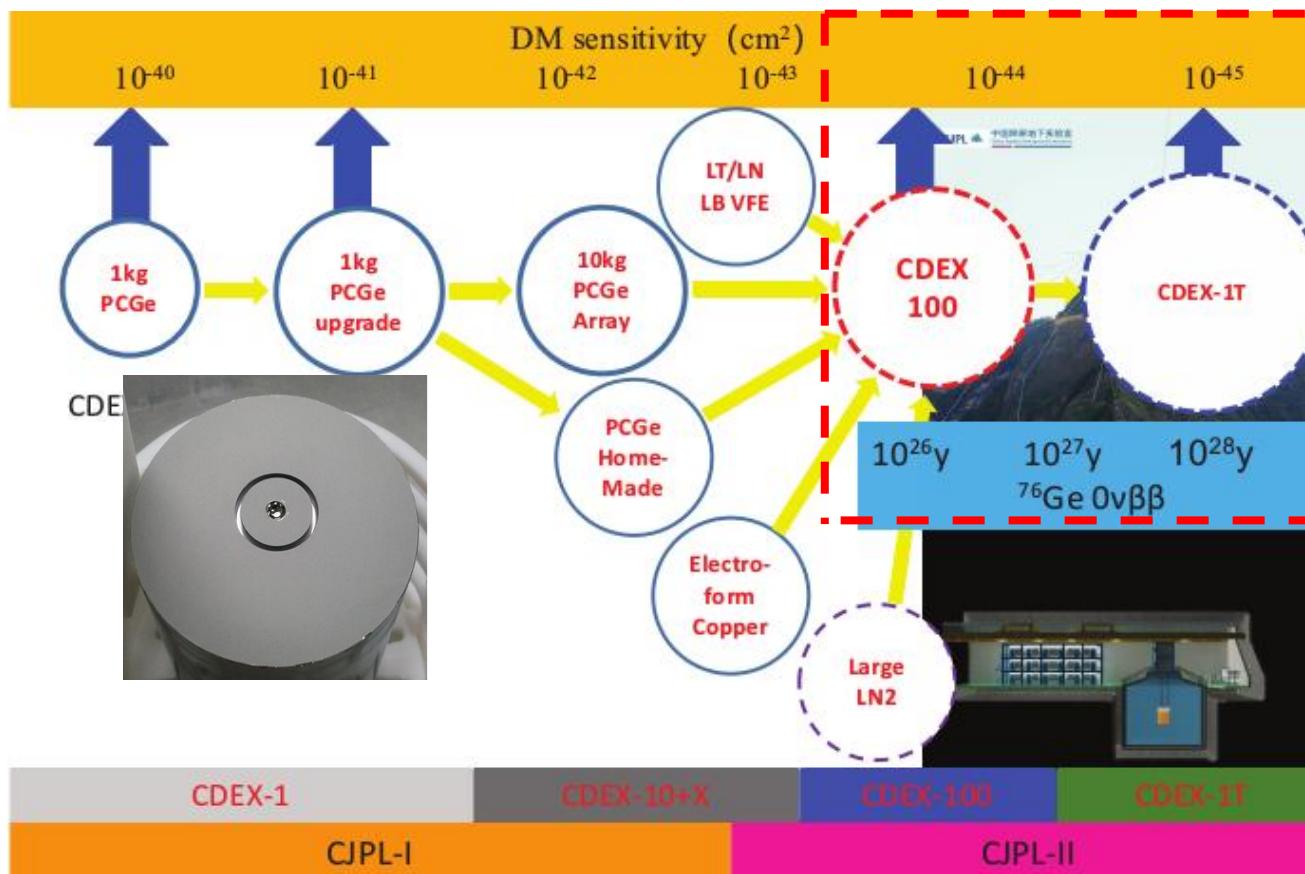


- The surface is sensitive to pollutants and water vapor, which changes the surface state and electric field distribution, make the surface charge collected.
- Surface suspension bond states and defects trap electrons and facilitate electron and hole separation, but also become the composite centers.
- Surface leakage current is generated.

Avoid passivation layer charge collection
Avoid building up internal electric fields

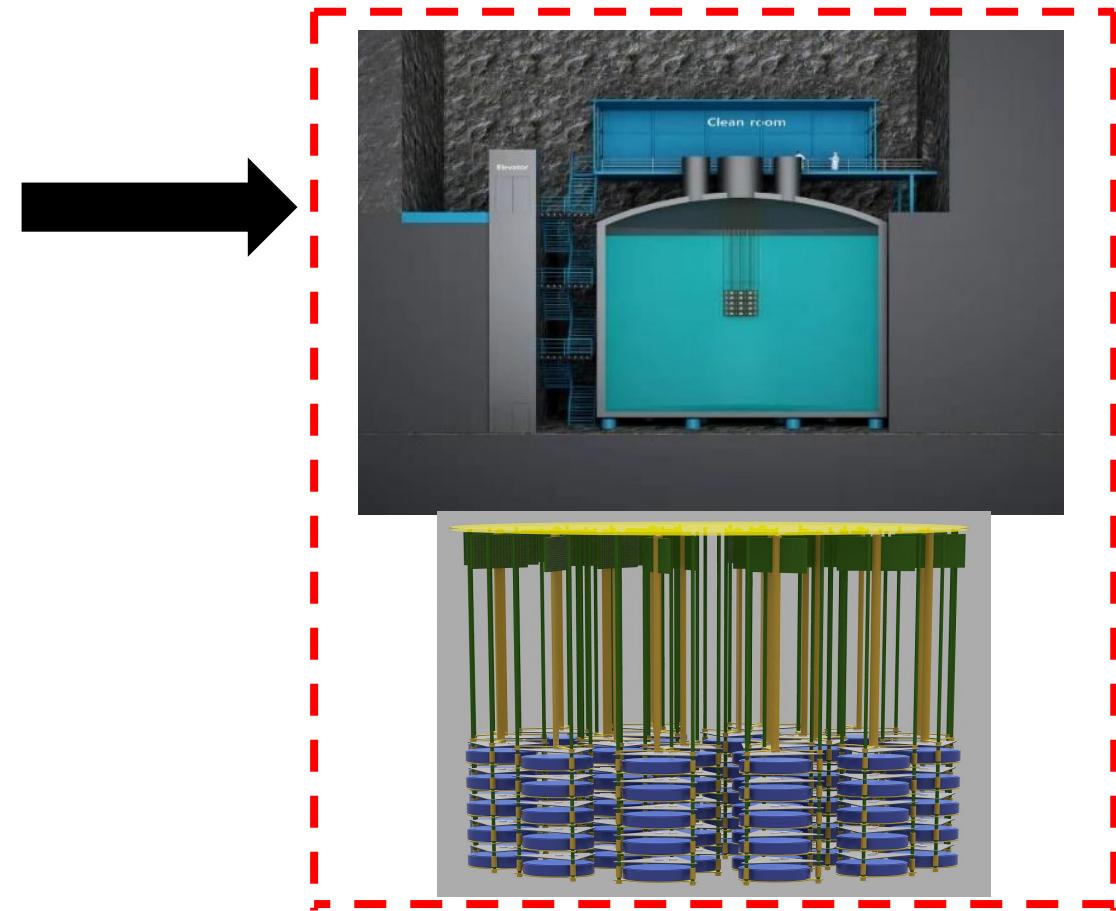


CDEX experiments



CDEX experiment current and future plans^[1]

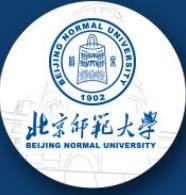
Experimental design: liquid nitrogen or liquid argon liquid directly cooled
HPGe detector array



CDEX experiment future plans: experimental scheme adopted by 100 kg
and 1 T HPGe detector array^[1]

[1] 杨丽桃. 基于CDEX-1B点电极高纯锗探测器的暗物质直接探测[D]. 北京: 清华大学, 2017: 25

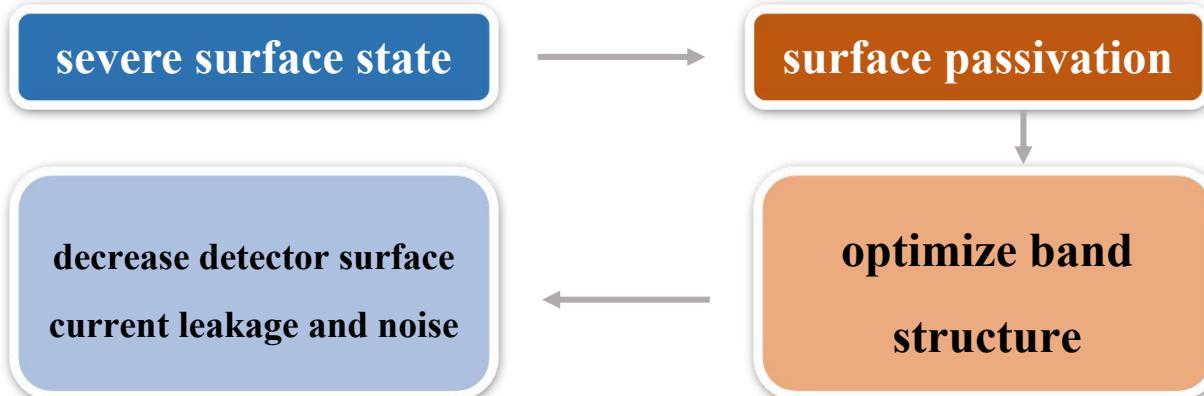
[2] SZE S M. Semiconductor devices: physics and technology[M]. John wiley & sons, 2008



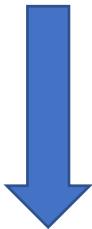
Surface passivation

Protection layer

- ❖ Eliminate pollutants
- ❖ Eliminate surface dangling and stress bonds
- ❖ High resistance
- ❖ Blocking hole or electron carrier injection



HPGe detector is bare immersed in liquid nitrogen with long-term, and the leakage current could tend to increase.



Solution: Passivation of HPGe detector

Achieve **low leakage current** and **low threshold of detector**

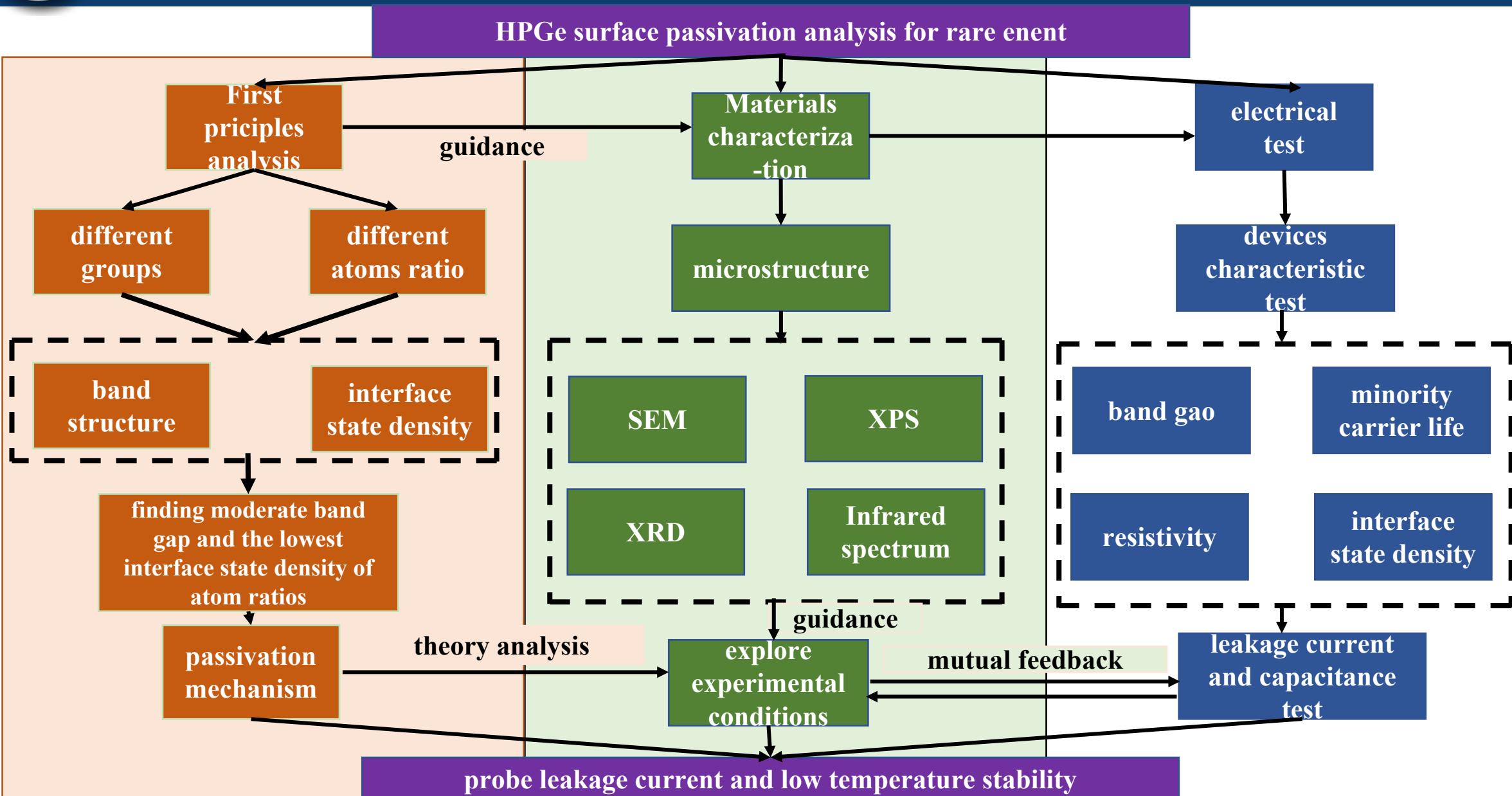
Realize stable operation of HPGe **barely immersed in liquid Nitrogen or Argon**



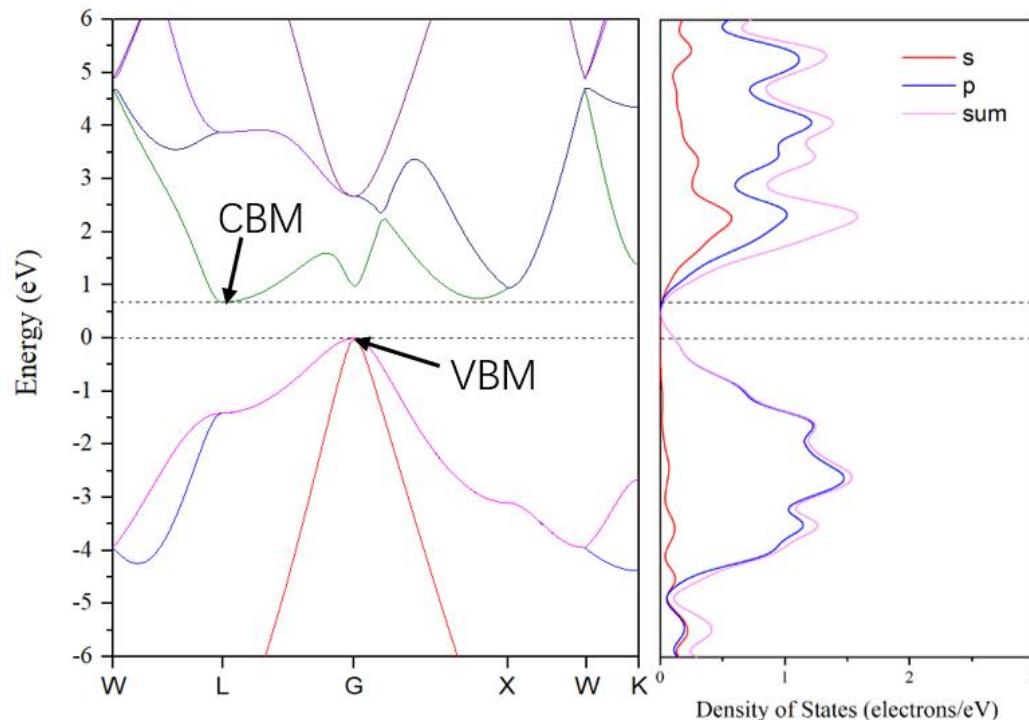
- **Background**
- **First-principle Simulation, Passivation Evaluation and Performance of HPGe detectors with Silicon oxide Films**
- **Prophase Simulation, Film Fabrication, Passivation Evaluation on Germanium Oxynitride passivation of Ge-based Devices**
- **Conclusion and Future Plan**



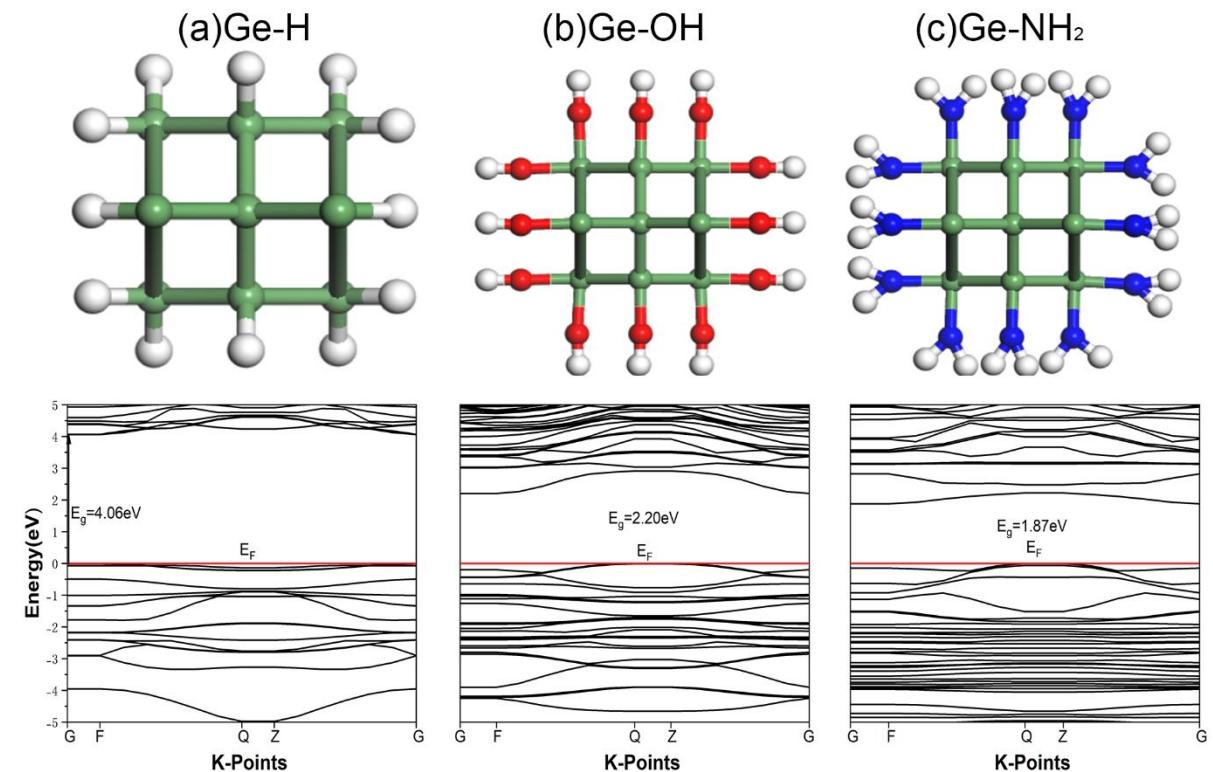
Methods



First-Principle Simulation



**Band structure and DOS of Ge
(100) direction**

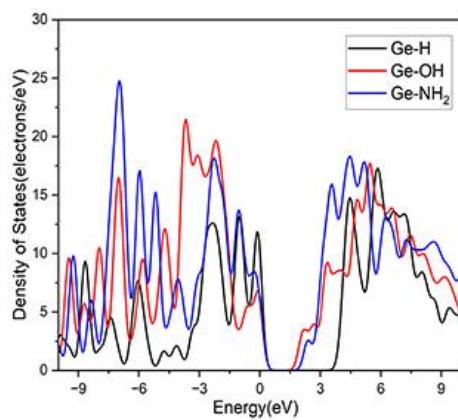


**Cross section of -H, -NH₂, -OH passivation:
(a) Ge-H, (b) Ge-NH₂ , (c) Ge-OH**

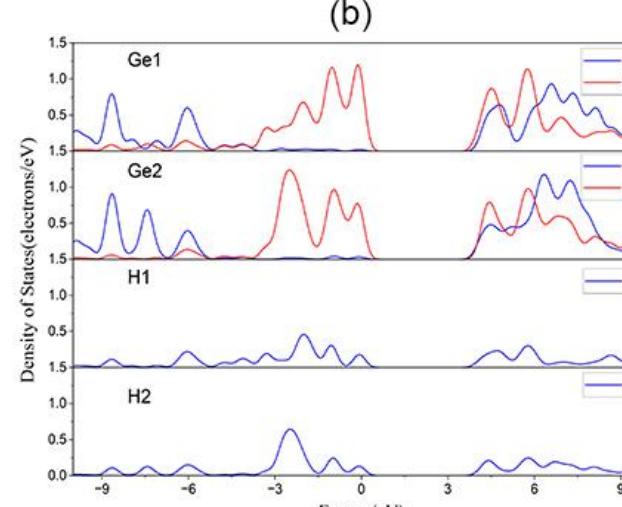
Surface passivation changes the composition of conduction band and valence band edge electronic states to realize the regulation of band edge characteristics.

First-Principle Simulation

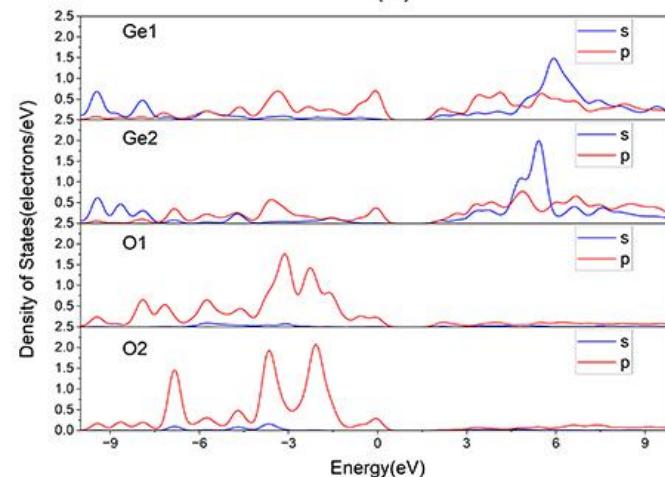
(a)



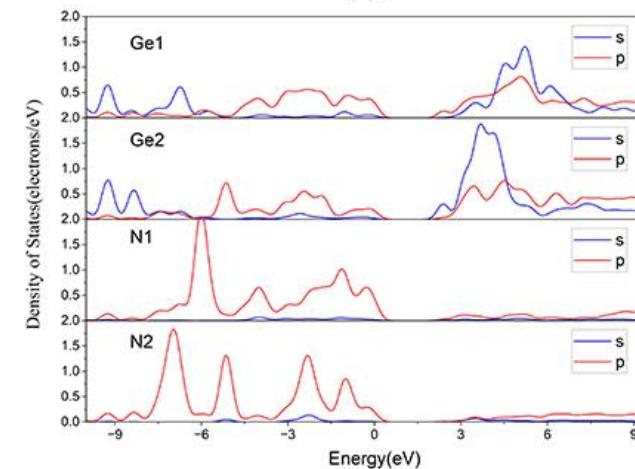
(b)



(c)

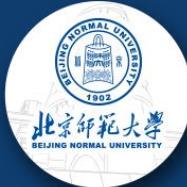


(d)

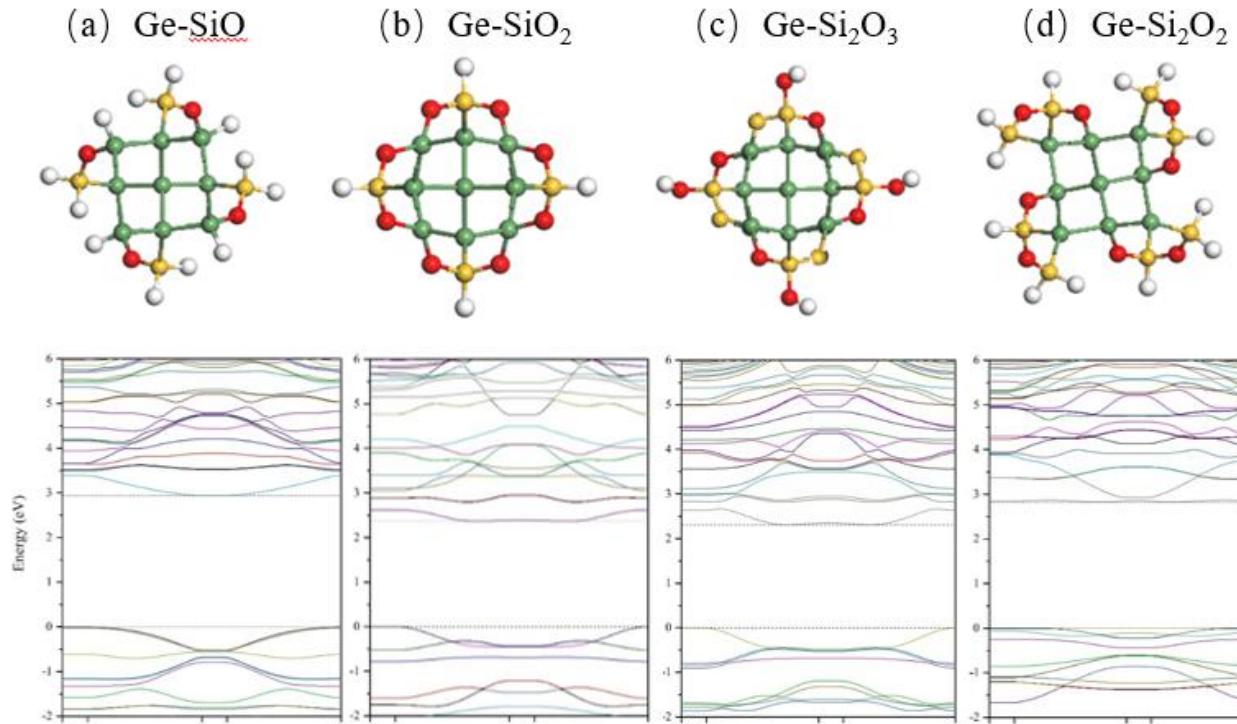


DOS of Ge-H, Ge-OH和Ge-NH₂ (a) TDOS; (b)
-H PDOS; (c) -O PDOS; (d) -N PDOS

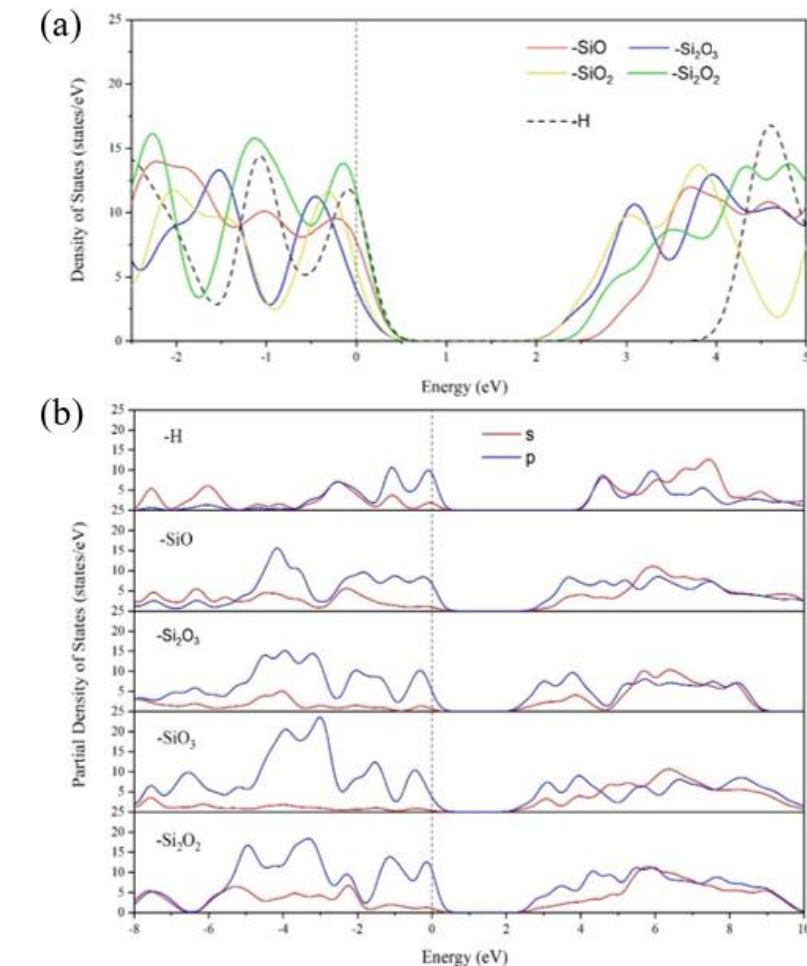
- ◆ N passivation has a direct bandgap, while O passivation transforms into an indirect bandgap
- ◆ Ge-OH has the best passivation effect.
- ◆ The strong electronegativity of O and N results in the rearrangement of electron cloud and the change of electron state distribution at the band edge.
- ◆ Passivation of -O and -N leads to increased surface recombination efficiency and surface leakage current, which affects surface charge collection.



SiO_x Passivation by First-Principle Simulation



Cross Section and Band structure of SiO_x: (a) -SiO,
(b) -SiO₂, (c) -Si₂O₃, (d) -Si₂O₂

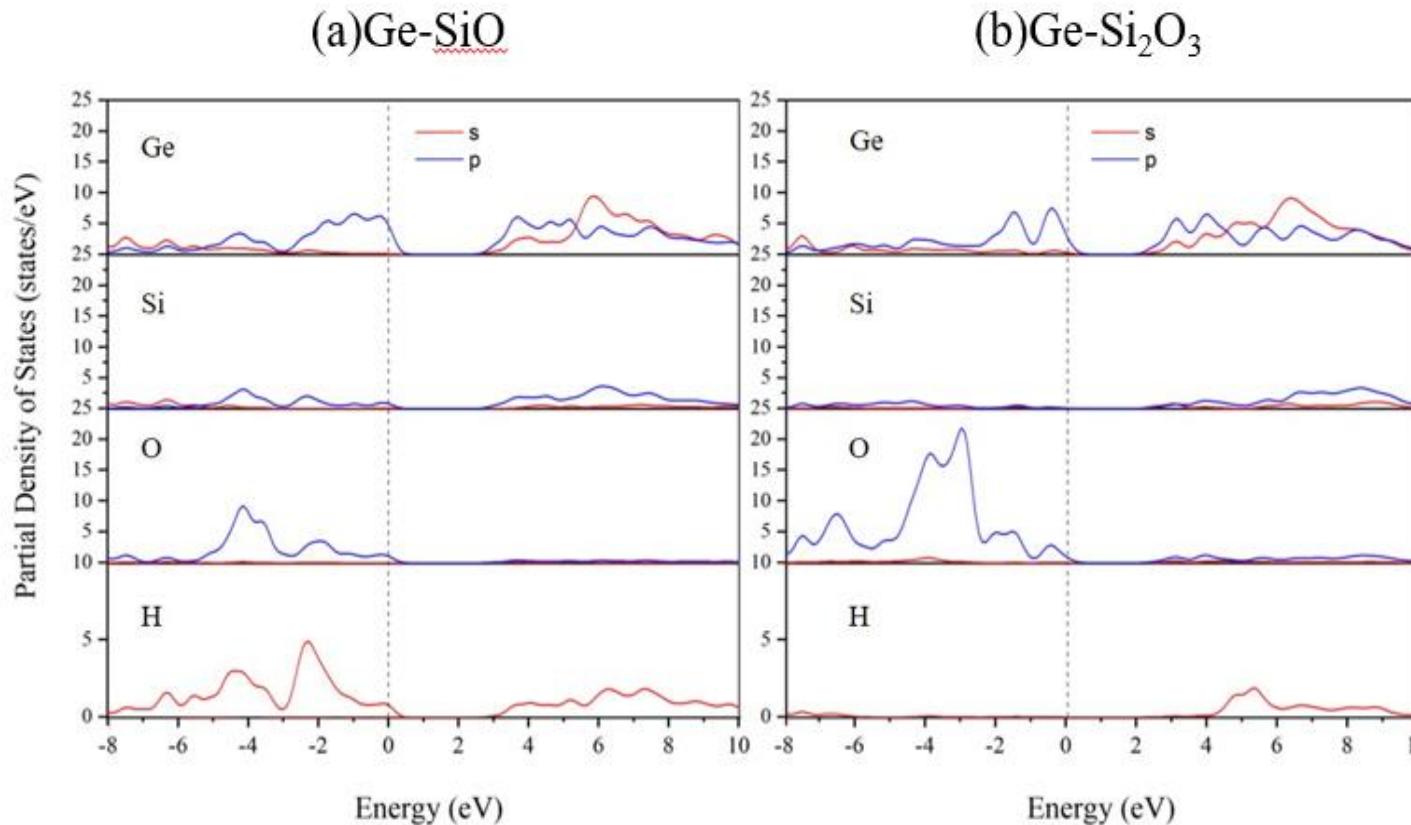


SiO_x DOS: (a) TDOS, (b) Partial Density of State

Band structure: indirect band gap, lower band gap width than H passivation



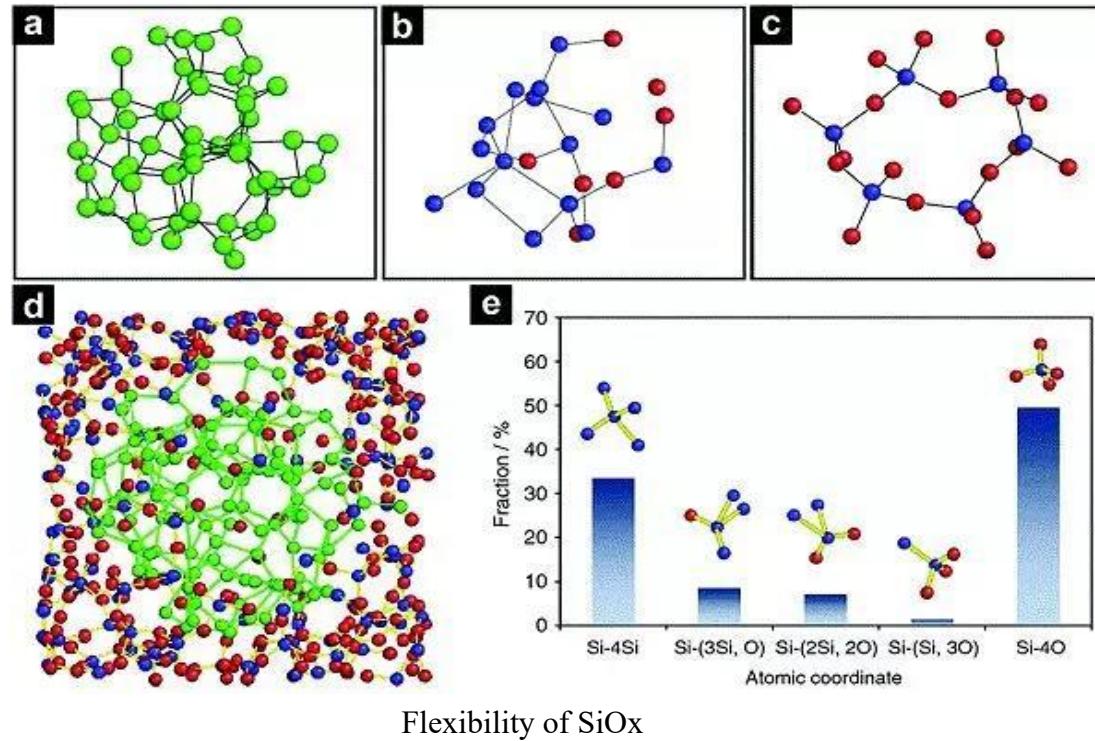
SiO_x Passivation by First-Principle Simulation



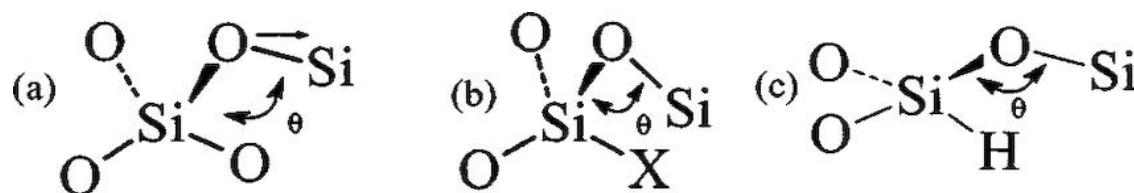
Ge-SiO and Ge-Si₂O₃ Partial Density of State: (a) Ge-SiO, (b) Ge-Si₂O₃

- ◆ The density of states of different silicon oxygen atoms passivated significantly decreases compared to H passivation.
 - ◆ The SiO_x system reduces the DOS intensity and interfacial state density, and the fixed charge in the oxide layer forms an internal electric field.
 - ◆ The passivation effect of Ge-SiO_x is better with increase of O element.
 - ◆ Si/O atoms are randomly mixed/bonded in Ge passivation layers with excellent electrical and chemical passivation properties

SiO_x Film by Electron Beam Evaporation



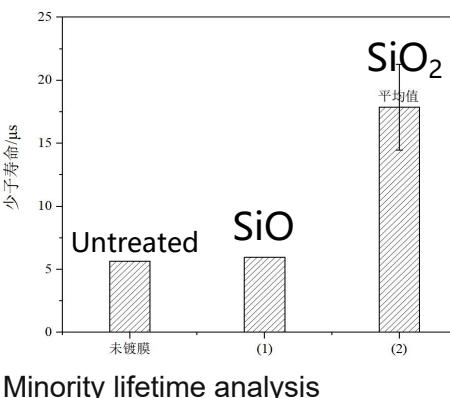
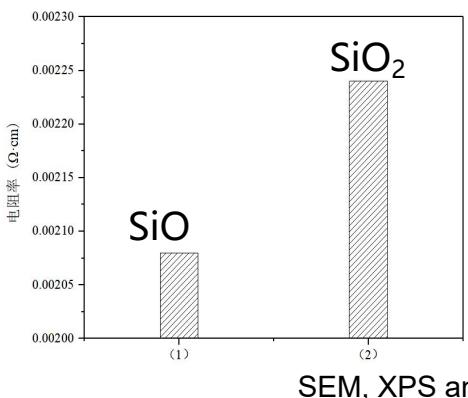
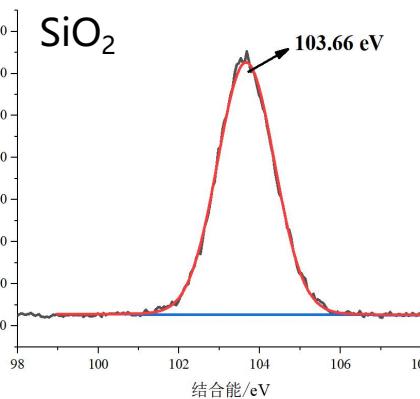
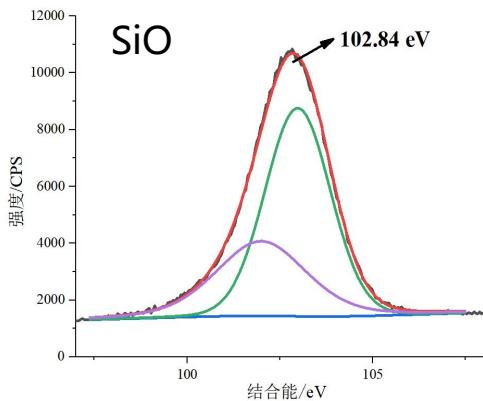
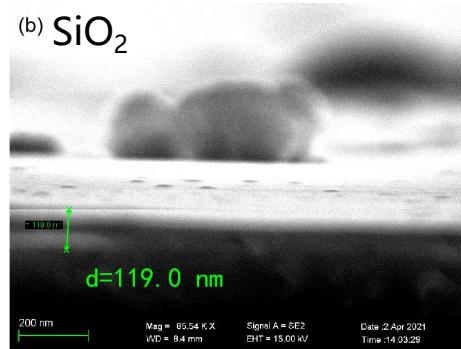
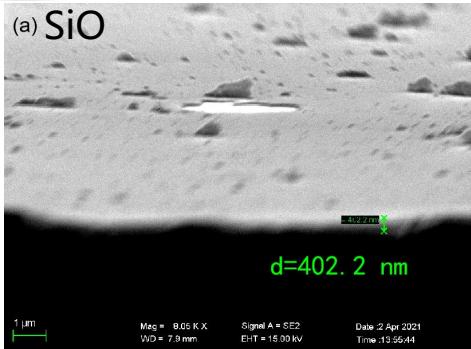
Flexibility of SiO_x



- **SiO (SiO_x) :** Microstructure is controversial: random bonding model, random mixing model, interfacial cluster mixing model.
- **SiO₂:** The flexibility of Si-O-Si bond makes SiO₂ have a variety of crystal forms and makes most of the chemical bonds at the interface remain saturated; There is a large amount of fixed positive charge in SiO₂, which can produce field effect passivation.



SiO_x Film by Electron Beam Evaporation



SEM, XPS and Minority lifetime analysis

表1 不同靶材样品XPS分析数据

样品编号	靶材	Si峰中心位置	Si峰面积	O峰面积	Si: O
①	SiO	102.74	25454.1	132319.5	1:1.40
②	SiO ₂	103.66	14109.0	103095.7	1:1.97

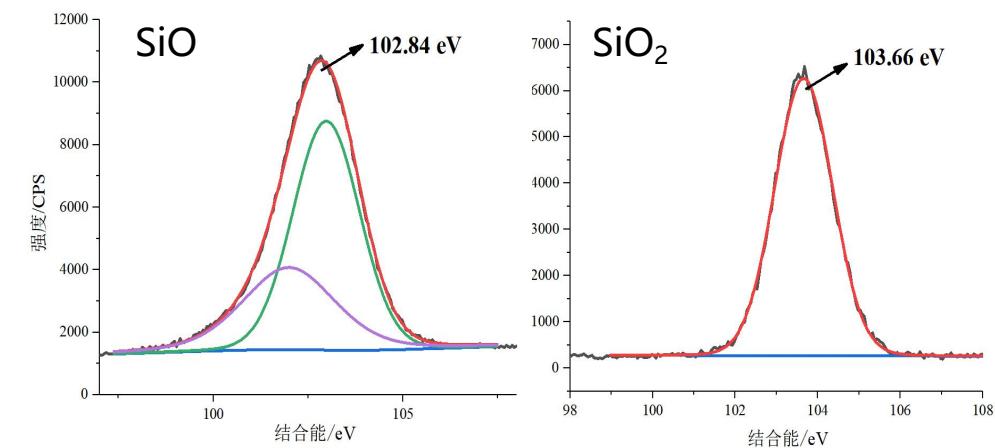


图3 不同靶材样品XPS能谱图



SiO_x Film by Electron Beam Evaporation

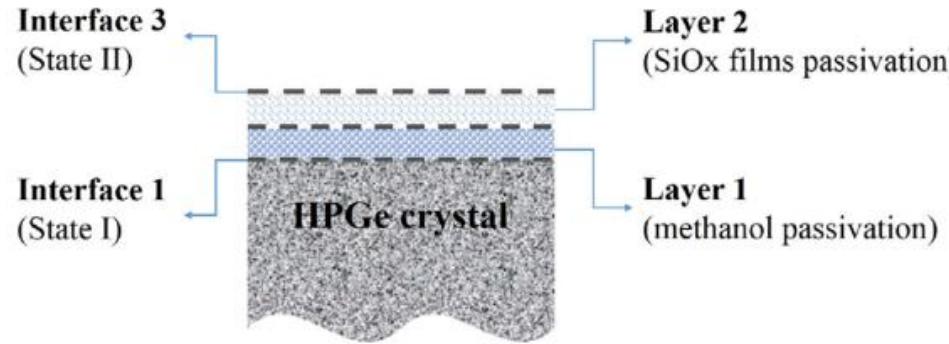
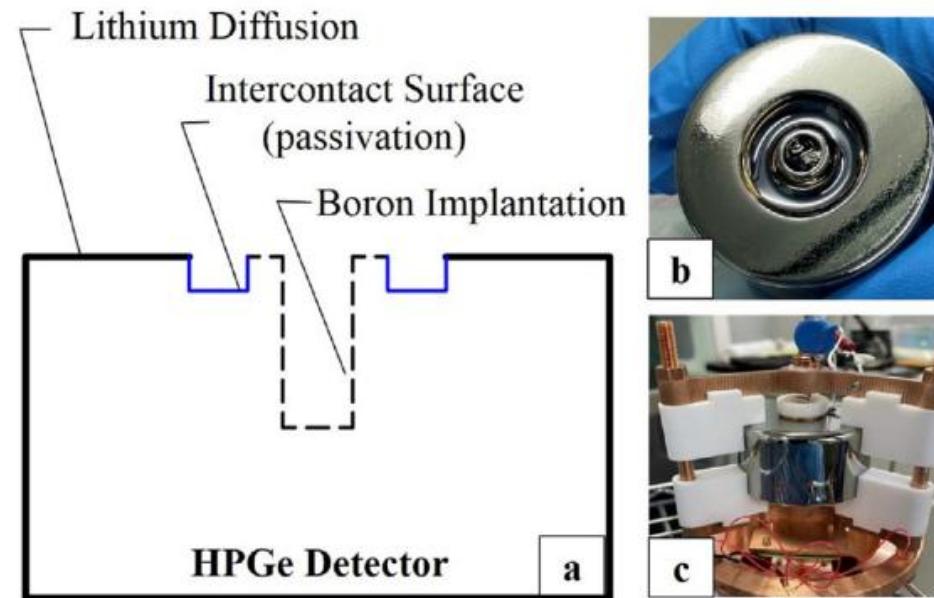
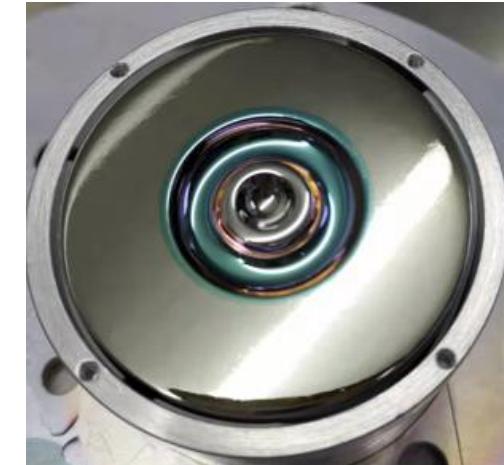


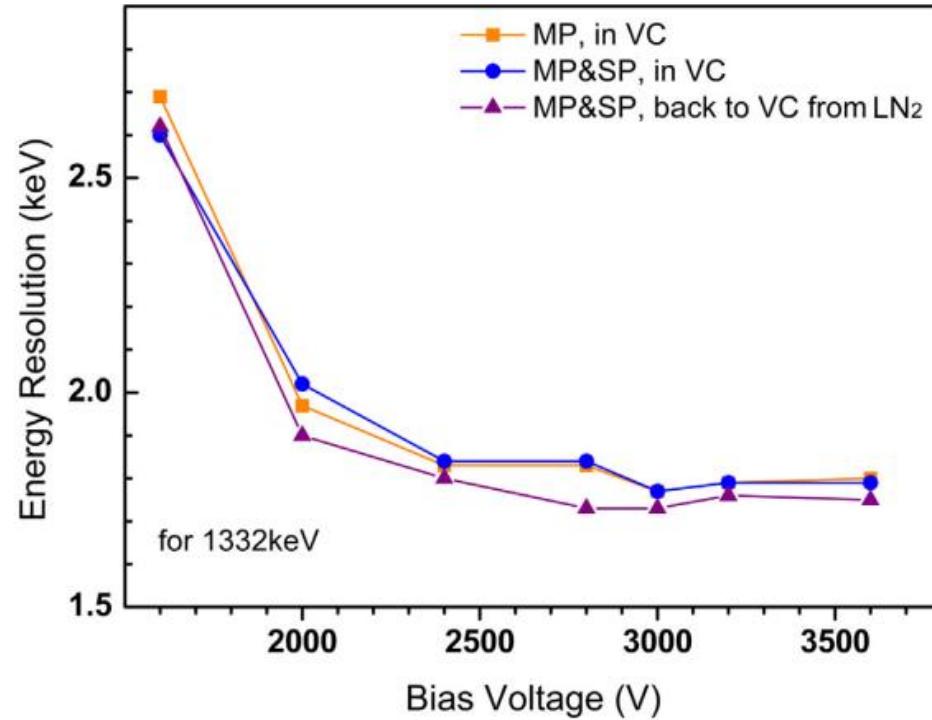
Fig. 1. Schematic diagram of surface passivation of a HPGe crystal.



**Two steps passivated HPGe
Methanol passivation, SiO_x
films passivation, bare
immersion in LN₂**



SiO_x Film by Electron Beam Evaporation



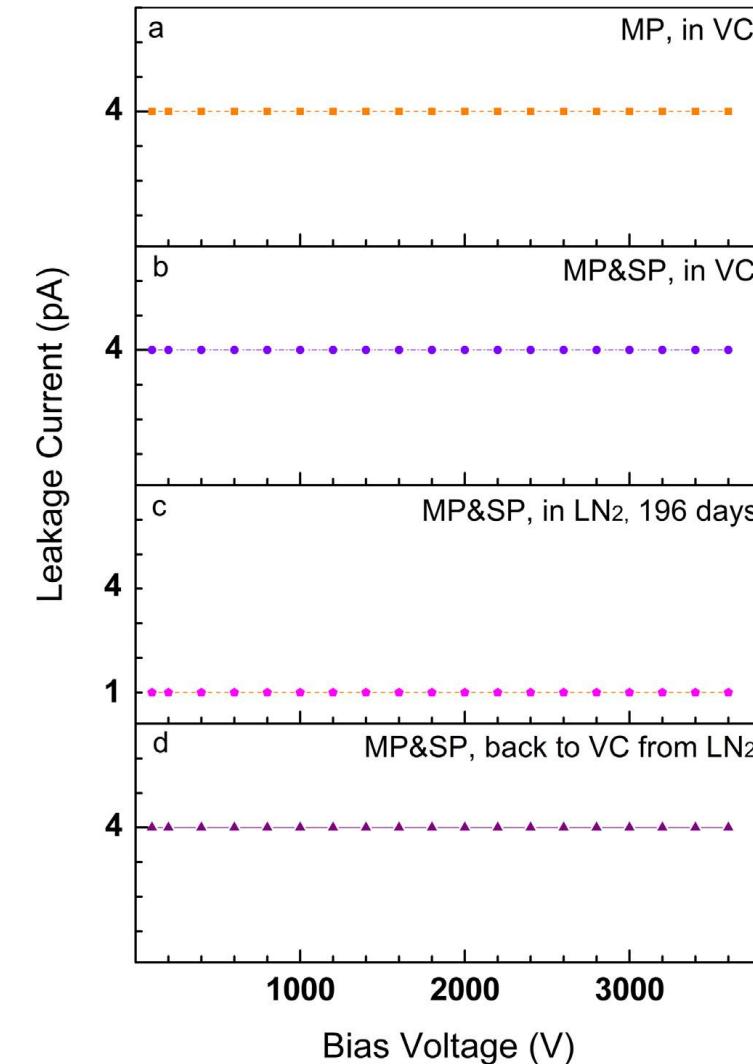
Resolution of the detector (FWHM) as a function of the bias voltage at different stages

Leakage current was monitored at all the critical process stages

液氮裸泡探测器性能：

能量分辨率：FWHM范围1.80至1.5 keV

液氮环境中工作196天，在工作电压3600 V，耗尽电压1800 V下，维持超低漏电流 (1 pA)

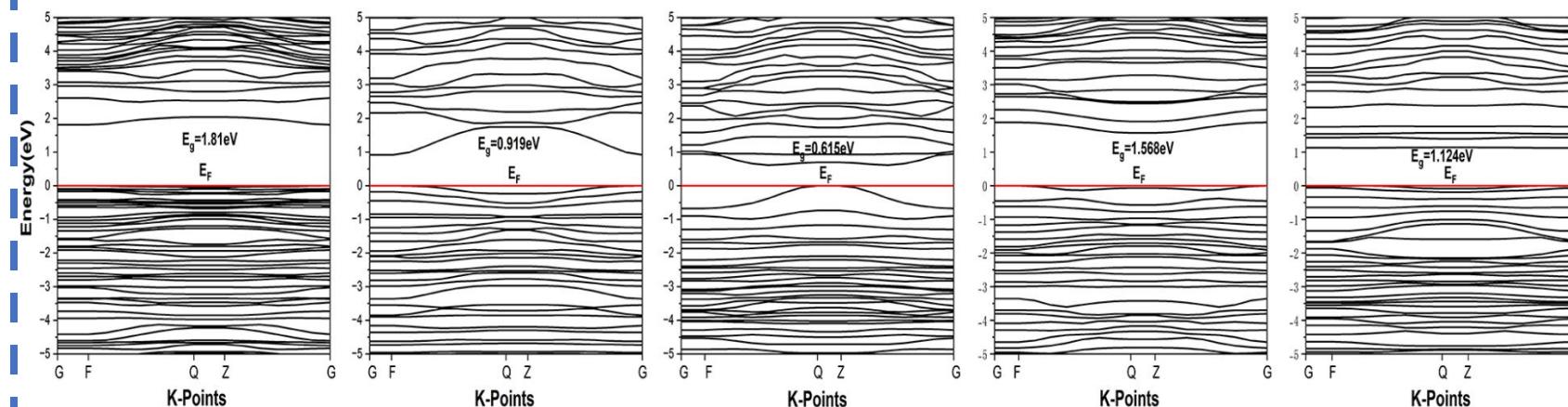
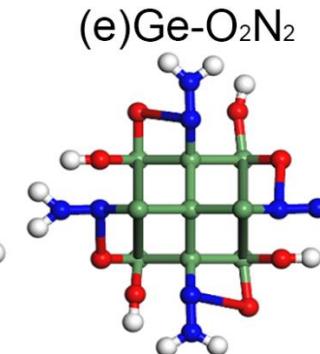
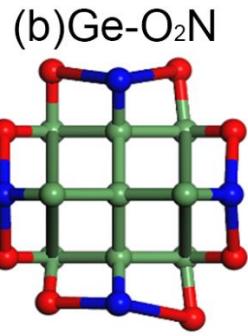
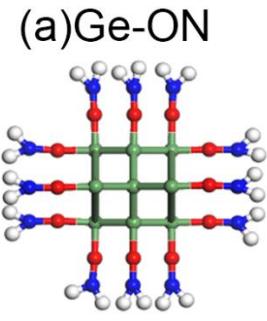




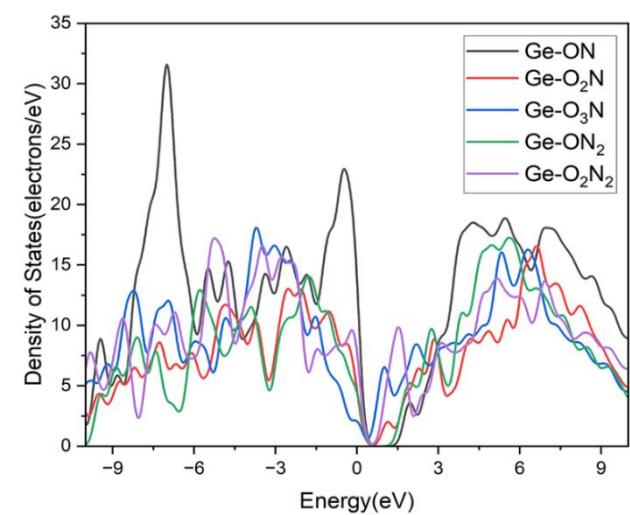
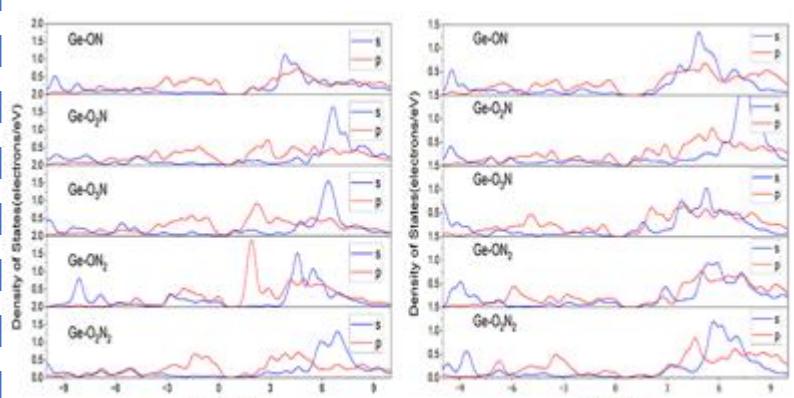
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GeO_xN_y by First-Principle Simulation



Five different N₂:O₂ ratios of germanium oxynitride: (a) -ON; (b) O₂N; (c) O₃N; (d) ON₂; (e) O₂N₂



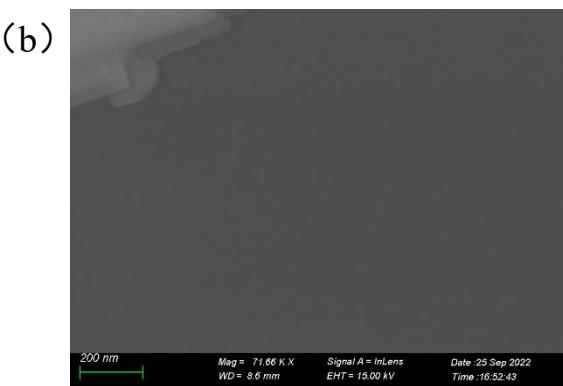
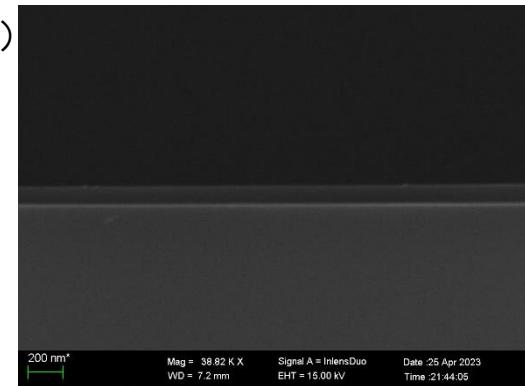
(a) PDOS of one dangling bond; (b) PDOS of two dangling bond;
(c) TDOS of five different ratios of germanium oxynitride

DOS of -O₃N is the lowest but not the optimal choice

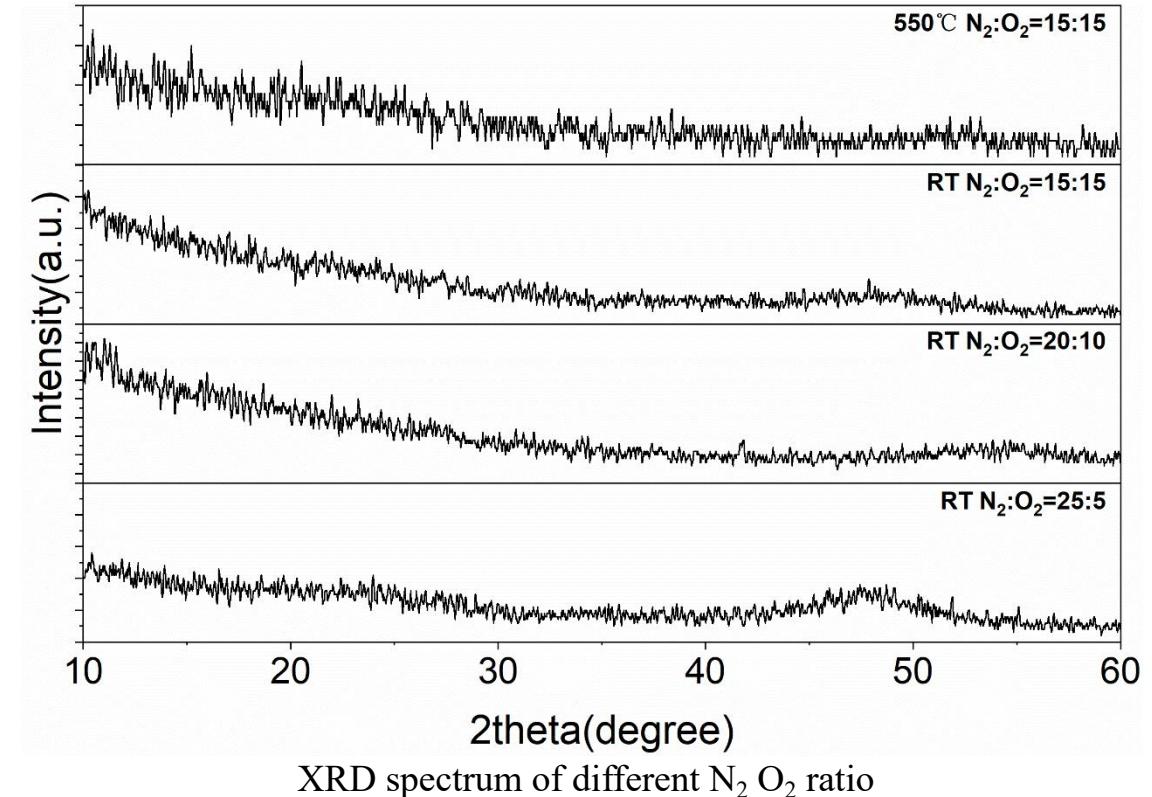
DOS -ON₂ is the best, which the lower DOS and moderate band gap



GeOxNy Film Preparation



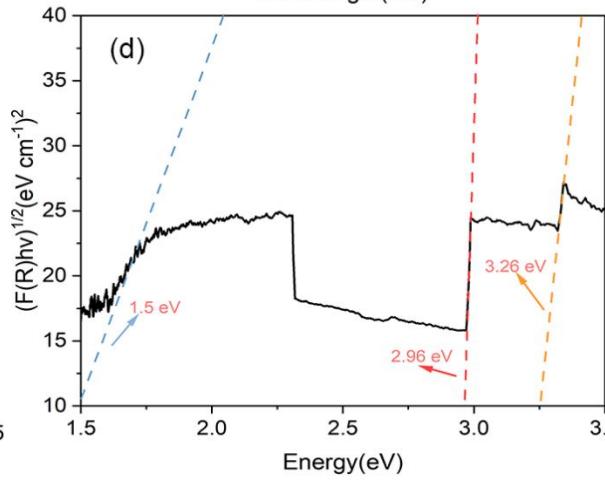
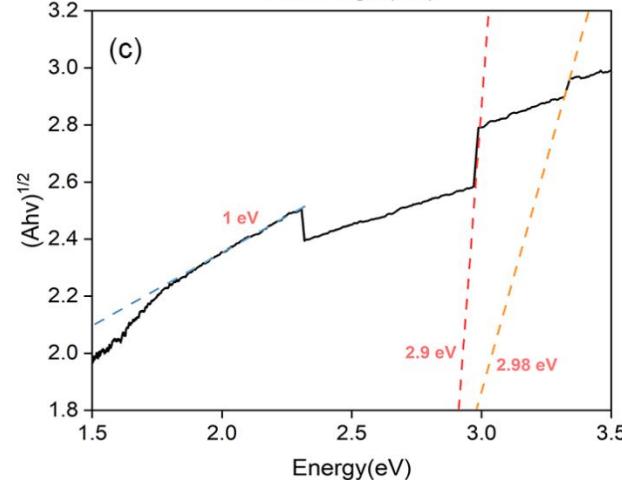
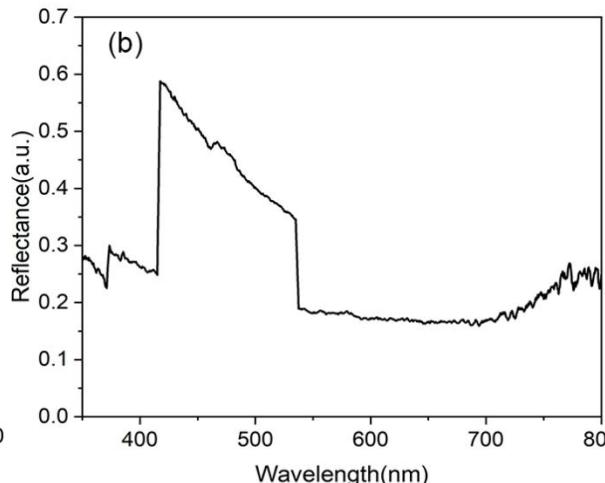
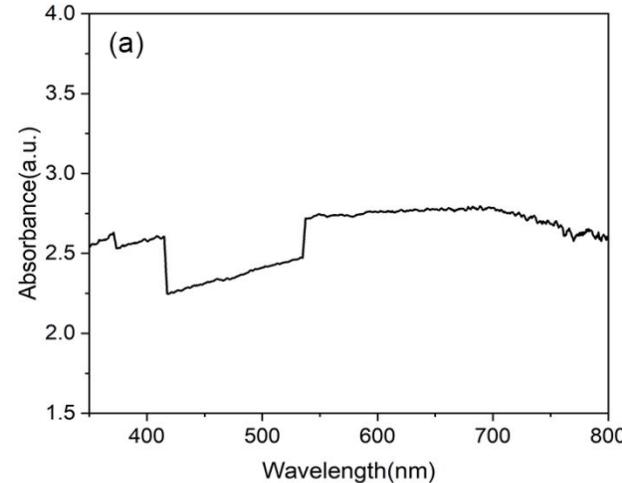
Nitrogen and Oxygen as sputtering atmosphere oSEM (a) passivation cross section; (b) Surface morphology



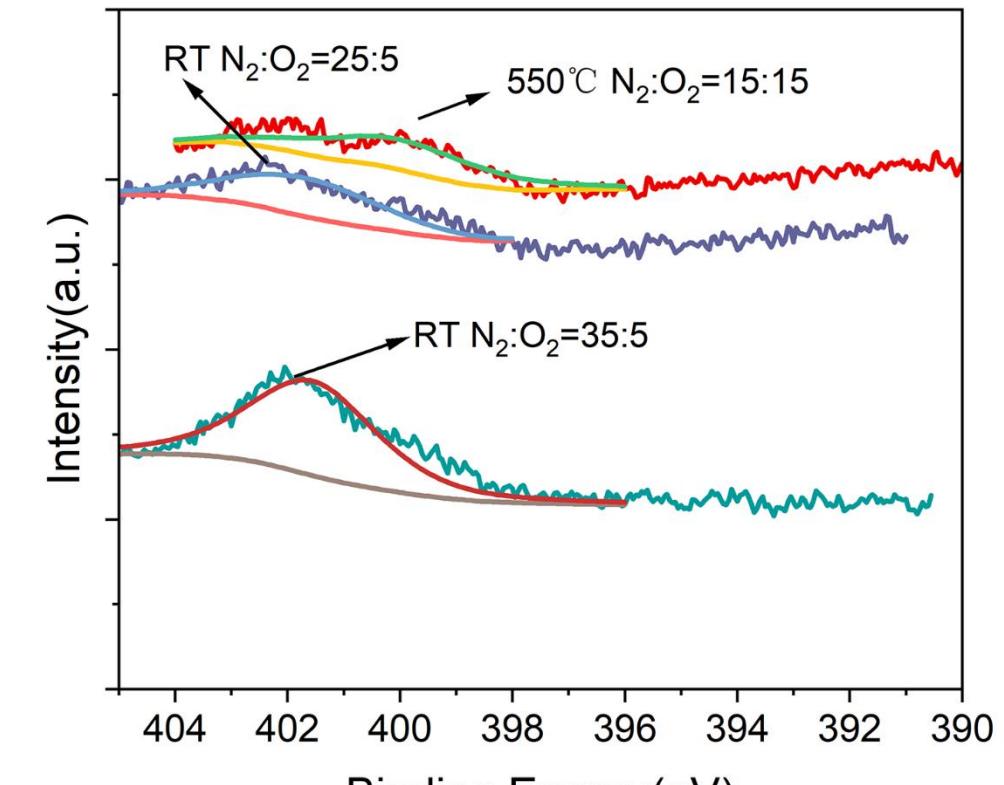
- Homogeneous film
- The amorphous state is strong and the growth is reverse random



GeO_xN_y Film Preparation



(e) UV-vis absorption spectrum; (f) Tauc plot fitted band gap;
(g) UV-vis reflection spectrum; (h) Tauc plot fitted band gap

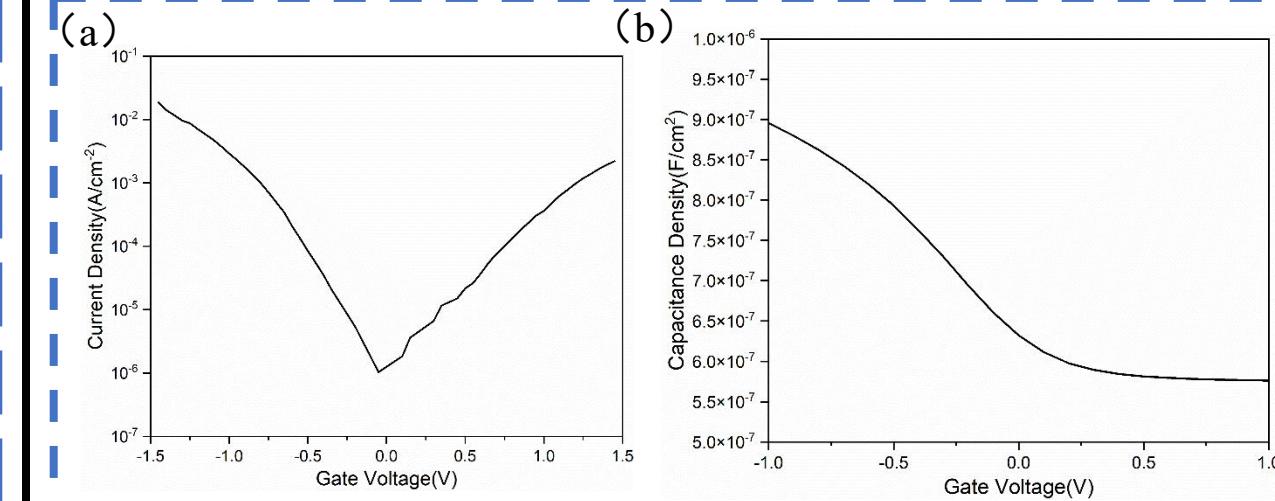
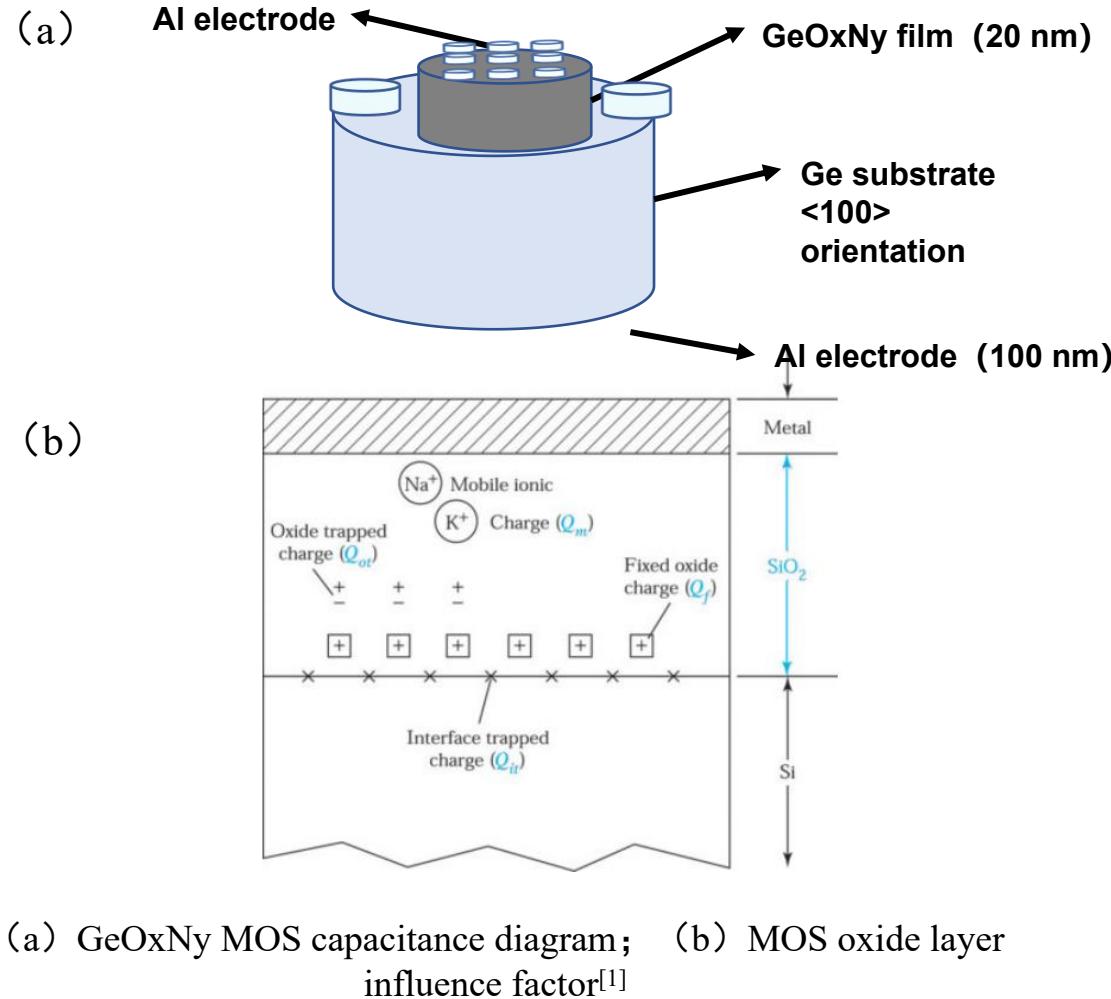


N1s energy level XPS spectrum

- Less O₂ get GeO;
- Too much nitrogen will prevent the formation of germanium nitride.



GeOxNy Passivation Evaluation



GeOxNy passivation layer electrical character (a) I-V character;
(b) C-V character

- The passivation layer is mainly affected by interface trap charge.
- Flat band voltage is about -0.8V;
- Passivation layer thickness is thin, easy to be broken down



Thanks for your listening

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