

# QCD at small Bjorken $x$ : the Color Glass Condensate (CGC)

*Jamal Jalilian-Marian*

*Baruch College, City University of New York*

*New York NY*

TIDC Autumn School On Electron-Ion Collider (EIC)  
Physics Department, National Taiwan University

# Outline of lectures

Lecture 1: introduction to DIS, QCD, small  $x$ , motivation for CGC  
(electronic presentation)

Lecture 2: gluon saturation, eikonal scattering, Wilson lines, dipoles,...  
(blackboard presentation)

Lecture 3: quantum corrections, BK evolution equation, solutions  
(blackboard presentation)

Lecture 4: applications to high energy collisions, phenomenology,....  
(blackboard presentation)

# Literature

Textbook: Quantum Chromodynamics at high energy  
by Kovchegov and Levin

Reviews:

The Color Glass Condensate and high energy scattering in QCD:  
Iancu and Venugopalan

The Color Glass Condensate: Gelis, Iancu, JIM and Venugopalan

Saturation physics and deuteron-gold collisions at RHIC: JIM  
and Kovchegov

.....

Mining for gluon saturation at colliders: Morreale and Salazar

Many thanks to my friends and colleagues,  
A. Deshpande, M. Strattman and B.  
Surrow, whose slides I have freely used

# Quantum ChromoDynamics (QCD)

Theory of strong interactions between quarks and gluons  $SU(N_c)$   
 $N_c = 3$

$$\mathcal{L} = -\frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu} + \sum_f \bar{\Psi}_i^\alpha [i \not{D} - m_f]_{\alpha\beta}^{ij} \Psi_j^\beta$$

$$G_{\mu\nu}^a(x) \equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a - g f^{abc} A_\mu^b A_\nu^c$$

$$a, b, c = 1, \dots, 8$$

color index:  $\alpha, \beta = 1, 2, 3$

$f^{abc}$  group structure constant

Lorentz index:  $\mu, \nu = 0, 1, 2, 3$

$$\not{D} \equiv D_\mu \gamma^\mu \quad \text{with} \quad \{ \gamma^\mu, \gamma^\nu \} = 2 g^{\mu\nu}$$

spinor index:  $i, j = 1, 2, 3, 4$

$$D_\mu \equiv \partial_\mu + ig A_\mu \quad \text{covariant derivative}$$

Quarks:

Fermions, spin 1/2

4x1 spinor, come in  $N_c$  colors

6 flavors (up, down, ....., top)

carry electric charge

Gluons:

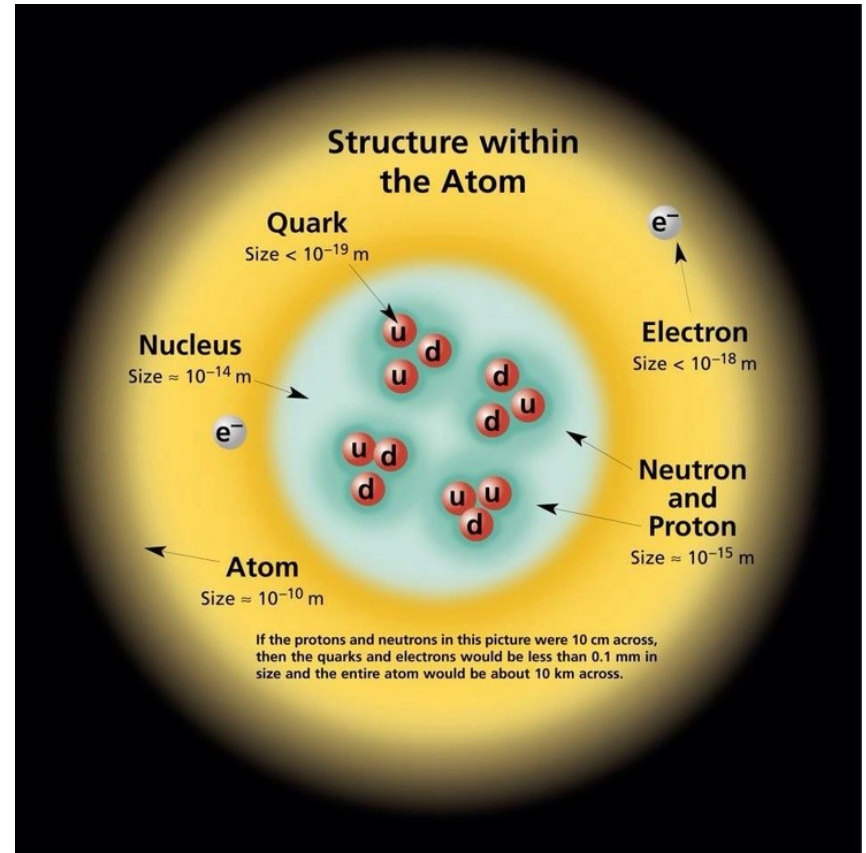
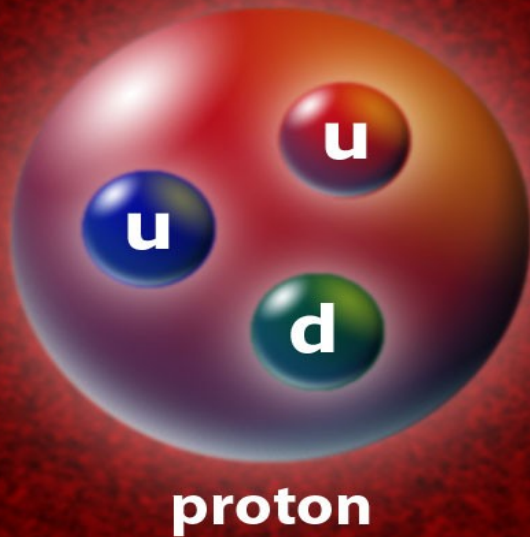
Bosons, spin 1

come in  $N_c^2 - 1$  colors

flavor blind

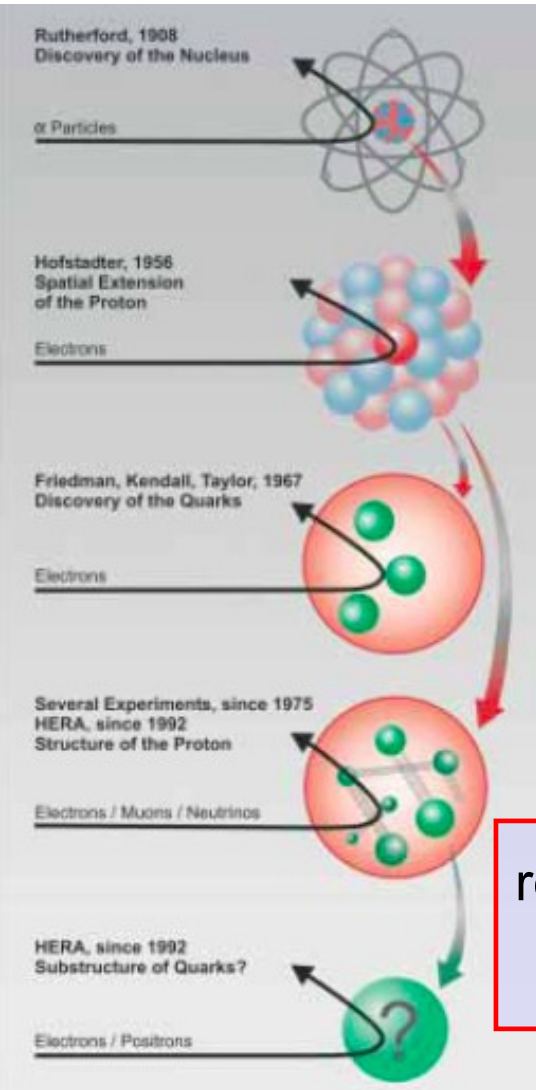
have no electric charge

# Quantum ChromoDynamics (QCD)

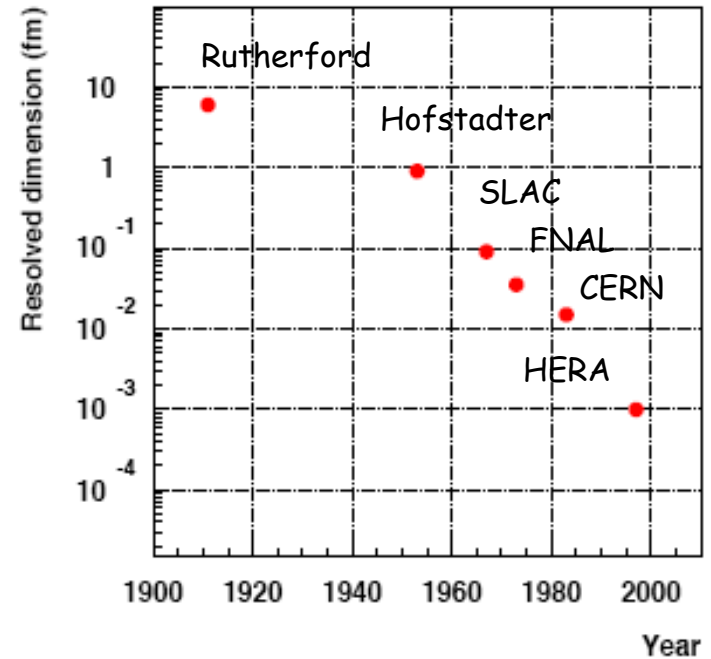


strong force confining quarks inside a proton  
(and keeping protons inside a nucleus)

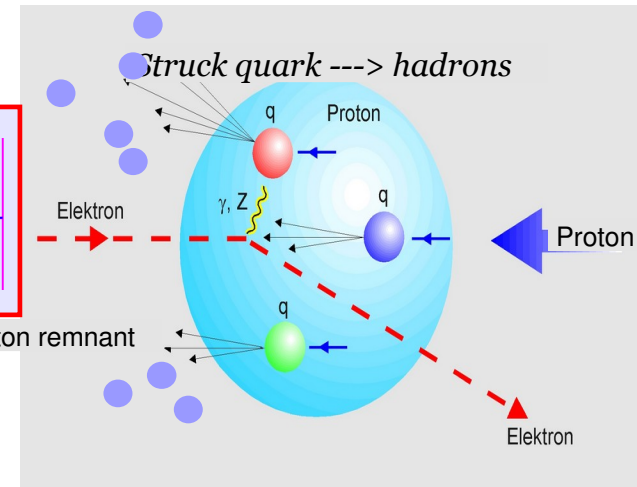
# Deep Inelastic Scattering (DIS)



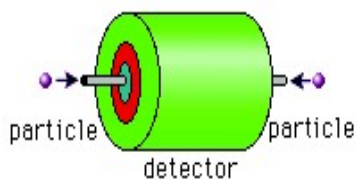
Probing  
smaller distances  
requires  
larger momentum  
transfer  $q$   
(small wavelength)



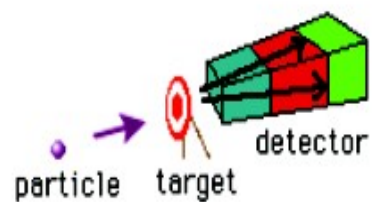
resolution:  $\frac{\hbar}{Q} \approx \frac{2 \times 10^{-16} \text{m}}{Q [\text{GeV}]}$   
 $r \gg 1/Q$



Collider experiment: Electron-Proton collisions at HERA (DESY, Hamburg, Germany)

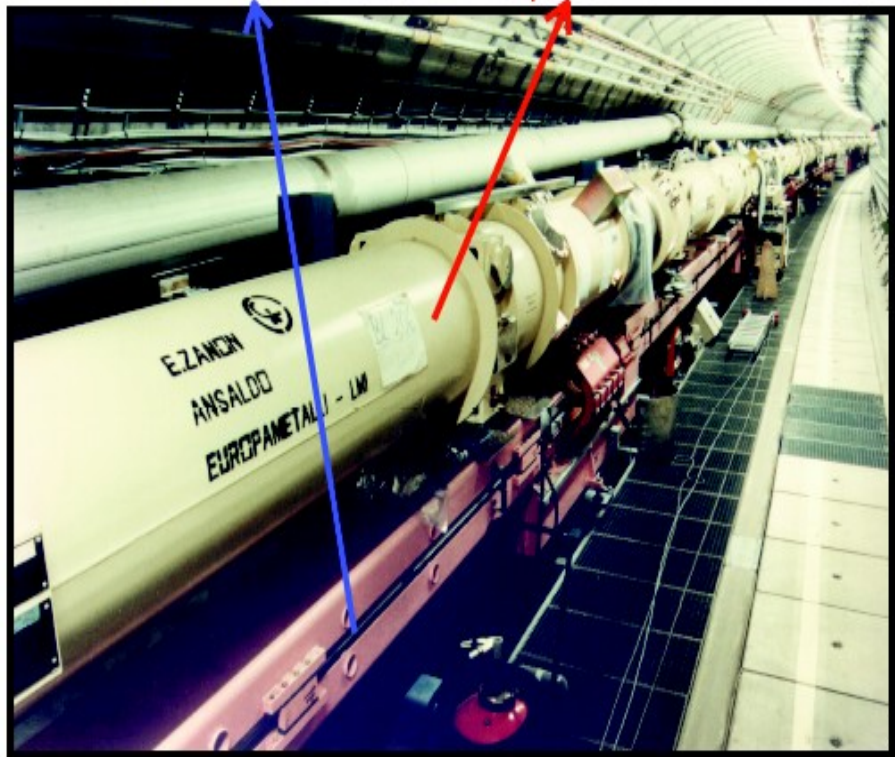


Equivalent to fixed target of  
 $E_e = 50600 \text{ GeV}$ :



$E_e = 27.5 \text{ GeV}$

$E_p = 920 \text{ GeV}$



Circumference: 6.3km

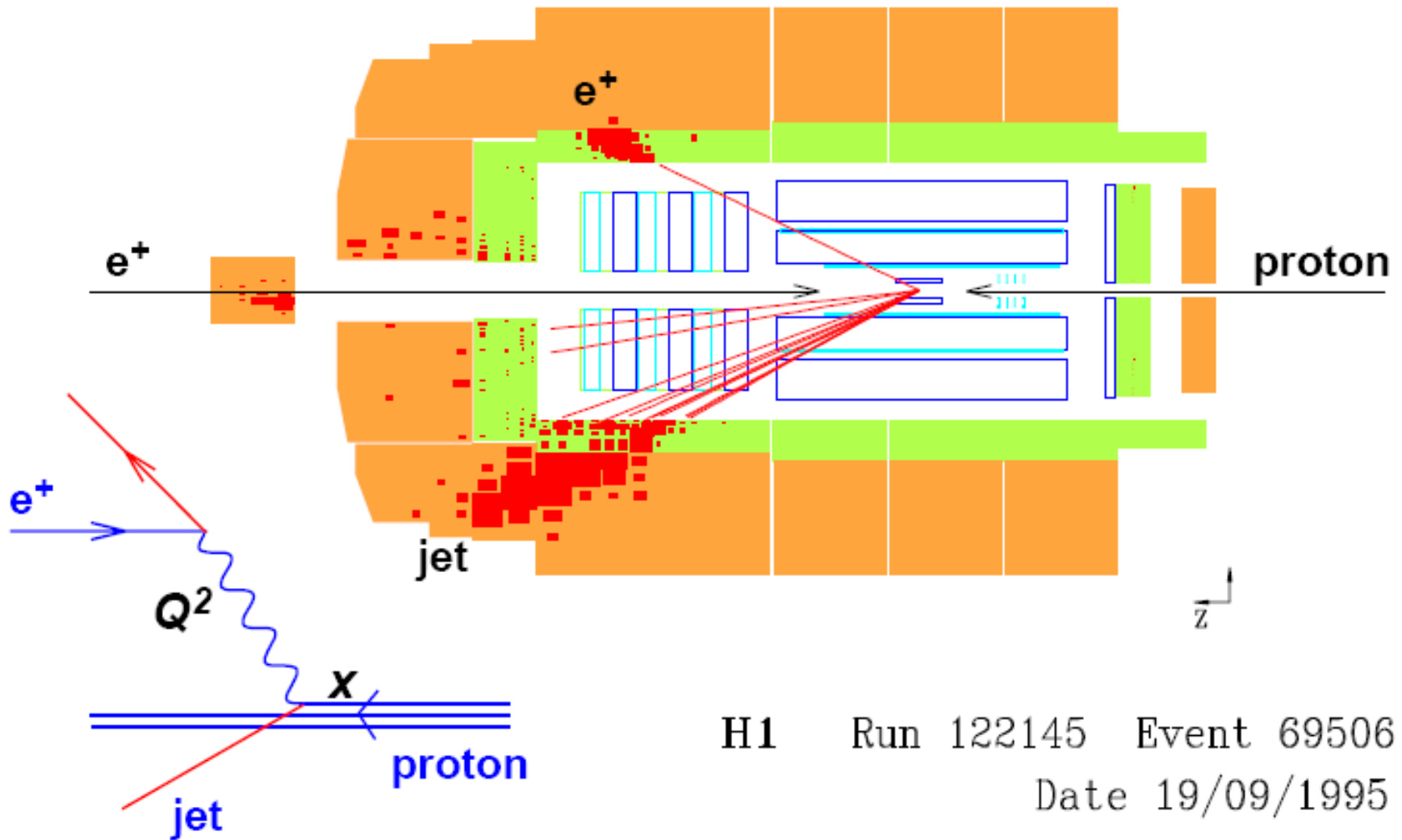




# A DIS event



$Q^2 = 25030 \text{ GeV}^2$ ,  $y = 0.56$ ,  $x=0.50$

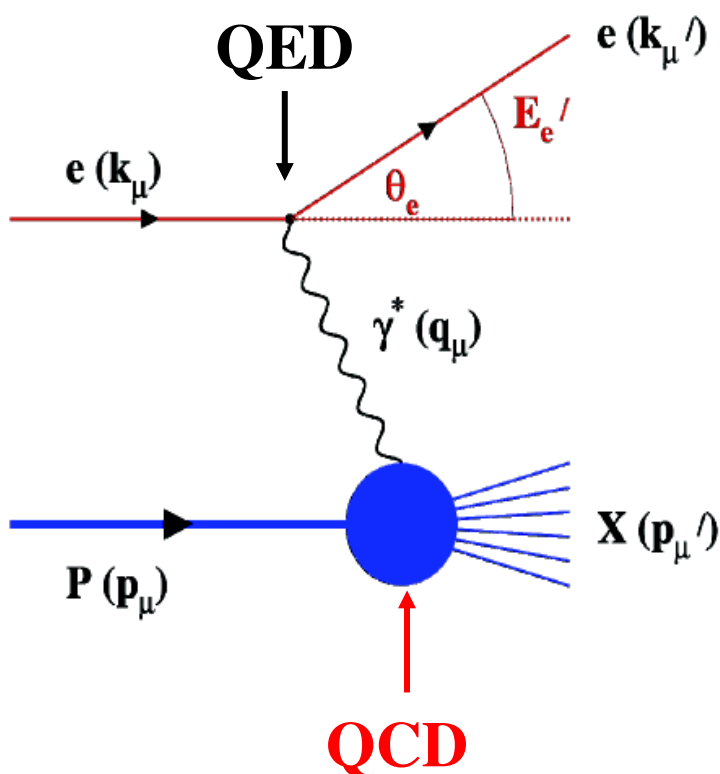


H1 Run 122145 Event 69506  
Date 19/09/1995

# Deep Inelastic Scattering (DIS)

## probing hadron structure

### Kinematic Invariants



(structure functions)

$$Q^2 = -q^2 = -(k_\mu - k'_\mu)^2$$

$$Q^2 = 4E_e E'_e \sin^2\left(\frac{\theta'_e}{2}\right)$$

$$y = \frac{pq}{pk} = 1 - \frac{E'_e}{E_e} \cos^2\left(\frac{\theta'_e}{2}\right)$$

$$x = \frac{Q^2}{2pq} = \frac{Q^2}{sy}$$

$$s \equiv (\mathbf{p} + \mathbf{k})^2$$

Measure of  
resolution  
power

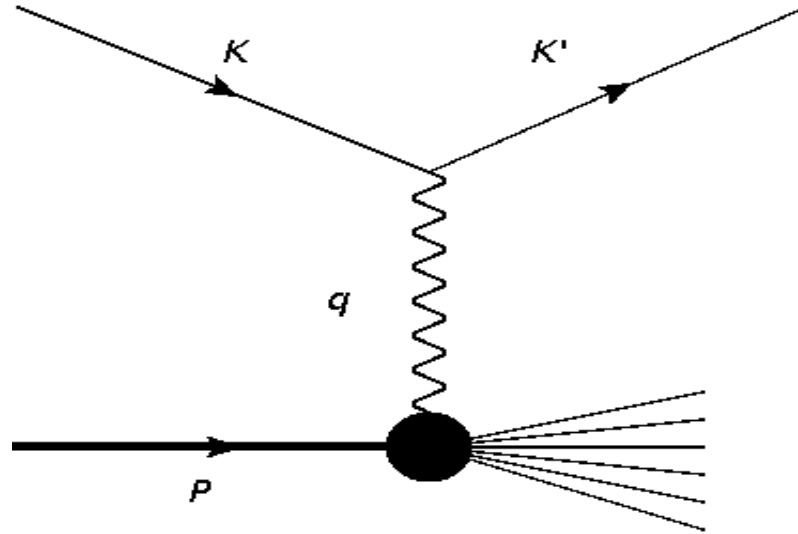
Measure of  
inelasticity

Measure of  
momentum  
fraction of  
struck quark

# Deep Inelastic Scattering

A first analysis of DIS does not require any knowledge of QCD!

we know how a photon (electroweak vector bosons) couples:



$$d\sigma = \frac{4\alpha^2}{s} \frac{d^3 \mathbf{k}'}{2|\mathbf{k}'|} \frac{1}{Q^4} L^{\mu\nu}(k, q) W_{\mu\nu}(p, q)$$

lepton  
phase  
space

photon  
propagator<sup>2</sup>

leptonic  
tensor

**hadronic tensor:  
contains all QCD  
dynamics**

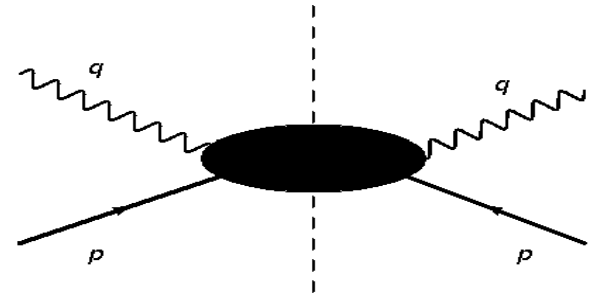
with  $L^{\mu\nu}(k, q) \equiv 2 (k^\mu k'^\nu + k^\nu k'^\mu - g^{\mu\nu} k \cdot k')$

# Deep Inelastic Scattering

strong interactions: contained in the hadronic tensor  $W_{\mu\nu}(p, q)$

given by square of  
to all orders in QCD  
coupling constant

$$\gamma^*(q) h(p) \longrightarrow X$$

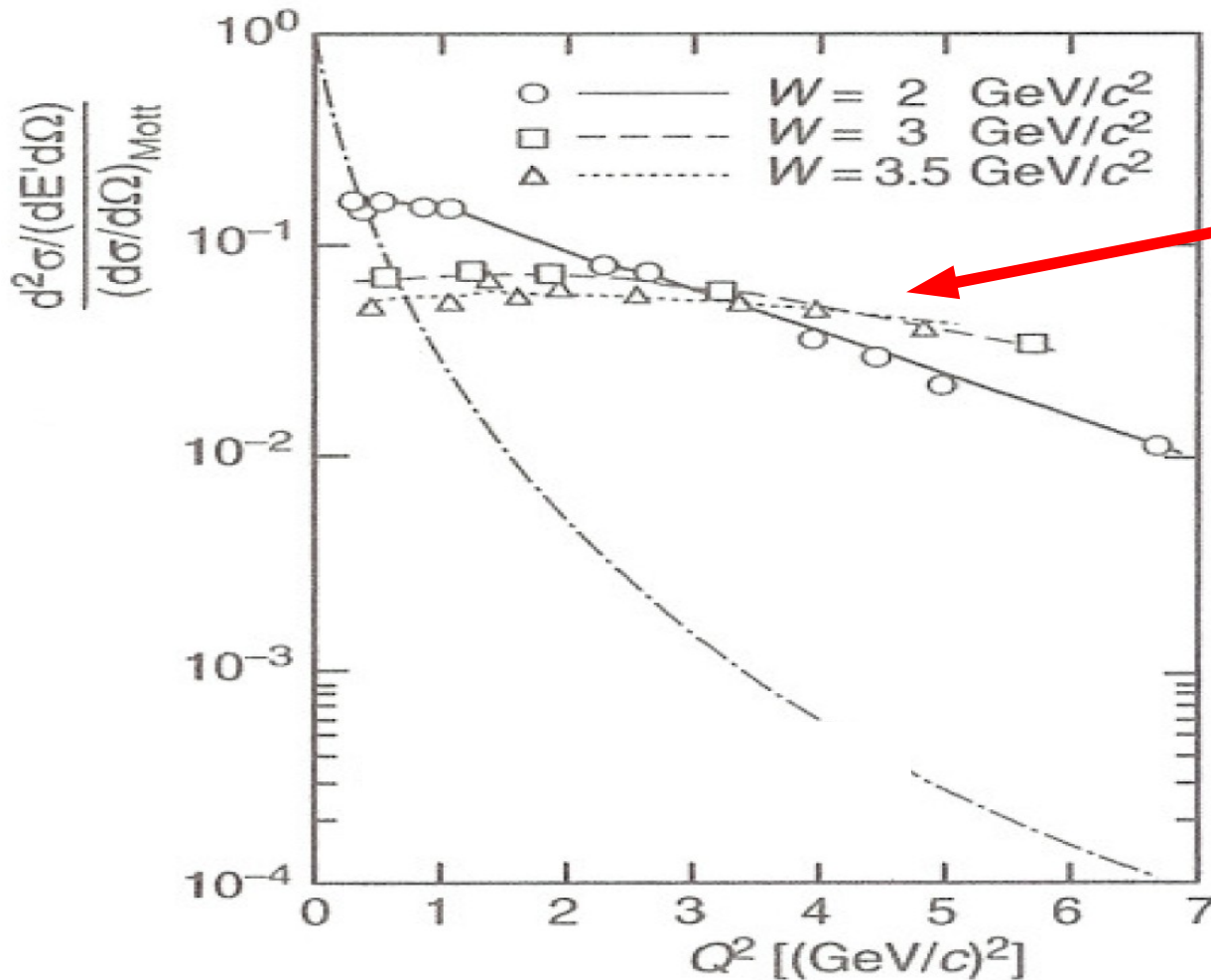


Lorentz + parity symmetries + current conservation:

$$W^{\mu\nu} = - \left( g^{\mu\nu} - \frac{q^\mu q^\nu}{q^2} \right) F_1(x, Q^2) + \left( p^\mu - \frac{p \cdot q}{q^2} q^\mu \right) \left( p^\nu - \frac{p \cdot q}{q^2} q^\nu \right) \frac{1}{p \cdot q} F_2(x, Q^2)$$

structure functions

# Early DIS experiments: SLAC-MIT

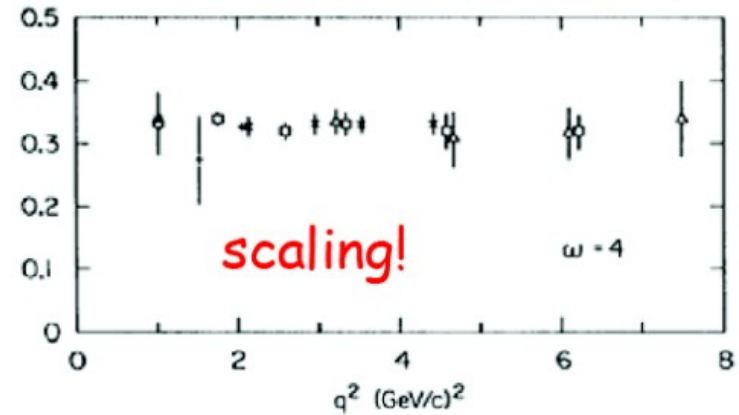


Nobel prize 1990: Friedman, Kendall, Taylor

# Parton model of a hadron

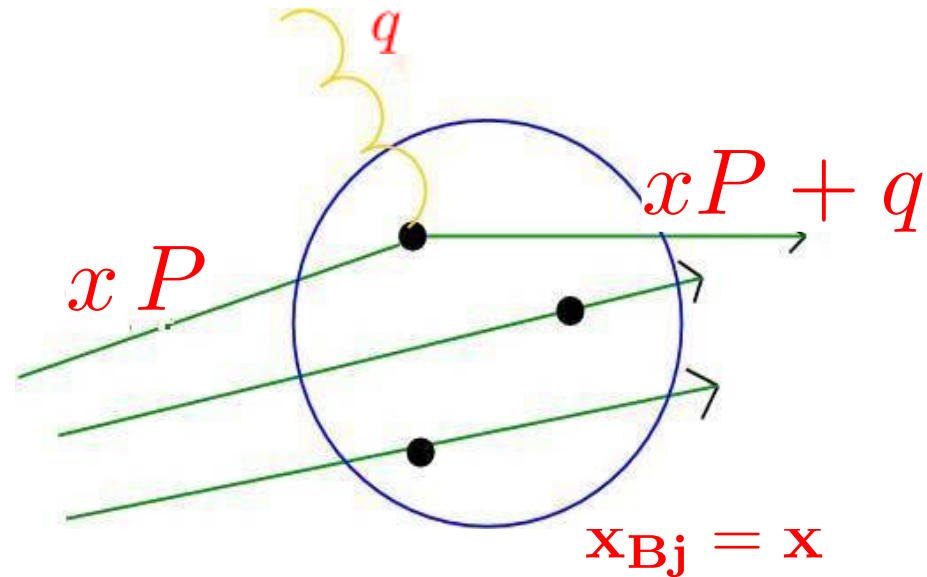
**Bjorken:**  $Q^2, S \rightarrow \infty \quad x_{Bj} = \frac{Q^2}{S}$

structure functions depend only on  $x_{Bj}$



**Feynman:**

parton constituents of proton are “free” on time scale  $1/Q \ll 1/\Lambda$  (interaction time scale between partons)



$$F_2(x) \equiv \sum_f e_f^2 x [q_f(x) + \bar{q}_f(x)]$$

# space-time picture of DIS

light cone variables: separation of large and small components of vectors under a boost

$$P^+ \equiv \frac{E + P_z}{\sqrt{2}}$$

$$p \cdot x = p^+ x^- + p^- x^+ - p_\perp \cdot x_\perp$$

$$P^- \equiv \frac{E - P_z}{\sqrt{2}}$$

$$t \longrightarrow \gamma(t - \beta z)$$

$$x \longrightarrow x$$

$$P_t = P_t$$

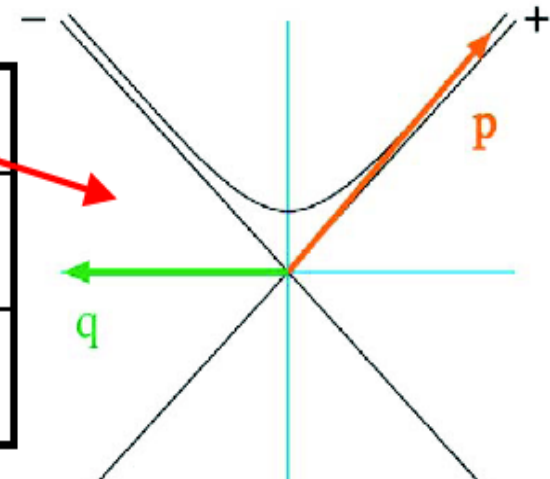
$$y \longrightarrow y$$

$$(\mathbf{V}^+, \mathbf{V}^-, \mathbf{V}_t) \rightarrow (e^\omega \mathbf{V}^+, e^{-\omega} \mathbf{V}^-, \mathbf{V}_t)$$

$$z \longrightarrow \gamma(z - \beta t)$$

$$\text{with } e^\omega = \frac{Q}{x m_h}$$

4-vector	hadron rest frame	Breit frame
$(p^+, p^-, \vec{p}_T)$	$\frac{1}{\sqrt{2}}(m_h, m_h, \vec{0})$	$\frac{1}{\sqrt{2}}(\frac{Q}{x}, \frac{x m_h^2}{Q}, \vec{0})$
$(q^+, q^-, \vec{q}_T)$	$\frac{1}{\sqrt{2}}(-m_h x, \frac{Q^2}{m_h x}, \vec{0})$	$\frac{1}{\sqrt{2}}(-Q, Q, \vec{0})$



# space-time picture of DIS

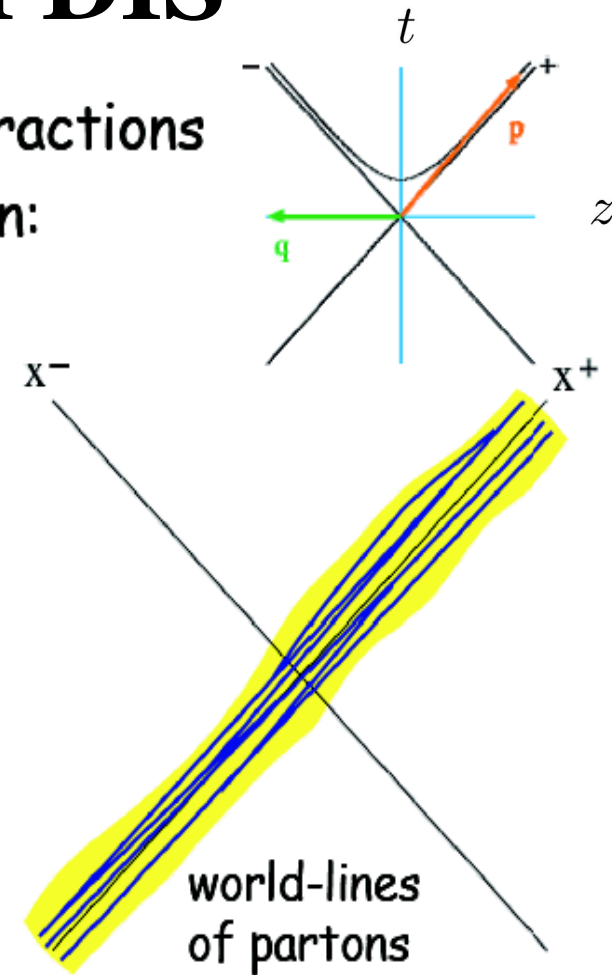
simple estimate for typical time-scale of interactions among the partons inside a fast-moving hadron:

$$\text{rest frame: } \Delta x^+ \sim \Delta x^- \sim \frac{1}{m}$$

$$\text{Breit frame: } \Delta x^+ \sim \frac{1}{m} \frac{Q}{m} = \frac{Q}{m^2} \quad \text{large}$$

$$\Delta x^- \sim \frac{1}{m} \frac{m}{Q} = \frac{1}{Q} \quad \text{small}$$

interactions between partons are spread out inside a fast moving hadron

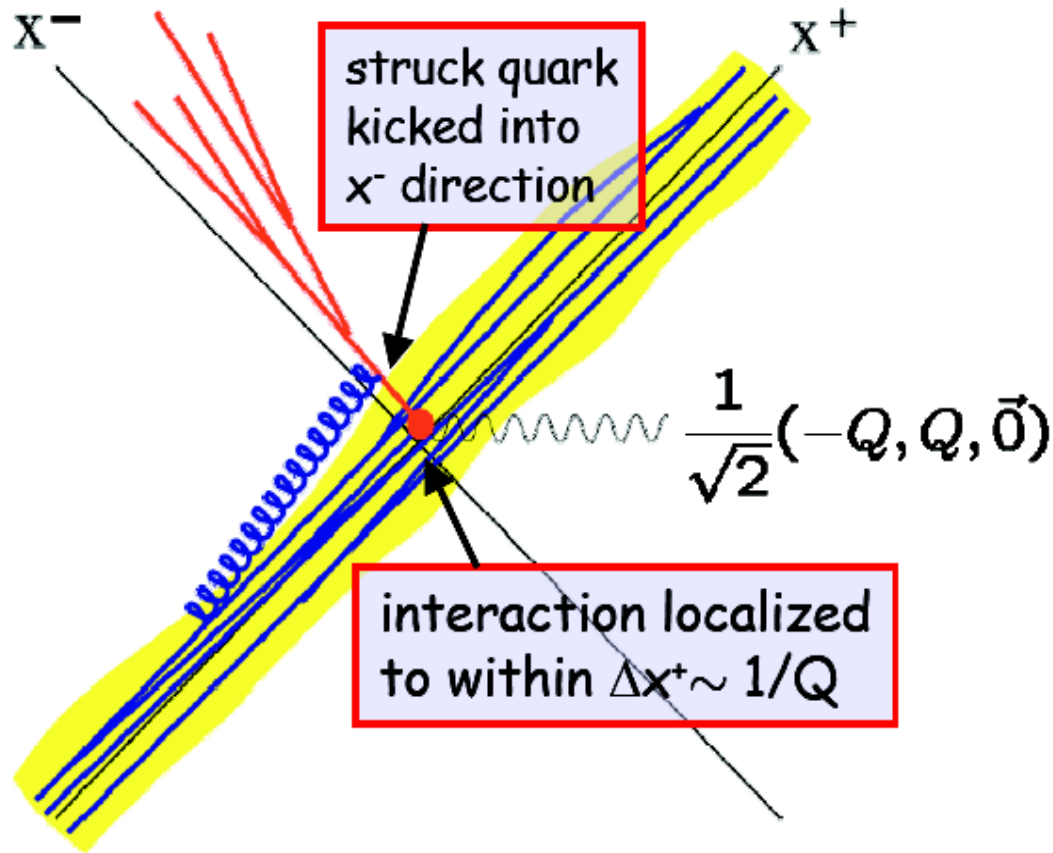
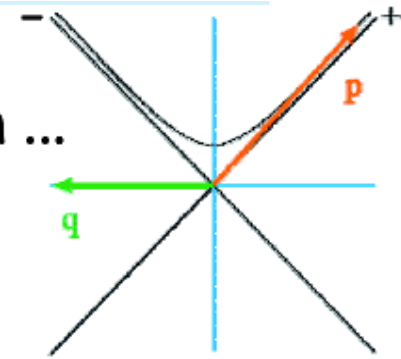


How does this compare with the time-scale of the hard scattering?



# space-time picture of DIS

now let the virtual photon meet our fast moving hadron ...

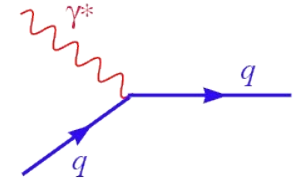


upshot:

- partons are free during the hard interaction
- hadron effectively consists of partons that have momenta  $(p_i^+, p_i^-, \vec{p}_i)$
- convenient to introduce **momentum fractions**  
 $0 < \xi_i \equiv p_i^+ / p^+ < 1$

# DIS in the QCD-improved parton model

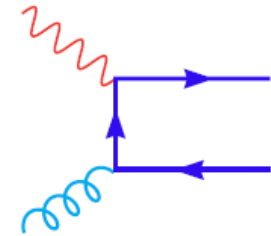
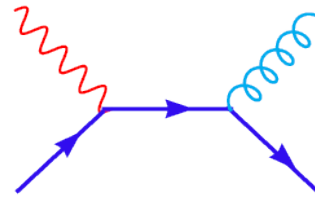
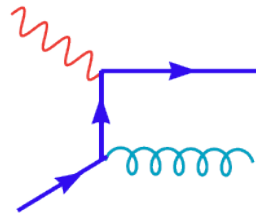
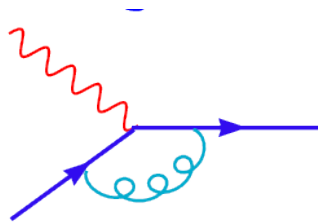
we got a long way (parton model) *without* invoking QCD



now we have to study **QCD dynamics in DIS**

– this leads to similar problems already encountered in  $e^+e^-$

let's try to compute the  **$O(\alpha_s)$  QCD corrections** to the naive picture



$\alpha_s$  corrections to the LO process

photon-gluon fusion

**caveat: *expect divergencies***

**related to soft/collinear emission or from loops**

what to do with infinities?

introduce “**regulator**” in the intermediate stages, remove it at the end

# general structure of the QCD corrections [ $O(\alpha_s)$ ]

using small quark/gluon mass as a regulator:

$$\frac{d^2 \hat{\sigma}}{dx dQ^2} \Big|_{F_2} \equiv \hat{F}_2^q$$

$$= e_q^2 x \left[ \overset{\text{LO}}{\delta(1-x)} + \frac{\alpha_s(\mu_r)}{4\pi} \left[ P_{qq}(x) \ln \frac{Q^2}{m_g^2} + C_2^q(x) \right] \right]$$

large logarithms  
(collinear emission)

finite coefficients

$$\frac{d^2 \hat{\sigma}}{dx dQ^2} \Big|_{F_2} \equiv \hat{F}_2^g$$

$$= \sum_q e_q^2 x \left[ 0 + \frac{\alpha_s(\mu_r)}{4\pi} \left[ P_{qg}(x) \ln \frac{Q^2}{m_q^2} + C_2^g(x) \right] \right]$$

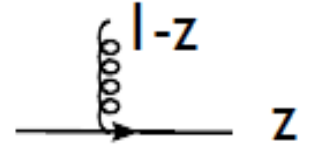
divergences absorbed  
into pdf

$$\mathbf{F}_2(\mathbf{x}, \mathbf{Q}^2) \equiv \sum_f^f e_f^2 \mathbf{x} [\mathbf{q}_f(\mathbf{x}, \mathbf{Q}^2) + \bar{\mathbf{q}}_f(\mathbf{x}, \mathbf{Q}^2)]$$

# properties of LO splitting functions

$$P_{qq}^{(0)} = P_{\bar{q}\bar{q}}^{(0)} = C_F \left[ \left( \frac{1+z^2}{1-z} \right)_+ + \frac{3}{2} \delta(1-z) \right]$$

soft gluon divergence (z=1)  
regulated by plus distribution



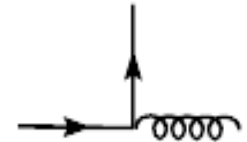
$$P_{qg}^{(0)} = P_{\bar{q}g}^{(0)} = T_R (z^2 + (1-z))$$

symmetric under  
 $z \rightarrow (1-z)$   
except virtals



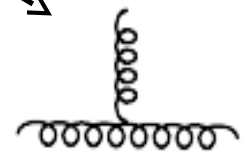
$$P_{gq}^{(0)} = P_{g\bar{q}}^{(0)} = C_F \frac{1+(1-z)^2}{z}$$

soft gluon divergence (z=0)  
not reached, always  $z > 0$



$$P_{gg}^{(0)} = 2C_A \left[ z \left( \frac{1}{1-z} \right)_+ + \frac{1-z}{z} + z(1-z) + b_0 \delta(1-z) \right]$$

soft gluon divergence (z=1)  
regulated by plus distribution



involves **“plus distribution”**

$$\int_0^1 dz [g(z)]_+ f(z) \equiv \int_0^1 dz g(z) [f(z) - f(1)]$$

condition:  $f(z)$  sufficiently smooth for  $z \rightarrow 1$

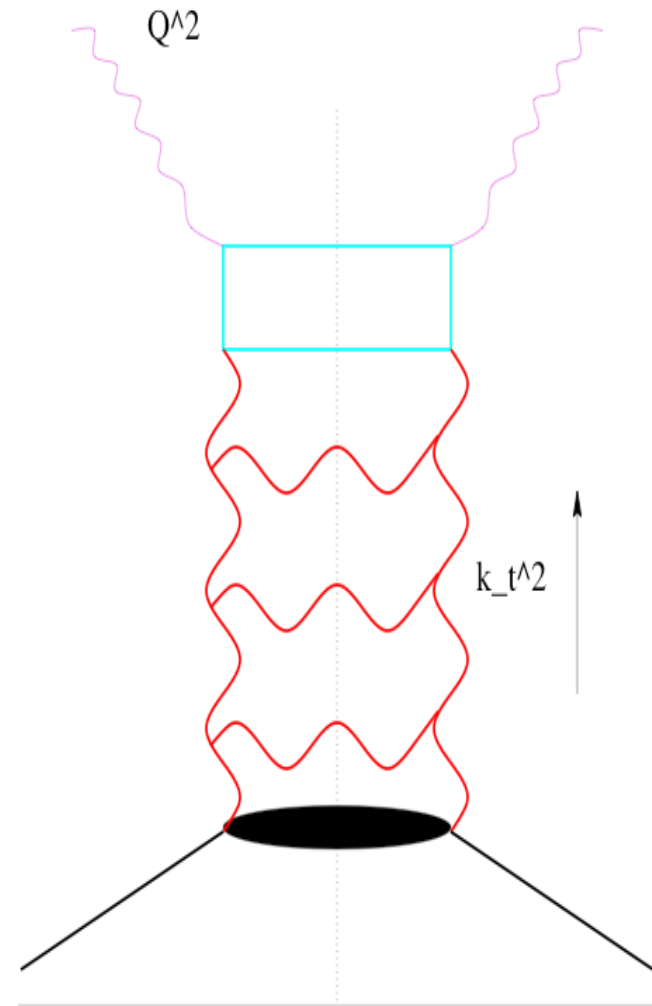
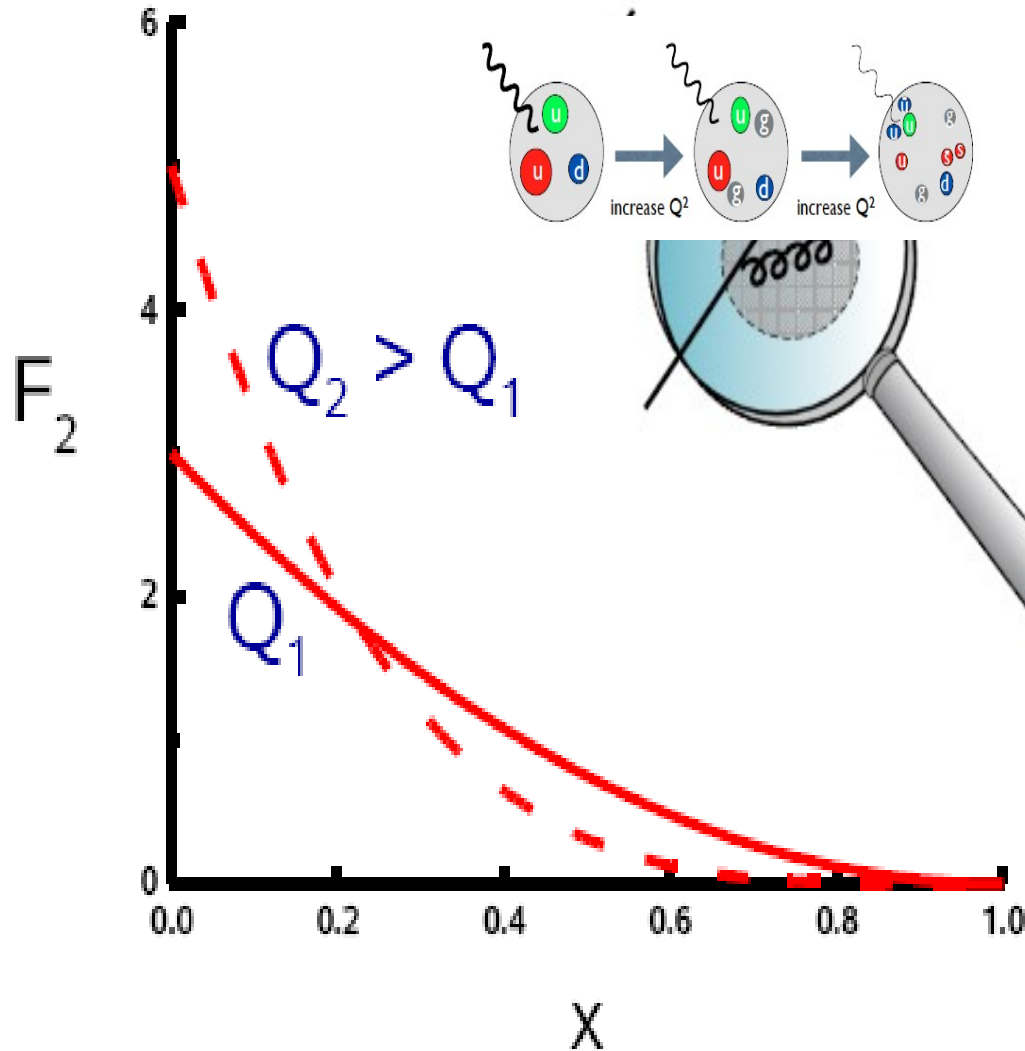
# DGLAP “evolution” equation

$$\frac{d}{d \ln \mu} \begin{pmatrix} q(x, \mu) \\ g(x, \mu) \end{pmatrix} = \int_x^1 \frac{dz}{z} \begin{pmatrix} \overbrace{P_{qq}} & \overbrace{P_{qg}} \\ \underbrace{P_{gq}} & \underbrace{P_{gg}} \end{pmatrix} (z, \alpha_s) \cdot \begin{pmatrix} q(x/z, \mu) \\ g(x/z, \mu) \end{pmatrix}$$

# DGLAP “evolution” equation:

*scale dependence of parton distribution functions*

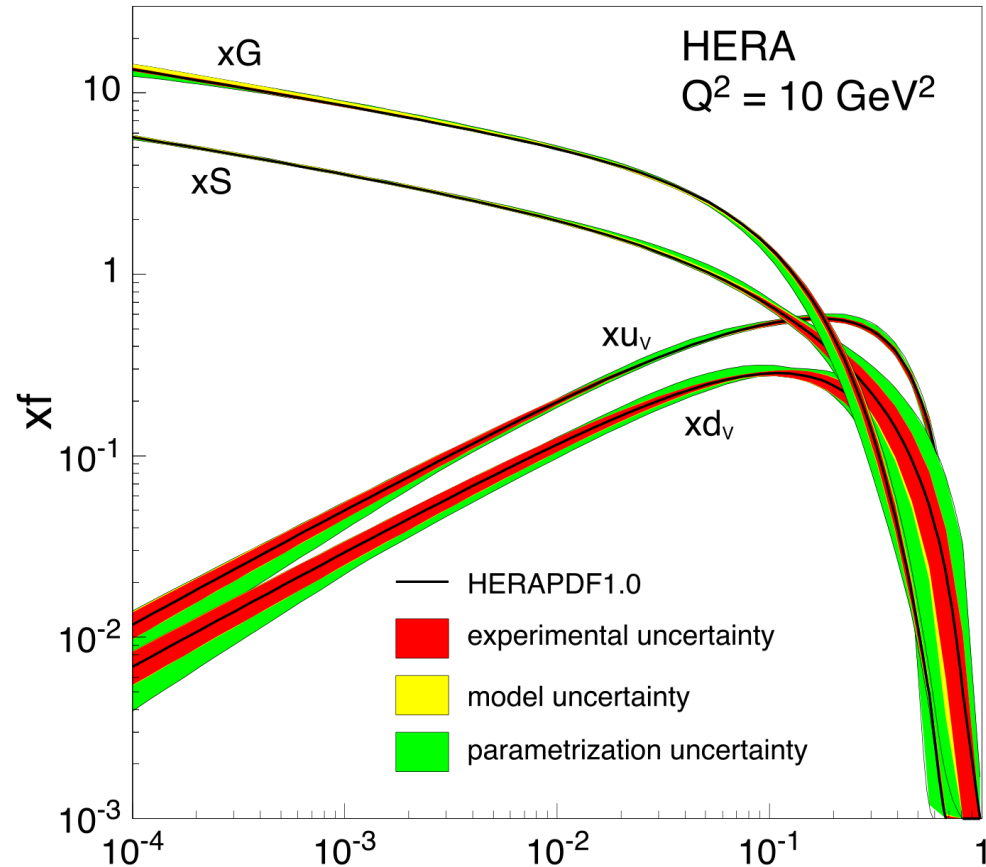
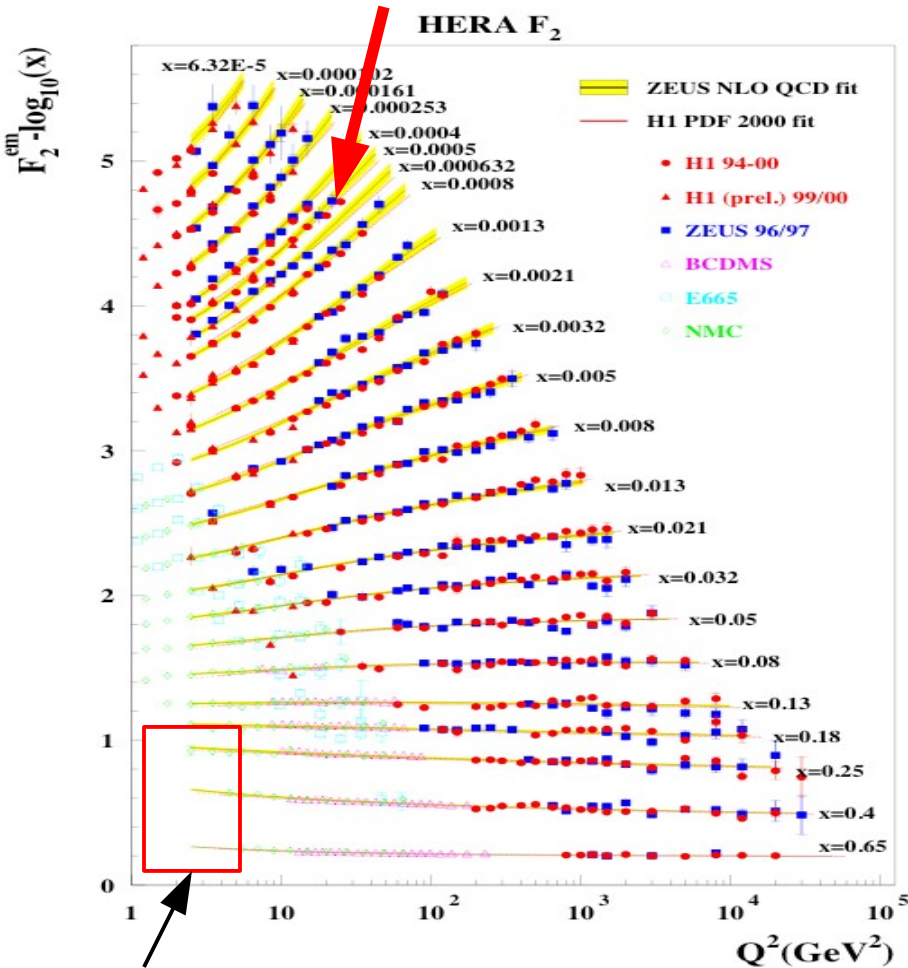
Dokshitzer-Gribov-Lipatov-Altarelli-Parisi



# Deep Inelastic Scattering

## *QCD: scaling violations*

$$F_2 \equiv \sum_{f=q,\bar{q}} e_f^2 xq(x, Q^2)$$



early experiments (SLAC,...):  
scale invariance of hadron structure

$$x = \frac{p^+}{P^+}$$

$x$  is the fraction of hadron energy carried by a parton

# What drives the growth of parton distributions?

Splitting functions at leading order  $O(\alpha_s^0)$  ( $x \neq 1$ )

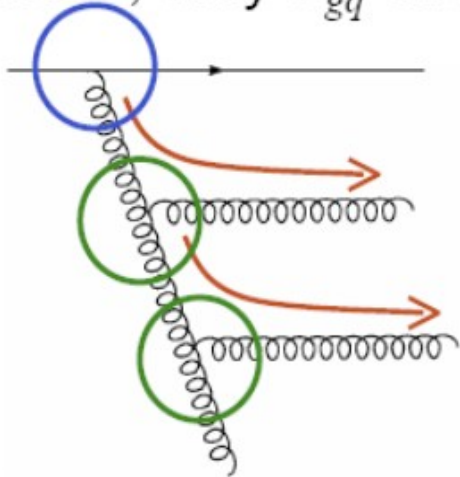
$$P_{qq}^{(0)}(x) = C_F \frac{1+x^2}{1-x}$$

$$P_{qg}^{(0)}(x) = \frac{1}{2} [x^2 + (1-x)^2]$$

$$P_{gq}^{(0)}(x) = C_F \frac{1+(1-x)^2}{x}$$

$$P_{gg}^{(0)}(x) = 2C_A \left[ \frac{x}{1-x} + \frac{1-x}{x} + x(1-x) \right]$$

At small  $x$ , only  $P_{gq}$  and  $P_{gg}$  are relevant.



→ Gluon dominant at small  $x$ !

The double log approximation (DLA) of DGLAP is easily solved.

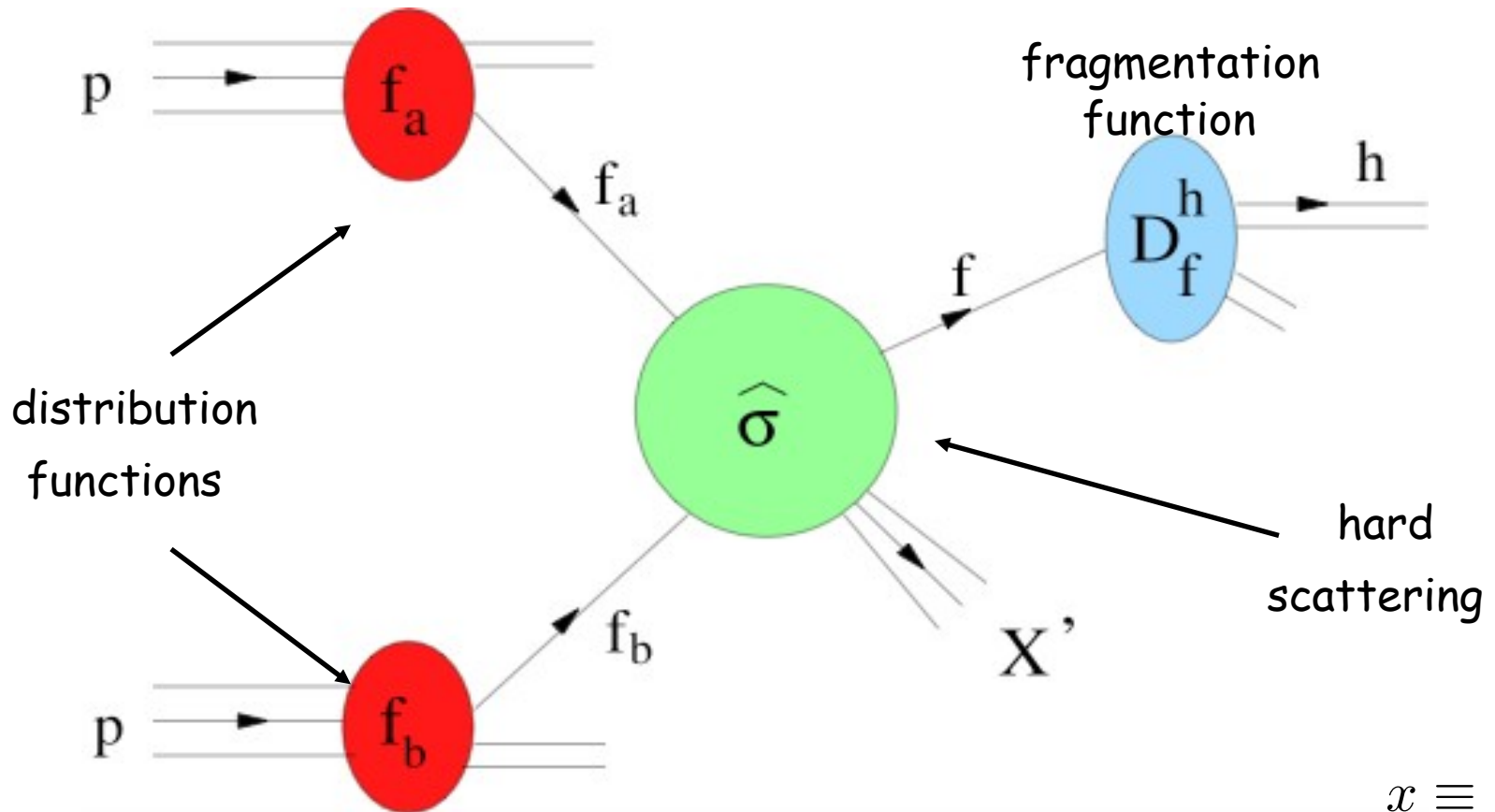
-- increase of gluon distribution at small  $x$

$$xg(x, Q^2) \sim e^{\sqrt{\alpha_s} (\log 1/x) (\log Q^2)}$$



# QCD in proton-proton collisions

collinear factorization: separation of soft (long distance) and hard (short distance)

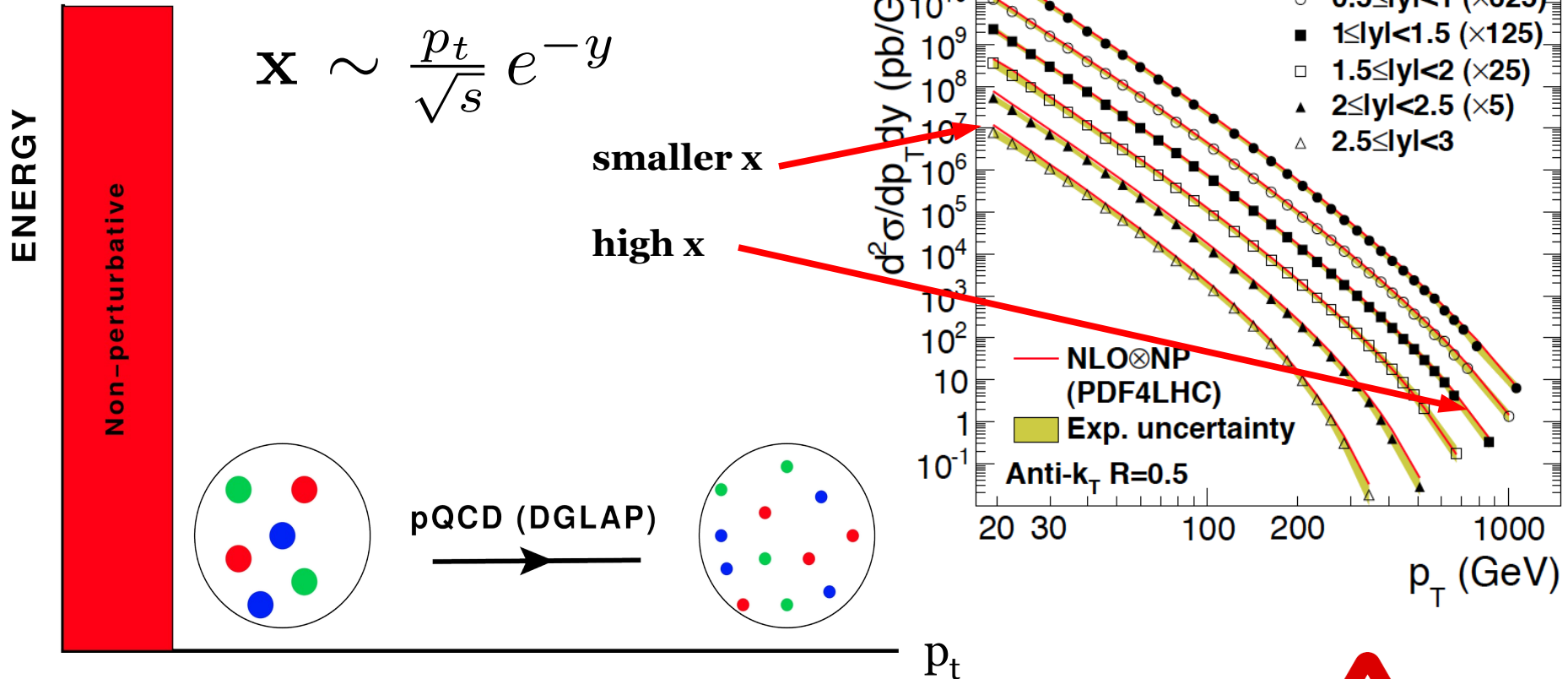


$$\frac{d\sigma^{pp \rightarrow h X}}{d^2p_t dy} \sim f_a(x_1) \otimes f_b(x_2) \otimes \hat{\sigma} \otimes D_f^h(z) + \dots$$

$x \equiv \frac{p^+}{P^+}$

**power corrections**

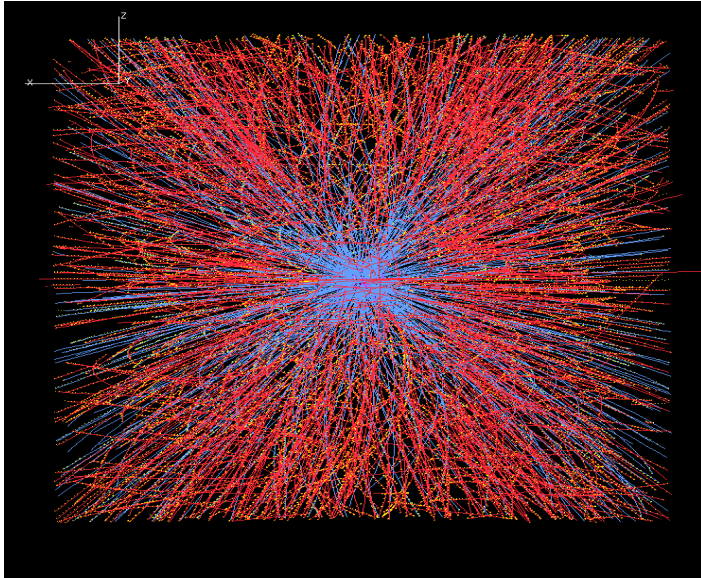
# pQCD: the standard paradigm



bulk of QCD phenomena happens at low  $p_t$  (small  $x$ )

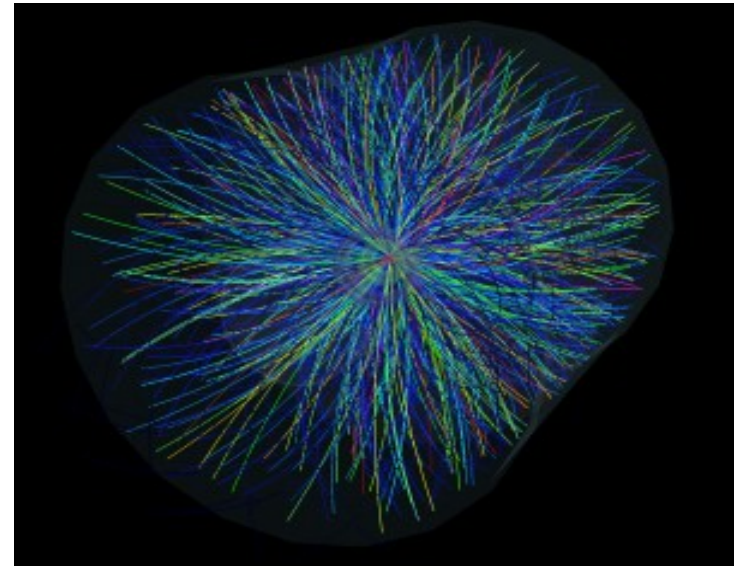


# Nucleus-Nucleus (AA) Collisions: *Quark-Gluon Plasma*



$$\sqrt{S} \sim 200 \text{ GeV}$$

$$\text{RHIC} : \frac{dN_{\text{ch}}}{d\eta} \sim 700$$



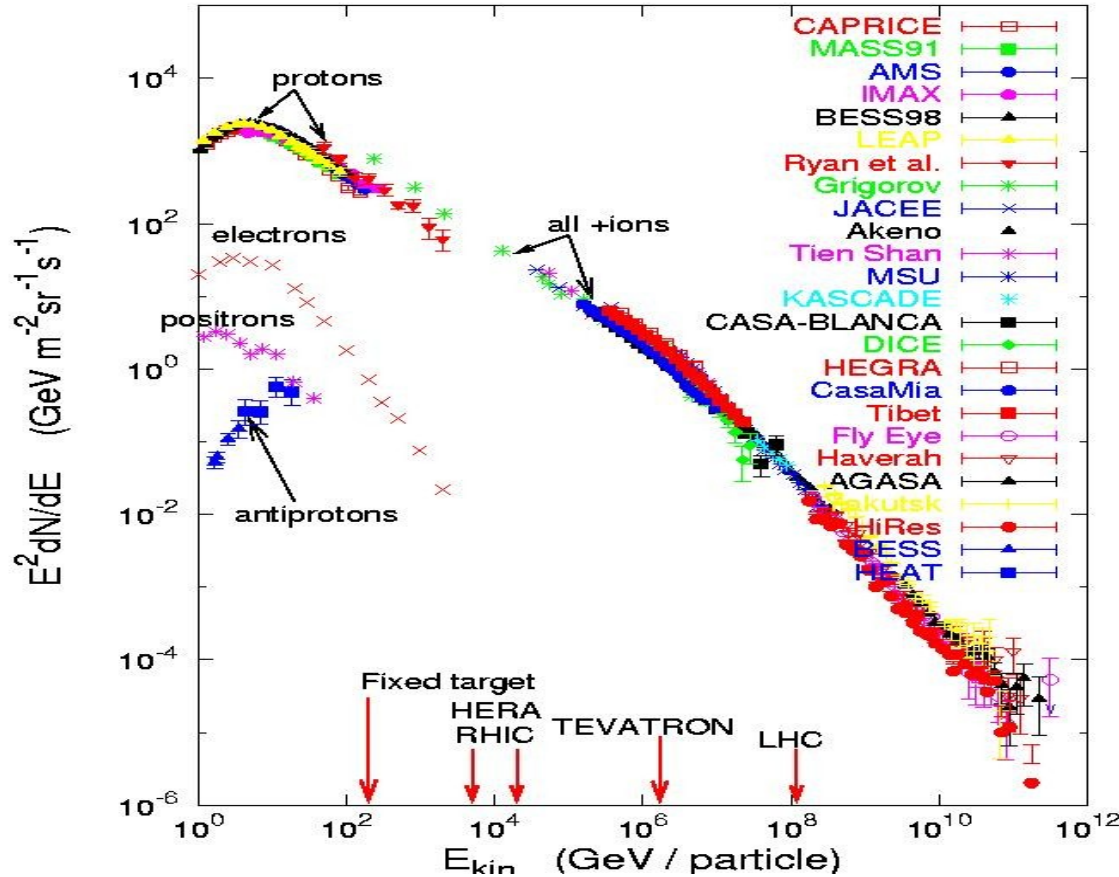
$$\sqrt{S} \sim 5 \text{ TeV}$$

$$\text{LHC} : \frac{dN_{\text{ch}}}{d\eta} \sim 1600$$

$$\mathbf{x} \sim \frac{p_t}{\sqrt{S}} e^{-y} \rightarrow \mathbf{0}$$

# High Energy Cosmic Rays

Energies and rates of the cosmic-ray particles



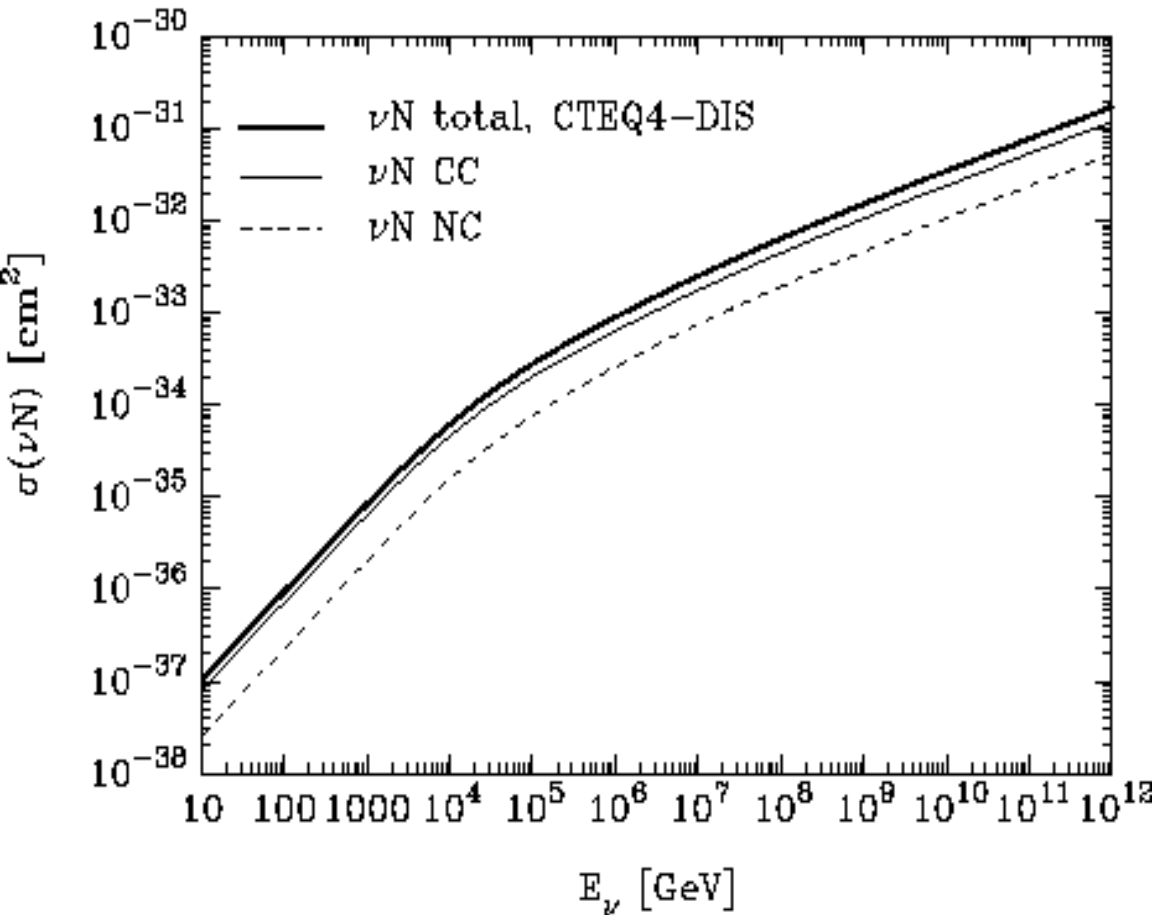
$$p A \rightarrow X$$

$$\sqrt{S} \sim 10^{2-3} \text{TeV}$$

most particles/energy  
are in the forward  
rapidity region and have  
low  $p_t$

$$x \sim \frac{p_t}{\sqrt{S}} e^{-y} \rightarrow 0$$

# Ultra-High Energy Neutrinos



$$\nu N \rightarrow \nu X$$

$$\sqrt{S} \sim 10^{2-3} \text{TeV}$$

$$\frac{M_Z}{\sqrt{S}} \rightarrow 0$$

total cross section dominated by  $Q \sim M_Z$

need to understand structure of hadrons at *very small x*

# QCD in the Regge-Gribov limit

recall  $X_{Bj} \equiv \frac{Q^2}{S}$

$S \rightarrow \infty$ ,  $Q^2$  fixed :  $X_{Bj} \rightarrow 0$



Regge

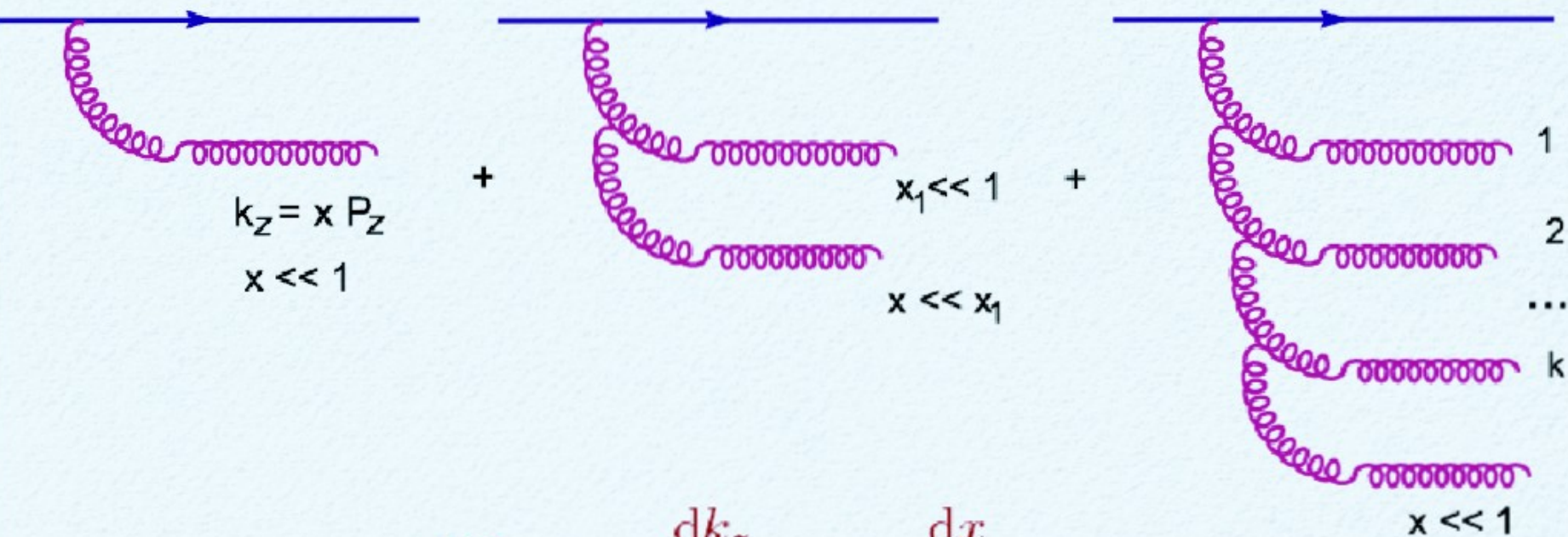


Gribov

# gluon radiation at small $x$ : pQCD

The infrared sensitivity of bremsstrahlung favors the emission of 'soft' (= small- $x$ ) gluons

$$P_{gg}(x) \sim \frac{1}{x} \text{ for } x \rightarrow 0$$



$$d\mathcal{P} \propto \alpha_s \frac{dk_z}{k_z} = \alpha_s \frac{dx}{x}$$

The 'price' of an additional gluon:

$$\mathcal{P}(1) \propto \alpha_s \int_x^1 \frac{dx_1}{x_1} = \alpha_s \ln \frac{1}{x} \quad \text{number of gluons grows fast}$$

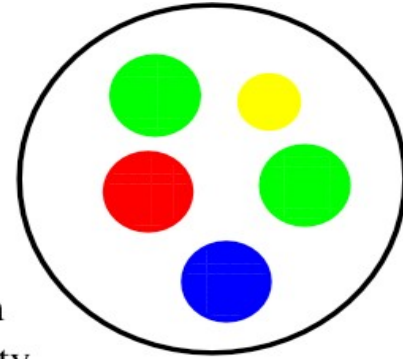
$$n \sim e^{\alpha_s \ln 1/x}$$

# Resolving the nucleus/hadron:

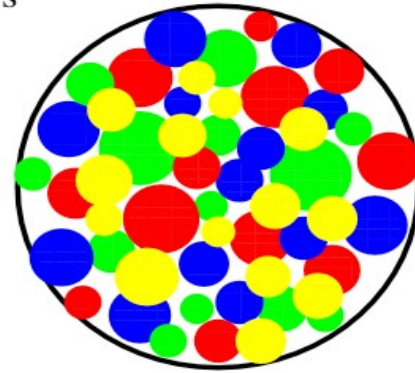
## Regge-Gribov limit

$$\frac{1}{x}$$

↓  
Gluon  
Density  
Grows



Low Energy



High Energy

radiated gluons have the same size ( $1/Q^2$ ) - the number of partons increase due to the increased longitudinal phase space

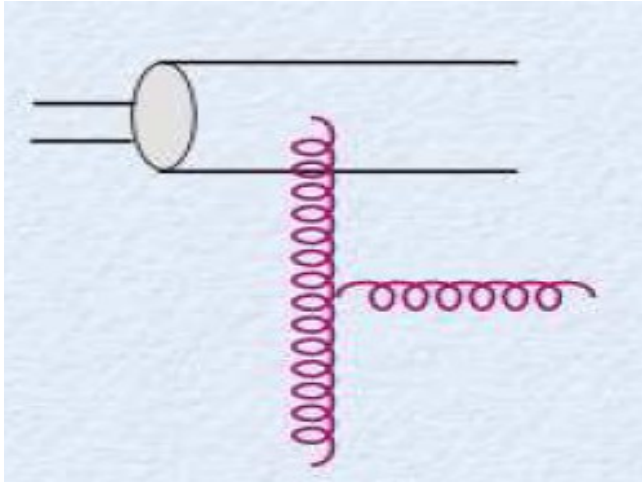
**hadron/nucleus becomes a dense system of gluons:  
concept of a quasi-free parton is not useful**

Physics of strong color fields in QCD, multi-particle production- possibly discover novel universal properties of theory in this limit

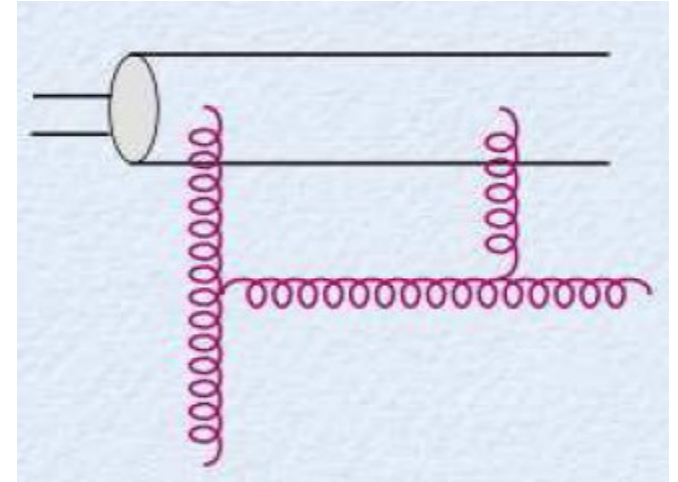


# break down of pQCD at small x

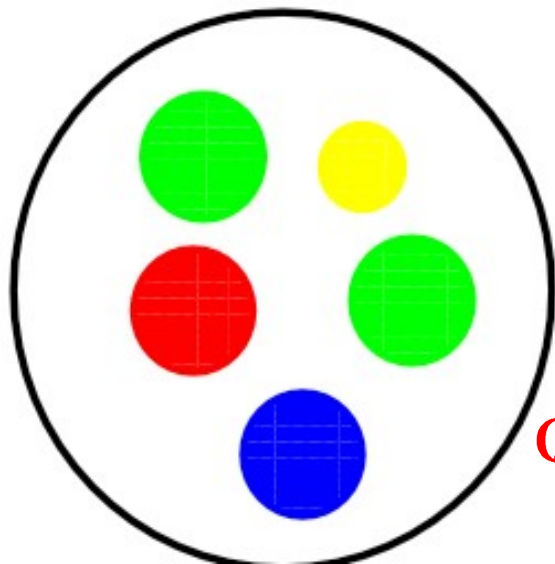
“attractive” bremsstrahlung vs. “repulsive” recombination



included in pQCD

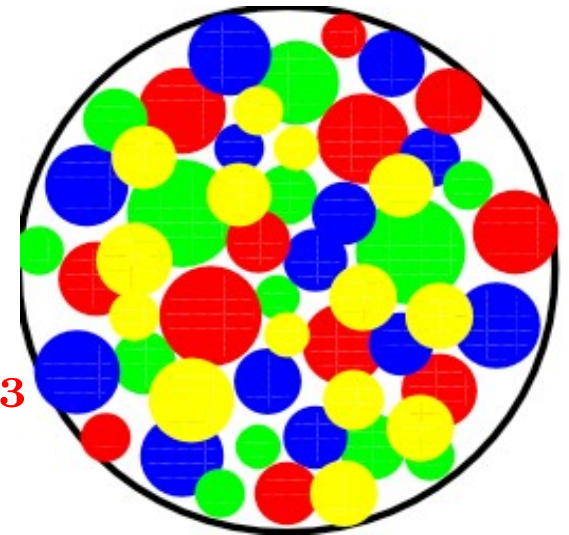


not included in pQCD  
(collinear factorization)

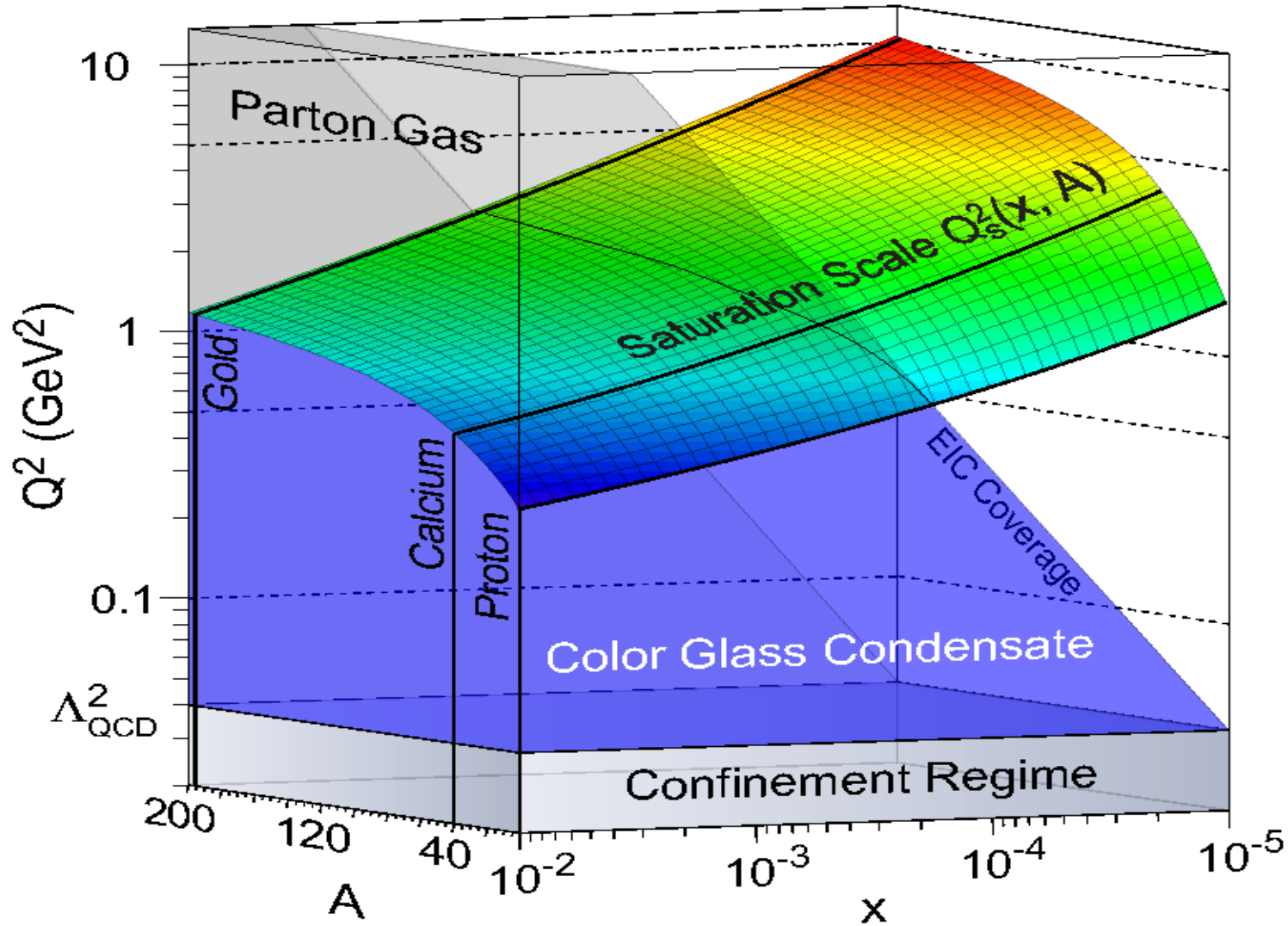


$$\frac{\alpha_s}{Q^2} \frac{xG(x, Q^2)}{\pi r^2} \sim 1$$

$$Q_s^2(x, b_t, A) \sim A^{1/3} \left(\frac{1}{x}\right)^{0.3}$$



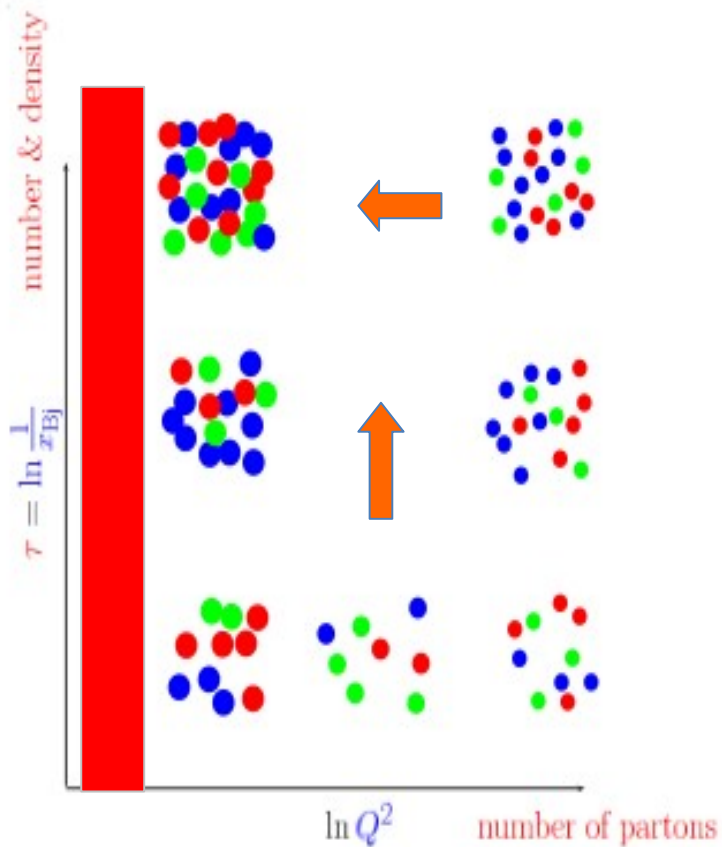
# The Saturation Scale $Q_s$



**X 9/4 for  
gluons**

# QCD at small x:

many-body dynamics of universal gluonic matter (CGC)



**How does this happen ?**

**How do correlation functions evolve ?**

**Are there scaling laws ?**

**Can CGC explain aspects of HIC ?**

*Initial conditions for hydro?*

*Thermalization ?*

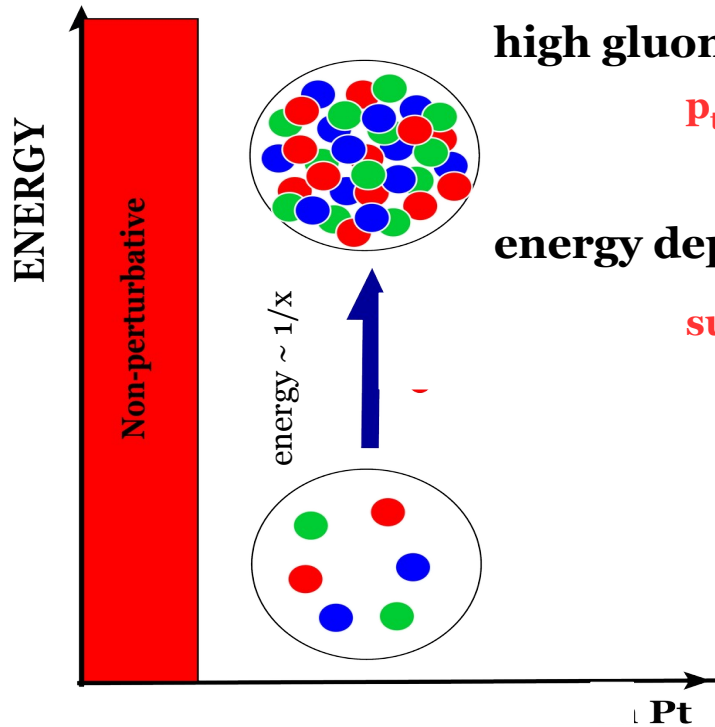
*Long range rapidity correlations ?*

*Azimuthal angular correlations ?*

*Nuclear modification factor ?*

**QCD at small  $x$ :  
a new approach is needed**

# QCD at high energy/small x: gluon saturation



high gluon density: Eikonal multiple scattering

$p_t$  broadening (generic to multiple scattering)

energy dependence: x-evolution via JIMWLK/BK

suppression of spectra/away side peaks

$$Q_s^2(x, b_t, A) \sim A^{1/3} \left(\frac{1}{x}\right)^{0.3}$$

$$Q_s^2(x = 3 \times 10^{-4}) \sim 1 \text{ GeV}^2$$

for a proton target (quarks)

a framework for multi-particle production in QCD at small x/low  $p_t$

$$\underline{x} \leq \underline{0.01}$$

$$\alpha_s \ln(x_v/x) \sim 1$$